



MEMORANDUM

TO: Randy Alexis, Principal Planner
Brian Long, Supervising Engineer
Austin Water Utility

FROM: Jennifer Castellon, Utility Financial Analyst
Austin Water Utility

DATE: November 15, 2011

SUBJECT: Semi-Annual Impact Fee Report as of September 30, 2011

Attached for your information is the Semi-Annual Impact Fee Report of Collections as of September 30, 2011. The report is based on financial information reported in the City's accounting system. Fees collected during this period are improving from their lowest level over the past two years.

In summary, combined utility capital recovery fees collected plus interest during the six month period totaled \$2,849,455. Of this total, \$1,680,182 was for water and \$1,169,273 was for wastewater. Total capital recovery fees collected plus interest since the adoption of the current ordinance on June 20, 1990, through September 30, 2011, totaled \$99,404,659 for water and \$60,829,197 for wastewater, for a combined utility total of \$160,233,855.

As of September 30, 2011, the cash balances in the water and wastewater CRF funds were \$720,448 and \$522,121, respectively, for a combined total of \$1,242,569. The balances reflect the total transfer of \$4,800,000 from the CRF funds to the operating funds and from there to the Debt Service Fund for the year. In March 2011, \$1,800,000 from Water and \$1,000,000 from Wastewater was transferred. Then during August of 2011 another \$1,200,000 from Water and \$800,000 from Wastewater was transferred to the Debt Service Fund.

We revised some of the classifications of waived service units due to updated information.

Please let me know if you have any questions or need additional information.

Jennifer Castellon

Jennifer Castellon
Utility Financial Analyst
Austin Water Utility
Attachment

Cc: Greg Meszaros, Director
David Anders, Assistant Director, Finance and Business Services
Alice Flora, Customer Service Division Manager
Teresa Lutes, P.E., Systems Planning Division Manager
Mike Castillo, Financial Management Division Manager
Robert A. Butler, CPA, Utility Financial Manager



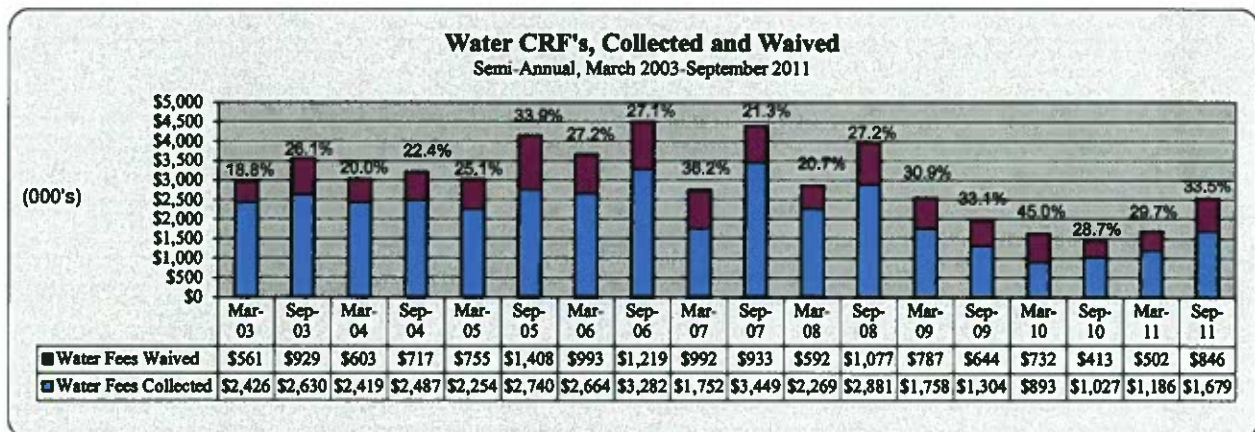
Austin Water Utility Capital Recovery Fee Financial Summary



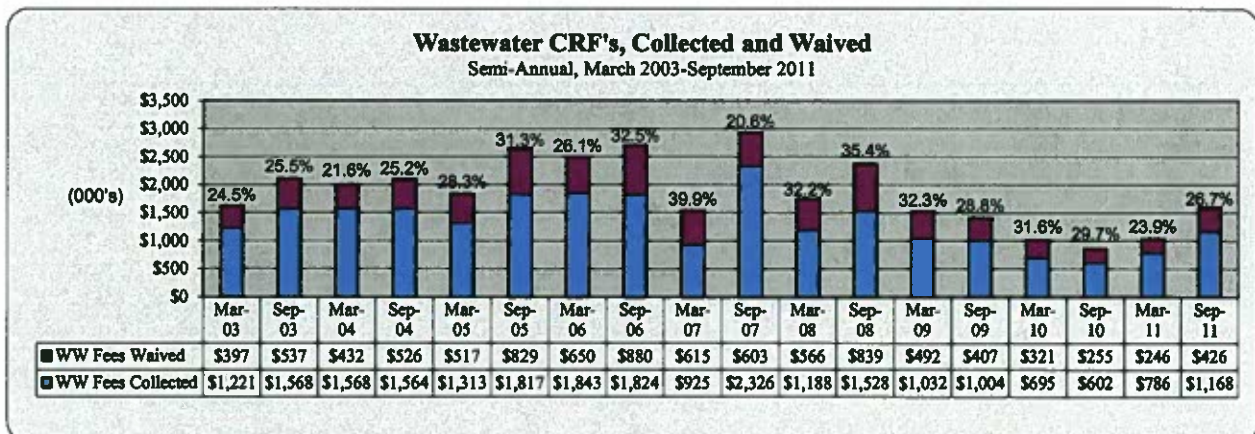
Semi-Annual Impact Fee Report

Period Ending September 30, 2011

Texas Local Government Code, Chapter 395, Section 395.058, requires that the Impact Fee Advisory Committee “file semiannual reports with respect to the progress of the capital improvements plan and report to the political subdivision any perceived inequities in implementing the plan or imposing the impact fee.” This report supports the committee by providing financial information about the collection of capital recovery fees and the value of fee waivers.

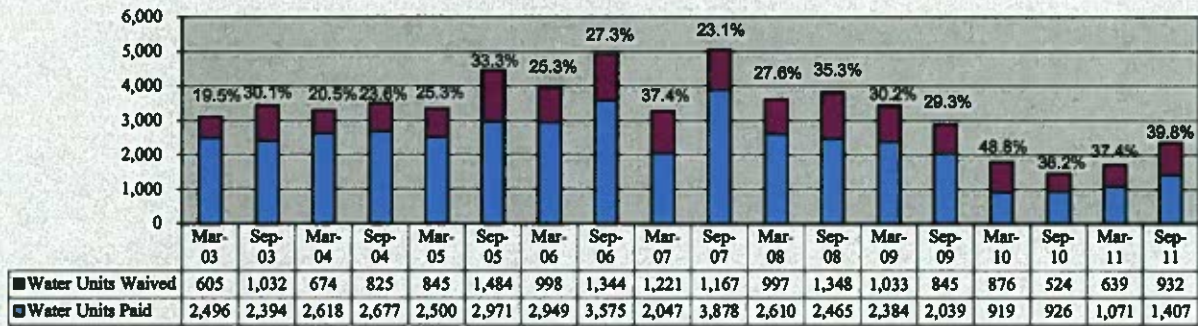


The chart above shows the breakdown of water capital recovery fee collections and water capital recovery fees waived or exempted in thousands of dollars for the last eighteen reporting periods. In the period ended September 30, 2011, the utility collected \$1.68 million and exempted or waived \$0.85 million according to AIMS. The waived capital recovery fees comprise 33.5% of the total capital recovery fees before waivers or exemptions.



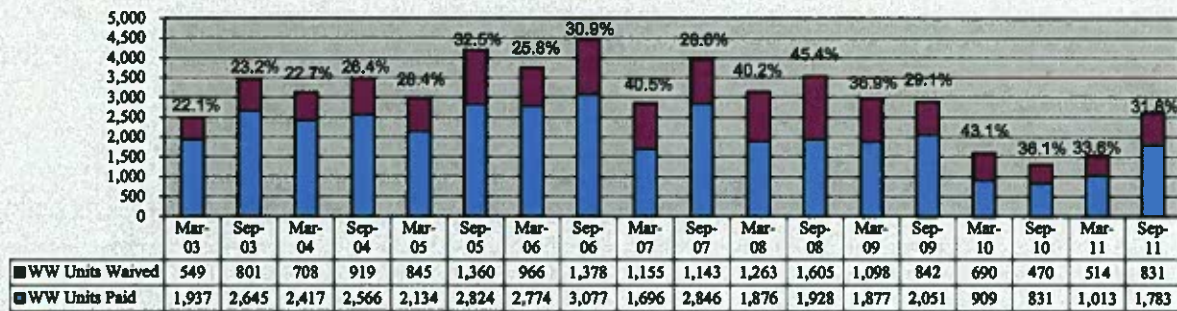
Similarly, the chart above shows the breakdown of wastewater capital recovery fee collections and wastewater capital recovery fees waived or exempted in thousands of dollars for the last eighteen reporting periods. In the period ended September 30, 2011, the utility collected \$1.17 million and exempted or waived \$0.43 million according to AIMS. The waived fees comprise 26.7% of the total fees before waivers or exemptions.

Water CRF Units, Paid and Waived Semi-Annual, March 2003-September 2011



During this period, water capital recovery fees were paid for 1,407 fee units, while 932 units qualified for waivers or exemptions. The chart above shows the breakdown of water fee units paid and fee units waived or exempted for eighteen reporting periods. A different method to calculate units was created in 2009 that gathers information from Amanda. In the most recent period, 39.8 % of new units qualified for exemptions or waivers of the water capital recovery fee.

WW CRF Units, Paid and Waived Semi-Annual, March 2003-September 2011



Wastewater capital recovery fees were paid for 1,783 units, while 831 units qualified for waivers or exemptions. The chart above shows the breakdown of wastewater fee units paid and fee units waived or exempted for eighteen reporting periods. A different method to calculate units was created in 2009 that gathers information from Amanda. In the most recent period, 31.8% of new units qualified for exemptions or waivers of the wastewater capital recovery fee.

The attachments included with this report provide detail on the following:

1. Current semi-annual period and inception to date Capital Recovery Fee Collections.
2. Six month Capital Recovery Fee Collections by Zone.
3. Fiscal year Capital Recovery Fee Collections by Quarter and Zone.
4. Capital Recovery Fee Report by Fund, Inception through September 30, 2011.
5. Capital Recovery Fee Report by Class, Inception through September 30, 2011.
6. Semi-Annual Capital Recovery Fee Units for Wholesale Customers for Period Ended September 30, 2011.
7. Summary of Capital Recovery Fee Exemptions and Waivers for the Period Ended September 30, 2011.

City of Austin, Texas
Austin Water Utility
Impact Fee (Capital Recovery Fee) Collections
As of September 30, 2011

DESCRIPTION	WATER	WASTEWATER	TOTAL
CURRENT SEMI-ANNUAL PERIOD (October 1, 2010- September 30, 2011)			
Total Dollar Value of Taps & Connections	\$ 2,524,900	\$ 1,594,520	\$ 4,119,420
CRF Exemptions, Waivers and Refunds	(845,725)	(426,043)	\$ (1,271,768)
Net CRF Collected	\$ 1,679,175	\$ 1,168,477	\$ 2,847,652
Interest Earnings	1,007	796	1,802
Total CRF Collected	\$ 1,680,182	\$ 1,169,273	\$ 2,849,455

TOTAL IMPACT FEES (CAPITAL RECOVERY FEES) COLLECTED BY THE CITY OF AUSTIN SINCE THE ADOPTION OF THE CURRENT IMPACT FEE (CRF) ORDINANCE FROM JUNE 20, 1990, THROUGH SEPTEMBER 30, 2011, ARE SHOWN BELOW:

INCEPTION TO DATE (June 20, 1990 - September 30, 2011)

Net CRF Collected	\$ 95,138,576	\$ 58,110,096	\$ 153,248,672
Interest Earnings	4,266,082	2,719,101	6,985,184
Total CRF Collected	\$ 99,404,659	\$ 60,829,197	\$ 160,233,855
Used for Debt Defeasance	(35,418,846)	(19,899,018)	(55,317,864)
Used for CIP *	(35,506,672)	(18,995,655)	(54,502,327)
Used for Debt Service**	(28,501,328)	(22,084,345)	(50,585,673)
Other Adjustments***	742,635	671,942	1,414,577
CRF CASH BALANCES	720,448	522,121	\$ 1,242,569

* The Utility had restricted the use of capital recovery fees collected for defeasance of outstanding revenue bonds until FY 2001-02 when the Utility's policy was changed to allow CRFs to be used to fund certain major plant capacity improvements.

** In FY2006 Management decided to use CRF collections to pay debt service.

*** Includes Transfers for Fairway Ridge, Circle C, refunds and other miscellaneous adjustments.

APPLICATION OF CRF FUNDS FOR DEBT DEFEASANCE

The following schedule reflects the application of crfs collected since inception toward the defeasance of revenue bonds.

FY 1991-92	\$ (1,543,846)	\$ (451,428)	\$ (1,995,274)
FY 1992-93	(5,000,000)	(3,000,000)	(8,000,000)
FY 1993-94	(3,800,000)	(2,200,000)	(6,000,000)
FY 1994-95	(3,500,000)	(2,000,000)	(5,500,000)
FY 1995-96	(4,500,000)	(2,500,000)	(7,000,000)
FY 1996-97	0	0	0
FY 1997-98	(4,075,000)	(5,747,590)	(9,822,590)
FY 1998-99	(13,000,000)	(4,000,000)	(17,000,000)
FY 1999-2000	0	0	0
FY 2000-01	0	0	0
TOTAL	\$ (35,418,846)	\$ (19,899,018)	\$ (55,317,864)

APPLICATION OF CRF FUNDS FOR CAPITAL PROJECTS

FY 2001-2002	(18,000,000)	(11,300,000)	(29,300,000)
FY 2002-2003	(5,004,000)	(4,870,000)	(9,874,000)
FY 2003-2004	(5,944,000)	(3,750,000)	(9,694,000)
FY 2004-2005	(6,080,000)	(2,680,000)	(8,760,000)
FY 2005-2006	(5,400,000)	(2,860,000)	(8,260,000)
FY 2009-2010	4,921,328	6,464,345	11,385,673
FY 2010-2011	0	0	0
TOTAL	(35,506,672)	(18,995,655)	(54,502,327)

APPLICATION OF CRF FUNDS FOR DEBT SERVICE

FY 2006-2007 (Debt Service)	(5,470,000)	(2,910,000)	(8,380,000)
FY 2007-2008 (Debt Service)	(6,110,000)	(4,860,000)	(10,970,000)
FY 2008-2009 (Debt Service)	(5,000,000)	(3,550,000)	(8,550,000)
FY 2009-2010 (Debt Service)	(8,921,328)	(8,964,345)	(17,885,673)
FY 2010-2011 (Debt Service)	(3,000,000)	(1,800,000)	(4,800,000)
TOTAL	(428,501,328)	(22,084,345)	(50,585,673)

**City of Austin, Texas
Austin Water Utility
Six-month Capital Recovery Fee Collections
As of September 30, 2011**

DESCRIPTION	3rd Qtr (FY) Apr 11-Jun 11	4th Qtr (FY) Jul 11-Sep 11	6 month Total	6 month Fee Units
WATER IMPACT FEE				
Wholesale Customers	\$ 26,400	\$ 184,300	\$ 210,700	155
DWPZ ETJ	15,300	6,800	22,100	11
DWPZ Inside	369,200	192,550	561,750	315
DDZ ETJ	61,150	40,500	101,650	65
DDZ Inside	238,100	224,050	462,150	515
Urban	134,675	133,900	268,575	273
CURE	23,500	28,750	52,250	75
NET COLLECTIONS	\$ 868,325	\$ 810,850	\$ 1,679,175	
Interest Earnings	295	711	1,007	
TOTAL WATER	\$ 868,620	\$ 811,561	\$ 1,680,182	1,407 *
WASTEWATER IMPACT FEE				
Wholesale Customers	\$ 179,000	\$ 190,400	\$ 369,400	255
DWPZ ETJ	5,850	6,500	12,350	9
DWPZ Inside	247,320	123,000	370,320	285
DDZ ETJ	18,000	7,600	25,600	27
DDZ Inside	103,400	122,700	226,100	427
Urban	72,895	67,413	140,307	732
CURE	9,900	14,500	24,400	50
NET COLLECTIONS	\$ 636,365	\$ 532,113	\$ 1,168,477	
Interest Earnings	284	511	796	
TOTAL WASTEWATER	\$ 636,649	\$ 532,624	\$ 1,169,273	1,783 *
TOTAL COMBINED COLLECTIONS	\$ 1,505,269	\$ 1,344,185	\$ 2,849,455	

* Number of units was obtained from Amanda.

City of Austin, Texas
Austin Water Utility
Capital Recovery Fee Collections
As of September 30, 2011

DESCRIPTION	1st Qtr(FY) Oct. '10-Dec. '10	2nd Qtr(FY) Jan '11-Mar. '11	3rd Qtr(FY) Apr. '11- June'11	4th Qtr (FY) July '11-Sept '11	YTD Total Oct '10-Sept '11	YTD Fee Units
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WATER IMPACT FEE

Wholesale Customers	\$ 38,450	\$ 5,200	\$ 26,400	\$ 184,300	\$ 254,350	191
DWPZ ETJ	26,350	7,650	15,300	6,800	56,100	29
DWPZ Inside	101,800	250,700	369,200	192,550	914,250	514
DDZ ETJ	206,050	10,900	61,150	40,500	318,600	232
DDZ Inside	145,200	205,650	238,100	224,050	813,000	932
Urban	68,025	104,031	134,675	133,900	440,631	487
CURE	2,500	13,500	23,500	28,750	68,250	97
NET COLLECTIONS	\$ 588,375	\$ 597,631	\$ 868,325	\$ 810,850	\$ 2,865,181	
Interest Earnings	1,904	2,391	295	711	5,302	
TOTAL WATER	\$ 590,279	\$ 600,022	\$ 868,620	\$ 811,561	\$ 2,870,483	2,480

WASTEWATER IMPACT FEE

Wholesale Customers	\$ 60,900	\$ 94,200	\$ 179,000	\$ 190,400	\$ 524,500	400
DWPZ ETJ	10,400	4,550	5,850	6,500	27,300	20
DWPZ Inside	58,800	162,000	247,320	123,000	591,120	459
DDZ ETJ	112,800	8,000	18,000	7,600	146,400	178
DDZ Inside	68,600	114,400	103,400	122,700	409,100	810
Urban	35,925	52,269	72,895	67,413	228,501	881
CURE	1,561	1,800	9,900	14,500	27,761	51
NET COLLECTIONS	\$ 348,986	\$ 437,219	\$ 636,365	\$ 532,113	\$ 1,954,682	
Interest Earnings	891	1,237	284	511	2,924	
TOTAL WASTEWATER	\$ 349,878	\$ 438,456	\$ 636,649	\$ 532,624	\$ 1,957,606	2,797
TOTAL COMBINED COLLECTIONS	\$ 940,157	\$ 1,038,478	\$ 1,505,289	\$ 1,344,185	\$ 4,828,089	

* Number of units was obtained from Amanda.

City of Austin, Texas
Austin Water Utility
Semi-Annual Impact Fee Report By Fund
Inception through September 30, 2015

DESCRIPTION	THRU FY 1995-96	FY 1996-97	FY 1997-98	FY 1998-99	FY 1999-2000	FY 2000-01	FY 2001-02	FY 2002-03	FY 2003-04	FY 2004-05	FY 2005-06	FY 2006-07	FY 2007-08	FY 2008-09	FY 2009-10	FY 2010-11	ITD TOTAL
WATER IMPACT FEE																	
Inside City Limits	\$ 13,432,503	\$ 3,724,253	\$ 4,692,115	\$ 5,256,787	\$ 5,300,530	\$ 4,163,074	\$ 15,300	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 36,854,562
Outside City Limits	\$ 3,171,395	\$ 1,011,395	\$ 1,011,395	\$ 1,011,395	\$ 1,011,395	\$ 1,011,395	\$ 1,011,395	\$ 1,011,395	\$ 1,011,395	\$ 1,011,395	\$ 1,011,395	\$ 1,011,395	\$ 1,011,395	\$ 1,011,395	\$ 1,011,395	\$ 1,011,395	\$ 10,940,886
Wholesale Customers	\$ 2,120,491	\$ 629,940	\$ 201,410	\$ 201,410	\$ 202,410	\$ 110,000	\$ 187,850	\$ 132,490	\$ 271,350	\$ 497,800	\$ 913,000	\$ 206,606	\$ 1,067,244	\$ 93,153	\$ 146,500	\$ 254,360	\$ 9,240,886
DWP2 ETJ	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
DWP2 Inside	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 1,280,400	\$ 1,309,213	\$ 1,309,213	\$ 1,309,213	\$ 1,309,213	\$ 1,309,213	\$ 1,309,213	\$ 1,309,213	\$ 1,309,213	\$ 1,309,213	\$ 11,461,124
DD2 ETJ	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
DD2 Inside	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 1,296,787	\$ 1,296,787	\$ 1,296,787	\$ 1,296,787	\$ 1,296,787	\$ 1,296,787	\$ 1,296,787	\$ 1,296,787	\$ 1,296,787	\$ 1,296,787	\$ 11,361,027
Urban	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 375,288	\$ 228,579	\$ 435,720	\$ 477,128	\$ 104,000	\$ 700,405	\$ 1,084,825	\$ 1,123,437	\$ 803,000	\$ 813,000	\$ 15,509,583
CURE	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 10,250	\$ 43,900	\$ 43,900	\$ 43,900	\$ 43,900	\$ 43,900	\$ 43,900	\$ 43,900	\$ 43,900	\$ 43,900	\$ 4,738,325
Total Net Collections	\$ 19,463,749	\$ 4,886,949	\$ 4,154,914	\$ 6,884,287	\$ 7,716,786	\$ 6,344,136	\$ 4,854,027	\$ 6,056,789	\$ 6,056,789	\$ 6,056,789	\$ 6,056,789	\$ 6,056,789	\$ 6,056,789	\$ 6,056,789	\$ 6,056,789	\$ 6,056,789	\$ 66,138,874
Interest Earnings	\$ 789,456	\$ 248,110	\$ 554,835	\$ 607,507	\$ 411,716	\$ 788,403	\$ 104,810	\$ 101,813	\$ 68,477	\$ 67,352	\$ 101,447	\$ 141,302	\$ 120,006	\$ 84,522	\$ 13,753	\$ 5,502	\$ 4,286,062
Water Total	\$ 20,253,205	\$ 5,135,059	\$ 4,709,749	\$ 7,491,794	\$ 8,128,502	\$ 7,132,539	\$ 4,958,837	\$ 6,158,602	\$ 6,125,266	\$ 6,124,146	\$ 6,125,266	\$ 6,125,266	\$ 6,125,266	\$ 6,125,266	\$ 6,125,266	\$ 6,125,266	\$ 70,424,936
Cumulative Balance	\$ 6,430,359	\$ 7,077,113	\$ 13,789,887	\$ 17,274,061	\$ 12,401,599	\$ 19,710,202	\$ 24,386,365	\$ 12,045,509	\$ 12,045,509	\$ 11,164,044	\$ 11,130,316	\$ 11,075,114	\$ 10,979,176	\$ 8,746,817	\$ 7,598,572	\$ 6,473,485	\$ 0
Add: Transfers-in from CDF Funded Grant Funds & Other Adjustments	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Less: Revenue Bond Defeasance	\$ (18,343,646)	\$ 0	\$ (4,075,000)	\$ (13,000,000)	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ (25,418,646)
Less: Transfers to CIP	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Less: Transfers to Debt Service	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Balance After Trm & Defeasance	\$ 1,820,359	\$ 7,077,113	\$ 9,714,887	\$ 4,274,061	\$ 12,401,599	\$ 19,710,202	\$ 24,386,365	\$ 12,045,509	\$ 12,045,509	\$ 11,164,044	\$ 11,130,316	\$ 11,075,114	\$ 10,979,176	\$ 8,746,817	\$ 7,598,572	\$ 6,473,485	\$ 0
WASTEWATER IMPACT FEE																	
Inside City Limits	\$ 7,383,151	\$ 2,025,544	\$ 2,510,671	\$ 3,118,611	\$ 3,540,800	\$ 2,920,822	\$ 2,427,746	\$ 365,700	\$ 442,700	\$ 547,750	\$ 683,750	\$ 81,250	\$ 858,500	\$ 0	\$ 0	\$ 0	\$ 20,084,681
Outside City Limits	\$ 1,863,982	\$ 415,774	\$ 427,031	\$ 427,031	\$ 427,031	\$ 427,031	\$ 427,031	\$ 427,031	\$ 427,031	\$ 427,031	\$ 427,031	\$ 427,031	\$ 427,031	\$ 427,031	\$ 427,031	\$ 427,031	\$ 10,431,122
Wholesale Customers	\$ 7,075,977	\$ 479,307	\$ 163,969	\$ 163,969	\$ 164,554	\$ 59,800	\$ 66,650	\$ 130,750	\$ 427,700	\$ 89,450	\$ 28,250	\$ 164,500	\$ 88,900	\$ 41,700	\$ 388,800	\$ 524,500	\$ 10,431,122
DWP2 ETJ	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
DWP2 Inside	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
DD2 ETJ	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
DD2 Inside	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Urban	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
CURE	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Total Net Collections	\$ 11,321,708	\$ 2,820,822	\$ 3,320,808	\$ 4,815,665	\$ 4,867,383	\$ 3,185,386	\$ 4,787,800	\$ 2,886,814	\$ 3,331,406	\$ 3,140,264	\$ 3,089,284	\$ 3,250,887	\$ 2,716,887	\$ 2,008,324	\$ 1,287,146	\$ 1,864,882	\$ 68,146,096
Interest Earnings	\$ 467,724	\$ 178,315	\$ 349,253	\$ 237,518	\$ 267,273	\$ 510,370	\$ 94,054	\$ 59,429	\$ 31,324	\$ 51,008	\$ 101,853	\$ 153,001	\$ 105,758	\$ 48,407	\$ 10,251	\$ 2,924	\$ 7,719,101
Wastewater Total	\$ 11,789,432	\$ 3,000,137	\$ 3,670,061	\$ 5,053,183	\$ 5,134,656	\$ 3,695,756	\$ 4,881,854	\$ 2,946,243	\$ 3,362,730	\$ 3,191,272	\$ 3,191,137	\$ 3,403,888	\$ 2,822,645	\$ 2,056,731	\$ 1,297,397	\$ 1,867,806	\$ 75,865,197
Cumulative Balance	\$ 4,178,086	\$ 4,777,076	\$ 8,447,287	\$ 6,950,780	\$ 7,905,436	\$ 12,043,095	\$ 17,017,585	\$ 8,021,838	\$ 8,014,582	\$ 6,346,024	\$ 7,458,581	\$ 7,974,348	\$ 7,882,203	\$ 7,145,078	\$ 6,387,846	\$ 7,018,054	\$ 0
Add: Transfers-in from CDF Funded Grant Funds & Other Adjustments	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Less: Revenue Bond Defeasance	\$ (10,151,028)	\$ 0	\$ (5,747,960)	\$ (4,000,000)	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ (19,898,988)
Less: Transfers to CIP	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Less: Transfers to Debt Service	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Balance After Trm & Defeasance	\$ 1,678,058	\$ 4,777,076	\$ 2,699,327	\$ 2,952,820	\$ 7,905,436	\$ 12,043,095	\$ 17,017,585	\$ 8,021,838	\$ 8,014,582	\$ 6,346,024	\$ 7,458,581	\$ 7,974,348	\$ 7,882,203	\$ 7,145,078	\$ 6,387,846	\$ 7,018,054	\$ 0
COMBINED SUMMARY																	
Total Combined Collections	\$ 30,795,416	\$ 7,906,881	\$ 7,641,615	\$ 11,910,372	\$ 12,844,181	\$ 9,529,922	\$ 9,646,635	\$ 8,943,603	\$ 9,388,295	\$ 9,305,528	\$ 9,146,069	\$ 9,376,676	\$ 8,901,921	\$ 8,193,352	\$ 7,485,132	\$ 8,083,340	\$ 142,004,092
Cumulative Balance	\$ 11,864,144	\$ 22,234,164	\$ 34,234,164	\$ 34,234,164	\$ 34,234,164	\$ 34,234,164	\$ 34,234,164	\$ 34,234,164	\$ 34,234,164	\$ 34,234,164	\$ 34,234,164	\$ 34,234,164	\$ 34,234,164	\$ 34,234,164	\$ 34,234,164	\$ 34,234,164	\$ 34,234,164
Add: Transfers-in from CDF Funded Grant Funds & Other Adjustments	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Less: Revenue Bond Defeasance	\$ (25,418,646)	\$ 0	\$ (4,075,000)	\$ (13,000,000)	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ (25,418,646)
Less: Transfers to CIP	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Less: Transfers to Debt Service	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Balance After Trm & Defeasance	\$ 3,606,498	\$ 11,864,144	\$ 12,611,164	\$ 12,611,164	\$ 12,611,164	\$ 12,611,164	\$ 12,611,164	\$ 12,611,164	\$ 12,611,164	\$ 12,611,164	\$ 12,611,164	\$ 12,611,164	\$ 12,611,164	\$ 12,611,164	\$ 12,611,164	\$ 12,611,164	\$ 12,611,164

City of Austin
Austin Water Utility
Semi-Annual Impact Fee Report By Class
Inception through September 30, 2011

DESCRIPTION	THRU FY 1995-96	FY 1996-97 OCT - SEPT	FY 1997-98 OCT - SEPT	FY 1998-99 OCT - SEPT	FY 1999-2000 OCT - SEPT	FY 2000-01 OCT - SEPT	FY 2001-02 OCT - SEPT	FY 2002-03 OCT - SEPT	FY 2003-04 OCT - SEPT	FY 2004-05 OCT - SEPT	FY 2005-06 OCT - SEPT	FY 2006-07 OCT - SEPT	FY 2007-08 OCT - SEPT	FY 2008-09 OCT - SEPT	FY 2009-10 OCT - SEPT	FY 2010-11 OCT - SEPT	ITD TOTAL
INSIDE CITY LIMITS																	
Water	\$ 13,462,603	\$ 3,724,253	\$ 4,862,115	\$ 5,250,797	\$ 5,380,520	\$ 4,183,074	\$ 15,300	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 36,664,662
Union, CURE	0	0	0	0	0	0	385,530	237,329	470,220	486,378	776,525	771,065	844,747	433,576	284,728	506,881	\$ 5,184,875
DWP2 Inside, DOZ Inside	0	0	0	0	0	0	2,580,197	3,126,853	3,273,075	3,688,444	3,944,900	3,905,693	2,642,790	1,657,338	1,273,990	1,727,250	\$ 21,721,211
Total Inside Water	\$ 13,462,603	\$ 3,724,253	\$ 4,862,115	\$ 5,250,797	\$ 5,380,520	\$ 4,183,074	\$ 15,300	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 58,374,648
Wastewater	\$ 7,993,831	\$ 2,025,544	\$ 2,510,871	\$ 3,118,011	\$ 3,343,802	\$ 2,322,822	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 20,884,081
Union, CURE	0	0	0	0	0	0	177,348	123,364	266,900	278,874	426,260	381,508	468,275	206,350	133,898	256,262	\$ 2,702,068
DWP2 Inside, DOZ Inside	0	0	0	0	0	0	1,530,752	1,794,600	1,824,100	2,136,080	2,327,734	1,872,432	1,087,772	809,400	686,080	1,000,220	\$ 15,182,013
Total Inside Wastewater	\$ 7,993,831	\$ 2,025,544	\$ 2,510,871	\$ 3,118,011	\$ 3,343,802	\$ 2,322,822	\$ 1,708,100	\$ 1,917,964	\$ 2,167,000	\$ 2,415,954	\$ 2,753,964	\$ 2,253,937	\$ 1,547,047	\$ 1,014,760	\$ 819,968	\$ 1,256,482	\$ 38,543,469
TOTAL INSIDE CRFs	\$ 20,815,334	\$ 5,749,797	\$ 7,372,986	\$ 8,374,808	\$ 8,724,322	\$ 6,505,896	\$ 4,888,136	\$ 5,281,966	\$ 5,919,265	\$ 6,597,374	\$ 7,478,309	\$ 6,032,555	\$ 4,838,584	\$ 3,005,863	\$ 2,358,717	\$ 3,482,814	\$ 96,918,146
OUTSIDE CITY LIMITS																	
Water	\$ 3,911,845	\$ 745,450	\$ 1,011,588	\$ 1,370,143	\$ 2,082,853	\$ 1,886,009	\$ (1,300)	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 10,789,586
Wholesale	2,126,401	428,146	261,203	287,347	292,417	116,068	724,808	983,550	597,900	487,800	613,060	288,008	1,087,244	631,532	148,560	264,350	\$ 9,940,888
DWP2 ETJ, DOZ ETJ	0	0	0	0	0	0	1,233,355	708,256	555,450	347,083	608,150	559,272	701,300	439,418	232,550	374,700	\$ 5,733,445
Total Outside Water	\$ 3,911,845	\$ 745,450	\$ 1,011,588	\$ 1,370,143	\$ 2,082,853	\$ 1,886,009	\$ 724,808	\$ 983,550	\$ 597,900	\$ 487,800	\$ 613,060	\$ 288,008	\$ 1,087,244	\$ 631,532	\$ 148,560	\$ 264,350	\$ 25,723,928
Wastewater	\$ 1,882,862	\$ 415,774	\$ 627,051	\$ 747,203	\$ 1,125,027	\$ 610,785	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 5,619,775
Union, CURE	0	0	0	0	0	0	2,427,746	355,700	442,300	547,760	658,760	681,250	863,600	634,771	388,600	524,500	\$ 10,443,122
DWP2 ETJ, DOZ ETJ	0	0	0	0	0	0	862,050	424,850	522,100	162,950	248,550	315,700	310,450	185,700	108,550	173,700	\$ 3,103,700
Total Outside Wastewater	\$ 1,882,862	\$ 415,774	\$ 627,051	\$ 747,203	\$ 1,125,027	\$ 610,785	\$ 2,427,746	\$ 355,700	\$ 442,300	\$ 547,760	\$ 658,760	\$ 681,250	\$ 863,600	\$ 634,771	\$ 388,600	\$ 524,500	\$ 13,546,822
TOTAL OUTSIDE CITY CRFs	\$ 10,009,206	\$ 2,061,224	\$ 2,638,639	\$ 2,633,044	\$ 3,678,851	\$ 2,496,790	\$ 2,427,746	\$ 2,472,456	\$ 2,117,750	\$ 1,828,383	\$ 2,134,500	\$ 1,819,828	\$ 2,827,484	\$ 2,092,682	\$ 858,250	\$ 1,327,250	\$ 48,330,625
INTEREST																	
Water Interest	\$ 780,456	\$ 248,110	\$ 554,835	\$ 987,907	\$ 411,718	\$ 788,403	\$ 104,810	\$ 101,813	\$ 68,477	\$ 67,332	\$ 101,447	\$ 141,302	\$ 128,098	\$ 84,522	\$ 13,753	\$ 5,302	\$ 4,396,082
Wastewater Interest	\$ 497,724	\$ 178,315	\$ 340,293	\$ 237,518	\$ 287,272	\$ 510,370	\$ 94,054	\$ 56,429	\$ 31,324	\$ 51,608	\$ 101,853	\$ 153,001	\$ 108,758	\$ 48,407	\$ 10,251	\$ 2,824	\$ 2,718,101
TOTAL INTEREST	\$ 1,278,180	\$ 426,425	\$ 895,128	\$ 1,225,425	\$ 698,990	\$ 1,298,773	\$ 198,864	\$ 158,242	\$ 99,801	\$ 118,940	\$ 203,300	\$ 294,303	\$ 236,856	\$ 132,929	\$ 24,003	\$ 8,126	\$ 7,114,183
TOTAL INSIDE/OUTSIDE CRFs A	\$ 35,103,710	\$ 8,245,809	\$ 10,369,010	\$ 11,813,277	\$ 13,082,184	\$ 10,440,292	\$ 9,820,787	\$ 7,918,484	\$ 8,136,546	\$ 8,242,577	\$ 9,808,108	\$ 8,746,847	\$ 8,087,832	\$ 5,231,244	\$ 3,240,870	\$ 4,810,036	\$ 160,233,655
SUMMARY																	
Total Inside City CRFs	\$ 20,815,334	\$ 5,749,797	\$ 7,372,986	\$ 8,374,808	\$ 8,724,322	\$ 6,505,896	\$ 4,888,136	\$ 5,281,966	\$ 5,919,265	\$ 6,597,374	\$ 7,478,309	\$ 6,032,555	\$ 4,838,584	\$ 3,005,863	\$ 2,358,717	\$ 3,482,814	\$ 107,918,146
Total Outside City CRFs	\$ 10,009,206	\$ 2,061,224	\$ 2,638,639	\$ 2,633,044	\$ 3,678,851	\$ 2,496,790	\$ 2,427,746	\$ 2,472,456	\$ 2,117,750	\$ 1,828,383	\$ 2,134,500	\$ 1,819,828	\$ 2,827,484	\$ 2,092,682	\$ 858,250	\$ 1,327,250	\$ 48,330,625
Total Interest	\$ 1,278,180	\$ 426,425	\$ 895,128	\$ 1,225,425	\$ 698,990	\$ 1,298,773	\$ 198,864	\$ 158,242	\$ 99,801	\$ 118,940	\$ 203,300	\$ 294,303	\$ 236,856	\$ 132,929	\$ 24,003	\$ 8,126	\$ 7,114,183
Add: Transfers-in from CRF Funded Grant Funds & Other Adjustments	0	0	0	0	508,580	238,328	680,913	(7,250)	(1,452)	(1,300)	3,068	(4,128)	0	0	2,000	(1,000)	\$ 1,414,577
Debt Service Application	(28,485,274)	0	(8,822,580)	(17,000,000)	0	0	(29,300,000)	(8,874,000)	(8,984,000)	(8,760,000)	(8,280,000)	(8,380,000)	(10,970,000)	(8,550,000)	(11,385,673)	(4,880,000)	\$ (54,502,327)
Transfers to CIP	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	\$ 0
Transfers to Debt Service	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	\$ 0
TOTAL	\$ 3,858,445	\$ 8,245,809	\$ 8,872,428	\$ 8,872,428	\$ 13,588,733	\$ 18,078,616	\$ (18,884,300)	\$ (1,885,799)	\$ (1,885,809)	\$ (518,722)	\$ 1,682,008	\$ 3,482,848	\$ (2,875,049)	\$ (3,318,157)	\$ (1,557,639)	\$ 27,089	\$ 1,242,860

City of Austin, Texas
Austin Water Utility
Semi-Annual Capital Recovery Fee Units for Wholesale Customers
As of September 30, 2011

MONTH	CASH REPORT REF. #	DATE	WHOLESALE CUSTOMER	CRF ORG	FEE UNITS WATER	FEE UNITS WWATER
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3rd QTR FY 2010-11

Apr-11	CR1104081475	04/05/11	Northtown	9915	5.0	5.0
	CR1104291629	04/20/11	City of Sunset Valley	9954	1.0	
	CR1104261593	04/21/11	WCID #17	9950		16.0
May-11	CR1105201822	05/18/11	WCID #17	9950		43.0
Jun-11	CR1106061979	06/01/11	Northtown	9950	6.0	6.0
	CR1106242158	06/24/11	WCID #17	9950		28.0
	CR1106062010	06/01/11	City of West Lake Hills	9951		8.0
1st Quarter Total					<u>12.0</u>	<u>106.0</u>

4th QTR FY 2010-11

Jul-11	CR1107082296	07/06/11	Northtown	9950	5.0	5.0
	CR1107122311	07/07/11	Rivercrest	9915	2.0	
	CR1107292445	07/27/11	WCID #17	9950		12.0
Aug-11	CR1109012802	08/29/11	Northtown	9915	1.0	1.0
	CR1108032504	08/01/11	Northtown	9915	5.0	5.0
	CR1108222702	08/19/11	WCID #17	9950		17.0
Sep-11	CR1109263015	09/23/11	City of Manor	9954		12.0
	CR1109092902	09/07/11	MID-TEX	9972	127.0	73.0
	CR1109263014	09/19/11	Rivercrest	9950	3.0	
	CR1109303075	09/30/11	WCID #17	9950		24.0
2nd Quarter Total					<u>143.0</u>	<u>149.0</u>
Six Month Total					155.0	255.0
Previous Six Month Total					35.5	145.0
YTD Total					<u>190.5</u>	<u>400.0</u>

City of Austin, Texas
Austin Water Utility
Summary of Capital Recovery Fee Exemptions and Waivers
Per Taps Office Records for the Period April 1, 2011, to September 30, 2011

Type of Waiver/Exemption	Water \$	Wastewater \$	Total \$	Water Units	WW Units
1. City Ordinance 000420-77 Affordable Housing 1,500 fee units/year	\$ 151,125	\$ 89,344		238.0	236.5
2. LDC Section 25-9-341(E) 2nd Meter for Single Family Residence (Old REF# 13-3A-10(d))	-	-	-		
3. LDC Section Tap for fire protection only (Old REF # 13-3A-10(e))	-	-	-		
4. LDC Section 25-9-341 Reconnect, Abandon Previous Tap (Old REF# 13-3A-10(f))	101,400	71,650		209.0	227.5
5. LDC Section 25-9-345(B) Annexed property - 2 year waiver (Old REF# 13-3A-10(h))	28,500	22,200		21.0	21.0
6. LDC Section 25-9-341(B) State of Texas (Old REF# 13-3A-10(i))	46,800	16,000		26.0	16.0
7. LDC Section 25-9-341(C) C.D.B.G. Funding (Old REF# 13-3A-10(j))	-	-	-		
8. LDC Section 25-9-324 Second Sewer Tap (Old REF# 13-3A-8(b)(1))		14,800			36.0
9. LDC Section 25-9-353 Previously paid CRF, Tap expired (Old REF# 13-3A-8(g))	121,850	42,649		164.0	102.5
10. LDC Section 25-9-326 Installment payment of impact fees (Old REF# 13-3A-9(d))	-	1,200	1,200		1.0
11. City Ordinance 980122-C 1997 Annexation- 10 year waiver					
12. EX-0002 Already paying for WW service and no service until they come in for a TAP	-	-	-		
13. EX-0003 LUE Assignment (2)	-	-	-		
14. EX-0004 Council Approved exemptions (3)	186,500	85,800		158.0	116.0
15. EX-0005 Stratus Properties (4)	175,300	79,200		85.0	66.0
16. EX-0006 WW Construction Projects	-	-	-		
17. EX-0007 Combo Stratus/Smart Housing (5)	-	-	-		0.0
18. EX-0009 Sentate Bill 883 School Waivers	11,200	3,200	14,400	16	8
19. 13-3A-6(c) Master Meter Exemption	8,800	-	8,800	4	
20. 13-3A-10(M) Water Well Annexation	14,250		14,250	11	
TOTALS	\$ 845,725	\$ 426,043	\$ 1,271,768	932.0	830.5

**City of Austin, Texas
Austin Water Utility**

Notes to Summary of Capital Recovery Fee Exemptions and Waivers:

- (1) LDC = The City of Austin Land Development Code.
- (2) LUE Assignment = Developer has entered into an agreement with the City to receive LUE credits because of funds expended by the developer to provide water and wastewater services. An example of this is The Forest Section 1 & 2. In this case, each time the developer sells a lot to a builder, the builder is eligible to receive CRF credits.
- (3) Includes various council approvals for exemptions including smart growth and the Bradley agreement from 2000 that states the City will agree to waive all water and wastewater CRFs and impact fees for all single family residential development project on the Original Edwards' Easement land and all non retail commercial development buildings containing less than 50,000 square ft of gross floor area. Any other development on the land including the development of a hotel and/or the Golf Course will be subject to all fees. Includes a waiver for the six party agreement for the Northridge water supply corporation project.
- (4) Circle C Land Development Corp DBA Stratus Properties....\$15,000,000. 1000+ acres development in SW Austin but up to \$1.5 million per year can be used for both CRF and tap fees in DDZ.
- (5) Stratus properties that are exempt from tap fees and CRFs for Smart Housing

In July 2003 KB Homes used \$174,900 in Stratus Credits to adjust their Smart Housing goals rather than pay CRFs for previously exempted properties.