



## MEMORANDUM

**TO:** Randy Alexis, Principal Planner  
Brian Long, Supervising Engineer  
Austin Water Utility

**FROM:** Jennifer Torrez, Senior Utility Financial Analyst  
Austin Water Utility

**DATE:** October 23, 2012

**SUBJECT:** Semi-Annual Impact Fee Report as of September 30, 2012 close 2

Attached for your information is the Semi-Annual Impact Fee Report of Collections as of September 30, 2012 close 2. The report is based on financial information reported in the City's accounting system. Fees collected during this period are improving from their lowest level over the past two years.

In summary, combined utility capital recovery fees collected plus interest during the six month period totaled \$3,721,407.02. Of this total, \$2,402,969.90 was for water and \$1,318,437.12 was for wastewater. Total capital recovery fees collected plus interest since the adoption of the current ordinance on June 20, 1990, through September 30, 2012 close 2, totaled \$103,562,140.03 for water and \$63,167,920.54 for wastewater, for a combined utility total of \$166,730,060.57.

As of September 30, 2012 close 2, the cash balances in the water and wastewater CRF funds were \$1,877,929.37 and \$1,560,844.67, respectively, for a combined total of \$3,438,774.04. The balances reflect the total transfer of \$4,300,000 from the CRF funds to the operating funds from there to the Debt Service Fund for the year. In May 2012, \$3,000,000 from Water and \$1,300,000 from Wastewater was transferred.

We revised some of the classifications of waived service units due to updated information.

Please let me know if you have any questions or need additional information.

Jennifer Torrez  
Senior Utility Financial Analyst  
Austin Water Utility  
Attachment

Cc: Greg Meszaros, Director  
David Anders, Assistant Director, Finance and Business Services  
Alice Flora, Customer Service Division Manager  
Teresa Lutes, P.E., Systems Planning Division Manager  
Mike Castillo, Financial Management Division Manager  
Kristi Fenton, Utility Financial Manager

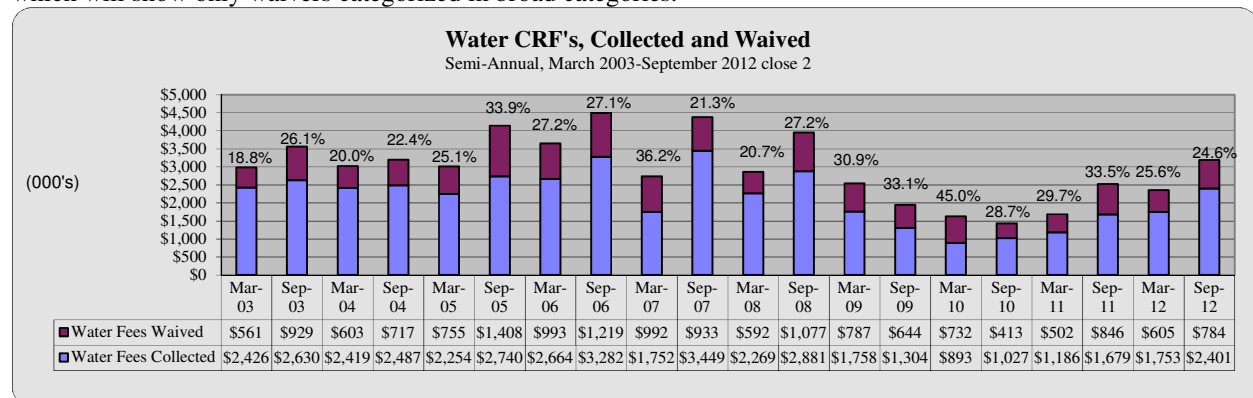


## Austin Water Utility Capital Recovery Fee Financial Summary

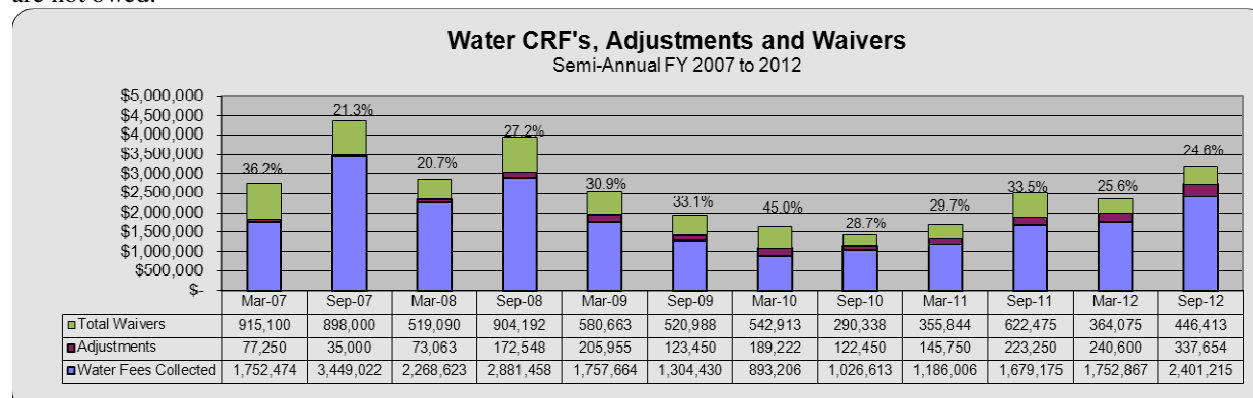
Semi-Annual Impact Fee Report

Period Ending September 30, 2012 close 2

Texas Local Government Code, Chapter 395, Section 395.058, requires that the Impact Fee Advisory Committee “file semiannual reports with respect to the progress of the capital improvements plan and report to the political subdivision any perceived inequities in implementing the plan or imposing the impact fee.” This report supports the committee by providing financial information about the collection of capital recovery fees and the value of fee waivers. After careful analysis of waiver data from the AMANDA system, we have concluded that the inclusion of various adjustments has skewed the data on waivers. For continuity we show below the data as previously reported, followed by new graphs that show the proportions of waivers and adjustments, followed by the new graph format which will show only waivers categorized in broad categories.



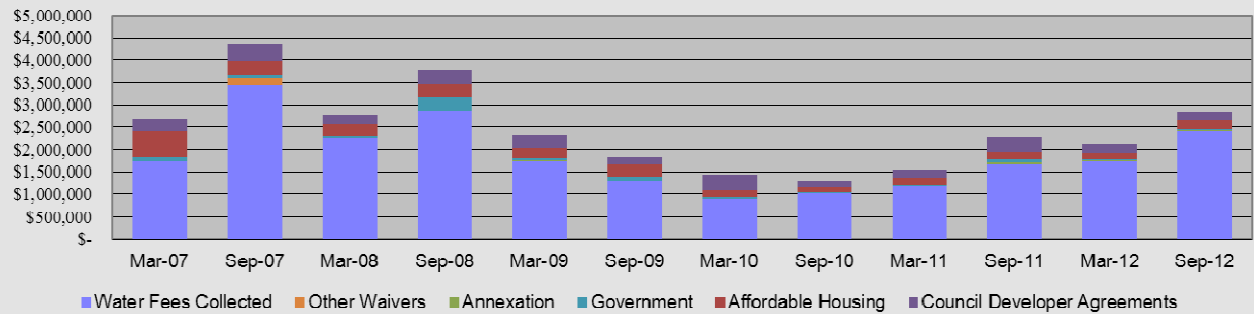
The chart above shows the breakdown of water capital recovery fee collections and water capital recovery fees waived or exempted in thousands of dollars for the last twenty reporting periods as they have been reported in the past. In the period ended September 30, 2012 close 2, the utility collected \$2.40 million and exempted or waived \$0.78 million according to AIMS. The waived capital recovery fees comprise 24.6% of the total capital recovery fees before waivers or exemptions. The chart below shows the breakdown between water fees and waivers, which are granted for policy reasons or negotiated by Council, and adjustments which recognize situations in which fees are not owed.



If adjustments are excluded and waivers are grouped into categories by policy area, a different and more useful picture emerges, as shown below. This is the reporting that we are proposing going forward.

### Water CRF's and Waivers by Type

Semi-Annual FY 2007 to 2012

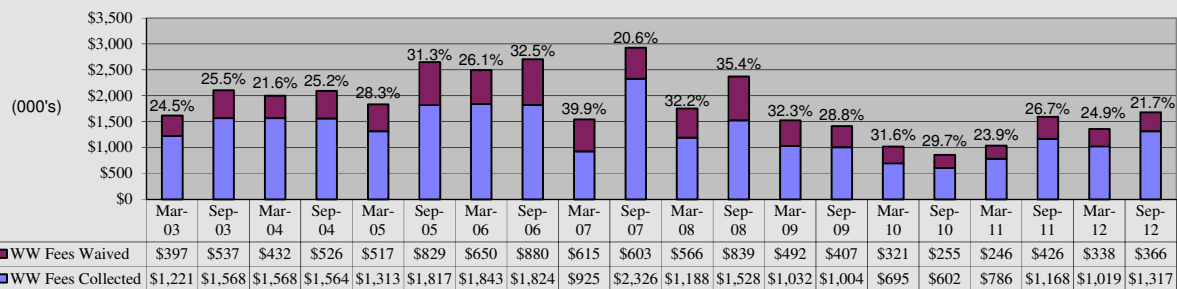


Waiver Type	Mar-07	Sep-07	Mar-08	Sep-08	Mar-09	Sep-09	Mar-10	Sep-10	Mar-11	Sep-11	Mar-12	Sep-12
Council Developer Agreements	251,750	375,600	234,000	313,354	303,950	137,700	350,900	144,750	168,100	361,800	184,500	198,350
Affordable Housing	576,900	311,900	232,140	318,688	219,713	296,988	144,213	128,788	150,994	151,125	131,075	190,613
Government	84,200	64,000	51,490	270,400	90,500	84,800	47,100	16,800	36,750	58,000	20,600	36,450
Annexation	2,250	-	1,500	1,750	6,500	1,500	700	-	-	42,750	24,000	21,000
Other Waivers	-	146,500	-	-	-	-	-	-	-	8,800	3,900	-
<b>Total Waivers</b>	<b>915,100</b>	<b>898,000</b>	<b>519,090</b>	<b>904,192</b>	<b>580,663</b>	<b>520,988</b>	<b>542,913</b>	<b>290,338</b>	<b>355,844</b>	<b>622,475</b>	<b>364,075</b>	<b>446,413</b>
<b>Waivers as % of Fees &amp; Waivers</b>	<b>34.3%</b>	<b>20.7%</b>	<b>18.6%</b>	<b>23.9%</b>	<b>24.8%</b>	<b>28.5%</b>	<b>37.8%</b>	<b>22.0%</b>	<b>23.1%</b>	<b>27.0%</b>	<b>17.2%</b>	<b>15.7%</b>
<b>Water Fees Collected</b>	<b>1,752,474</b>	<b>3,449,022</b>	<b>2,268,523</b>	<b>2,881,458</b>	<b>1,757,664</b>	<b>1,304,430</b>	<b>893,206</b>	<b>1,026,513</b>	<b>1,186,006</b>	<b>1,679,175</b>	<b>1,752,867</b>	<b>2,401,215</b>
<b>Total Fees and Waivers</b>	<b>2,667,574</b>	<b>4,347,022</b>	<b>2,787,713</b>	<b>3,785,650</b>	<b>2,338,327</b>	<b>1,825,418</b>	<b>1,436,119</b>	<b>1,316,951</b>	<b>1,541,850</b>	<b>2,301,650</b>	<b>2,116,942</b>	<b>2,847,628</b>

Similarly, the chart below shows the breakdown of wastewater capital recovery fee collections and wastewater capital recovery fees waived or exempted in thousands of dollars for the last twenty reporting periods, as this data has been reported previously. In the period ended September 30, 2012 close 2, the utility collected \$1.32 million and exempted or waived \$0.37 million according to AIMS. The waived fees comprise 21.7% of the total fees before waivers or exemptions.

### Wastewater CRF's, Collected and Waived

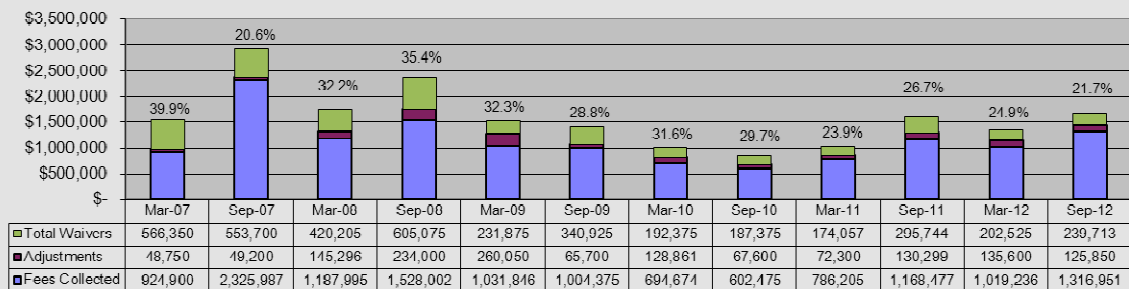
Semi-Annual, March 2003-September 2012 close 2



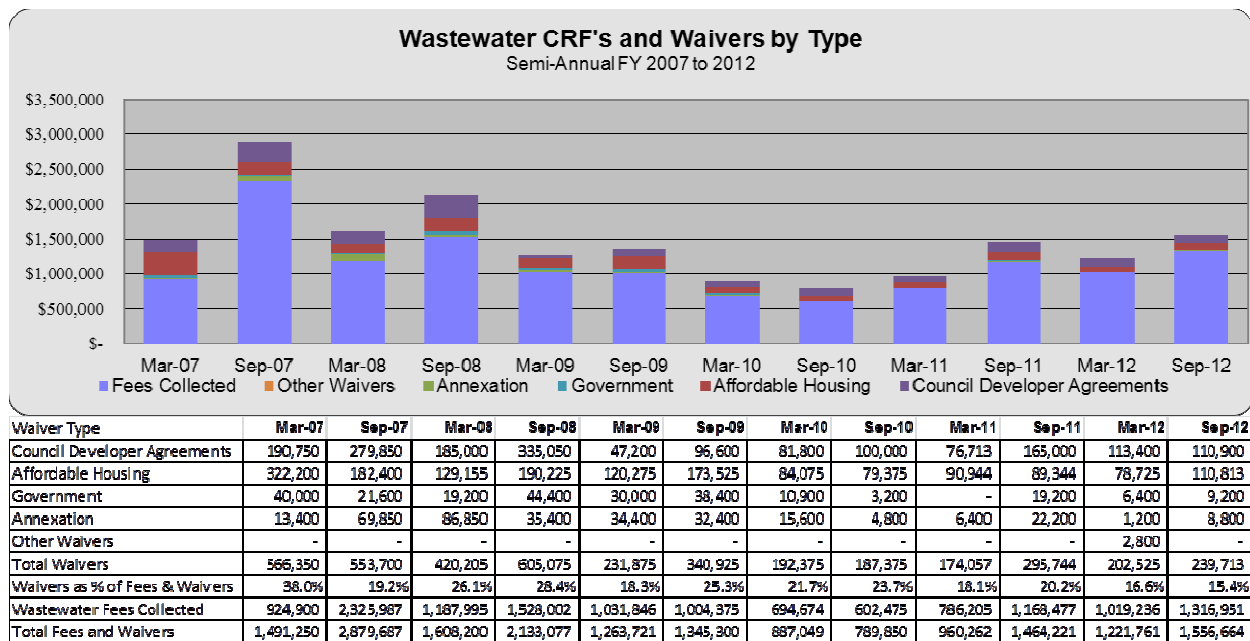
The chart below shows the breakdown between wastewater fees and waivers, which are granted for policy reasons or negotiated by Council, and adjustments which recognize situations in which fees are not owed.

### Wastewater CRF's, Adjustments and Waivers

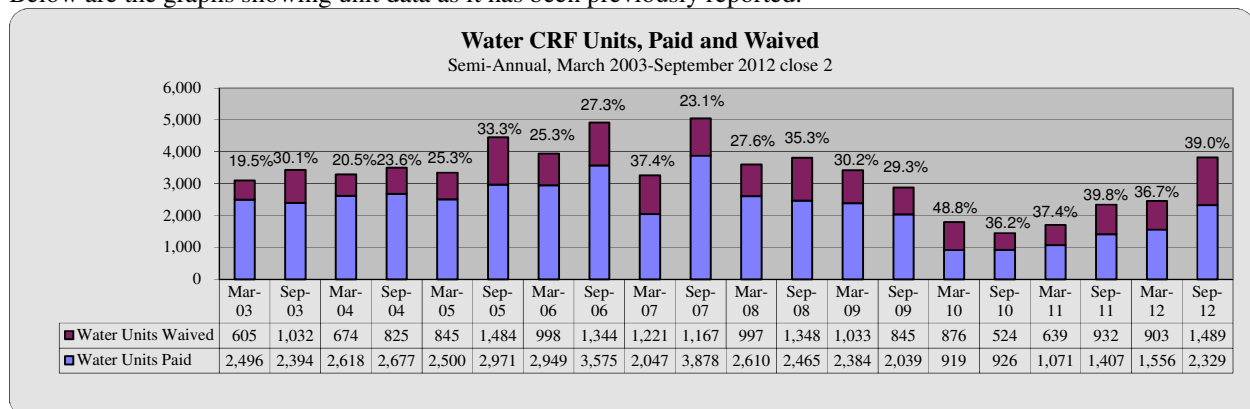
Semi-Annual FY 2007 to 2012



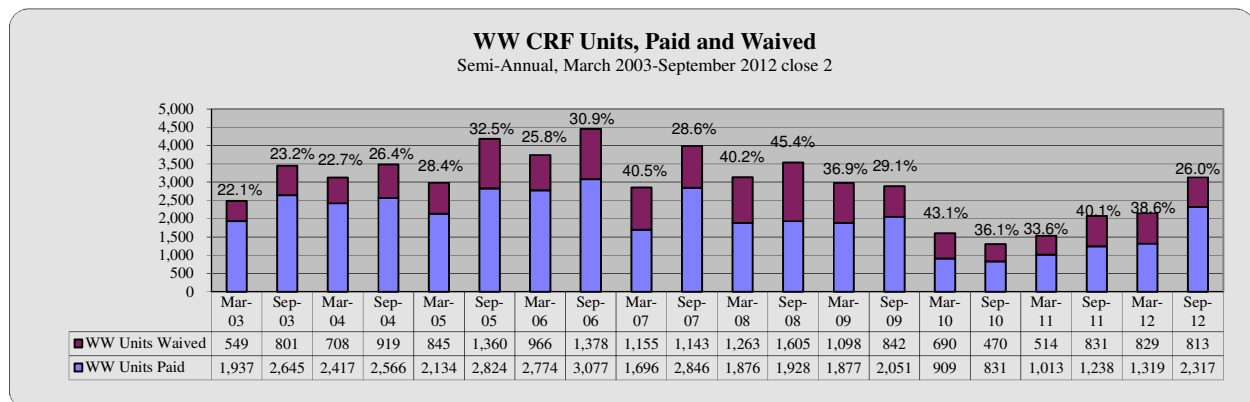
As with water, if adjustments are excluded and waivers are grouped into categories by policy area, a different and more useful picture emerges, as shown below. This is the reporting that we are proposing going forward.



Below are the graphs showing unit data as it has been previously reported.



During this period, water capital recovery fees were paid for 2,329 fee units, while 1,488.5 units qualified for waivers or exemptions. The chart above shows the breakdown of water fee units paid and fee units waived or exempted for twenty reporting periods. A different method to calculate units was created in 2009 that gathers information from Amanda. In the most recent period, 39.0% of new units qualified for exemptions or waivers of the water capital recovery fee.



Wastewater capital recovery fees were paid for 2,316.5 units, while 812.5 units qualified for waivers or exemptions. The chart above shows the breakdown of wastewater fee units paid and fee units waived or exempted for twenty reporting periods. A different method to calculate units was created in 2009 that gathers information from Amanda. In the most recent period, 26.0% of new units qualified for exemptions or waivers of the wastewater capital recovery fee.

The attachments included with this report provide detail on the following:

1. Current semi-annual period and inception to date Capital Recovery Fee Collections.
2. Six month Capital Recovery Fee Collections by Zone.
3. Fiscal year Capital Recovery Fee Collections by Quarter and Zone.
4. Capital Recovery Fee Report by Fund, Inception through September 30, 2012 close 2.
5. Capital Recovery Fee Report by Class, Inception through September 30, 2012close 2.
6. Semi-Annual Capital Recovery Fee Units for Wholesale Customers for Period Ended September 30, 2012 close 2.
7. Summary of Capital Recovery Fee Exemptions and Waivers for the Period Ended September 30, 2012 close 2.

**City of Austin, Texas**  
**Austin Water Utility**  
**Impact Fee (Capital Recovery Fee) Collections**  
**As of September 30, 2012 close 2**

DESCRIPTION	WATER	WASTEWATER	TOTAL
<b>CURRENT SEMI-ANNUAL PERIOD (April 1, 2012- September 30, 2012 close 2)</b>			
Total Dollar Value of Taps & Connections	\$ 3,185,281.22	\$ 1,682,513.40	\$ 4,867,794.62
CRF Exemptions, Waivers and Refunds	(784,066.27)	(365,562.50)	(1,149,628.77)
Net CRF Collected	\$ 2,401,214.95	\$ 1,316,950.90	\$ 3,718,165.85
Interest Earnings	1,754.95	1,486.22	3,241.17
Total CRF Collected	\$ 2,402,969.90	\$ 1,318,437.12	\$ 3,721,407.02

TOTAL IMPACT FEES (CAPITAL RECOVERY FEES) COLLECTED BY THE CITY OF AUSTIN SINCE THE ADOPTION OF THE CURRENT IMPACT FEE (CRF) ORDINANCE FROM JUNE 20, 1990, THROUGH SEPTEMBER 30, 2012 close 2, ARE

<b>INCEPTION TO DATE (June 20, 1990 - September 30, 2012 close 2)</b>			
Net CRF Collected	\$ 99,292,658.24	\$ 60,446,282.76	\$ 159,738,941.00
Interest Earnings	4,269,481.79	2,721,637.78	6,991,119.57
Total CRF Collected	\$ 103,562,140.03	\$ 63,167,920.54	\$ 166,730,060.57
Used for Debt Defeasance	(35,418,846.00)	(19,899,018.00)	(55,317,864.00)
Used for CIP *	(35,506,672.00)	(18,995,655.00)	(54,502,327.00)
Used for Debt Service**	(31,501,328.00)	(23,384,345.00)	(54,885,673.00)
Other Adjustments***	742,635.34	671,942.13	1,414,577.47
<b>CRF CASH BALANCES</b>	<b>1,877,929.37</b>	<b>1,560,844.67</b>	<b>3,438,774.04</b>

\* The Utility had restricted the use of capital recovery fees collected for defeasance of outstanding revenue bonds until FY 2001-02 when the Utility's policy was changed to allow CRFs to be used to fund certain major plant capacity improvements.

\*\* In FY2006 Management decided to use CRF collections to pay debt service.

\*\*\* Includes Transfers for Fairway Ridge, Circle C, refunds and other miscellaneous adjustments.

**APPLICATION OF CRF FUNDS FOR DEBT DEFEASANCE**

The following schedule reflects the application of crfs collected since inception toward the defeasance of revenue bonds.

FY 1991-92	\$ (1,543,846.00)	\$ (451,428.00)	\$ (1,995,274.00)
FY 1992-93	(5,000,000.00)	(3,000,000.00)	(8,000,000.00)
FY 1993-94	(3,800,000.00)	(2,200,000.00)	(6,000,000.00)
FY 1994-95	(3,500,000.00)	(2,000,000.00)	(5,500,000.00)
FY 1995-96	(4,500,000.00)	(2,500,000.00)	(7,000,000.00)
FY 1996-97	-	-	-
FY 1997-98	(4,075,000.00)	(5,747,590.00)	(9,822,590.00)
FY 1998-99	(13,000,000.00)	(4,000,000.00)	(17,000,000.00)
FY 1999-2000	-	-	-
FY 2000-01	-	-	-
TOTAL	\$ (35,418,846.00)	\$ (19,899,018.00)	\$ (55,317,864.00)

**APPLICATION OF CRF FUNDS FOR CAPITAL PROJECTS**

FY 2001-2002	(18,000,000.00)	(11,300,000.00)	(29,300,000.00)
FY 2002-2003	(5,004,000.00)	(4,870,000.00)	(9,874,000.00)
FY 2003-2004	(5,944,000.00)	(3,750,000.00)	(9,694,000.00)
FY 2004-2005	(6,080,000.00)	(2,680,000.00)	(8,760,000.00)
FY 2005-2006	(5,400,000.00)	(2,860,000.00)	(8,260,000.00)
FY 2009-2010	4,921,328.00	6,464,345.00	11,385,673.00
FY 2010-2011	0	0	0
TOTAL	(35,506,672.00)	(18,995,655.00)	(54,502,327.00)

**APPLICATION OF CRF FUNDS FOR DEBT SERVICE**

FY 2006-2007 (Debt Service)	(5,470,000.00)	(2,910,000.00)	(8,380,000.00)
FY 2007-2008 (Debt Service)	(6,110,000.00)	(4,860,000.00)	(10,970,000.00)
FY 2008-2009 (Debt Service)	(5,000,000.00)	(3,550,000.00)	(8,550,000.00)
FY 2009-2010 (Debt Service)	(8,921,328.00)	(8,964,345.00)	(17,885,673.00)
FY 2010-2011 (Debt Service)	(3,000,000.00)	(1,800,000.00)	(4,800,000.00)
FY 2011-2012 (Debt Service)	(3,000,000.00)	(1,300,000.00)	(4,300,000.00)
TOTAL	(31,501,328.00)	(23,384,345.00)	(54,885,673.00)

**City of Austin, Texas**  
**Austin Water Utility**  
**Six-month Capital Recovery Fee Collections**  
**As of September 30, 2012 close 2**

DESCRIPTION	3rd Qtr (FY) April 12-Jun 12	4th Qtr (FY) Jul 12-Sep 12	6 month Total	6 month Fee Units
<b>WATER IMPACT FEE</b>				
Wholesale Customers	\$ 22,550.00	\$ 53,550.00	\$ 76,100.00	70.5
DWPZ ETJ	63,350.00	88,950.00	152,300.00	66.0
DWPZ Inside	202,703.73	131,550.00	334,253.73	190.0
DDZ ETJ	42,750.00	107,700.00	150,450.00	88.0
DDZ Inside	522,050.00	595,400.00	1,117,450.00	1,198.0
Urban	248,187.50	265,873.72	514,061.22	649.0
CURE	14,000.00	42,600.00	56,600.00	67.5
<b>NET COLLECTIONS</b>	<b>\$ 1,115,591.23</b>	<b>\$ 1,285,623.72</b>	<b>\$ 2,401,214.95</b>	
Interest Earnings	1,136.37	618.58	1,754.95	
<b>TOTAL WATER</b>	<b>\$ 1,116,727.60</b>	<b>\$ 1,286,242.30</b>	<b>\$ 2,402,969.90</b>	<b>2,329.0 *</b>
<b>WASTEWATER IMPACT FEE</b>				
Wholesale Customers	\$ 70,800.00	\$ 88,700.00	\$ 159,500.00	122.5
DWPZ ETJ	22,300.00	18,750.00	41,050.00	28.5
DWPZ Inside	114,863.40	97,200.00	212,063.40	541.5
DDZ ETJ	18,800.00	47,400.00	66,200.00	66.5
DDZ Inside	255,100.00	289,900.00	545,000.00	994.5
Urban	131,025.00	130,412.50	261,437.50	502.0
CURE	7,650.00	24,050.00	31,700.00	61.0
<b>NET COLLECTIONS</b>	<b>\$ 620,538.40</b>	<b>\$ 696,412.50</b>	<b>\$ 1,316,950.90</b>	
Interest Earnings	832.77	653.45	1,486.22	
<b>TOTAL WASTEWATER</b>	<b>\$ 621,371.17</b>	<b>\$ 697,065.95</b>	<b>\$ 1,318,437.12</b>	<b>2,316.5 *</b>
<b>TOTAL COMBINED COLLECTIONS</b>	<b>\$ 1,738,098.77</b>	<b>\$ 1,983,308.25</b>	<b>\$ 3,721,407.02</b>	

\* Number of units was obtained from Amanda, except wholesale. Wholesale was determined based on fee amount paid per ADV3.

**City of Austin, Texas**  
**Austin Water Utility**  
**Capital Recovery Fee Collections**  
**As of September 30, 2012 close 2**

DESCRIPTION	1st Qtr(FY) Oct. '11-Dec. '11	2nd Qtr(FY) Jan '12-Mar.'12	3rd Qtr(FY) Apr. '12- June'12	4th Qtr (FY) July '12-Sept '12	YTD Total Oct '11-Sept '12	YTD Fee Units
<b>WATER IMPACT FEE</b>						
Wholesale Customers	\$ 116,404.00	\$ (1,861.25)	\$ 22,550.00	\$ 53,550.00	\$ 190,642.75	100.5
DWPZ ETJ	4,250.00	24,149.50	63,350.00	88,950.00	180,699.50	80.0
DWPZ Inside	141,400.00	237,100.00	202,703.73	131,550.00	712,753.73	416.0
DDZ ETJ	187,350.00	20,400.00	42,750.00	107,700.00	358,200.00	241.5
DDZ Inside	103,800.00	520,100.00	522,050.00	595,400.00	1,741,350.00	1,893.5
Urban	131,850.00	206,175.00	248,187.50	265,873.72	852,086.22	1,013.5
CURE	6,500.00	55,250.00	14,000.00	42,600.00	118,350.00	139.5
<b>NET COLLECTIONS</b>	<b>\$ 691,554.00</b>	<b>\$ 1,061,313.25</b>	<b>\$ 1,115,591.23</b>	<b>\$ 1,285,623.72</b>	<b>\$ 4,154,082.20</b>	
Interest Earnings	751.52	892.84	1,136.37	618.58	3,399.31	
<b>TOTAL WATER</b>	<b>\$ 692,305.52</b>	<b>\$ 1,062,206.09</b>	<b>\$ 1,116,727.60</b>	<b>\$ 1,286,242.30</b>	<b>\$ 4,157,481.51</b>	<b>3,884.5 *</b>
<b>WASTEWATER IMPACT FEE</b>						
Wholesale Customers	\$ 91,000.00	\$ 87,261.25	\$ 70,800.00	\$ 88,700.00	\$ 337,761.25	239.5
DWPZ ETJ	0	2,550.00	22,300.00	18,750.00	43,600.00	29.5
DWPZ Inside	93,600.00	165,000.00	114,863.40	97,200.00	470,663.40	746.5
DDZ ETJ	49,400.00	7,800.00	18,800.00	47,400.00	123,400.00	135.5
DDZ Inside	45,200.00	253,600.00	255,100.00	289,900.00	843,800.00	1,576.5
Urban	72,043.75	118,031.25	131,025.00	130,412.50	451,512.50	794.5
CURE	3,300.00	30,450.00	7,650.00	24,050.00	65,450.00	113.5
<b>NET COLLECTIONS</b>	<b>\$ 354,543.75</b>	<b>\$ 664,692.50</b>	<b>\$ 620,538.40</b>	<b>\$ 696,412.50</b>	<b>\$ 2,336,187.15</b>	
Interest Earnings	498.56	551.84	832.77	653.45	2,536.62	
<b>TOTAL WASTEWATER</b>	<b>\$ 355,042.31</b>	<b>\$ 665,244.34</b>	<b>\$ 621,371.17</b>	<b>\$ 697,065.95</b>	<b>\$ 2,338,723.77</b>	<b>3,635.5 *</b>
<b>TOTAL COMBINED COLLECTIONS</b>	<b>\$ 1,047,347.83</b>	<b>\$ 1,727,450.43</b>	<b>\$ 1,738,098.77</b>	<b>\$ 1,983,308.25</b>	<b>\$ 6,496,205.28</b>	<b>\$ 7,520.0</b>

\* Number of units was obtained from Amanda, except wholesale. Wholesale was determined based on fee amount paid per ADV3.



City of Austin, Texas  
Austin Water Utility  
Semi-Annual Impact Fee Report By Fund  
Inception through September 30, 2012 close 2

	FY 1989-90	FY 1990-91	FY 1991-92	FY 1992-93	FY 1993-94	FY 1994-95	FY 1995-96	THRU FY 1995-96	FY 1996-97	FY 1997-98	FY 1998-99	FY 1999-2000	FY 2000-01	FY 2001-02	FY 2002-03	FY 2003-04	FY 2004-05	FY 2005-06	FY 2006-07	FY 2007-08	FY 2008-09	FY 2009-10	FY 2010-11	FY 2011-12	ITD TOTAL	
DESCRIPTION	JUL - SEPT	OCT - SEPT	OCT - SEPT	OCT - SEPT	OCT - SEPT	OCT - SEPT	OCT - SEPT		OCT - SEPT	OCT - SEPT	OCT - SEPT	OCT - SEPT	OCT - SEPT	OCT - SEPT	OCT - SEPT	OCT - SEPT	OCT - SEPT	OCT - SEPT	OCT - SEPT	OCT - SEPT	OCT - SEPT	OCT - SEPT	OCT - SEPT	close II		
WATER IMPACT FEE																										
Inside City Limits	\$ 165,408	\$ 881,832	\$ 1,258,566	\$ 1,970,154	\$ 2,621,299	\$ 2,768,901	\$ 3,786,343	\$ 13,452,503.00	\$ 3,724,253.00	\$ 4,882,115.00	\$ 5,256,797.00	\$ 5,360,520.00	\$ 4,163,074.00	\$ 15,300.00	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 36,854,562.00	
Outside City Limits	0	499,938	758,902	651,294	669,208	439,489	893,014	3,911,845.00	745,450.00	1,011,596.00	1,370,143.00	2,062,853.00	1,668,009.00	(1,300.00)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	10,769,596.00	
Wholesale Customers	0	81,557	505,373	194,292	735,065	273,873	339,241	2,129,401.00	428,946.00	261,203.00	267,347.00	292,417.00	116,056.00	174,936.00	126,400.00	275,400.00	108,500.00	269,394.50	168,450.00	157,578.00	48,055.00	56,100.00	180,699.50	1,634,623.00	1,634,623.00	
DWP2 ETJ	0	0	0	0	0	0	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
DWP2 inside	0	0	0	0	0	0	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
DDZ ETJ	0	0	0	0	0	0	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
DDZ inside	0	0	0	0	0	0	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
Urban	0	0	0	0	0	0	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
CURE	0	0	0	0	0	0	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
Total Net Collections	\$ 165,408	\$ 1,463,327	\$ 2,522,841	\$ 2,815,740	\$ 4,025,572	\$ 3,482,263	\$ 5,018,598	\$ 19,493,749.00	\$ 4,898,649.00	\$ 6,154,914.00	\$ 6,894,287.00	\$ 7,715,790.00	\$ 5,948,139.00	\$ 4,934,027.00	\$ 5,055,798.00	\$ 4,905,645.00	\$ 4,993,383.00	\$ 5,945,525.00	\$ 5,201,495.98	\$ 5,150,080.93	\$ 3,062,093.88	\$ 1,919,818.00	\$ 2,865,181.25	\$ 4,154,082.20	\$ 99,292,658.24	
Interest Earnings	2,472	49,400	165,916	136,973	102,290	128,459	194,946	780,456.00	248,110.00	554,835.00	667,907.00	411,718.00	788,403.00	104,810.00	101,613.00	68,477.00	67,332.00	101,447.00	141,302.40	126,096.16	84,521.65	13,752.57	5,301.70	3,399.31	4,269,481.79	
Water Total	\$ 167,880	\$ 1,512,727	\$ 2,688,757	\$ 2,952,713	\$ 4,127,862	\$ 3,610,722	\$ 5,213,544	\$ 20,274,205.00	\$ 5,146,759.00	\$ 6,709,749.00	\$ 7,562,194.00	\$ 8,127,508.00	\$ 6,736,542.00	\$ 5,038,837.00	\$ 5,157,411.00	\$ 4,974,122.00	\$ 5,060,715.00	\$ 6,046,972.00	\$ 5,342,798.38	\$ 5,276,177.09	\$ 3,146,615.53	\$ 1,933,570.57	\$ 2,870,482.95	\$ 4,157,481.51	\$ 103,562,140.03	
Cumulative Balance	\$ 167,880	\$ 1,680,607	\$ 4,369,364	\$ 5,778,231	\$ 4,906,093	\$ 4,716,815	\$ 6,430,359	\$ 6,430,359.00	\$ 7,077,118.00	\$ 13,786,867.00	\$ 17,274,061.00	\$ 12,401,569.00	\$ 19,210,201.66	\$ 24,398,394.66	\$ 12,085,508.66	\$ 12,048,380.66	\$ 11,164,043.66	\$ 11,130,315.66	\$ 11,073,114.04	\$ 10,879,178.81	\$ 7,915,794.34	\$ 4,849,364.91	\$ 3,721,047.86	\$ 4,877,929.37		
Add: Transfers-in from CRF Funded Grant Funds & Other Adjustments	0	0	0	0	0	0	0	0	0	0	0	72,090.66	149,356.00	529,703.00	(7,250.00)	(1,052.00)	(700.00)	0	(112.32)	0	0	1,200.00	(600.00)	0	742,635.34	
Less: Revenue Bond Defeasance	0	0	(1,543,846)	(5,000,000)	(3,800,000)	(3,500,000)	(4,500,000)	(18,343,846.00)	0	(4,075,000.00)	(13,000,000.00)	0	0	0	0	0	0	0	0	0	0	0	0	0	(35,418,846.00)	
Less: Transfers to CIP	0	0	0	0	0	0	0	0	0	0	0	0	0	(18,000,000.00)	(5,004,000.00)	(5,944,000.00)	(6,080,000.00)	(5,400,000.00)	0	0	0	4,921,328.00	0	0	(35,506,672.00)	
Less: Transfers to Debt Service	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(5,470,000.00)	(6,110,000.00)	(5,000,000.00)	(8,921,328.00)	(3,000,000.00)	(3,000,000.00)	(31,501,328.00)	
Balance After Trfrs & Defeasance	\$ 167,880	\$ 1,680,607	\$ 2,825,518	\$ 778,231	\$ 1,106,093	\$ 1,216,815	\$ 1,930,359	\$ 1,930,359.00	\$ 7,077,118.00	\$ 9,711,867.00	\$ 4,274,061.00	\$ 12,473,659.66	\$ 19,359,557.66	\$ 6,828,097.66	\$ 7,074,258.66	\$ 6,103,328.66	\$ 5,083,343.66	\$ 5,730,315.66	\$ 5,603,001.72	\$ 4,769,178.81	\$ 2,915,794.34	\$ 850,564.91	\$ 720,447.86	\$ 1,877,929.37	\$ 1,877,929.37	
WASTEWATER IMPACT FEE																										
Inside City Limits	\$ 94,087	\$ 497,552	\$ 679,756	\$ 1,110,768	\$ 1,437,987	\$ 1,516,404	\$ 2,027,277	\$ 7,363,831.00	\$ 2,025,544.00	\$ 2,510,671.25	\$ 3,118,011.00	\$ 3,343,802.00	\$ 2,322,822.00	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 20,884,681.25	
Outside City Limits	0	268,185	340,017	257,054	344,076	210,283	474,367	1,893,982.00	415,774.00	627,031.00	747,203.00	1,125,027.00	810,758.00	0	0	0	0	0	0	0	0	0	0	0	\$ 5,619,775.00	
Wholesale Customers	0	138,788	366,690	249,297	464,010	324,545	530,647	2,073,977.00	479,307.00	183,266.00	148,351.00	198,554.00	59,800.00	2,427,746.00	355,700.00	442,300.00	547,750.00	658,750.00	681,250.00	858,500.00	834,770.95	368,600.00	524,500.00	337,761.25	11,180,883.20	
DWP2 ETJ	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
DWP2 inside	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
DDZ ETJ	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
DDZ inside	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
Urban	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
CURE	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
Total Net Collections	\$ 94,087	\$ 904,525	\$ 1,386,463	\$ 1,617,119	\$ 2,246,073	\$ 2,051,232	\$ 3,032,291	\$ 11,331,790.00	\$ 2,920,625.00	\$ 3,320,968.25	\$ 4,013,565.00	\$ 4,667,383.00	\$ 3,193,380.00	\$ 4,787,896.00	\$ 2,698,614.00	\$ 3,131,400.00	\$ 3,130,254.00	\$ 3,659,284.00	\$ 3,250,887.21	\$ 2,715,996.90	\$ 2,036,220.95	\$ 1,297,149.00	\$ 1,954,682.30	\$ 2,336,187.15	\$ 60,446,282.76	
Interest Earnings	1,181	28,862	91,662	90,333	68,800	81,737	135,149	497,724.00	178,315.00	349,293.00	237,518.00	287,273.00	510,370.00	94,054.00	59,429.00	31,324.00	51,608.00	101,853.00	153,001.00	105,757.64	48,407.02	10,250.53	2,923.97	2,536.62	2,721,637.78	
Wastewater Total	\$ 95,268	\$ 933,387	\$ 1,478,125	\$ 1,707,452	\$ 2,314,873	\$ 2,132,969	\$ 3,167,440	\$ 11,829,514.00	\$ 3,098,940.00	\$ 3,670,261.25	\$ 4,251,083.00	\$ 4,954,656.00	\$ 3,703,750.00	\$ 4,881,950.00	\$ 2,758,043.00	\$ 3,162,724.00	\$ 3,181,862.00	\$ 3,761,137.00	\$ 3,403,888.21	\$ 2,821,754.54	\$ 2,084,627.97	\$ 1,307,399.53	\$ 1,957,606.27	\$ 2,338,723.77	\$ 63,167,920.54	
Cumulative Balance	\$ 95,268	\$ 1,028,655	\$ 2,506,780	\$ 3,762,804	\$ 3,077,677	\$ 3,010,646	\$ 4,178,086	\$ 4,178,086.00	\$ 4,777,026.00	\$ 8,447,287.25	\$ 6,950,780.25	\$ 7,905,436.25	\$ 12,043,664.99	\$ 17,012,584.99	\$ 8,621,837.99	\$ 6,914,561.99	\$ 6,346,023.99	\$ 7,426,560.99	\$ 7,974,348.20	\$ 7,882,202.74	\$ 5,106,830.71	\$ 4,902,475.70	\$ 5,826,254.00	\$ 7,556,378.24		
Add: Transfers-in from CRF Funded Grant Funds & Other Adjustments	0	0	0	0	0	0	0	0	0	0	0	434,478.74	86,970.00	151,210.00	0	(400.00)	(600.00)	3,899.00	(4,015.61)	0	0	800.00	(400.00)	0	671,942.13	
Less: Revenue Bond Defeasance	0	0	(451,428)	(3,000,000)	(2,200,000)	(2,000,000)	(2,500,000)	(10,151,428.00)	-	(5,747,590.00)	(4,000,000.00)	-	-	-	-	-	-	-	-	-	-	-	-	-	(19,899,018.00)	
Less: Transfers to CIP	0	0	0	0	0	0	0	0	0	0	0	0	0	(11,300,000.00)	(4,870,000.00)	(3,750,000.00)	(2,680,000.00)	(2,860,000.00)	0	0	0	6,464,345.00	0	0	(18,995,655.00)	
Less: Transfers to Debt Service	0	0	0	0	0	0	0	0	0	0	0	0	0	-	-	-	-	-	(2,910,000.00)	(4,860,000.00)	(3,550,000.00)	(8,964,345.00)	(1,800,000.00)	(1,300,000.00)	(23,384,345.00)	

City of Austin  
Austin Water Utility  
Semi-Annual Impact Fee Report By Class  
Inception through September 30, 2012 close 2

DESCRIPTION	THRU FY 1995-96	FY 1996-97 OCT-SEPT	FY 1997-98 OCT-SEPT	FY 1998-99 OCT-SEPT	FY 1999-2000 OCT-SEPT	FY 2000-01 OCT-SEPT	FY 2001-02 OCT-SEPT	FY 2002-03 OCT-SEPT	FY 2003-04 OCT-SEPT	FY 2004-05 OCT-SEPT	FY 2005-06 OCT-SEPT	FY 2006-07 OCT-SEPT	FY 2007-08 OCT-SEPT	FY 2008-09 OCT-SEPT	FY 2009-10 OCT-SEPT	FY 2010-11 OCT-SEPT	FY 2011-12 OCT-SEPT close II	ITD TOTAL
INSIDE CITY LIMITS																		
Water	\$ 13,452,503.00	\$ 3,724,253.00	\$ 4,882,115.00	\$ 5,256,797.00	\$ 5,360,520.00	\$ 4,163,074.00	\$ 15,300.00	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 36,854,562.00
Urban, CURE	0	0	0	0	0	0	385,539.00	237,329.00	479,220.00	489,376.00	779,525.00	771,954.90	848,746.85	433,575.00	264,728.00	508,881.25	970,436.22	6,169,311.22
DWPZ inside, DDZ inside	0	0	0	0	0	0	2,580,197.00	3,126,663.00	3,273,075.00	3,688,444.00	3,944,800.00	3,606,663.27	2,542,790.08	1,557,338.20	1,273,990.00	1,727,250.00	2,454,103.73	29,775,314.28
Total Inside Water	\$ 13,452,503.00	\$ 3,724,253.00	\$ 4,882,115.00	\$ 5,256,797.00	\$ 5,360,520.00	\$ 4,163,074.00	\$ 2,981,036.00	\$ 3,363,992.00	\$ 3,752,295.00	\$ 4,177,820.00	\$ 4,724,325.00	\$ 4,378,618.17	\$ 3,391,536.93	\$ 1,990,913.20	\$ 1,538,718.00	\$ 2,236,131.25	\$ 3,424,539.95	\$ 72,799,187.50
Wastewater	\$ 7,363,831.00	\$ 2,025,544.00	\$ 2,510,671.25	\$ 3,118,011.00	\$ 3,343,802.00	\$ 2,322,822.00	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 20,684,681.25
Urban, CURE	0	0	0	0	0	0	177,348.00	123,364.00	258,900.00	279,874.00	426,250.00	381,505.50	459,275.00	205,350.00	133,939.00	256,262.30	516,962.50	3,219,030.30
DWPZ inside, DDZ inside	0	0	0	0	0	0	1,530,752.00	1,794,600.00	1,908,100.00	2,139,680.00	2,327,734.00	1,872,431.71	1,087,771.90	809,400.00	686,060.00	1,000,220.00	1,314,463.40	16,471,213.01
Total Inside Wastewater	\$ 7,363,831.00	\$ 2,025,544.00	\$ 2,510,671.25	\$ 3,118,011.00	\$ 3,343,802.00	\$ 2,322,822.00	\$ 1,708,100.00	\$ 1,917,964.00	\$ 2,167,000.00	\$ 2,419,554.00	\$ 2,753,984.00	\$ 2,253,937.21	\$ 1,547,046.90	\$ 1,014,750.00	\$ 819,999.00	\$ 1,256,482.30	\$ 1,831,425.90	\$ 40,374,924.56
TOTAL INSIDE CRFs	\$ 20,816,334.00	\$ 5,749,797.00	\$ 7,392,786.25	\$ 8,374,808.00	\$ 8,704,322.00	\$ 6,485,896.00	\$ 4,689,136.00	\$ 5,281,956.00	\$ 5,919,295.00	\$ 6,597,374.00	\$ 7,478,309.00	\$ 6,632,555.38	\$ 4,938,583.83	\$ 3,005,663.20	\$ 2,358,717.00	\$ 3,492,613.55	\$ 5,255,965.85	\$ 113,174,112.06
OUTSIDE CITY LIMITS																		
Water	\$ 3,911,845.00	\$ 745,450.00	\$ 1,011,596.00	\$ 1,370,143.00	\$ 2,062,853.00	\$ 1,669,009.00	\$ (1,300.00)	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 10,769,596.00
Wholesale	2,129,401.00	428,946.00	261,203.00	267,347.00	292,417.00	116,056.00	724,936.00	983,550.00	597,900.00	467,800.00	613,050.00	266,606.00	1,057,244.00	631,531.68	148,550.00	254,350.00	190,642.75	9,431,530.43
DWPZ ETJ,DDZ ETJ	0	0	0	0	0	0	1,229,355.00	708,256.00	555,450.00	347,763.00	608,150.00	556,271.81	701,300.00	439,649.00	232,550.00	374,700.00	538,899.50	6,292,344.31
Total Outside Water	\$ 6,041,246.00	\$ 1,174,396.00	\$ 1,272,799.00	\$ 1,637,490.00	\$ 2,355,270.00	\$ 1,785,065.00	\$ 1,952,991.00	\$ 1,691,806.00	\$ 1,153,350.00	\$ 815,563.00	\$ 1,221,200.00	\$ 822,877.81	\$ 1,758,544.00	\$ 1,071,180.68	\$ 381,100.00	\$ 629,050.00	\$ 729,542.25	\$ 26,493,470.74
Wastewater	\$ 1,893,982.00	\$ 415,774.00	\$ 627,031.00	\$ 747,203.00	\$ 1,125,027.00	\$ 810,758.00	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 5,619,775.00
Wholesale	2,073,977.00	479,307.00	183,266.00	148,351.00	198,554.00	59,800.00	2,427,746.00	355,700.00	442,300.00	547,750.00	658,750.00	681,250.00	858,500.00	834,770.95	368,600.00	524,500.00	337,761.25	11,180,883.20
DWPZ ETJ,DDZ ETJ	0	0	0	0	0	0	652,050.00	424,950.00	522,100.00	162,950.00	246,550.00	315,700.00	310,450.00	186,700.00	108,550.00	173,700.00	167,000.00	3,270,700.00
Total Outside Wastewater	\$ 3,967,959.00	\$ 895,081.00	\$ 810,297.00	\$ 895,554.00	\$ 1,323,581.00	\$ 870,558.00	\$ 3,079,796.00	\$ 780,650.00	\$ 964,400.00	\$ 710,700.00	\$ 905,300.00	\$ 996,950.00	\$ 1,168,950.00	\$ 1,021,470.95	\$ 477,150.00	\$ 698,200.00	\$ 504,761.25	\$ 20,071,358.20
TOTAL OUTSIDE CITY CRFs	\$ 10,009,205.00	\$ 2,069,477.00	\$ 2,083,096.00	\$ 2,533,044.00	\$ 3,678,851.00	\$ 2,655,623.00	\$ 5,032,787.00	\$ 2,472,456.00	\$ 2,117,750.00	\$ 1,526,263.00	\$ 2,126,500.00	\$ 1,819,827.81	\$ 2,927,494.00	\$ 2,092,651.63	\$ 858,250.00	\$ 1,327,250.00	\$ 1,234,303.50	\$ 46,564,828.94
INTEREST																		
Water Interest	\$ 780,456.00	\$ 248,110.00	\$ 554,835.00	\$ 667,907.00	\$ 411,718.00	\$ 788,403.00	\$ 104,810.00	\$ 101,613.00	\$ 68,477.00	\$ 67,332.00	\$ 101,447.00	\$ 141,302.40	\$ 126,096.16	\$ 84,521.65	\$ 13,752.57	\$ 5,301.70	\$ 3,399.31	\$ 4,269,481.79
Wastewater Interest	497,724.00	178,315.00	349,293.00	237,518.00	287,273.00	510,370.00	94,054.00	59,429.00	31,324.00	51,608.00	101,853.00	153,001.00	105,757.64	48,407.02	10,250.53	2,923.97	2,536.62	2,721,637.78
TOTAL INTEREST	\$ 1,278,180.00	\$ 426,425.00	\$ 904,128.00	\$ 905,425.00	\$ 698,991.00	\$ 1,298,773.00	\$ 198,864.00	\$ 161,042.00	\$ 99,801.00	\$ 118,940.00	\$ 203,300.00	\$ 294,303.40	\$ 231,853.80	\$ 132,928.67	\$ 24,003.10	\$ 8,225.67	\$ 5,935.93	\$ 6,991,119.57
TOTAL INSIDE/OUTSIDE CRFS & INTEREST	\$ 32,103,719.00	\$ 8,245,699.00	\$ 10,380,010.25	\$ 11,813,277.00	\$ 13,082,164.00	\$ 10,440,292.00	\$ 9,920,787.00	\$ 7,915,454.00	\$ 8,136,846.00	\$ 8,242,577.00	\$ 9,808,109.00	\$ 8,746,686.59	\$ 8,097,931.63	\$ 5,231,243.50	\$ 3,240,970.10	\$ 4,828,089.22	\$ 6,496,205.28	\$ 166,730,060.57
\$ 0																		
SUMMARY	0	FY 1996-97	FY 1997-98	FY 1998-99	FY 1999-00	FY 2000-01	FY 2001-02	FY 2002-03	FY 2003-04	FY 2004-05	FY 2005-06	FY 2006-07	FY 2007-08	FY 2008-09	FY 2009-10	FY 2010-11	FY 2011-12	ITD
Total Inside City CRFs	\$ 20,816,334.00	\$ 5,749,797.00	\$ 7,392,786.25	\$ 8,374,808.00	\$ 8,704,322.00	\$ 6,485,896.00	\$ 4,689,136.00	\$ 5,281,956.00	\$ 5,919,295.00	\$ 6,597,374.00	\$ 7,478,309.00	\$ 6,632,555.38	\$ 4,938,583.83	\$ 3,005,663.20	\$ 2,358,717.00	\$ 3,492,613.55	\$ 5,255,965.85	\$ 113,174,112.06
Total Outside City CRFs	10,009,205.00	2,069,477.00	2,083,096.00	2,533,044.00	3,678,851.00	2,655,623.00	5,032,787.00	2,472,456.00	2,117,750.00	1,526,263.00	2,126,500.00	1,819,827.81	2,927,494.00	2,092,651.63	858,250.00	1,327,250.00	1,234,303.50	\$ 46,564,828.94
Total Interest	1,278,180.00	426,425.00	904,128.00	905,425.00	698,991.00	1,298,773.00	198,864.00	161,042.00	99,801.00	118,940.00	203,300.00	294,303.40	231,853.80	132,928.67	24,003.10	8,225.67	5,935.93	6,991,119.57
Add: Transfers-in from CRF Funded Grant Funds & Other Adjustments	0	0	0	0	506,569.40	236,326.00	680,913.00	(7,250.00)	(1,452.00)	(1,300.00)	3,899.00	(4,127.93)	0	0	2,000.00	(1,000.00)	0	1,414,577.47
Defeasance Application	(28,495,274.00)	0	(9,822,590.00)	(17,000,000.00)	0	0	0	0	0	0	0	0	0	0	0	0	0	(55,317,864.00)
Transfers to CIP	0	0	0	0	0	0	(29,300,000.00)	(9,874,000.00)	(9,694,000.00)	(8,760,000.00)	(8,260,000.00)	0	0	0	11,385,673.00	0	0	(54,502,327.00)
Transfers to Debt Service	0	0	0	0	0	0	0	0	0	0	0	(8,380,000.00)	(10,970,000.00)	(8,550,000.00)	(17,885,673.00)	(4,800,000.00)	(4,300,000.00)	(54,885,673.00)
TOTAL	\$ 3,608,445.00	\$ 8,245,699.00	\$ 557,420.25	\$ (5,186,723.00)	\$ 13,588,733.40	\$ 10,676,618.00	\$ (18,698,300.00)	\$ (1,965,796.00)	\$ (1,558,606.00)	\$ (518,723.00)	\$ 1,552,008.00	\$ 362,558.66	\$ (2,872,068.37)	\$ (3,318,756.50)	\$ (3,257,029.90)	\$ 27,089.22	\$ 2,196,205.28	\$ 3,438,774.04

City of Austin, Texas  
Austin Water Utility  
Semi-Annual Capital Recovery Fee Units for Wholesale Customers  
As of September 30, 2012 close 2

MONTH	CASH REPORT REF. #	DATE	WHOLESALE CUSTOMER	CRF ORG	FEE UNITS WATER	FEE UNITS WWATER
<b>3rd QTR FY 2011-12</b>						
Apr-12	CR1204101563	04/10/12	Rivercrest	9970	6.0	
	CR1204061541	04/03/12	Northtown	9915	7.0	7.0
	CR1205011747	04/27/12	Northtown	9915	1.0	1.0
	CR1204271714	04/27/12	City of Manor	9954		1.0
	CR1204251691	04/25/12	WCID #17	9950		12.0
	CR1204131598	04/13/12	City of West Lake Hills	9951		1.5
May-12	CR1205312021	05/23/12	WCID #17	9950		14.0
Jun-12	CR1207032371	07/03/12	Northtown	9915	3.0	3.0
	CR1206122166	06/12/12	Rivercrest	9970	5.0	
	CR1206252281	06/25/12	WCID #17	9950		18.0
	CR1206252282	06/25/12	Windermere	9952	2.5	
<b>1st Quarter Total</b>					<u>24.5</u>	<u>57.5</u>
<b>4th QTR FY 2011-12</b>						
Jul-12	CR1207312644	07/31/12	Northtown	9915	1.0	1.0
	CR1207252827	07/25/12	WCID #17	9950		19.0
	CR1207312638	07/25/12	Marsha Water Supply Corp	9940	20.0	
	CR1207052388	07/10/12	Sunset Valley	9949	2.0	
Aug-12	CR1209052999	09/05/12	Northtown	9915	9.0	9.0
	CR1208162846	08/16/12	WCID #17	9950		11.0
	CR1208062721	08/06/12	WCID #10	9961	1.5	
Sep-12	CR1210120144	10/12/12	Rivercrest	9970	5.0	
	CR1209133099	09/11/12	Village of San Leanna	9968	5.0	
	CR1209133100	09/13/12	WCID #17	9950		25.0
	CR1209133099	09/11/12	Windermere	9952	2.5	
<b>2nd Quarter Total</b>					<u>46.0</u>	<u>65.0</u>
<b>Six Month Total</b>					<b>70.5</b>	<b>122.5</b>
Previous Six Month Total					30.0	117.0
YTD Total					<u>100.5</u>	<u>239.5</u>

City of Austin, Texas  
Austin Water Utility  
Summary of Capital Recovery Fee Exemptions and Waivers  
Per Taps Office Records for the Period April 1, 2012, to September 30, 2012 close 2

Type of Waiver/Exemption	Water \$	Wastewater \$	Total \$	Water Units	WW Units
1. LDC Section 25-9-347 Ordinance 20000420-077 Affordable Housing 1,500 fee units/year	\$189,912.50	\$110,812.50	\$ 300,725.00	264.0	262.5
2. LDC Section 25-9-341(E) 2nd Meter for Single Family Residence (Old REF# 13-3A-10(d))	-	-	-		
3. LDC Section Tap for fire protection only (Old REF # 13-3A-10(e))					
4. LDC Section 25-9-341 Reconnect, Abandon Previous Tap (Old REF# 13-3A-10(f))	153,350.00	42,250.00	195,600.00	769.0	227.5
5. LDC Section 25-9-345(B) Annexed property - 2 year waiver (Old REF# 13-3A-10(h))	18,000.00	8,800.00	26,800.00	15.5	8.0
6. LDC Section 25-9-341(B) State of Texas (Old REF# 13-3A-10(i))	9,600.00	2,800.00	12,400.00	19.0	9.0
7. LDC Section 25-9-341(C) C.D.B.G. Funding (Old REF# 13-3A-10(j))	-	-	-		
8. LDC Section 25-9-324 Second Sewer Tap (Old REF# 13-3A-8(b)(1))		3,200.00	3,200.00		6.0
9. LDC Section 25-9-353 Previously paid CRF, Tap expired (Old REF# 13-3A-8(g))	184,303.53	80,400.00	264,703.53	270.5	187.0
10. LDC Section 25-9-326 Installment payment of impact fees (Old REF# 13-3A-9(d))	-	-	-		
11. City Ordinance 980122-C 1997 Annexation- 10 year waiver					
12. EX-0002 Already paying for WW service and no service until they come in for a TAP	-	-	-		
13. EX-0003 LUE Assignment (2)			-		
14. EX-0004 Council Approved exemptions (3)	138,750.24	73,800.00	212,550.24	81.5	64.0
15. EX-0005 Stratus Properties (4)	59,600.00	37,100.00	96,700.00	30.0	32.5
16. EX-0006 WW Construction Projects	9,750.00	-	9,750.00	7.5	
17. EX-0007 Combo Stratus/Smart Housing (5)	-	-	-		0.0
18. EX-0009 Sentate Bill 883 School Waivers	17,100.00	6,400.00	23,500.00	28.5	16.0
19. 13-3A-6(c) Master Meter Exemption	-	-	-	0.0	
20. 13-3A-10(M) Water Well Annexation	3,000.00		3,000.00	2.0	
21. Smarth Growth	700.00		700.00	1.0	
<b>TOTALS</b>	<b>\$784,066.27</b>	<b>\$365,562.50</b>	<b>\$ 1,149,628.77</b>	<b>1488.5</b>	<b>812.5</b>

**City of Austin, Texas  
Austin Water Utility**

**Notes to Summary of Capital Recovery Fee Exemptions and Waivers:**

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- (1) LDC = The City of Austin Land Development Code.
- (2) LUE Assignment = Developer has entered into an agreement with the City to receive LUE credits because of funds expended by the developer to provide water and wastewater services. An example of this is The Forest Section 1 & 2. In this case, each time the developer sells a lot to a builder, the builder is eligible to receive CRF credits.
- (3) Includes various council approvals for exemptions including smart growth and the Bradley agreement from 2000 that states the City will agree to waive all water and wastewater CRFs and impact fees for all single family residential development project on the Original Edwards' Easement land and all non retail commercial development buildings containing less than 50,000 square ft of gross floor area. Any other development on the land including the development of a hotel and/or the Golf Course will be subject to all fees. Includes a waiver for the six party agreement for the Northridge water supply corporation project.
- (4) Circle C Land Development Corp DBA Stratus Properties....\$15,000,000. 1000+ acres development in SW Austin but up to \$1.5 million per year can be used for both CRF and tap fees in DDZ.
- (5) Stratus properties that are exempt from tap fees and CRFs for Smart Housing

In July 2003 KB Homes used \$174,900 in Stratus Credits to adjust their Smart Housing goals rather than pay CRFs for previously exempted properties.

## Summary of Water Capital Recovery Fee Collections, Exemptions and Waivers

	Mar-07	Sep-07	Mar-08	Sep-08	Mar-09	Sep-09	Mar-10	Sep-10	Mar-11	Sep-11	Mar-12	Sep-12
<b>Type of Waiver/Exemption</b>												
Affordable Housing	546,900	311,525	232,140	318,688	219,713	296,288	144,213	128,788	150,994	151,125	131,075	190,613
EX-0007--Stratus/Smart Housing	30,000	375	-	-	-	700	-	-	-	-	-	-
<b>Affordable Housing</b>	<b>576,900</b>	<b>311,900</b>	<b>232,140</b>	<b>318,688</b>	<b>219,713</b>	<b>296,988</b>	<b>144,213</b>	<b>128,788</b>	<b>150,994</b>	<b>151,125</b>	<b>131,075</b>	<b>190,613</b>
Section 25-9-345(B)--Annexed Property	-	-	-	1,750	6,500	1,500	-	-	-	28,500	18,000	18,000
Ordinance 980122-C--1997 Annexation	2,250	-	1,500	-	-	-	-	-	-	-	-	-
Water Well Annexation							700	-	-	14,250	6,000	3,000
<b>Annexation</b>	<b>2,250</b>	<b>-</b>	<b>1,500</b>	<b>1,750</b>	<b>6,500</b>	<b>1,500</b>	<b>700</b>	<b>-</b>	<b>-</b>	<b>42,750</b>	<b>24,000</b>	<b>21,000</b>
EX-0003--LUE Assignment	-	-	2,250	-	-	-	-	-	-	-	-	-
EX-0004--Council Approved	100,250	133,400	81,800	99,450	279,200	82,200	272,200	54,550	78,950	186,500	132,450	138,750
EX-0005--Stratus	151,500	242,200	149,950	213,904	24,750	55,500	78,700	90,200	89,150	175,300	52,050	59,600
<b>Council Developer Agreements</b>	<b>251,750</b>	<b>375,600</b>	<b>234,000</b>	<b>313,354</b>	<b>303,950</b>	<b>137,700</b>	<b>350,900</b>	<b>144,750</b>	<b>168,100</b>	<b>361,800</b>	<b>184,500</b>	<b>198,350</b>
Section 25-9-341(B)--State of Texas	73,400	33,800	17,650	9,600	-	-	15,600	6,000	36,750	46,800	20,600	9,600
EX-0006--WW Construction Projects	10,800	-	-	4,800	-	-	-	-	-	-	-	9,750
EX-0009--School Waivers		30,200	33,800	256,000	50,500	84,800	31,500	10,800	-	11,200	-	17,100
<b>Government</b>	<b>84,200</b>	<b>64,000</b>	<b>51,450</b>	<b>270,400</b>	<b>50,500</b>	<b>84,800</b>	<b>47,100</b>	<b>16,800</b>	<b>36,750</b>	<b>58,000</b>	<b>20,600</b>	<b>36,450</b>
Fire Protection Tap	-	-	-	-	-	-	-	-	-	-	3,900	-
Section 25-9-341©--CDBG Funding	-	-	-	-	-	-	-	-	-	-	-	-
Master Meter Exemption		146,500	-	-	-	-	-	-	-	8,800	-	-
<b>Other Waivers</b>	<b>-</b>	<b>146,500</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>8,800</b>	<b>3,900</b>	<b>-</b>
<b>Total Waivers</b>	<b>915,100</b>	<b>898,000</b>	<b>519,090</b>	<b>904,192</b>	<b>580,663</b>	<b>520,988</b>	<b>542,913</b>	<b>290,338</b>	<b>355,844</b>	<b>622,475</b>	<b>364,075</b>	<b>446,413</b>
<b>Water Fees Collected</b>	<b>1,752,474</b>	<b>3,449,022</b>	<b>2,268,623</b>	<b>2,881,458</b>	<b>1,757,664</b>	<b>1,304,430</b>	<b>893,206</b>	<b>1,026,613</b>	<b>1,186,006</b>	<b>1,679,175</b>	<b>1,752,867</b>	<b>2,401,215</b>
<b>Total Fees and Waivers</b>	<b>2,667,574</b>	<b>4,347,022</b>	<b>2,787,713</b>	<b>3,785,650</b>	<b>2,338,327</b>	<b>1,825,418</b>	<b>1,436,119</b>	<b>1,316,951</b>	<b>1,541,850</b>	<b>2,301,650</b>	<b>2,116,942</b>	<b>2,847,628</b>
Waivers as % Fees and Waivers	34.3%	20.7%	18.6%	23.9%	24.8%	28.5%	37.8%	22.0%	23.1%	27.0%	17.2%	15.7%
Section 25-9-341(E)--2nd Meter	-	-	-	-	-	-	-	-	-	-	-	-
Section 25-9-341--Reconnect	10,200	17,400	23,300	30,275	13,800	36,300	61,450	62,850	89,700	101,400	127,200	153,350
Section 25-9-324--2nd Sewer Tap	-	-	-	-	-	-	-	-	-	-	-	-
Section 25-9-353--Expired Tap	67,050	17,600	49,763	142,273	192,155	87,150	127,772	59,600	56,050	121,850	113,400	184,304
Section 25-9-326--Installment Payment	-	-	-	-	-	-	-	-	-	-	-	-
EX-0002--Paying for WW, no service until Tap	-	-	-	-	-	-	-	-	-	-	-	-
<b>Adjustments</b>	<b>77,250</b>	<b>35,000</b>	<b>73,063</b>	<b>172,548</b>	<b>205,955</b>	<b>123,450</b>	<b>189,222</b>	<b>122,450</b>	<b>145,750</b>	<b>223,250</b>	<b>240,600</b>	<b>337,654</b>
<b>Total Waivers and Adjustments</b>	<b>992,350</b>	<b>933,000</b>	<b>592,153</b>	<b>1,076,739</b>	<b>786,618</b>	<b>644,438</b>	<b>732,135</b>	<b>412,788</b>	<b>501,594</b>	<b>845,725</b>	<b>604,675</b>	<b>784,067</b>
<b>Total Fees, Waivers and Adjustments</b>	<b>2,744,824</b>	<b>4,382,022</b>	<b>2,860,776</b>	<b>3,958,197</b>	<b>2,544,282</b>	<b>1,948,868</b>	<b>1,625,341</b>	<b>1,439,401</b>	<b>1,687,600</b>	<b>2,524,900</b>	<b>2,357,542</b>	<b>3,185,282</b>
Waivers and Adjustments % of Total	36.2%	21.3%	20.7%	27.2%	30.9%	33.1%	45.0%	28.7%	29.7%	33.5%	25.6%	24.6%

## Summary of Wastewater Capital Recovery Fee Collections, Exemptions and Waivers

	Mar-07	Sep-07	Mar-08	Sep-08	Mar-09	Sep-09	Mar-10	Sep-10	Mar-11	Sep-11	Mar-12	Sep-12
<b>Type of Waiver/Exemption</b>												
Affordable Housing	302,400	180,600	129,155	190,225	120,275	173,525	84,075	76,475	90,944	89,344	78,725	110,813
EX-0007--Stratus/Smart Housing	19,800	1,800	-	-	-	-	-	2,900	-	-	-	-
<b>Affordable Housing</b>	<b>322,200</b>	<b>182,400</b>	<b>129,155</b>	<b>190,225</b>	<b>120,275</b>	<b>173,525</b>	<b>84,075</b>	<b>79,375</b>	<b>90,944</b>	<b>89,344</b>	<b>78,725</b>	<b>110,813</b>
Section 25-9-345(B)--Annexed Property	5,400	52,650	29,150	33,000	31,600	30,400	10,800	1,600	5,600	22,200	1,200	8,800
Ordinance 980122-C--1997 Annexation	8,000	17,200	57,700	2,400	2,800	2,000	4,400	3,200	800	-	-	-
Water Well Annexation							400	-	-	-	-	
<b>Annexation</b>	<b>13,400</b>	<b>69,850</b>	<b>86,850</b>	<b>35,400</b>	<b>34,400</b>	<b>32,400</b>	<b>15,600</b>	<b>4,800</b>	<b>6,400</b>	<b>22,200</b>	<b>1,200</b>	<b>8,800</b>
EX-0003--LUE Assignment	-	-	1,800	-	-	-	-	-	-	-	-	-
EX-0004--Council Approved	67,750	105,000	61,800	174,000	27,400	52,200	20,800	41,800	42,400	85,800	82,800	73,800
EX-0005--Stratus	123,000	174,850	121,400	161,050	19,800	44,400	61,000	58,200	34,313	79,200	30,600	37,100
<b>Council Developer Agreements</b>	<b>190,750</b>	<b>279,850</b>	<b>185,000</b>	<b>335,050</b>	<b>47,200</b>	<b>96,600</b>	<b>81,800</b>	<b>100,000</b>	<b>76,713</b>	<b>165,000</b>	<b>113,400</b>	<b>110,900</b>
Section 25-9-341(B)--State of Texas	40,000	15,200	6,400	-	-	-	-	-	-	16,000	6,400	2,800
EX-0006--WW Construction Projects	-	-	-	-	-	-	-	-	-	-	-	-
EX-0009--School Waivers		6,400	12,800	44,400	30,000	38,400	10,900	3,200	-	3,200	-	6,400
<b>Government</b>	<b>40,000</b>	<b>21,600</b>	<b>19,200</b>	<b>44,400</b>	<b>30,000</b>	<b>38,400</b>	<b>10,900</b>	<b>3,200</b>	<b>-</b>	<b>19,200</b>	<b>6,400</b>	<b>9,200</b>
Fire Protection Tap	-	-	-	-	-	-	-	-	-	-	2,800	-
Section 25-9-341©--CDBG Funding	-	-	-	-	-	-	-	-	-	-	-	-
Master Meter Exemption			-	-	-	-	-	-	-	-	-	-
<b>Other Waivers</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>2,800</b>	<b>-</b>
<b>Total Waivers</b>	<b>566,350</b>	<b>553,700</b>	<b>420,205</b>	<b>605,075</b>	<b>231,875</b>	<b>340,925</b>	<b>192,375</b>	<b>187,375</b>	<b>174,057</b>	<b>295,744</b>	<b>202,525</b>	<b>239,713</b>
<b>Fees Collected</b>	<b>924,900</b>	<b>2,325,987</b>	<b>1,187,995</b>	<b>1,528,002</b>	<b>1,031,846</b>	<b>1,004,375</b>	<b>694,674</b>	<b>602,475</b>	<b>786,205</b>	<b>1,168,477</b>	<b>1,019,236</b>	<b>1,316,951</b>
<b>Total Fees and Waivers</b>	<b>1,491,250</b>	<b>2,879,687</b>	<b>1,608,200</b>	<b>2,133,077</b>	<b>1,263,721</b>	<b>1,345,300</b>	<b>887,049</b>	<b>789,850</b>	<b>960,262</b>	<b>1,464,221</b>	<b>1,221,761</b>	<b>1,556,664</b>
Waivers as % Fees and Waivers	38.0%	19.2%	26.1%	28.4%	18.3%	25.3%	21.7%	23.7%	18.1%	20.2%	16.6%	15.4%
Section 25-9-341(E)--2nd Meter	-	-	-	-	-	-	-	-	-	-	-	-
Section 25-9-341--Reconnect	24,200	12,400	18,200	19,552	13,000	12,500	37,000	34,800	48,800	71,650	63,100	42,250
Section 25-9-324--2nd Sewer Tap	2,000	13,400	69,200	78,400	44,400	11,200	11,000	3,200	4,800	14,800	12,400	3,200
Section 25-9-353--Expired Tap	22,550	23,400	57,896	136,048	202,650	42,000	80,861	29,600	18,700	42,649	60,100	80,400
Section 25-9-326--Installment Payment	-	-	-	-	-	-	-	-	-	1,200	-	-
EX-0002--Paying for WW, no service until Tap	-	-	-	-	-	-	-	-	-	-	-	-
<b>Adjustments</b>	<b>48,750</b>	<b>49,200</b>	<b>145,296</b>	<b>234,000</b>	<b>260,050</b>	<b>65,700</b>	<b>128,861</b>	<b>67,600</b>	<b>72,300</b>	<b>130,299</b>	<b>135,600</b>	<b>125,850</b>
<b>Total Waivers and Adjustments</b>	<b>615,100</b>	<b>602,900</b>	<b>565,501</b>	<b>839,075</b>	<b>491,925</b>	<b>406,625</b>	<b>321,236</b>	<b>254,975</b>	<b>246,357</b>	<b>426,043</b>	<b>338,125</b>	<b>365,563</b>
<b>Total Fees, Waivers and Adjustments</b>	<b>1,540,000</b>	<b>2,928,887</b>	<b>1,753,496</b>	<b>2,367,077</b>	<b>1,523,771</b>	<b>1,411,000</b>	<b>1,015,910</b>	<b>857,450</b>	<b>1,032,562</b>	<b>1,594,520</b>	<b>1,357,361</b>	<b>1,682,514</b>
Waivers and Adjustments % of Total	39.9%	20.6%	32.2%	35.4%	32.3%	28.8%	31.6%	29.7%	23.9%	26.7%	24.9%	21.7%