



MEMORANDUM

TO: Randy Alexis, Principal Planner
Brian Long, Supervising Engineer
Austin Water Utility

FROM: Jennifer Castellon, Utility Financial Analyst
Austin Water Utility

DATE: May 9, 2011

SUBJECT: Semi-Annual Impact Fee Report as of March 31, 2011

Attached for your information is the Semi-Annual Impact Fee Report of Collections as of March 31, 2011. The report is based on financial information reported in the City's accounting system. Fees collected during this period are at their third lowest level compared to past years with the lowest level being the previous year. Further, the percentage of fees waived or exempted is higher for water fees than wastewater.

In summary, combined utility capital recovery fees collected plus interest during the six month period totaled \$1,978,635. Of this total, \$1,190,301 was for water and \$788,333 was for wastewater. Total capital recovery fees collected plus interest since the adoption of the current ordinance on June 20, 1990, through March 31, 2011, totaled \$97,724,477 for water and \$59,659,924 for wastewater, for a combined utility total of \$157,384,401.

As of March 31, 2011, the cash balances in the water and wastewater CRF funds were \$240,266 and \$152,848, respectively, for a combined total of \$393,114. The balances reflect the transfer of \$2,800,000 from the CRF funds to the operating funds and from there to the Debt Service Fund in March 2011, \$1,800,000 from Water and \$1,000,000 from Wastewater.

Please let me know if you have any questions or need additional information.

Jennifer Castellon
Utility Financial Analyst
Austin Water Utility
Attachment

Cc: Greg Meszaros, Director
David Anders, Assistant Director, Finance and Business Services
Alice Flora, Customer Service Division Manager
Teresa Lutes, P.E., Systems Planning Division Manager
Robert A. Butler, CPA, Utility Financial Manager



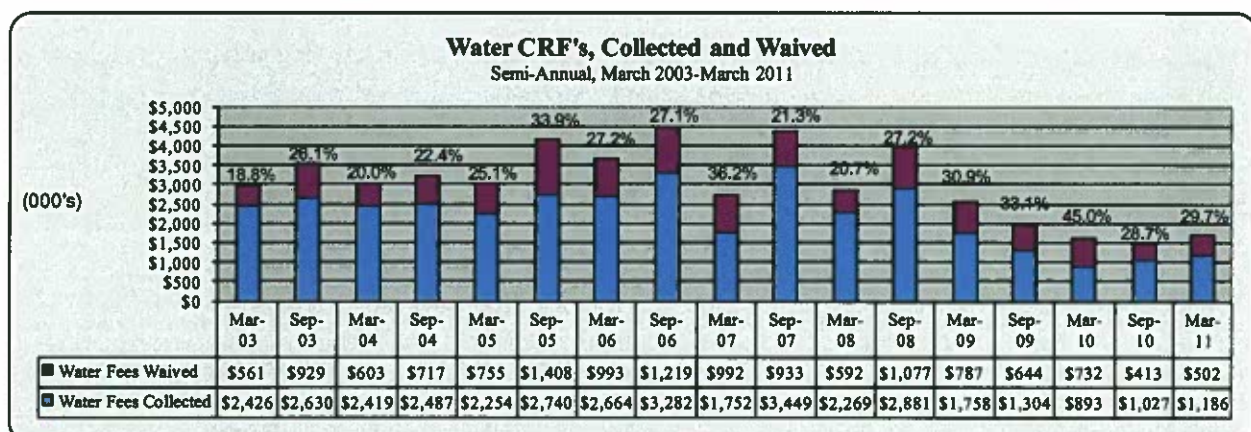
Austin Water Utility Capital Recovery Fee Financial Summary



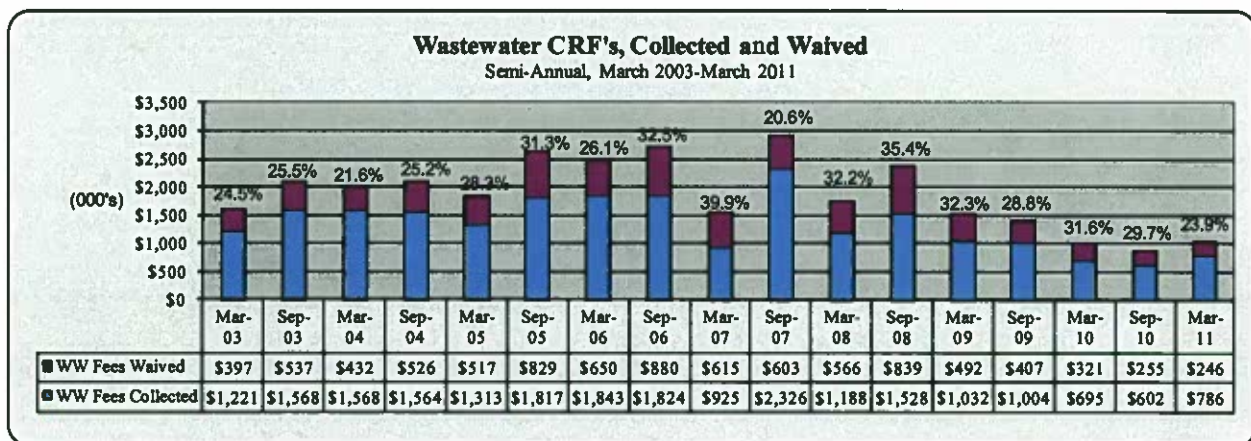
Semi-Annual Impact Fee Report

Period Ending March 31, 2011

Texas Local Government Code, Chapter 395, Section 395.058, requires that the Impact Fee Advisory Committee “file semiannual reports with respect to the progress of the capital improvements plan and report to the political subdivision any perceived inequities in implementing the plan or imposing the impact fee.” This report supports the committee by providing financial information about the collection of capital recovery fees and the value of fee waivers.

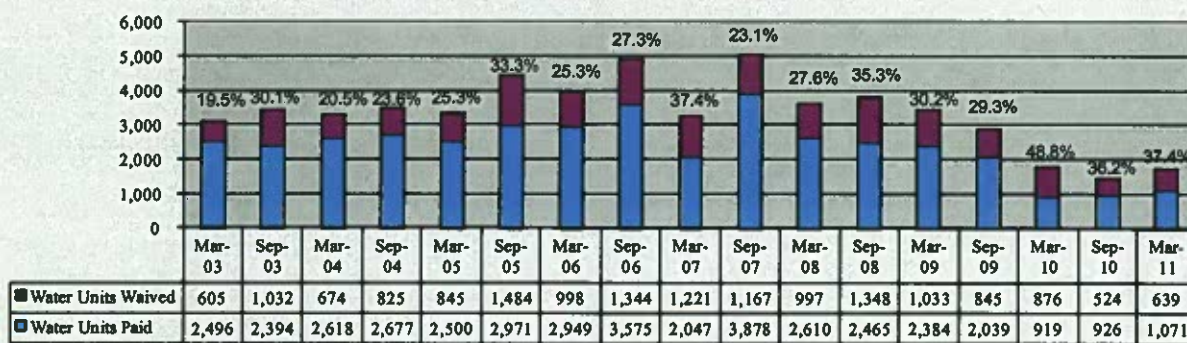


The chart above shows the breakdown of water capital recovery fee collections and water capital recovery fees waived or exempted in thousands of dollars for the last seventeen reporting periods. In the period ended March 31, 2011, the utility collected \$1.19 million and exempted or waived \$0.50 million according to AIMS. The waived capital recovery fees comprise 29.7% of the total capital recovery fees before waivers or exemptions.



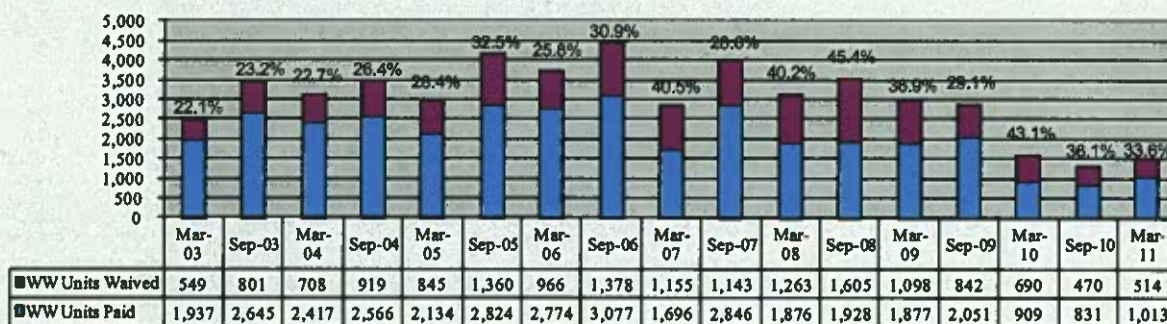
Similarly, the chart above shows the breakdown of wastewater capital recovery fee collections and wastewater capital recovery fees waived or exempted in thousands of dollars for the last seventeen reporting periods. In the period ended March 31, 2011, the utility collected \$0.79 million and exempted or waived \$0.25 million according to AIMS. The waived fees comprise 23.9% of the total fees before waivers or exemptions.

Water CRF Units, Paid and Waived Semi-Annual, March 2003-March 2011



During this period, water capital recovery fees were paid for 1,071 fee units, while 639 units qualified for waivers or exemptions. The chart above shows the breakdown of water fee units paid and fee units waived or exempted for seventeen reporting periods. A different method to calculate units was created in 2009 that gathers information from Amanda. In the most recent period, 37.4% of new units qualified for exemptions or waivers of the water capital recovery fee.

WW CRF Units, Paid and Waived Semi-Annual, March 2003-March 2011



Wastewater capital recovery fees were paid for 1,013 units, while 514 units qualified for waivers or exemptions. The chart above shows the breakdown of wastewater fee units paid and fee units waived or exempted for sixteen reporting periods. A different method to calculate units was created in 2009 that gathers information from Amanda. In the most recent period, 33.6% of new units qualified for exemptions or waivers of the wastewater capital recovery fee.

The attachments included with this report provide detail on the following:

1. Current semi-annual period and inception to date Capital Recovery Fee Collections.
2. Six month Capital Recovery Fee Collections by Zone.
3. Fiscal year Capital Recovery Fee Collections by Quarter and Zone.
4. Capital Recovery Fee Report by Fund, Inception through March 31, 2011.
5. Capital Recovery Fee Report by Class, Inception through March 31, 2011.
6. Semi-Annual Capital Recovery Fee Units for Wholesale Customers for Period Ended March 31, 2011.
7. Summary of Capital Recovery Fee Exemptions and Waivers for the Period Ended March 31, 2011.

City of Austin, Texas
Austin Water Utility
Impact Fee (Capital Recovery Fee) Collections
As of March 31, 2011

DESCRIPTION	WATER	WASTEWATER	TOTAL
CURRENT SEMI-ANNUAL PERIOD (October 1, 2010- March 31, 2011)			
Total Dollar Value of Taps & Connections	\$ 1,687,600	\$ 1,032,561	\$ 2,720,162
CRF Exemptions, Waivers and Refunds	(501,594)	(246,356)	\$ (747,950)
Net CRF Collected	<u>\$ 1,186,006</u>	<u>\$ 786,205</u>	<u>\$ 1,972,211</u>
Interest Earnings	4,295	2,128	6,423
Total CRF Collected	<u>\$ 1,190,301</u>	<u>\$ 788,333</u>	<u>\$ 1,978,635</u>

TOTAL IMPACT FEES (CAPITAL RECOVERY FEES) COLLECTED BY THE CITY OF AUSTIN SINCE THE ADOPTION OF THE CURRENT IMPACT FEE (CRF) ORDINANCE FROM JUNE 20, 1990, THROUGH SEPTEMBER 30, 2010, ARE SHOWN BELOW:

INCEPTION TO DATE (June 20, 1990 - March 31, 2011)

Net CRF Collected	\$ 93,459,401	\$ 56,941,618	\$ 150,401,019
Interest Earnings	4,265,076	2,718,306	6,983,381
Total CRF Collected	<u>\$ 97,724,477</u>	<u>\$ 59,659,924</u>	<u>\$ 157,384,401</u>
Used for Debt Defeasance	(35,418,846)	(19,899,018)	(55,317,864)
Used for CIP *	(35,506,672)	(18,995,655)	(54,502,327)
Used for Debt Service**	(27,301,328)	(21,284,345)	(48,585,673)
Other Adjustments***	742,635	671,942	1,414,577
CRF CASH BALANCES	<u>240,266</u>	<u>\$ 152,848</u>	<u>\$ 393,114</u>

* The Utility had restricted the use of capital recovery fees collected for defeasance of outstanding revenue bonds until FY 2001-02 when the Utility's policy was changed to allow CRFs to be used to fund certain major plant capacity improvements.

** In FY2006 Management decided to use CRF collections to pay debt service.

*** Includes Transfers for Fairway Ridge, Circle C, refunds and other miscellaneous adjustments.

APPLICATION OF CRF FUNDS FOR DEBT DEFEASANCE

The following schedule reflects the application of crfs collected since inception toward the defeasance of revenue bonds.

FY 1991-92	\$ (1,543,846)	\$ (451,428)	\$ (1,995,274)
FY 1992-93	(5,000,000)	(3,000,000)	(8,000,000)
FY 1993-94	(3,800,000)	(2,200,000)	(6,000,000)
FY 1994-95	(3,500,000)	(2,000,000)	(5,500,000)
FY 1995-96	(4,500,000)	(2,500,000)	(7,000,000)
FY 1996-97	0	0	0
FY 1997-98	(4,075,000)	(5,747,590)	(9,822,590)
FY 1998-99	(13,000,000)	(4,000,000)	(17,000,000)
FY 1999-2000	0	0	0
FY 2000-01	0	0	0
TOTAL	<u>\$ (35,418,846)</u>	<u>\$ (19,899,018)</u>	<u>\$ (55,317,864)</u>

APPLICATION OF CRF FUNDS FOR CAPITAL PROJECTS

FY 2001-2002	(18,000,000)	(11,300,000)	(29,300,000)
FY 2002-2003	(5,004,000)	(4,870,000)	(9,874,000)
FY 2003-2004	(5,944,000)	(3,750,000)	(9,694,000)
FY 2004-2005	(6,080,000)	(2,680,000)	(8,760,000)
FY 2005-2006	(5,400,000)	(2,860,000)	(8,260,000)
FY 2009-2010	4,921,328	6,464,345	11,385,673
FY 2010-2011	0	0	0
TOTAL	<u>(35,506,672)</u>	<u>(18,995,655)</u>	<u>(54,502,327)</u>

APPLICATION OF CRF FUNDS FOR DEBT SERVICE

FY 2006-2007 (Debt Service)	(5,470,000)	(2,910,000)	(8,380,000)
FY 2007-2008 (Debt Service)	(6,110,000)	(4,860,000)	(10,970,000)
FY 2008-2009 (Debt Service)	(5,000,000)	(3,550,000)	(8,550,000)
FY 2009-2010 (Debt Service)	(8,921,328)	(8,964,345)	(17,885,673)
FY 2009-2010 (Debt Service)	(1,800,000)	(1,000,000)	(2,800,000)
TOTAL	<u>(427,301,328)</u>	<u>(21,284,345)</u>	<u>(48,585,673)</u>

City of Austin, Texas
Austin Water Utility
Six-month Capital Recovery Fee Collections
As of March 31, 2011

DESCRIPTION	1st Qtr (FY) Oct 10-Dec 10	2nd Qtr (FY) Jan 11-Mar 11	6 month Total	6 month Fee Units
WATER IMPACT FEE				
Wholesale Customers	\$ 38,450	\$ 5,200	\$ 43,650	36
DWPZ ETJ	26,350	7,650	34,000	18
DWPZ Inside	101,800	250,700	352,500	199
DDZ ETJ	206,050	10,900	216,950	167
DDZ Inside	145,200	205,650	350,850	417
Urban	68,025	104,031	172,056	214
CURE	2,500	13,500	16,000	22
NET COLLECTIONS	\$ 588,375	\$ 597,631	\$ 1,186,006	
Interest Earnings	1,904	2,391	4,295	
TOTAL WATER	\$ 590,279	\$ 600,022	\$ 1,190,301	1,071 *
WASTEWATER IMPACT FEE				
Wholesale Customers	\$ 60,900	\$ 94,200	\$ 155,100	145
DWPZ ETJ	10,400	4,550	14,950	11
DWPZ Inside	58,800	162,000	220,800	174
DDZ ETJ	112,800	8,000	120,800	151
DDZ Inside	68,600	114,400	183,000	383
Urban	35,925	52,269	88,194	149
CURE	1,561	1,800	3,361	1
NET COLLECTIONS	\$ 348,986	\$ 437,219	\$ 786,205	
Interest Earnings	891	1,237	2,128	
TOTAL WASTEWATER	\$ 349,878	\$ 438,456	\$ 788,333	1,013 *
TOTAL COMBINED COLLECTIONS	\$ 940,157	\$ 1,038,478	\$ 1,978,635	

* Number of units was obtained from Amanda.

City of Austin, Texas
Austin Water Utility
Capital Recovery Fee Collections
As of March 31, 2011

DESCRIPTION	1st Qtr(FY) Oct. '09-Dec. '09	2nd Qtr(FY) Jan '10-Mar.'10	3rd Qtr(FY) Apr. '10- June'10	4th Qtr (FY) July '10-Sept '10	YTD Total Oct '09-Sept '10	YTD Fee Units
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WATER IMPACT FEE

Wholesale Customers	\$	38,450	\$	5,200	\$	0	\$	0	\$	43,650	36
DWPZ ETJ		26,350		7,650		0		0		34,000	18
DWPZ Inside		101,800		250,700		0		0		352,500	199
DDZ ETJ		206,050		10,900		0		0		216,950	167
DDZ Inside		145,200		205,650		0		0		350,850	417
Urban		68,025		104,031		0		0		172,056	214
CURE		2,500		13,500		0		0		16,000	22
NET COLLECTIONS	\$	588,375	\$	597,631	\$	0	\$	0	\$	1,186,006	
Interest Earnings		1,904		2,391		0		0		4,295	
TOTAL WATER	\$	590,279	\$	600,022	\$	0	\$	0	\$	1,190,301	1,071

WASTEWATER IMPACT FEE

Wholesale Customers	\$	60,900	\$	94,200	\$	-	\$	-	\$	155,100	145
DWPZ ETJ		10,400		4,550		0		0		14,950	11
DWPZ Inside		58,800		162,000		0		0		220,800	174
DDZ ETJ		112,800		8,000		0		0		120,800	151
DDZ Inside		68,600		114,400		0		0		183,000	383
Urban		35,925		52,269		0		0		88,194	149
CURE		1,561		1,800		0		0		3,361	1
NET COLLECTIONS	\$	348,986	\$	437,219	\$	0	\$	0	\$	786,205	
Interest Earnings		891		1,237		0		0		2,128	
TOTAL WASTEWATER	\$	349,878	\$	438,456	\$	0	\$	0	\$	788,333	1,013
TOTAL COMBINED COLLECTIONS	\$	940,157	\$	1,038,478	\$	0	\$	0	\$	1,978,635	

* Number of units was obtained from Amanda.

City of Austin, Texas
Austin Water Utility
Semi-Annual Impact Fee Report By Fund
Inception through March 31, 2011

DESCRIPTION	FY 1998-99	FY 1999-00	FY 2000-01	FY 2001-02	FY 2002-03	FY 2003-04	FY 2004-05	FY 2005-06	FY 2006-07	FY 2007-08	FY 2008-09	FY 2009-10	FY 2010-11	ITD TOTAL
WATER IMPACT FEE														
Inside City Limits	\$ 13,452,503	\$ 3,724,253	\$ 4,682,115	\$ 5,258,787	\$ 5,380,530	\$ 4,163,074	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 36,854,562
Outside City Limits	\$ 3,911,846	\$ 745,450	\$ 1,011,596	\$ 1,370,143	\$ 2,082,853	\$ 1,869,008	\$ 724,508	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 9,080,586
Wholesale Customers	\$ 2,129,401	\$ 428,946	\$ 281,203	\$ 287,347	\$ 292,417	\$ 116,068	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 3,452,004
DWPF ETL	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 187,860	\$ 108,500	\$ 276,400	\$ 1,067,244	\$ 613,550	\$ 148,550	\$ 42,650	\$ 10,799,877
DWPF ETL	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 1,386,219	\$ 1,782,300	\$ 1,402,901	\$ 1,402,901	\$ 1,402,901	\$ 1,402,901	\$ 1,402,901	\$ 10,799,877
DDZ ETL	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 1,041,500	\$ 1,041,500	\$ 1,041,500	\$ 1,041,500	\$ 1,041,500	\$ 1,041,500	\$ 1,041,500	\$ 8,491,000
DDZ ETL	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 1,290,787	\$ 1,290,787	\$ 1,290,787	\$ 1,290,787	\$ 1,290,787	\$ 1,290,787	\$ 1,290,787	\$ 10,491,000
DDZ ETL	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 376,329	\$ 376,329	\$ 376,329	\$ 376,329	\$ 376,329	\$ 376,329	\$ 376,329	\$ 3,011,000
Urban	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 436,720	\$ 436,720	\$ 436,720	\$ 436,720	\$ 436,720	\$ 436,720	\$ 436,720	\$ 3,574,000
CURE	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 10,250	\$ 10,250	\$ 10,250	\$ 10,250	\$ 10,250	\$ 10,250	\$ 10,250	\$ 83,000
Total Net Collections	\$ 18,453,749	\$ 4,896,649	\$ 6,154,914	\$ 6,884,387	\$ 7,718,780	\$ 6,043,138	\$ 1,870,780	\$ 3,603,525	\$ 5,261,498	\$ 6,154,081	\$ 3,603,525	\$ 1,818,018	\$ 1,148,008	\$ 63,458,401
Interest Earnings	\$ 798,466	\$ 249,110	\$ 554,825	\$ 687,027	\$ 411,719	\$ 788,403	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 3,889,440
Water Total	\$ 20,274,006	\$ 5,146,759	\$ 6,709,740	\$ 7,571,414	\$ 8,130,499	\$ 6,831,541	\$ 1,870,780	\$ 3,603,525	\$ 5,261,498	\$ 6,154,081	\$ 3,603,525	\$ 1,818,018	\$ 1,148,008	\$ 67,347,841
Cumulative Balance	\$ 6,430,359	\$ 7,077,118	\$ 13,798,887	\$ 17,274,081	\$ 24,388,305	\$ 31,220,202	\$ 32,090,982	\$ 33,894,507	\$ 35,156,005	\$ 36,310,086	\$ 37,464,611	\$ 38,618,629	\$ 39,772,647	\$ 40,926,665
ADD: Transfers from CRF Funded Grant Funds & Other Adjustments														
Less: Revenue Bond Deleverage	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Less: Transfers to CRP	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Less: Transfers to Debt Service	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Balance After TFRS & Deleverage	\$ 1,530,359	\$ 7,077,118	\$ 13,798,887	\$ 17,274,081	\$ 24,388,305	\$ 31,220,202	\$ 32,090,982	\$ 33,894,507	\$ 35,156,005	\$ 36,310,086	\$ 37,464,611	\$ 38,618,629	\$ 39,772,647	\$ 40,926,665
WATER IMPACT FEE														
Inside City Limits	\$ 7,263,851	\$ 2,025,544	\$ 2,610,871	\$ 3,118,011	\$ 3,243,892	\$ 2,322,822	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 20,584,081
Outside City Limits	\$ 627,103	\$ 141,252	\$ 194,283	\$ 247,740	\$ 355,730	\$ 310,785	\$ 128,750	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 1,619,775
Wholesale Customers	\$ 2,073,977	\$ 479,357	\$ 318,008	\$ 326,000	\$ 330,000	\$ 196,154	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 3,500,504
DWPF ETL	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 187,860	\$ 108,500	\$ 276,400	\$ 1,067,244	\$ 613,550	\$ 148,550	\$ 42,650	\$ 10,799,877
DWPF ETL	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 1,386,219	\$ 1,782,300	\$ 1,402,901	\$ 1,402,901	\$ 1,402,901	\$ 1,402,901	\$ 1,402,901	\$ 10,799,877
DDZ ETL	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 1,041,500	\$ 1,041,500	\$ 1,041,500	\$ 1,041,500	\$ 1,041,500	\$ 1,041,500	\$ 1,041,500	\$ 8,491,000
DDZ ETL	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 1,290,787	\$ 1,290,787	\$ 1,290,787	\$ 1,290,787	\$ 1,290,787	\$ 1,290,787	\$ 1,290,787	\$ 10,491,000
DDZ ETL	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 376,329	\$ 376,329	\$ 376,329	\$ 376,329	\$ 376,329	\$ 376,329	\$ 376,329	\$ 3,011,000
Urban	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 436,720	\$ 436,720	\$ 436,720	\$ 436,720	\$ 436,720	\$ 436,720	\$ 436,720	\$ 3,574,000
CURE	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 10,250	\$ 10,250	\$ 10,250	\$ 10,250	\$ 10,250	\$ 10,250	\$ 10,250	\$ 83,000
Total Net Collections	\$ 11,261,790	\$ 2,826,825	\$ 3,320,968	\$ 3,912,868	\$ 4,067,998	\$ 3,163,980	\$ 1,218,500	\$ 2,418,524	\$ 3,260,887	\$ 2,718,987	\$ 2,008,221	\$ 1,287,148	\$ 798,285	\$ 56,941,616
Interest Earnings	\$ 497,724	\$ 178,315	\$ 348,252	\$ 237,518	\$ 287,273	\$ 510,270	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 2,118,305
Water Total	\$ 11,759,514	\$ 3,005,140	\$ 3,669,220	\$ 4,150,386	\$ 4,355,271	\$ 3,674,250	\$ 1,218,500	\$ 2,418,524	\$ 3,260,887	\$ 2,718,987	\$ 2,008,221	\$ 1,287,148	\$ 798,285	\$ 59,059,921
Cumulative Balance	\$ 4,178,088	\$ 4,777,026	\$ 8,447,287	\$ 12,604,673	\$ 16,960,000	\$ 20,634,250	\$ 21,852,750	\$ 23,271,274	\$ 24,532,161	\$ 25,751,148	\$ 26,969,369	\$ 28,187,589	\$ 29,405,809	\$ 30,624,029
ADD: Transfers from CRF Funded Grant Funds & Other Adjustments														
Less: Revenue Bond Deleverage	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Less: Transfers to CRP	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Less: Transfers to Debt Service	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Balance After TFRS & Deleverage	\$ 1,678,088	\$ 4,777,026	\$ 8,447,287	\$ 12,604,673	\$ 16,960,000	\$ 20,634,250	\$ 21,852,750	\$ 23,271,274	\$ 24,532,161	\$ 25,751,148	\$ 26,969,369	\$ 28,187,589	\$ 29,405,809	\$ 30,624,029
CRF IMPACT FEE														
Inside City Limits	\$ 35,103,719	\$ 6,345,888	\$ 13,280,719	\$ 11,813,277	\$ 13,082,184	\$ 14,440,282	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 187,384,401
Outside City Limits	\$ 11,854,144	\$ 2,254,164	\$ 2,254,164	\$ 2,254,164	\$ 2,254,164	\$ 2,254,164	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 187,384,401
Wholesale Customers	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
DWPF ETL	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 187,860	\$ 108,500	\$ 276,400	\$ 1,067,244	\$ 613,550	\$ 148,550	\$ 42,650	\$ 10,799,877
DWPF ETL	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 1,386,219	\$ 1,782,300	\$ 1,402,901	\$ 1,402,901	\$ 1,402,901	\$ 1,402,901	\$ 1,402,901	\$ 10,799,877
DDZ ETL	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 1,041,500	\$ 1,041,500	\$ 1,041,500	\$ 1,041,500	\$ 1,041,500	\$ 1,041,500	\$ 1,041,500	\$ 8,491,000
DDZ ETL	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 1,290,787	\$ 1,290,787	\$ 1,290,787	\$ 1,290,787	\$ 1,290,787	\$ 1,290,787	\$ 1,290,787	\$ 10,491,000
DDZ ETL	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 376,329	\$ 376,329	\$ 376,329	\$ 376,329	\$ 376,329	\$ 376,329	\$ 376,329	\$ 3,011,000
Urban	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 436,720	\$ 436,720	\$ 436,720	\$ 436,720	\$ 436,720	\$ 436,720	\$ 436,720	\$ 3,574,000
CURE	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 10,250	\$ 10,250	\$ 10,250	\$ 10,250	\$ 10,250	\$ 10,250	\$ 10,250	\$ 83,000
Total Net Collections	\$ 46,957,863	\$ 8,600,052	\$ 15,535,483	\$ 14,067,441	\$ 15,336,348	\$ 16,694,446	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 187,384,401
Interest Earnings	\$ 2,498,466	\$ 749,110	\$ 1,654,825	\$ 1,371,414	\$ 1,511,719	\$ 1,788,403	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 8,069,840
Water Total	\$ 49,456,329	\$ 9,349,162	\$ 17,190,308	\$ 15,438,855	\$ 16,848,067	\$ 18,482,849	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 195,454,241
Cumulative Balance	\$ 2,498,466	\$ 11,654,144	\$ 22,254,164	\$ 33,508,328	\$ 44,820,492	\$ 56,132,656	\$ 56,132,656	\$ 56,132,656	\$ 56,132,656	\$ 56,132,656	\$ 56,132,656	\$ 56,132,656	\$ 56,132,656	\$ 56,132,656
ADD: Transfers from CRF Funded Grant Funds & Other Adjustments														
Less: Revenue Bond Deleverage	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Less: Transfers to CRP	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Less: Transfers to Debt Service	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Balance After TFRS & Deleverage	\$ 2,498,466	\$ 11,654,144	\$ 22,254,164	\$ 33,508,328	\$ 44,820,492	\$ 56,132,656	\$ 56,132,656	\$ 56,132,656	\$ 56,132,656	\$ 56,132,656	\$ 56,132,656	\$ 56,132,656	\$ 56,132,656	\$ 56,132,656

City of Austin

TOTAL	\$ 3,808,446	\$ 8,245,000	\$ 887,420	\$ (5,188,723)	\$ 13,840,793	\$ 10,871,616	\$ (18,000,200)	\$ (1,885,796)	\$ (1,588,006)	\$ (616,723)	\$ 362,850	\$ 1,552,008	\$ (2,872,088)	\$ (2,316,787)	\$ (3,287,000)	\$ (822,865)	\$ 383,114
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City of Austin, Texas
Austin Water Utility
Semi-Annual Capital Recovery Fee Units for Wholesale Customers
As of March 31, 2011

MONTH	CASH REPORT REF. #	DATE	WHOLESALE CUSTOMER	CRF ORG	FEE UNITS WATER	FEE UNITS WWATER
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1st QTR FY 2010-11

Oct-10	CR1010150118	10/14/10	Northtown	9915	8.0	8.0
Nov-10	CR1011050294	11/02/10	Northtown MUD	9915	3.0	3.0
	CR1011230396	11/19/10	WCID #17	9950		8.5
Dec-10	CR1012280630	12/20/10	WCID #17	9950		5.5
	CR1012090520	12/01/10	Northtown MUD	9915	18.0	11.0
	CR1012080510	12/07/10	Windermere	9952	2.5	
1st Quarter Total					<u>31.5</u>	<u>36.0</u>

2nd QTR FY 2010-11

Jan-11	CR1101260862	01/21/11	WCID #17	9950		16.5
	CR1101110762	01/07/11	Northtown MUD	9915	3.0	3.0
	CR1102030930	01/28/11	City of West Lake Hills	9951		9.5
Feb-11	CR1103021131	02/28/11	Northtown MUD	9915	1.0	1.0
	CR1102281098	02/22/11	WCID #17	9950		24.5
Mar-11	CR1103311369	03/29/11	City of Manor	9954		40.0
	CR1103181270	03/16/11	WCID #17	9950		14.5
2nd Quarter Total					<u>4.0</u>	<u>109.0</u>
Six Month Total					35.5	145.0
Previous Six Month Total						

City of Austin, Texas
Austin Water Utility
Summary of Capital Recovery Fee Exemptions and Waivers
Per Taps Office Records for the Period October 1, 2010, to March 31, 2011

Type of Waiver/Exemption	Water \$	Wastewater \$	Total \$	Water Units	WW Units
1. City Ordinance 042000-77 Affordable Housing 1,500 fee units/year	\$ 150,994	\$ 90,944	\$ 241,938	210.5	210.0
2. LDC Section 25-9-341(E) 2nd Meter for Single Family Residence (Old REF# 13-3A-10(d))	-	-	-		
3. LDC Section Tap for fire protection only (Old REF # 13-3A-10(a))	-	-	-		
4. LDC Section 25-9-341 Reconnect, Abandon Previous Tap (Old REF# 13-3A-10(f))	89,700	48,800	138,500	182.0	143.5
5. LDC Section 25-9-345(B) Annexed property - 2 year waiver (Old REF# 13-3A-10(h))	-	5,600	5,600	0.0	14.0
6. LDC Section 25-9-341(B) State of Texas (Old REF# 13-3A-10(i))	36,750	-	36,750	58.0	
7. LDC Section 25-9-341(C) C.D.B.G. Funding (Old REF# 13-3A-10(j))	-	-	-		
8. LDC Section 25-9-324 Second Sewer Tap (Old REF# 13-3A-8(b)(1))	-	4,800	4,800		12.0
9. LDC Section 25-9-353 Previously paid CRF, Tap expired (Old REF# 13-3A-8(g))	56,050	18,700	74,750	93.0	60.0
10. LDC Section 25-9-326 Installment payment of impact fees (Old REF# 13-3A-9(d))	-	-	-		
11. City Ordinance 980122-C 1997 Annexation- 10 year waiver	-	800	800		2.0
12. EX-0002 Already paying for WW service and no service until they come in for a TAP	-	-	-		
13. EX-0003 LUE Assignment (2)	-	-	-		
14. EX-0004 Council Approved exemptions (3)	78,950	42,400	121,350	50.5	41.0
15. EX-0005 Stratus Properties (4)	89,150	34,313	123,463	44.5	31.0
16. EX-0006 WW Construction Projects	-	-	-		
17. EX-0007 Combo Stratus/Smart Housing (5)	-	-	-		0.0
18. EX-0009 Senate Bill 883 School Waivers	-	-	-	0	0
19. 13-3A-6(c) Master Meter Exemption	-	-	-		
20. 13-3A-10(M) Water Well Annexation	-	-	-		
TOTALS	\$ 501,594	\$ 246,356	\$ 747,950	638.5	513.5

City of Austin, Texas
Austin Water Utility

Notes to Summary of Capital Recovery Fee Exemptions and Waivers:

- (1) LDC = The City of Austin Land Development Code.
- (2) LUE Assignment = Developer has entered into an agreement with the City to receive LUE credits because of funds expended by the developer to provide water and wastewater services. An example of this is The Forest Section 1 & 2. In this case, each time the developer sells a lot to a builder, the builder is eligible to receive CRF credits.
- (3) Includes various council approvals for exemptions including smart growth and the Bradley agreement from 2000 that states the City will agree to waive all water and wastewater CRFs and impact fees for all single family residential development project on the Original Edwards' Easement land and all non retail commercial development buildings containing less than 50,000 square ft of gross floor area. Any other development on the land including the development of a hotel and/or the Golf Course will be subject to all fees. Includes a waiver for the six party agreement for the Northridge water supply corporation project.
- (4) Circle C Land Development Corp DBA Stratus Properties....\$15,000,000. 1000+ acres development in SW Austin but up to \$1.5 million per year can be used for both CRF and tap fees in DDZ.
- (5) Stratus properties that are exempt from tap fees and CRFs for Smart Housing

In July 2003 KB Homes used \$174,900 In Stratus Credits to adjust their Smart Housing goals rather than pay CRFs for previously exempted properties.