

AUDIT PLAN STATUS

Fiscal Year 2017

NEW AUDITS – Requested or Identified as High Risk

	Audit Area	Department(s)	Preliminary Objective	Audit Status
HIGH LEVEL AUDITS				
1a	Affordability Outcomes: Neighborhood Centers	Public Health	Are Austin's Neighborhood Centers achieving desired outcomes efficiently and effectively?	Added in May 2017
1b	Affordability Outcomes: Matched Savings & Down Payment Assistance	Neighborhood Housing	Are the matched savings and down payment assistance programs serving eligible residents and achieving program goals?	Added in May 2017
2	Homelessness Assistance	Public Health, Neighborhood Housing	What are the outcomes of City efforts to address the needs of the homeless population?	In Progress: Planning Phase
3	Bond Spending	Citywide	Have bond funds been spent timely and in alignment with voter approval?	Removed, merged with Capital Project Delivery (#6)
4	Online Services	Citywide	How many City services are available online and how easy are they to use?	In Progress: Fieldwork Phase
PROCESS AUDITS				
5	Public Information Requests	Law, Citywide	Does the City follow open records laws and provide requested information in an efficient and timely manner?	On Hold
6	Capital Project Delivery	Public Works	Does the City effectively and efficiently coordinate and deliver construction projects that meet identified needs?	In Progress: Reporting Phase
7	Fee Waivers	Citywide	How much money is waived each year and how are those decisions made?	In Progress: Planning Phase
8	Wildfire Preparedness	Fire	Does the City have a process to plan for and respond to wildfires?	Removed, deferred due to initiatives underway
9	Demolition Permits	Development Services	Does the City's process ensure enough consideration of notice and safety issues before demolition approvals are made?	In Progress: Reporting Phase
RESOURCE AUDITS				
10a	On Call Utilization	Citywide	How many employees receive "on call" pay and how often are they called back to conduct work?	On Hold
10b	Fire Department Overtime	Fire	How do AFD policies and practices affect personnel expenditures? How do operational practices, rules, staffing models, or other factors differ from comparable cities' fire departments?	Added in June 2017
11	Inventory Management Series: Austin Energy	Austin Energy	How does Austin Energy protect and manage its inventory items?	In Progress: Planning Phase
INITIATIVES				
12	Audit Recommendations Follow-up [3 Audits]	Multiple	Has City management made timely changes that were agreed to in recent audits?	Completed [1]: 03/2017 In Progress [2&3]

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CARRYOVER AUDITS FROM FISCAL YEAR 2016				
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HIGH LEVEL AUDITS				
13	Affordability Review, Phases 1 & 2	Citywide	(1) What are the major expense categories for Austin households in each Council district? (2) What current City initiatives, policies, and programs affect affordability for Austin residents?	Completed: 12/2016 Completed: 03/2017
14	Personnel Investigations (External Review)	Human Resources, Citywide	How can processes and protocols related to investigations throughout the City of alleged discrimination, harassment, and retaliation be improved?	Completed: 03/2017
PROCESS AUDITS				
15	Historic Property Designation Process	Planning and Zoning	How are City historic property designation decisions made and how does it compare to other programs?	Completed: 02/2017
16	Employee Health Claims and Eligibility	Human Resources	How successful have City efforts been to limit unnecessary health costs?	Completed: 11/2016
17	Workforce Development Contracts	Economic Development	How does the City make sure that these contract agreements are being followed and making a difference?	In Progress: Fieldwork Phase
18	Flood Buyout Program	Watershed Protection	Is the City managing flood buyout projects efficiently and cost-effectively?	Completed: 02/2017
19	Street Cut Repairs	Public Works	When a street has been cut open, how quickly does the City fix the problem and how much does it cost?	Completed: 03/2017
20	Fleet: Preventive Maintenance for Light Duty Vehicles	Fleet	Does the City maintain its vehicles on time and how does the cost compare with other maintenance alternatives?	Completed: 05/2017
RESOURCE AUDITS				
21	Access Control Series: Utility Billing System	Austin Energy	How does the City make sure that only authorized people have access to information in the Customer Care and Billing system?	Completed: 05/2017
22	Cash Handling: Parks and Recreation Department	Parks and Recreation	Does the Parks and Recreation Department account for all the cash it receives?	Completed: 03/2017

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