PUBLISHING AND ROYALTY AGREEMENT BETWEEN ARCADIA PUBLISHING, INC., AND THE CITY OF AUSTIN FOR USE OF PHOTOGRAPHS IN ROSEWOOD BOOK

This Publishing and Royalty Agreement is entered into this ____ day of ______, 2012, by and between Arcadia Publishing, Inc., a South Carolina corporation with its principal office at 420 Wando Park Boulevard, Mt. Pleasant, South Carolina 29464 (Publisher), and the City of Austin, a Texas home-rule municipal corporation, through the Austin History Center (City).

Publisher is in the business of coordinating, creating and publishing books for commercial sale. Publisher desires to publish a book about the Rosewood Neighborhood and has contracted with writers, Gilbert and Jane Rivera (the Authors), to write the copy for the book. Publisher wishes to include in the book certain historic photographs which are owned by City and housed at and managed by City's Austin History Center. City wishes to authorize Publisher to publish certain photographs in the book in exchange for the rights to certain royalty payments and credit, as described below. The book about the Rosewood is referred to below as "Book".

- 1. Publication & Marketing. Publisher agrees to publish and market the Book at its expense, and agrees that City shall have no financial obligations under this Agreement. The Publisher shall use all reasonable efforts to publish the Book (unless prevented by circumstances outside its control) within twelve (12) months of delivery of the photographs and copy by the Authors to the Publisher.
- 2. Waiver of City Fees/ Grant of License. In consideration of the royalty payment obligations undertaken by Publisher and the Publisher's agreement to credit the City and Austin History Center on the Book, as described in this Agreement, City waives all photo reproduction and other fees required by the City's fee schedule. City grants to Publisher a five-year non-exclusive license with a royalty fee to City, as described below (License), to print, reproduce, enhance, display, and transmit the photographs listed in Exhibit A as necessary to publish the photographs in the Book, including but not limited to digital or electronic media. Publisher may also crop the photographs provided that the Austin History Center Photo Curator approves the cropping in advance of publication. For cropped photographs, Publisher agrees to include citation language provided by the Austin History Center to denote that the original image has been changed. Exhibit A is attached to and made a part of this Agreement for all purposes.
- 3. Term and Termination. The term of this Agreement and the License granted herein shall be for five years and shall become effective following approval by the Austin City Council of the fee waivers and upon signature of this Agreement by the last party to sign (Initial Term). Unless terminated during the Initial Term in accordance with Section 12, the Agreement and the License shall automatically renew for one additional five-year term upon expiration of the Initial Term (Second Term). Either Publisher or City may terminate the Agreement and License without cause at any time during the Second Term by providing the other party with not less than sixty (60) days written notice of termination. Termination or expiration of the License shall not impact Publisher with respect to any Book sale contract Publisher has entered into with a third party prior to the date of termination or expiration. Publisher agrees to provide City with copies

of any such contracts upon request by City, both during the term of this Agreement and following the termination date.

4. Digital Images

City agrees to provide the photographs to Publisher, at no charge, in digital format. City shall provide the digital images within thirty (30) calendar days following the effective date of this Agreement.

5. Limited Purpose of License

Publisher acknowledges and agrees that this license is for the sole purpose of publishing the photographs in the Book, and that this Agreement shall not alter in any way the City's ownership rights and copyright to the photographs. Publisher also acknowledges and agrees that this license is limited to reproduction of the Book in a paper medium and does not convey any ancillary rights, including but not limited to any rights related to electronic storage, digital media, electronic publication, or companion products. The Publisher agrees to use the photographs and digital images provided under this Agreement solely for publication in the Book and to maintain strict control of the digital images and photographs in order to avoid access, use or duplication by a third party. Publisher also agrees to destroy the digital images and any prints within ninety (90) days of publication of the Book, and to send City a written confirmation that the digital images and prints have been destroyed.

6. Credits

The Publisher agrees to credit the photographs to the City and the Austin History Center by printing the following credit line next to each photograph: "Image #___, Austin History Center, City of Austin". In addition, Publisher agrees to include the Austin History Center logo on the back cover of the Book.

7. Copyright Ownership

City warrants that it is the sole owner and copyright holder of the photographs listed in Exhibit A and that publication of these photographs in the Book will not violate or infringe upon the copyright, license or proprietary rights of any third party. Publisher acknowledges that it is solely responsible for obtaining, or ensuring that the Author or other contributors to the Book have obtained, all authorizations, licenses or consents necessary to publish the text, illustrations and any photographs included in the Book that are not provided by City under this Agreement (Non-City Materials). Publisher warrants that it has title to the Non-City Materials published in the Book or that it has obtained valid authorizations or licenses to publish the Non-City Materials, and that no claims have been made by any person or entity with respect to the Non-City Materials. Publisher also warrants that only the City and the Authors (or licensees of the Authors) shall provide material to be included in the Book and that the Authors have warranted that the Non-City Materials included in the Book will not violate or infringe upon the existing copyright, license or proprietary rights of others.

The Publisher warrants that the City's copyright notice for the photographs provided by City will be printed upon every copy of the Book on verso of the title page and will appear as follows: "For all photographs that are attributed to City of Austin - Copyright [insert year of first publication] City of Austin."

9. Compensation to City

The Publisher shall pay City royalties described in Exhibit **B** with respect to the Book. The accounts of sales of the Book, together with any sums that may become due, shall be delivered to the City and settled within ninety (90) days of the end of the six month periods ending the 31st of December and the 30th of June of each year throughout the Term (September 30 for the six month period ending June 30 and March 31 for the six month period ending December 31) provided, however, that no account need to be submitted, unless specifically demanded, nor payment made, in respect of any period in which the sum due is less than thirty dollars (\$30.00), in which case the amount will be carried forward to the next accounting date.

- 10. <u>Complimentary Copies of Book; Purchases of Book by City</u>. The Publisher shall send City three (3) complimentary copies of the Book within seven (7) days of the publication date.
- 11. <u>Trademark Book Title.</u> The City acknowledges that the Publisher owns all right, title and interest in the trademark rights in the title of the Book, "Austin's Rosewood Neighborhood", (the "Trademark"). City may not use the Trademark without authorization from the Publisher and shall not object to, or otherwise interfere with the use of registration by Publisher of the Trademark or attack or contest, in any manner, such Trademark.
- 12. Default and Termination. This Agreement may be terminated in writing by the Publisher in the event of a default by the City if the default has not been cured to Publisher's satisfaction within sixty (60) calendar days of receipt of written notice of default by the Publisher. In the event of a default by the Publisher which has not been cured to City's satisfaction within sixty (60) calendar days of receipt of written notice of the default by City, all licenses and rights granted to Publisher under this Agreement shall revert to the City forthwith and without further notice, and neither party shall have any further rights or liabilities under this Agreement provided, however, that Publisher may continue to sell the Book inventory that exists as of the termination date, and Publisher's duty to provide accounts of Book sales and royalty payments for Books shall survive the termination of this Agreement. In addition to the termination provisions set forth above, the parties agree that City or Publisher may terminate this Agreement immediately, upon written notice, if the Book has not been published and offered for sale within eighteen (18) calendar months following the effective date of this Agreement.
- 13. Notices. All notices and requests required or permitted under this Agreement shall be in writing and may be given by: (a) hand delivery to the party to be notified; (b) deposit in the United States mail, registered or certified, with return receipt requested and postage prepaid, and addressed to the party at the address set forth below; or (c) by confirmed facsimile at the numbers shown below. Notice given under this section shall be deemed delivered and effective

on the earlier of actual receipt or three calendar days following deposit in accordance with the requirements of subsection (b) above.

Arcadia Publishing, Inc. Attn: Katie Kellett, Director of Publishing 420 Wando Park Boulevard Mt. Pleasant, South Carolina 29464

Facsimile Number: 843-853-0044

Michael Miller Director, Austin History Center City of Austin P.O. Box 2287 Austin, Texas 78768

Facsimile Number: 512-974-7483

With copy to:

Brenda Branch, Director Austin Library Department City of Austin P.O. Box 2287 Austin, Texas 78768

Facsimile Number: 512- 974-7403

14. Indemnification. Publisher shall, at its own expense, indemnify, defend and hold harmless the City and Austin History Center, their employees and officers, from and against all liabilities, damages, costs or expenses (including court costs but excluding attorneys fees) arising out of or resulting from any claim that all or any portion of the Non-City Material(s) published in the Book infringe the intellectual property rights or licensure rights of a third party; and from any claim based upon the Publisher's alleged breach of any of Publisher's representations or warranties stated in this Agreement. City shall promptly notify Publisher of any such written claim or proceeding and shall not settle any such claim or proceeding without Publisher's prior written consent. However, City's failure to provide such timely notice to Publisher will not invalidate Publisher's obligations under this provision. Publisher shall have the right to control the defense of any such claim. City shall have the right at its expense to participate in the defense with counsel of its choice or monitor such claim, provided that Publisher shall have the right at all times to retain or resume control of the conduct of such defense.

<u>15. Entire Agreement/Amendment.</u> This Agreement constitutes the entire agreement between the parties with respect to the publication of a book about the Pemberton Heights

Neighborhood and supersedes any prior agreements and understandings, both oral and written. This Agreement may be amended only in a writing executed by both parties.

- <u>16. No Third Party Beneficiaries.</u> This Agreement is not intended to confer, and shall not be deemed to confer, any rights upon any other person or entity.
- <u>17 Assignment.</u> Neither party may transfer any of its rights or obligations under this Agreement without the prior written consent of the other party.
- <u>18. Independent Contractors.</u> This Agreement shall not be construed as creating a partnership, joint enterprise, or a joint venture between the parties. Publisher shall perform all services required under this Agreement as an independent contractor.
- 19. Jurisdiction and Venue. This Agreement is made under and shall be governed by the laws of the State of Texas, without regard to conflicts of laws principles which would apply the law of any other jurisdiction. Venue for any dispute arising out of or concerning this Agreement shall be proper in Travis County, Texas.

ARCADIA PUBLISHING, INC.
BY:
Katie Kellett, Director of Publishing DATE:
CITY OF AUSTIN
BY:
Printed Name: Brenda Branch, Director, Austin Public Library
$D A T E \cdot$

EXHIBIT A

PHOTOGRAPHS – ROSEWOOD BOOK

The parties agree that the following City photographs will be provided to Publisher, in digital image format, for publication in the Book.

Arcadia	AHC Image #	Call #	Description
Photo #			
5	PICB 09565	AF-Biography, Madison, Henry Green; Madison, Louise	Henry G. Madison
7	PICA 18079	AF-Streets S5700 (5) Named Streets Martin Luther King, Jr. Blvd.	MLK
11	PICA 18783	AF-Parks & Recreation Dept Austin Rosewood Recreation Center P1400 (10)	Rosewood Recreation Ctr
13	PICA 24215	AF-Parks P1200 (23) Rosewood	Kids Swimming
14	PICA 24201	AF-Parks P1200 (23) Rosewood	Kids in a Circle
15	PICA 24205	AF-Parks & Recreation Dept Austin Rosewood Recreation Center P1400 (10)	Tap Dance Student
16	PICA 24212	AF-Parks P1200 (23) Rosewood	Bandstand
21	PICA 24210	AF-Parks & Recreation Dept Austin Rosewood Recreation Center P1400 (10)	Doris Miller Under Construction
23	PICA 05483	AF-African-Americans Emancipation Day A1300 (9)	Covered Wagon
24	PICA 05476	AF-African-Americans Emancipation Day A1300 (9)	People in their Best for Juneteenth
25	PICA 05482	AF-African-Americans Emancipation Day A1300 (9)	Gentleman at Juneteenth Big Mustache
26	PICA 05481	AF-African-Americans Emancipation Day A1300 (9)	Band at Juneteenth
27	PICA 05484	AF-African-Americans Emancipation Day A1300 (9)	Buffalo Soldiers
28	PICA 05477	AF-African-Americans Emancipation Day A1300 (9)	Big Picnic Tent
59	PICA 20860	AF-Public Schools-High Schools-Anderson P8620 General	Anderson all Wood 2 Story
60	PICA 20861	AF-Public Schools-High Schools-Anderson P8620 General	Teachers
61	PICA 20629	AF-Public Schools-High Schools-Anderson P8620 General	Football Field Lights
64	C03735	AF Public Schools – High Schools – Anderson P8620 General	Anderson High School
65	C02689	AF Public Schools – High Schools – Anderson P8620 General	Classroom
72	AR 2001-002	AR 2001-002 Box 2; Yellow Jacket Citizen	Yellow Jacket Citizen Band Club (at

	Box 2	Band Club Box 2 #1	bus)
73	PICA 29927	AF-Public Schools-High Schools-Anderson P8620 General	Drum Majorettes
74	AR 2001-002	AR 2001-002; Yellow Jacket Stadium Page 7 90%	Scoreboard
80	AR 2007.017, Box 1 folder 27	OH Houston Campaign Card AR 2007-017, card front and back—two shots: front. Elliott OH & Thelma, papers, 1925 - 2007 Archives Workroom; African-Americans; Huston-Tillitson Box 1 folder 27 card	OH Houston Campaign Card Front of Card
81	AR 2007.017, Box 1 folder 27	OH Houston Campaign Card AR 2007-017, card front and back—two shots: back; Elliott OH & Thelma, papers, 1925 - 2007 Archives Workroom; African-Americans; Huston-Tillitson Box 1 folder 27 card	OH Houston Campaign Card Back of Card
91	C06234	AF Street Railroads S5600 (1) Austin Street Railway Company	Streetcar
92	PICA 02354	AF-Streets S5700 (5) Named Streets - Rosewood Avenue	View of Rosewood at Chestnut
93	AR2001-052- 15/7	AR2001-052-15/7 Harlem Theater	Harlem Theater
94	AR 2001-002 9/9	AR 2001-002 9/9	A Touch of Class at Victory Grill
100	A 325.26 Br	A 325.26 Br J.M. Brewer, A pictorial and historical souvenir of Negro life in Austin, Texas, 1950 – 51 - Front Cover	Front Cover Page Brewer's Souvenir
103	PICA 28987	AF-Hospitals-Holy Cross H2720 General	Holy Cross Hospital
104	AR 2001 – 002 15/7	O. C. Houston AR 2001 – 002 15/7, Houston, O.C.	OC Houston
130	PICA 30405	AF-African-Americans A1300 General	Early Baptism in Colorado
149	PICB 12153	AF-Biography Durst, Albert Lavada, Sr.	Rev. Durst
150	PICB 10766	Book A 427.09 He AA	Dr. Hepcat Book Cover
151	PICA 19327	AF-Biography Dewitty, Arthur - 1968	Arthur B. DeWitty
154	AR 2007.017 Box 2 folder 23	AR 2007.017; Elliott OH & Thelma, papers, 1925 - 2007 Archives Workroom; African- Americans; Huston-Tillitson; photograph of unknown, then Thelma and OH	OH and Thelma Elliott
155	PICA 17167	AF-Biography Overton, Volma	Volma Overton
159	PICB 17221	AF-Biography Urdy, Charles	Dr. Charles Urdy
160	A 352.2WHAA	Pictorial History of Black Policemen; Pages not numbered: near the end; states "Police Officer Cathy Ellison 1978"	Cathy Ellison
172	AR.2001.002 9/5	AR-2001-002 Turner, Dorothy 100% Dorothy Turner	Dorothy Turner and two Brown Berets
184	PICA 34458	AF-Housing Projects Austin Housing Authority (1) H4510	Slum before Urban Renewal

185	AR.2000.019	AR.2000.019 Houston, Ora Elliott	Booker T Parents Club Sign
		Scrapbook 1 of 2- Project Enable	
		Photographs P 24 Clean-up Booker T.	
		Washington; No number: has sign reading	
		"Clean-up Booker T Washington Parents	
		Club Enable"	
186	AR.2000.019	AR.2000.019 Houston, Ora Elliott	Children Volunteers
		Scrapbook 1 of 2- Project Enable	
		Photographs P 24 Clean-up Booker T.	
		Washington; No number; has three boys	
		picking up straw and putting in boxes	
189	AS 6/27/69	AF-Shopping Centers 32300(15)Austin	Entitled "Rosewood Center to Open"
	71717-71727	American Statesman 6/27/69 71717-71727	
191	AR 2001-002	AR.2001.002 Page 6 45%? Thomas	Thomas Henderson
	4/10	Henderson; Jeff Cannon	

EXHIBIT B ROYALTY EXHIBIT

Publisher shall pay City a royalty equal to two percent (2%) of the Net Sales, as described below.

The royalty payments to be paid to City shall be based on all copies of the Book that are distributed within or outside the United States with the exception of: a) complimentary copies provided by Publisher to Author, City and other entities that contributed text, photos or images to the Book; b) copies presented at no charge for purposes of promoting the sale of the Book or generating publicity for the Book; c) copies lost through theft, or damaged or destroyed by fire, water, earthquake, or otherwise; d) copies of the Book, or portions thereof, electronically displayed, transmitted, broadcast or distributed by Publisher without compensation for the purpose of promoting sales of the Book, e) copies lost in the course of shipment; f) damaged copies returned by retailers and g) copies sold to retailers or wholesalers and later returned to the Publisher.

Net Sales shall be calculated based upon the total sales amount received by Publisher for distribution of the Book. The parties agree that the sales price charged by Publisher to retailers may be discounted from the list price of the Book based upon the volume of Books ordered by retailers. Royalties paid on those sales shall be based upon the discount price agreed upon between Publisher and the retailer. Publisher will also sell some Books at the list price of \$21.99 each, including those books ordered through Publisher's web site. Royalties paid on those sales shall be based upon the list price.

City or City's authorized representative shall have the right upon written notice to audit, examine and copy Publisher's financial records regarding the Book, including records of account and all documents related to the publication, sales and marketing of the Book. Any audit and examination shall be at the cost of the City unless errors in the amount of royalties paid to City are found and such errors show that City has been underpaid, in which case the cost shall be paid by the Publisher.

Publisher and City agree that royalty payments shall be paid to City in accordance with the schedule described in Section 9 of the Agreement, titled "Compensation to City".

PUBLISHER	CITY
ARCADIA PUBLISHING, INC.	<u>CITY OF AUSTIN</u>
By:	By:
Printed Name: Katie Kellett	Brenda Branch, Director
Title: Director of Publishing	Austin Public Library
Date:	Date: