**Office of the City Auditor**
**FY18 Audit Plan Working List**

**Audits Underway as of August 2017 (many will be included as carryover on the FY18 plan)**

- Demolition Permits
- Capital Project Delivery
- Workforce Development Contracts
- Online Services
- Fire Department Overtime
- Special Project on Past Displacement and Gentrification Efforts
- Fee Waivers
- Homeless Assistance
- On-Call Utilization [on hold]
- Austin Energy Inventory
- Neighborhood Centers
- NHCD Programs: Down Payment Assistance and Matched Savings
- Ongoing Follow-up on Prior Recommendations (Safety, Technology, and Others)

**Potential Projects for Fiscal Year 2018**

| Audit Topic | Primary Priority Area | Preliminary Objective(s) |
|---|---|---|
| Affordable Housing: Tenant Selection | Ec. Opportunity/ Affordability | Does the City monitor to ensure developers of affordable units select qualified tenants in an equitable manner? |
| City Coordination in the Right-of-Way | Mobility | Is the City effectively coordinating in the right-of-way to minimize traffic disruptions and maximize traffic flow? |
| Police Response to People with Special Needs | Safety | Is Austin Police Department effectively responding to incidents involving people with special needs? |
| Home Repair Programs | Safety | Are programs administered by the City to provide home repairs for low-income residents coordinated effectively and meeting goals? |
| Cultural Arts Grants: Outcome Reporting/ Monitoring | Cultural/Learning Opportunities | Are cultural arts grants monitored effectively to ensure agreed upon outcomes are achieved? |
| Social Services Contracting | Cross-cutting | Is the City's approach to developing, awarding, and monitoring social service contracts ensuring desired outcomes are achieved? |
| Utilization of City-Owned Properties | Government that Works | Is the City effectively utilizing City-owned properties to achieve City objectives?  Are City leases cost-effective? |
| Permitting Process Improvements | Government that Works | Have actions taken to improve the permitting process as a result of prior audit and consultant reports resulted in improved services? |
| Lobbyist Registration Compliance | Government that Works | Are lobbyists complying with City Code provisions? [required by City Code provisions] |
| Ongoing Follow-up for Prior Recommendations | Cross-cutting | Are recommendations previously issued by the City Auditor being implemented by departments? |

**Other Identified Audit Projects Not Included on FY18 Draft Audit Plan**

| Primary Priority Area | Audit Topic | Possible Objective(s) |
|---|---|---|
| Ec. Opportunity/ Affordability | City/County Childcare Programs | Are childcare programs complying with contract terms and achieving desired outcomes? |
| Ec. Opportunity/ Affordability | Tenant-Based Rental Assistance | Is the tenant-based rental assistance program being administered effectively to help qualified renters? |
| Ec. Opportunity/ Affordability | Small Business Assistance | Are programs administered by the City assisting qualified small businesses and achieving desired outcomes? |
| Ec. Opportunity/ Affordability | Women, Infants, and Children (WIC) Program | Are WIC programs provided by the City meeting program objectives? |
| Ec. Opportunity/ Affordability | Affordable Housing: Return on Investment | Is the City achieving a return on investment for affordable units in line with other comparable cities/entities? |
| Ec. Opportunity/ Affordability | Living Wage Compliance | Are City departments monitoring contracts to ensure compliance with living wage requirements? |
| Ec. Opportunity/ Affordability | Food Programs | Are the City's food programs coordinated to serve as many residents as possible? |
| Ec. Opportunity/ Affordability | Customer Assistance Program Eligibility | Are customers receiving discounts through the customer assistance program eligible for the program? |
| Mobility | Traffic Impact Analysis | Is the traffic impact analysis process effective? Is the City coordinating with other entities? |
| Mobility | Sidewalk Fund | Are sidewalk projects selected and prioritized in an efficient and equitable manner? |
| Safety | Police and Fire Use of Civilian Staff | Are APD and AFD optimizing the use of civilians for tasks that do not require an officer? What approaches are in place in other cities that could be beneficial in Austin? |
| Safety | Police Training | Are APD training programs effective at reducing escalation and use of force? |
| Safety | Traffic Enforcement | Are traffic laws being enforced to promote safety? |
| Safety | Fire Hydrant Management | Is the City effectively managing fire hydrants to ensure water is available when needed for fire response and minimize waste? |
| Safety | Police Incident Reporting | Is data on incidents reliable, consistent, and reported appropriately? |
| Safety | Municipal Court: Service Delivery | Does the Municipal Court effectively and efficiently meet the needs of stakeholders? |
| Health | Mobile Vendor Food Safety | Is the City effectively monitoring food safety at mobile food sites? |
| Health | Golf Course Management | Are the City's golf courses managed effectively and efficiently? |
| Health | Water Rebate Programs | Are water rebate programs assisting eligible customers, and managed effectively and efficiently to achieve desired outcomes? |
| Health | Zero Waste Progress | Has the City made progress towards goals adopted in the City's zero waste plan? |
| Government that Works | Management of Personnel Vacancies | Does the City effectively manage vacancies to meet operational needs? |
| Government That Works | City Ethics Program | How does the City know if its ethics program is working? |
| Government That Works | Human Resources Governance | Does the current approach contribute to consistent outcomes for employees and applicants? |

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| Primary Priority Area | Audit Topic | Possible Objective(s) |
|---|---|---|
| Government That Works | IT Security Management | How vulnerable are City systems to hacks or other intrusions? |
| Government That Works | Citywide Overtime Pay | Are overtime decisions managed and tracked to address need, safety, equity, and cost effectiveness? |
| Government That Works | Budget Process | Does the budget process meet the needs of Council and citizens? How does Austin's process compare to other entities? |
| Government That Works | Procurement Efficiency | Does the City's process result in timely and appropriate purchases? |
| Government That Works | Technology Procurement | Are technology purchases coordinated throughout the City and do they meet the needs of stakeholders? |
| Government That Works | Fleet:  Purchase and Replacement | How are fleet decisions made while balancing departmental needs, cost, and carbon reduction goals? |
| Government That Works | Purchasing Card Series: Austin Energy | Are City purchase card processes aligned with established policy and industry practice? |
| Government That Works | Purchasing Card Series: Parks and Recreation | Are City purchase card processes aligned with established policy and industry practice? |
| Government That Works | South Texas Project Nuclear Plant Risk Assessment | Does oversight at STP effectively address risks associated with aging nuclear reactors?  Is participation in STP cost effective for the City? |
| Government That Works | Outside Counsel Usage | How does the City determine that outside legal counsel is needed and what are the costs and outcomes? |
| Government That Works | Parking Benefit Districts | How does the City account for these funds and ensure that they are used for intended purposes? |
| Government That Works | Capital Recovery Fees at Austin Water | Are fees set appropriately to cover cost? |
| Government That Works | Dedicated Revenue Funds | Are selected dedicated revenue funds being used for their intended purposes? |
| Government That Works | Zoning Application Process | Is the City's zoning application process efficient and effective? |
| Government That Works | Zoning and Development Notifications | Are notification processes efficient and is the City notifying all appropriate parties? |