

# City of Austin Fiscal Year 2017-18 CAPER

Consolidated Annual Performance and Evaluation Report

Providing Opportunities, Changing Lives

**Homeless &  
Special Needs  
Assistance**

**Renter  
Assistance**

**Homebuyer  
Assistance**

**Homeowner  
Assistance**

**Housing  
Development  
Assistance**

**Neighborhood  
& Commercial  
Revitalization**

**Small  
Business  
Assistance**

**Financial  
Empowerment**



Neighborhood Housing and  
Community Development Office



**City of Austin, Texas**

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**Fiscal Year 2017-18 Consolidated  
Annual Performance Evaluation  
Report (CAPER)**

**For Consolidated Plan Years  
October 1, 2014 through September 30, 2019**



Prepared by:

City of Austin

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- Attachment 4: Citizen Participation Plan, Public Notice, and Comments
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# CITY OF AUSTIN FISCAL YEAR 2017-18 CAPER

## Consolidated Annual Performance and Evaluation Report

To be submitted to HUD December 28, 2018

The Consolidated Annual Performance and Evaluation Report (CAPER) is an end-of-year requirement of the U.S. Department of Housing and Urban Development (HUD). The purpose of the CAPER is to provide an overall evaluation of federally-funded activities and accomplishments to HUD and the community. The Fiscal Year 2017-2018 CAPER will be submitted electronically to HUD via the Integrated Disbursement and Information System (IDIS) on December 28, 2018.

IDIS is the reporting system for the following formula grant programs:

- Community Development Block Grant (CDBG)
- HOME Investment Partnerships (HOME)
- Housing Opportunities for Persons with AIDS (HOPWA)
- Emergency Solutions Grant (ESG)

While the CAPER focuses on federally funded activities, the City of Austin recognizes the importance that local funding plays in the provision of housing and community development services. Attachment 5g of this document - Summary Funding and Production Table - presents Fiscal Year 2017-2018 accomplishments supported by both local and federal funds.

## CR-05 - Goals and Outcomes

### **Progress the jurisdiction has made in carrying out its strategic plan and its action plan. 91.520(a)**

*This could be an overview that includes major initiatives and highlights that were proposed and executed throughout the program year.*

Table 1 – Accomplishments – Program Year and Strategic Plan to Date displays actual performance for the most recent fiscal year. Data are organized by funding priority, which originate from the City of Austin’s FY 2014-19 Consolidated Plan. The City identified eight high priority needs in its FY 2014-19 Consolidated Plan: Homeless/Special Needs; Renter Assistance; Homebuyer Assistance; Homeowner Assistance; Housing Development Assistance; Neighborhood and Commercial Revitalization; Small Business Assistance; and Financial Empowerment. These priority needs provide a broad spectrum of programs that address community needs for a variety of constituencies. These include, but are not limited to: prospective homebuyers, homeowners, children, seniors, youth, affordable housing developers, and rental tenants, as well as prospective and current small business owners. In FY 2015-16, the City adjusted its ESG Shelter Operating and Maintenance (ARCH) accomplishment goal to include only night shelter clients. The Strategic Plan goal included Day Resource clients, who receive services other than shelter services. The City continues to evaluate and modify program administration to improve efficiency.

#### Highlights from the Housing Developer Assistance Program

- The Rental Housing Development Assistance (RHDA) program provides opportunities to create and retain affordable rental units for low- and moderate- income households and low-income persons with special needs. Total unit production from all funding sources (local and federal) was 294 units. Thirty-four percent of those were households with incomes at or below 30 percent of Median Family Income (MFI), thus meeting City goals of targeting RHDA funding to the lowest income levels. This program exceeded the annual goal due to the completion of three large multi-family developments during the fiscal year. These developments utilized tax credits and multiple sources of funding to leverage tens of millions of dollars; one dollar of RHDA funding, whether federal or non-federal, was able to leverage more than seven dollars in other funding.
- The Acquisition and Development (A&D) program works with lenders, non-profit developers and for-profit developers to leverage city and federal funds for: 1) the acquisition and development of lots, 2) the acquisition and rehabilitation of structures, 3) the acquisition of new housing units, and 4) the construction of new housing. Total unit production from all funding sources – local and federal – was 30 newly constructed single-family homes. Homes were sold to income-eligible homebuyers at 80 percent or below of MFI. More than one quarter of the homes were sold to households with three or more persons. The A&D program tripled its annual production goal in FY 2017-18.

**Comparison of the proposed versus actual outcomes for each outcome measure submitted with the consolidated plan and explain, if applicable, why progress was not made toward meeting goals and objectives. 91.520(g)**

*Categories, priority levels, funding sources and amounts, outcomes/objectives, goal outcome indicators, units of measure, targets, actual outcomes/outputs, and percentage completed for each of the grantee’s program year goals.*

Table 1 – Accomplishments – Program Year and Strategic Plan to Date, features federally funded accomplishments only. Some fields are intentionally left BLANK due to an error setting up programs during the creation of the 2014-19 Consolidated Plan in IDIS. Incorrect values are noted in the Production Detail as “NA-Indicator Set Up in Error”. The Production Detail column provides a breakdown of the program year accomplishments displayed in each row. This has been added by the City of Austin for ease of reference.

Attachment 5g of this document - Summary Funding and Production Table - presents Fiscal Year 2017-2018 accomplishments supported by both local and federal funds.

Goal	Category	Source Amount	Indicator	Unit of Measure	Expected Strategic Plan	Actual Strategic Plan	Percent Complete	Expected Program Year	Actual Program Year	Percent Complete	Production Detail
1) Financial Empowerment	Financial Empowerment	HHS IDA Grant: \$0	Public service activities other than Low/Moderate Income Housing Benefit	Persons Assisted	190	105	55%	0	29	100%	Individual Development Account Program
2) Homebuyer Assistance	Affordable Housing	HOME: \$	Homeowner Housing Added	Household Housing Unit	0	0	BLANK	BLANK	BLANK	BLANK	*NA Indicator Set up in Error
3) Homebuyer Assistance	Affordable Housing	HOME: \$845,000	Direct Financial Assistance to Homebuyers	Households Assisted	75	85	113%	15	22	146%	*Down Payment Assistance
4) Homeless/Special Needs	Homeless Non-Homeless Special Needs	CDBG: \$ HOPWA: \$ ESG: \$	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit	Persons Assisted	0	0	0.00%	BLANK	BLANK	BLANK	*NA Indicator Set up in Error
5) Homeless/Special Needs	Homeless Non-Homeless Special Needs	CDBG: \$837,213	Public service activities other than Low/Moderate Income Housing Benefit	Persons Assisted	0	1876	0%	564	486	86%	*Child Care Services (215) *Senior Services (133) *Youth Supports Services (138)
6) Homeless/Special Needs	Homeless Non-Homeless Special Needs	ESG: \$887,287	Tenant-based rental assistance/Rapid Rehousing	Household Assisted	1466	405	16%	143	80	56%	*Rapid Re-Housing Program



7) Homeless/ Special Needs	Homeless Non- Homeless Special Needs	ESG: \$887,287	Homeless Person Overnight Shelter	Persons Assisted	27080	11052	28%	2000	1607	80%	*ARCH-ESG Shelter Operating and Maintenance
8) Homeless/ Special Needs	Homeless Non- Homeless Special Needs	CDBG: \$ HOPWA: \$ ESG: \$	Homelessness Prevention	Persons Assisted	355	0	0.00%	0	0	0	*NA All HOPWA goals listed together
9) Homeless/ Special Needs	Homeless Non- Homeless Special Needs	CDBG: \$ HOPWA: \$ ESG: \$	Housing for People with HIV/AIDS added	Household Housing Unit	197	0	0.00%	BLANK	BLANK	BLANK	*NA All HOPWA goals listed together
10) Homeless/ Special Needs	Homeless Non-Homeless Special Needs	HOPWA: \$1,296,948	HIV/AIDS Housing Operations/ Public Service Activities other than low/moderate income housing benefit/ Homelessness Prevention/ Tenant-based rental assistance/ rapid re-housing	Household Housing Unit Households Assisted Persons Assisted	492	1418	166%	364	364	100%	*Permanent Housing Placement (28) *Short-term supported housing assistance (95) *Short-term rent mortgage and utilities (93) *Supportive Services (50) *Tenant Based Rental Assistance (58) *Facility Based transitional housing (40)
11) Homeowner Assistance	Affordable Housing	CDBG: \$3,111,346 HOME: \$484,520	Homeowner Housing Rehabilitated	Household Housing Unit	3527	1498	36%	416	276	66%	*ABR Owner (66) *Emergency Home Repair (195) *HRLP (15)

12) Housing Development Assistance	Affordable Housing Homeless	CDBG: \$714,327 HOME: \$433,513	Rental units constructed	Household Housing Unit	3250	522	16%	9	144	1600%	*RHDA New Construction
13) Housing Development Assistance	Affordable Housing Homeless	CDBG: \$714,327 HOME: \$433,513	Rental units rehabilitated	Household Housing Unit	0	12	0.00%	0	1		*RHDA Rehab (1)
14) Housing Development Assistance	Affordable Housing Homeless	CDBG: \$486,633 HOME: \$288,761	Homeowner Housing Added	Household Housing Unit	68	36	53%	10	8	80%	*Acquisition & Development
15) Housing Development Assistance	Affordable Housing Homeless	CDBG: \$ HOME: \$	Homeowner Housing Rehabilitated	Household Housing Unit	0	0	0	0	0	0	*NA Indicator Set up in Error
16) Housing Development Assistance	Affordable Housing Homeless	CDBG: \$ HOME: \$	Housing for Homeless added	Household Housing Unit	0	0	0	0	0	0	*NA Indicator Set up in Error
17) Housing Development Assistance	Affordable Housing Homeless	CDBG: \$ HOME: \$	Housing for People with HIV/AIDS added	Household Housing Unit	0	0	0	0	0	0	*NA Indicator Set up in Error
18) Housing Development Assistance	Affordable Housing Homeless	HOME: \$50,000	Other	Other	13	1	7.7%	2	1	50%	*CHDO Operating Expense Grants
19) Neighborhood and Commercial Revitalization	Non-Housing Community Development	CDBG: \$	Other	Other	4	0	0.00%	0	0	0	*Neighborhood Opportunity Improvement Program (0). Inactive as of FY 2015-16
20) Renter Assistance	Affordable Housing	CDBG: \$242,064	Public service activities other than Low/	Persons Assisted	0	2307	0.00%	511	625	122%	*Tenants' Rights Assistance

			Moderate Income Housing Benefit								
21) Renter Assistance	Affordable Housing	CDBG: \$ HOME: \$	Public service activities for Low/Moderate Income Housing Benefit	Households Assisted	2427	0	0.00%	BLANK	BLANK	0	*NA Indicator Set up in Error
22) Renter Assistance	Affordable Housing	CDBG: \$185,000	Rental units rehabilitated	Household Housing Unit	76	29	38%	10	6	60%	*Architectural Barrier Program-Rental
23) Renter Assistance	Affordable Housing	HOME: \$510,300	Tenant-based rental assistance Rapid Rehousing	Households Assisted	495	281	57%	75	77	97%	*Tenant Based Rental Assistance
24) Small Business Assistance	Non-Housing Community Development	CDBG: \$150,000	Jobs created/retained	Jobs	29	24	83%	6	6	100%	*Community Development Bank (6)
25) Small Business Assistance	Non-Housing Community Development	CDBG: \$200,000	Businesses assisted	Businesses Assisted	165	91	55%	31	0	0	*Micro-enterprise Technical Assistance (0)
26) Small Business Assistance	Non-Housing Community Development	CDBG: \$	Other	Other	0	0	0.00%	0	0	0	*NA Indicator Set up in Error

**Table 1 - Accomplishments – Program Year & Strategic Plan to Date**

***Explanations of variance between “Expected” values and “Actual” values for select indicators are addressed below.***

**Row #**

**1) Goal: Financial Empowerment**

**Indicator:** Public service activities other than Low/Moderate Income Housing Benefit

**Description:** One hundred and ninety was the strategic goal for this multi-year grant, which also reflects local and federal funds. The initial grant term for the Individual Development Account (IDA) program was for FY 2012 through FY 2017. The grant received an extension in January 2017 to allow time for program recipients to complete the program. After receiving a one year extension, the grant ended March 31, 2018. During the grant period, the program served one hundred and five participants (thirty home purchases, fifty-two for businesses, and twenty-three for education expenses).

**3) Goal: Homebuyer Assistance**

**Indicator:** Direct Financial Assistance to Homebuyers

**Description:** The Down Payment Assistance (DPA) program exceeded its annual goal due to several reasons. The program observed an increase in the number of referrals from non-profit organizations, realtors, and lenders. In addition to lenders and non-profit organizations, the Neighborhood Housing and Community Development (NHCD) Department extended training opportunities to realtors. NHCD increased program awareness by participating in more community events and serving on panels as subject matter experts for affordable housing. This resulted in a positive increase in program activity.

**5) Goal: Homeless/Special Needs**

**Indicator:** Homeless, Non-homeless, Special Needs

**Description:** The Special Needs category includes three programs: Child Care Services, Senior Services, and Youth Support Services. The Special Needs Category did not meet its combined annual federal production goal. The Child Care Services program did not meet its annual federal goal due to an increase in the number of clients requesting service. The Senior Services program did not meet its annual production goal due to an increased amount of time spent on each client. The Youth Support Services program exceeded its annual federal production goal.

**6) Goal: Homeless/Special Needs**

**Indicator:** Homeless, Non-homeless, Special Needs

**Description:** The Rapid Rehousing programs did not meet the annual goal due to several reasons. The programs served fewer clients than anticipated due to a higher acuity of clients seeking Rapid Rehousing. The community has less Permanent Supportive Housing resources than needed, and since clients have a choice between Permanent Supportive Housing later or Rapid Rehousing now, Permanent Supportive Housing clients are being served in Rapid Rehousing programs. These clients have more housing barriers and require more resources, including rental assistance, which is a limited resource in the community. In addition, there is a large amount of funding from the allocation for 2017-19 that will be expended in the second year of the allocation FY 18-19.

**7) Goal: Homeless/Special Needs**

**Indicator:** Homeless, Non-homeless, Special Needs

**Description:** The ARCH Shelter Operating Maintenance category did not meet the annual goal due to the agency reducing the available beds in the dining room after a conversation with Austin Public Health Environmental Health Unit (responsible for kitchen inspections) where there was a discussion about how the dining room should not have sleeping mats due to health concerns. This reduced their number of beds available to 190, and as a result reduced the number of new clients counted for this measure.

**10) Goal: Homeless/Special Needs**

**Indicator:** HIV/AIDS Housing Operations

**Description:** The Housing Opportunities for People Living with HIV and AIDS program includes seven service categories: Permanent Housing Placement, Short-Term Supportive Housing (STSH), Short-Term Rent, Mortgage, and Utilities Assistance (STRMU), Supportive Services, Housing Case Management, Tenant-based Rental Assistance, and Facility Based Transitional Housing. The HIV/AIDS category met its overall federal goal due to a mid-year adjustment to production goals and program funding due to community need. The goals adjusted mid-year were: Short-Term Supportive Housing, Short-Term Rent, Mortgage, and Utilities Assistance, and Supportive Services. Funding for Short-Term Supportive Housing was increased during FY 17-18 due to an increase in the number of clients requesting services. Rising housing costs combined with the closure of a long-time temporary shelter led to higher than expected costs. Funding was increased for Short-Term Rent, Mortgage, and Utilities (STRMU) during FY 17-18 due to an increase in clients seeking rental assistance. The increase in funding allowed the continuation of STRMU assistance without interruption. Funding for Supportive Services was increased in FY 17-18 due to an increased need for supportive services for clients living in facility-based transitional housing. The increase in funding provided more services to existing clients.

**11) Goal: Homeowner Assistance**

**Indicator:** Homeowner Housing Rehabilitated

**Description:** The Homeowner Assistance category includes three programs: Architectural Barrier Removal (Owner), Emergency Home Repair, and the Home Rehabilitation Loan Program. Homeowner Assistance did not meet its combined annual goal due to several factors. The Architectural Barrier Removal (Owner) program did not meet its goal due to a shortage of City staff. The Emergency Home Repair Program production was less than expected due to a reorganization of the operational model, long delays in closing construction permits, and quality control issues in the construction process. The Home Rehabilitation Loan Program did not meet its annual goal due to a shortage in City staff. The backlog of applications is anticipated to be eliminated in FY 2018-19 once City staff are hired and trained. The City continues to increase marketing efforts for the Homeowner Assistance programs.

**12) Goal: Housing Development Assistance**

**Indicator:** Rental units constructed

**Description:** The Rental Housing Development Assistance (RHDA) program exceeded its annual goal due to the completion of three large multi-family developments during the fiscal year.

**14) Goal: Housing Development Assistance**

**Indicator:** Homeowner Housing Added

**Description:** The Acquisition and Development (A&D) program did not meet its annual federal goal due to unanticipated delays in the closing of loan agreements.

**18) Goal: CHDO Operating Expense**

**Indicator:** Affordable Housing Homeless

**Description:** CHDO Operating Expenses Grants are provided to qualified CHDOs when the CHDO has a project underway funded with HOME CHDO Reserve funds. The program did not meet its goal due to the verification of the final resolution of audit findings, which therefore caused a delay in the closing of the loan agreement. The two CHDOs provided funding in FY 2017-18 were Accessible Housing Austin! and Blackland Community Development Corporation.

**20) Goal: Renter Assistance**

**Indicator:** Affordable Housing

**Description:** The Tenants' Rights Assistance program exceeded its annual goal due to the high demand of tenants' counseling services.

**22) Goal: Renter Assistance**

**Indicator:** Rental units rehabilitated

**Description:** The Architectural Barrier Removal (ABR) – Renter program production was less than the expected due to a shortage of City staff. The backlog of applications is anticipated to be eliminated in FY 2018-19 once City staff are hired and trained. The City continued increased marketing efforts in 2017-2018 for the ABR-Renter program.

**23) Goal: Renter Assistance**

**Indicator:** Tenant-based rental assistance / Rapid Rehousing

**Description:** The Tenant Based Rental Assistance (TBRA) program exceeded its annual production goal due to the high demand for tenant based rental assistance.

**25) Goal: Small Business Assistance**

**Indicator:** Non-housing Community Development

**Description:** The Microenterprise Technical Assistance program did not use federal funding during FY 2017-18. As the result of a Settlement Agreement, the program used local funding to deliver programs and services during FY 2017-18. The expected production goal for FY 17-18 was 31 businesses assisted. The program exceeded its annual local production goal by 2, ending the fiscal year with a total annual production of 33 businesses assisted.

In addition to the accomplishments featured in Table 1, a description of Section 108 activities is provided below. Project level information is featured in Attachment 5e of this document. The source of this information is the City of Austin Economic Development Department.

#### **Section 108 Programs and Accomplishments**

<b>Project Description</b>	The Family Business Loan Program (FBLP), a public-private partnership loan program provides fixed-asset and working capital loans to qualified small-business borrowers in Austin. The lending partners are a private bank member of the Federal Home Loan Bank, an SBA-certified CDC Section 504 community lender, and the City of Austin's Economic Development Department (EDD). The FBLP's goals are to foster business expansion in low- and moderate-income neighborhoods, stimulate low- to moderate-income job creation, and increase Austin's tax base. The FBLP is targeted to Austin's business owners that are ready to expand their businesses and create jobs.
<b>Accomplishments Description</b>	In FY 2017-18 FBLP closed 4 new loans, managed an additional 15 loans previously closed, and reports 92 jobs. The program has created 219 jobs since 2012. Jobs created by the FBLP are counted for performance measure purposes when the job is created by the borrower. Borrowers commit to creating 1 full time job for every \$35,000 borrowed. Of the total 92 jobs reported for FY 17-18, 51% or greater benefit persons of low-to moderate-incomes (LTMI). Furthermore, 91% of businesses funded with the FY 2012 \$3,000,000 allocation have met their initial commitment, and are exceeding requirements for creating jobs for low-to moderate income persons. The proposed FY 17-18 goal of 5 businesses assisted reports 80% achievement due to several factors which include: program interest and application quality from potential for-profit borrowers, and adherence to HUD approved lending guidelines- all of which may result in less loan approvals. For the loan closed in FY 2017-18, \$867,000.00 in Section 108 funds leveraged \$1,789,100.00 in private financing.
<b>Performance Measure</b>	Jobs created and/or retained
<b>FY 2017-18 Total Funding</b>	\$785,845.29 = \$86,549.53 in FY 2018 program income, plus \$699,295.76 carried over from FY 2017.
<b>FY 2017-18 Proposed Goal</b>	5 businesses assisted
<b>FY 2017-18 Expended</b>	\$867,000
<b>FY 2017-18 Accomplishments</b>	92 FTE jobs created and/or retained in FY 2017-18.

**Assess how the jurisdiction's use of funds, particularly CDBG, addresses the priorities and specific objectives identified in the plan, giving special attention to the highest priority activities identified.**

The City's FY 2014-19 Consolidated Plan and FY 2014-15 Action Plan identified the following high priority need areas: Homeless/Special Needs Assistance; Renter Assistance; Homebuyer Assistance; Homeowner Assistance; Housing Development Assistance; Small Business Assistance; Neighborhood and Commercial Revitalization; and Financial Empowerment. These funding priorities were established based on the housing and community development needs identified through public and stakeholder input, the housing market analysis, and the analysis of special populations. Funding priorities were also affirmed in subsequent Annual Action Plans. All of the proposed funding priorities serve very-low, low- and moderate-income households in the City of Austin. In addition, the City of Austin's federally funded activities serve special needs populations including: seniors, persons with disabilities, persons experiencing homelessness and at risk of homelessness, persons living with HIV/AIDS, at risk children and youth, victims of domestic violence, housing authority residents, and persons returning to the community from correctional institutions and/or with criminal histories.

Funding priorities also seek to be responsive to the City of Austin's Analysis of Impediments to Fair Housing Choice, which is described in CR-35 of this document.

Of the high priority need areas identified above, those funded with CDBG in FY 17-18 were Special Needs Assistance; Renter Assistance; Homeowner Assistance; Housing Development Assistance; and Small Business Assistance. Progress for these programs in FY 2017-18 can be found in Table 1, *Accomplishments – Program Year and Strategic Plan to Date*.



## CR 10- Racial and Ethnic composition of families assisted

*Describe the families assisted (including the racial and ethnic status of families assisted). 91.520(a)*

Race	CDBG	HOME	ESG	HOPWA	TOTAL
White	968	99	929	225	2221
Black or African American	494	26	596	142	1258
Asian	18	2	14	8	42
American Indian or American Native	10	0	24	1	35
Native Hawaiian or Other Pacific Islander	0	0	7	0	7
Other (multi, declined to state, information missing)	26	1	117	7	151
Total	1516	128	1687	383	3714

### Ethnicity

Hispanic	520	44	1287	108	1959
Not Hispanic	996	84	380	275	1735
Other (declined to state, information missing)	0	0	20	0	20

**Table 2- Table of assistance to racial and ethnic populations by source of funds**

-Source of CDBG and HOME data is the City of Austin Neighborhood Housing and Community Development Department.

-Source of HOPWA and ESG data is Austin Public Health.

### Narrative

The City of Austin identifies priority needs and offers services and programs to eligible households regardless of race or ethnicity. The table on this page depicts counts for Fiscal Year 2017-18 by fund source.

## CR 15 Resources and Investments

*Identify Resources Made Available*

Source of Funds	Source	Expected Amount Available	Actual Amount Expended During Program Year
CDBG	CDBG	\$7,424,728	\$6,842,965
HOME	HOME	\$2,893,781	\$4,125,460
HOPWA	HOPWA	\$1,296,948	\$1,301,380
ESG	ESG	\$886,287	\$668,429
Other	Local-General Obligation Bond	\$10,000,000	\$20,838,173
Other	HHS IDA Grant	\$0	\$49,008

**Table 1 - Resources Made Available**

### Narrative

**CDBG:** Per the City of Austin Neighborhood Housing and Community Development (NHCD) Department, the expected Amount Available was \$7,424,182 and the actual Amount Expended was \$6,842,965 which is reflected in the table and includes all expenditures through September 30, 2018. Disbursements made after the end of the fiscal year, but contribute to the prior year, are included.

**HOME:** Per NHCD, the expected Amount Available was \$2,893,781 and the actual Amount Expended was \$4,125,460 which is reflected in the table and includes all expenditures through September 30, 2018. Disbursements made after the end of the fiscal year, but that contribute to the prior year, are included.

**HOPWA:** Per the Austin Public Health (APH) Department, the expected Amount Available was \$1,296,948 and the actual Amount Expended was \$1,301,380.

**ESG:** Per APH, the expected Amount Available was \$886,287 and the actual Amount Expended was \$668,429.

**Other:** Reflects non-formula grant expenditures that support funding priorities outlined in the FY 2014-19 Consolidated Plan.

## Identify the geographic distribution and location of investments

Target Area	Planned Percentage of Allocation	Actual Percentage of Allocation	Narrative Description
Throughout the City of Austin	100	100	Citywide

**Table 4 – Identify the geographic distribution and location of investments**

### Narrative

While the City of Austin does not currently target investments to specific geographic areas, it considers the geographic distribution of affordable housing throughout Austin to be a key core value in the investment of affordable housing-related activities with federal and local funds. The City supports providing affordable housing in areas outside of low-income neighborhoods, thereby reducing racial and ethnic segregation, deconcentrating poverty, and providing for more economic opportunities for low-income households. Neighborhood Housing and Community Development (NHCD) Department currently provides funding points through a scoring matrix system to projects that assist in the dispersion of affordable housing stock throughout the community, to focus on high opportunity areas in Austin where often there is a shortage of affordable housing. NHCD utilizes data from Enterprise Community Partners' Opportunity360 and the Austin Strategic Housing Blueprint Goals to inform scoring matrix. These resources help the City of Austin target rental subsidies and home ownership opportunities to low-income residents. See attachment 5h for a geographical representation of investment areas in FY 2017-18.

Finally, the City of Austin's Strategic Housing Blueprint reinforces the importance of geographic dispersion and increasing the diversity of housing choice and supply. The Austin City Council adopted the Strategic Housing Blueprint in 2017. The Strategic Housing Blueprint is described in section CR-35 of this document, and additional information is publicly available at [www.austintexas.gov/housingblueprint](http://www.austintexas.gov/housingblueprint)

## Leveraging

*Explain how federal funds leveraged additional resources (private, state and local funds), including a description of how matching requirements were satisfied, as well as how any publicly owned land or property located within the jurisdiction that were used to address the needs identified in the plan.*

The table below features leveraging generated in Fiscal Year 2017-18.

Program	Fund Source	Units	Unit Funding	Leveraged
<b>Units Leveraged That Received Federal Funds</b>				
Acquisition & Development (A&D)	HOME/CDBG	8	\$120,576	\$863,000
Down Payment Assistance (DPA)	HOME	22	\$43,994	\$3,656,432
Rental Housing Dev. Assist. (RHDA)	HOME/CDBG	145	\$15,732	\$36,615,238
	<b>Total-Federal Funded</b>	<b>175</b>	<b>\$180,302</b>	<b>\$41,134,670</b>

The matching requirements displayed in the Leveraging Table above were met through general obligation bonds and bond financing. Matching requirements are also displayed in the HOME Match Report, which is featured as Attachment 5c of the CAPER. For a description of how ESG matching requirements were satisfied, see section CR-75, table 11f.

Planning and community development is ongoing to develop the sites listed in the FY 2017-18 Action Plan, but no publicly owned land or property identified in the FY 2017-18 Action Plan were utilized during this reporting period.

Fiscal Year Summary – HOME Match	
1. Excess match from prior Federal fiscal year	\$32,782,206.75
2. Match contributed during current Federal fiscal year	\$17,042,572.84
3. Total match available for current Federal fiscal year (Line 1 plus Line 2)	\$49,824,779.59
4. Match liability for current Federal fiscal year	\$680,129.56
5. Excess match carried over to next Federal fiscal year (Line 3 minus Line 4)	\$49,144,650.03

Table 5 – Fiscal Year Summary - HOME Match Report

Match Contribution for the Federal Fiscal Year								
Project No. or Other ID	Date of Contribution	Cash (non-Federal sources)	Foregone Taxes, Fees, Charges	Appraised Land/Real Property	Required Infrastructure	Site Preparation, Construction Materials, Donated labor	Bond Financing	Total Match
Prior Year MF Bonds	10/30/2014						\$3,994,618.55	\$170,032.39
NON-2018-01 Aria Grand Apartments	12/29/2017	\$1,500,000.07						\$1,500,000.07
NON-2018-02 Elysium Park	8/1/2018	\$2,694,867.17						\$2,694,867.17
NON-2018-03 Garden Terrace Phase 3	4/6/2018	\$504,201.71						\$504,201.71
NON-2018-04 Habitat Scenic Point	8/14/2018	\$413,567.99						\$413,567.99
NON-2018-05 Housing First Oak Springs	8/29/2018	\$2,559,903.51						\$2,559,903.51
NON-2018-06 The Jordan Apartments	9/7/2018	\$4,000,000.00						\$4,000,000.00
NON-2018-07 The Nightingale	5/23/2018	\$2,000,000.00						\$2,000,000.00
NON-2018-08 Waterloo Terrace	8/17/2018	\$3,200,000.00						\$3,200,000.00

Table 6 – Match Contribution for the Federal Fiscal Year

## HOME MBE/WBE report

Program Income – Enter the program amounts for the reporting period				
Balance on hand at beginning of reporting period	Amount received during reporting period	Total amount expended during reporting period	Amount expended for TBRA	Balance on hand at end of reporting period
\$0	\$1,228,633.62	\$1,228,629.64	\$238,107.05	\$3.98

Table 7 – Program Income

Minority Business Enterprises and Women Business Enterprises – Indicate the number and dollar value of contracts for HOME projects completed during the reporting period						
	Total	Minority Business Enterprises				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Contracts						
Dollar Amount	0	0	0	0	0	0
Number						
Sub-Contracts						
Number	0	0	0	0	0	0
Dollar Amount	0	0	0	0	0	0

	Total	Women Business Enterprises	Male
<b>Contracts</b>			
Dollar Amount	0	0	0
Number	0	0	0
<b>Sub-Contracts</b>			
Number	0	0	0
Dollar Amount	0	0	0

**Table 8 - Minority Business and Women Business Enterprises**

Minority Business Enterprises and Women Business Enterprises: Counts do not reflect contracts that 1) do not meet the categories requested in the table, 2) contracts where the business declined to state ethnicity or gender, or 3) \*firms not certified by the City of Austin as a minority-owned business enterprise (MBE) and/or a women-owned business enterprise (WBE). \*City of Austin Certification standards can be found on the City of Austin's Small and Minority Business Resources webpage at

<http://austintexas.gov/departments/certification>.

<b>Minority Owners of Rental Property</b> – Indicate the number of HOME assisted rental property owners and the total amount of HOME funds in these rental properties assisted						
	Total	Minority Property Owners				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Number	0	0	0	0	0	0
Dollar Amount	NA	NA	NA	NA	NA	NA

**Table 9 – Minority Owners of Rental Property**

<b>Relocation and Real Property Acquisition</b> – Indicate the number of persons displaced, the cost of relocation payments, the number of parcels acquired, and the cost of acquisition		
Parcels Acquired	0	NA
Businesses Displaced	0	NA
Nonprofit Organizations Displaced	0	NA
Households Temporarily Relocated, not Displaced	0	NA

Households Displaced	Total	Minority Property Enterprises				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Number	0	0	0	0	0	0
Cost	NA	NA	NA	NA	NA	NA

**Table 10 – Relocation and Real Property Acquisition**

Regarding Tables 9 and 10, Neighborhood Housing and Community Development programs that provide assistance for rental housing include Architectural Barrier Removal - Rental (ABR Rental) and Rental Housing Developer Assistance (RHDA). ABR Rental is not HOME-funded. RHDA receives a small amount of HOME funds, but the assistance in the RHDA program is always provided to developers and not directly to individuals.



## CR-20 - Affordable Housing 91.520(b)

*Evaluation of the jurisdiction's progress in providing affordable housing, including the number and types of families served, the number of extremely low-income, low-income, moderate-income, and middle-income persons served.*

	One-Year Goal	Actual
Number of Homeless households to be provided affordable housing units	75	0
Number of Non-Homeless households to be provided affordable housing units	369	260
Number of Special-Needs households to be provided affordable housing units	91	72
<b>Total</b>	535	332

**Table 11 – Number of Households**

	One-Year Goal	Actual
Number of households supported through Rental Assistance	75	77
Number of households supported through The Production of New Units	19	152
Number of households supported through Rehab of Existing Units	426	81
Number of households supported through Acquisition of Existing Units	15	22
<b>Total</b>	535	332

**Table 12 – Number of Households Supported**

**Discuss the difference between goals and outcomes and problems encountered in meeting these goals.**

The difference between goals and outcomes in Tables 11 and 12 is due to the adjustment of the categories that comprise these tables. Actual outcomes only reflect the federally funded projects that do not include the provisions of emergency shelter, transitional shelter, or social services and that meet the definition of households provided affordable housing units within the program year.

The following is a breakdown of the values featured in Table 11 – Number of Households

<b>Homeless</b>	
	0
<b>Non-Homeless</b>	
Tenant-Based Rental Assistance (HOME)	77
Rental Housing Developer Assistance (CDBG & HOME)	145
Acquisition & Development (HOME)	8
Home Rehabilitation Loan Program (CDBG & HOME)	8
Down Payment Assistance (HOME)	22
<b>Subtotal</b>	<b>260</b>
<b>Special Needs</b>	
Architectural Barrier Program - Rental (CDBG)	6
Architectural Barrier Program - Owner (CDBG)	66
<b>Subtotal</b>	<b>72</b>
<b>Grand Total</b>	<b>332</b>

The following is a breakdown of the values featured in Table 12 – Number of Households Supported

<b>Rental Assistance</b>	
Tenant-Based Rental Assistance (HOME)	77
<b>Subtotal</b>	<b>77</b>
<b>Production of New Units</b>	
Rental Housing Developer Assistance (CDBG & HOME)	144
Acquisition & Development (HOME)	8
<b>Subtotal</b>	<b>152</b>
<b>Rehab of Existing Units</b>	
Architectural Barrier Program - Rental (CDBG)	6
Architectural Barrier Program - Owner (CDBG)	66

Home Rehabilitation Loan Program (CDBG & HOME)	8
Rental Housing Developer Assistance - Rehab (HOME)	1
<b>Subtotal</b>	<b>81</b>
<b>Acquisition of Existing Units</b>	
Down Payment Assistance (HOME)	22
<b>Subtotal</b>	<b>22</b>
<b>Grand Total</b>	<b>332</b>

**Discuss how these outcomes will impact future annual action plans.**

The City of Austin annually assesses its progress in meeting goals outlined in the FY 2014-19 Consolidated Plan through development of the Consolidated Annual Performance and Evaluation Report (CAPER). The CAPER provides an opportunity for the City to evaluate the performance of its programs and services and to determine whether adjustments to the current 5-year goals are needed. The City looks to performance in a given year, and trends over time, to inform and calibrate future targets. Additionally, the City is providing technical assistance to subrecipients to help them be more effective and efficient.

**Include the number of extremely low-income, low-income, and moderate-income persons served by each activity where information on income by family size is required to determine the eligibility of the activity.**

Number of Households Served	CDBG Actual	HOME Actual
<b>Extremely Low-income</b>	63	54
<b>Low Income</b>	122	50
<b>Moderate-Income</b>	19	24
<b>Total</b>	204	128

**Table 13 – Number of Households Served**

Number of Households Served	CDBG Actual	HOME Actual
<b>Extremely Low-income</b>		
Renter households	48	54
Owner households	15	0
<b>Low-income</b>		
Renter households	82	44
Owner households	40	6
<b>Moderate-income</b>		
Renter households	0	0
Owner households	19	24
<b>Total</b>	204	128

The table above displays the actual number of households by renter/owner provided affordable housing with CDBG and HOME funds.

## **Narrative Information**

Among the total persons served with CDBG funds that received housing assistance during the program year, 31 percent were extremely low-income, 60 percent were low-income, and 9 percent were moderate-income. Among the total persons served with HOME funds that received housing assistance during the program year, 42 percent were extremely low-income, 39 percent were low-income, and 19 percent were moderate-income. These proportions are consistent with the funding priorities outlined in the FY 2014-19 Consolidated Plan. The City of Austin continues to deliberately direct federal and local dollars toward services benefiting extremely low-income residents.

This summary of progress includes a comparison of actual accomplishments with proposed goals for the 2017-18 reporting period, efforts to address “worst-case needs”, and the accessibility needs of persons with disabilities.

The City addressed households experiencing “worst-case housing needs” and made progress in meeting the needs of persons with disabilities through the following federally-funded housing related programs: ABR-Renter and ABR-Owner, TBRA (HOME) and the Home Repair Loan Program. These programs meet the Section 215 definition of affordable housing for rental and homeownership. See tables 11 and 12 for a detailed list of programs that show the number of persons assisted who received housing assistance.

## **CR-25 - Homeless and Other Special Needs 91.220(d, e); 91.320(d, e); 91.520(c)**

*Evaluate the jurisdiction's progress in meeting its specific objectives for reducing and ending homelessness through: Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs.*

### **CoC System Outreach and Assessment Process**

The Austin/Travis County Continuum of Care, led by the Ending Community Homelessness Coalition (ECHO) operates a Coordinated Entry System (CES) which includes both on-going coordinated homeless outreach, housing navigation and engagement to individuals who are experiencing unsheltered homelessness and a system-wide Coordinated Assessment (VI-SPDAT) to assess each individual or family's needs and eligibility for various community programs to end their homelessness. In FY 2017-18 there were 3,438 persons who received a Coordinated Assessment, with 62% of those being unsheltered.

The Coordinated Entry process allows the community to collect data on individuals and families experiencing homelessness to evaluate the needs and gaps of services in our community and our community's overall system response to homelessness. In addition, ECHO coordinates a robust annual Point in Time Count each January where over 600 volunteer survey teams assess the entire Austin/Travis County CoC jurisdiction to identify and further assess the needs of people experiencing unsheltered and sheltered homelessness.<sup>1</sup> In 2017, ECHO expanded community partnerships to increase accessibility of the Coordinated Entry process for community members residing across different geographic areas within the jurisdiction.

### **Other CoC Outreach Initiatives**

The CoC partners with eight different Street Outreach teams that are directly connected to the Coordinated Entry System (CES), cover 100% of the CoC's geographic area and bring services to underserved clients. These teams conduct outreach daily and across the jurisdiction.

In June 2016, the City of Austin implemented a Homeless Outreach Street Team (HOST) model which consists of two Austin Police Department officers, two behavioral health specialists from Integral Care, one paramedic and one outreach social worker. The HOST program has provided additional outreach capacity to the downtown Austin area and provides services to better address the unsheltered homelessness in the downtown Austin area.

<sup>1</sup> 2017 ECHO Needs and Gaps Analysis <http://www.austinecho.org/wp-content/uploads/2017/01/170901-Austin-Homelessness-Needs-Gaps-Analysis-2017.pdf>

### **Emergency Solutions Grants Efforts**

All City of Austin ESG-funded programs have been working with the Continuum of Care to support the Coordinated Entry process. ESG programs are focused on the downtown, sheltered and unsheltered homeless. Emergency Solutions Grant (ESG) funds support an employee at DACC (Downtown Austin Community Court) who provides Rapid Rehousing Housing search and placement and Housing Stability Case Management and works with outreach case managers who provide case management to mostly unsheltered frequent offenders of the court, and other frequent users of the shelter system. Individuals may be referred to the DACC ESG Rapid Rehousing program if they meet certain criteria:

1. Homeless persons who receive a Class C Misdemeanor citation in Downtown Austin are arraigned through Downtown Austin Community Court (DACC). Once the homeless person goes before the judge and receives a sentence, the client is connected to a case manager for assessment.
2. DACC has a Case Manager assigned to the Homeless Outreach Street Team (HOST) who refers homeless persons to DACC programs and case management services. The referred homeless person would be assessed and screened for appropriate programs to assist them.
3. DACC also accepts referrals from Ending Community Homelessness Coalition (ECHO) when there is capacity on the caseload.

At the ARCH, Front Steps case manages clients that are not accessing shelter services, but who have applicable coordinated assessment scores, or are receiving referrals from HOST team (outreach team) to get them engaged in services. The program also receives client referrals from the HOST, referrals of shelter clients with disabilities, and shelter clients who are employed.

### **HOPWA Efforts**

Representatives from the HOPWA program participate in the Continuum of Care working group to better support people who are experiencing homelessness and living with HIV. Representatives from the HOPWA program have also convened a biweekly meeting comprised of representatives from Austin's AIDS service organizations, Austin Public Health, and ECHO staff, where the group discusses community-wide issues and creates a plan to serve each client. The HOPWA TBRA program also utilizes the Coordinated Entry process to identify the most vulnerable individuals who are experiencing homelessness and living with HIV. When space in the program becomes available those individuals with the highest vulnerability are prioritized.

Many HOPWA clients have a need for more in-depth interventions. In response, the HOPWA program began offering supportive services to clients living at a recuperative care facility for people living with HIV. These clients typically arrive directly from the hospital and have been homeless and out of care for some time. They are provided with medical care at the recuperative care facility and can begin to access supportive services so that they can be prepared to meet their housing needs when they are discharged.

### **Addressing the emergency shelter and transitional housing needs of homeless persons**

Austin Public Health funds a private non-profit organization to operate the Austin Resource Center for the Homeless (ARCH). ARCH provides emergency shelter to homeless adult men through its Overnight Shelter program, and provides Day Sleeping to homeless adult men and women. The ARCH provides basic services such as showers, laundry facilities, mailing addresses, telephone use, and lockers through its Day Resource Center program. The Day Resource Center program also includes a number of services such as mental

health care, legal assistance, and employment assistance provided by co-located agencies. In addition, ARCH houses the Healthcare for the Homeless clinic operated by CommUnity Care/Central Health. ARCH served 1,541 individuals with its Night Sleeping Program in FY 2017-18. All clients are entered into the Homeless Management Information Systems (HMIS) database. While the City also funds other shelters and homeless services including a shelter for women and children, it does not utilize ESG funds to do so. All clients served through ARCH have low- to moderate-incomes and are at or below 50 percent of MFI. Emergency Solutions Grant funds are used to provide maintenance and operations for this shelter program.

Transitional housing is provided in the area by Project Transitions. Funded by HOPWA, this program provides transitional housing for people living with HIV/AIDS (PLWHA) across two properties with 30 apartments. Supportive services such as medication adherence, maintenance in medical care, life skills, and connection to other resources are provided to all program residents.

In 2018, Austin Public Health held seven public meetings to discuss revisioning the ARCH and its services and contracted with National Alliance to End Homelessness (NAEH) to develop recommendations for a competition for the contract. The future operator will change many of its policies and practices in response to the community input and NAEH recommendations. The shelter will be case managing all clients who are wanting these services, and the day resources will only be focused on the shelter residents. All client services will be focused on housing and rapidly exiting shelter. The City is planning to open up other day resource centers throughout the community and has expanded funding for outreach and navigation services.

**Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: likely to become homeless after being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); and, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs.**

In 2017 and throughout the past year, the CoC coordinated directly with the Texas Department of Family Protective Services-Child Protective Services regional team to identify and address any barriers for youth who are imminently exiting the foster care system into homelessness. This was demonstrated in the 100 Day Challenge and diverted 5 young people exiting care to a permanent housing destination. Second, the Texas State Hospital which provides intensive in-patient mental health care coordinates all referrals to the Coordinate Entry process with ECHO for any individuals who are exiting care into homelessness. In addition, the Central Health/ CommUnity Care team has on-site hours at the ARCH and utilizes HMIS to better connect patients that are exiting care with resources for homelessness.

The City of Austin Emergency Solutions Grant funding is not allocated to Homelessness Prevention. However, the ESG Rapid Rehousing program and the ESG-funded Emergency Shelter do serve persons exiting an institution where they have resided for 90 days or less and those who resided in an emergency shelter or place not meant for human habitation immediately before entering that institution. Austin Public Health uses City general fund dollars for homelessness prevention programs that provide financial assistance and case management to poverty-level individuals and families who are at risk of homelessness.



The HOPWA Short-Term Rent, Mortgage, and Utility Assistance (STRMU) program provides up to 21 weeks of assistance to eligible households who are at risk of losing their living arrangement. This assistance allowed households that were experiencing financial crisis to maintain a stable living environment. HOPWA providers have also focused on building relationships with other housing providers in the area. This provides people that are diagnosed as HIV positive the ability to be served by any agency that may better fit their needs since they will not have to wait to utilize an AIDS Service Organization.

**Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again.**

#### **CoC System Performance Measures: Austin/Travis County**

ECHO, the CoC Lead Agency, oversees a strategy to reduce time in homelessness. The CoC identifies and houses persons with the longest length of time homeless using Coordinated Entry System (CES), prioritizing housing for persons with highest need, including long histories of homelessness. The CES effectively engages with persons experiencing homelessness, including long-term homelessness, by having multiple access points including through drop-in centers, shelters, street outreach programs, medical clinics, jails, and call-in phone options.

To reduce length of time in homelessness the CoC:

- a) operates a Coordinated Entry System (CES) for Rapid Rehousing and Permanent Supportive Housing, prioritizing most vulnerable for housing including chronically homeless with long histories of homelessness and advertising services among first line of respondents (e.g. schools and clinics) for early intervention:
- b) assists people early on obtain necessary documents like birth certificates and ID's to access housing (housing navigation);
- c) partners with landlords for expedient access to housing units that become available; and
- d) is working with shelter providers to increase staff and other resources to connect clients to housing opportunities and reduce length of time homeless.

Strategies CoC has implemented to improve retention in Permanent Supportive Housing include: using the Coordinated Entry System to connect all persons to permanent housing; Increasing documentation of exit data in HMIS for all projects, improving reportability; monitoring CoC-funded projects for successful Permanent Housing rates and competitively ranking projects on Permanent Housing placement performance for renewal funding; partnering with landlords for expedient access to units that become available; and training case managers to implement practices that promote retention. CoC has incorporated into these strategies lessons from the Veteran Initiative that achieved Functional Zero. From FY15 to FY16: exits to Permanent Housing improved by 8% from 5-12%; PSH housing retention was 94% in both years. Returns to homelessness were reduced from 17% in FY15 to 15% in FY16. To identify people who returned to homelessness, CoC expanded street outreach teams, both numerically and geographically, integrating teams into HMIS. One team is dedicated to downtown, the area of highest PIT Count

concentration.

The CoC has incorporated strategies and lessons from the Veterans Initiative that achieved Functional Zero in August 2016. The strategies that work locally for increasing successful Permanent Housing outcomes are:

- a) Using the Coordinated Entry System (CES) to connect all persons to Permanent Housing, b) Leading shelter transformation to be housing-focused, c) increasing documentation of exit data in HMIS for all projects, including ES where there are high rates of missing exit destination information, d) monitoring project performance by housing outcomes, e) partnering with landlords for expedient access to units that become available, f) training case managers to implement best practices that promote housing attainment and retention.

CoC strategy to increase rate of persons in Permanent Housing projects to retain their Permanent Housing or exit to Permanent Housing: a) The CoC monitors Permanent Housing projects quarterly on successful retention and exits to Permanent Housing destinations; b) Partnering with landlords for expedient access to units that become available and for lease negotiation that fit the needs of vulnerable people in Permanent Housing projects; c) Training case managers to implement best practices that promote housing stability and retention; d) The CoC has also actively partnered with both local Housing Authorities to develop a Move-On Strategy, streamlining access to mainstream Housing Choice Voucher for individuals in Permanent Supportive Housing who are no longer in need of intensive case management services, but who wish to exit to a long-term subsidized housing opportunity.

### **CoC Targeted Sub-Populations**

*Veterans:* The CoC, local VA Medical Center, VA-funded programs, and state and private-funded community organizations coordinate housing and support services through the CoC HMIS database and the Coordinated Entry Process (CEP). Veterans are referred to HUD-VASH, SSVF, GPD, or CoC housing programs as determined by client need and eligibility. Staff from all involved organizations meet once weekly to review cases and create active engagement plans for potentially unreached Veterans. CoC has demonstrated success in this process by reaching an effective end to Veteran Homelessness in Aug 2016.

*Chronic Homelessness:* The CoC currently prioritizes all Permanent Supportive Housing program units for individuals experiencing homelessness and who fit the chronic homeless definition. The City of Austin and the Austin/Travis County CoC have worked on strategies to expand capacity for Permanent Supportive Housing over the past 5 years, such as including community development opportunities and looking at new innovative funding models to further development through a Pay For Success funding model.

*Families with Children:* In FY16, CoC implemented written standards to prioritize highest need and longest unhoused families for CoC and ESG Rapid Rehousing programs, and expanded housing location efforts using a Housing First approach to minimize time spent homeless and locating housing. CoC adopted the HEARTH Act 2020 target to rehouse households with children within 30 days. The current average length of time homeless is 96 days. CoC uses Coordinated Entry to create a real-time, by-name list of homeless families, including first date homeless, advertising in places where families request help first (e.g. family clinics, shelters and 211).

*Unaccompanied Youth:* In 2016, CoC conducted a 100 Day Challenge to house 50 youth and mobilize the community to end youth homelessness. CoC exceeded the goal permanently housing 53 youth.

Contributing to this success was increased outreach at youth drop-in centers and community networks to develop a comprehensive by name list that identifies all youth who are literally homeless, as well as, youth with lived experience.

### **ESG Rapid Rehousing**

Front Steps, Inc., City of Austin Downtown Austin Community Court, and City of Austin's Austin Public Health Communicable Disease Unit administered ESG Rapid Rehousing funds in coordination to assist individuals who are homeless (particularly chronically homeless) from the streets and shelters and into permanent housing. The ESG Rapid Rehousing Coordinator is located at the Austin Resource Center for the Homeless.

The City of Austin's ESG-funded Rapid Rehousing program serves frequent users of the shelter, frequent offenders at the Community Court, and HIV positive homeless individuals. Many of these program clients are the hardest to serve and chronically homeless. This program brings together case management and housing location, and coordinates with other funding sources like the City's general fund to bring housing resources to this hard-to-serve population.

In July 2017, the City of Austin executed an agreement with Bloomberg Philanthropies that provides grant funding of up to \$1,250,000 over three years. This opportunity enables the City of Austin to increase capacity to address the Mayor and Council's priority focus on ending homelessness. This project seeks to know how the City might ensure that service providers, community health paramedics, and police are able to collaborate to get the right intervention with the right resource at the right time to those experiencing homelessness.

## **CR-30 - Public Housing 91.220(h); 91.320(j)**

### *Actions taken to address the needs of public housing*

The Housing Authority of the City of Austin (HACA) and the City of Austin are close partners and collaborators in the effort to increase quality affordable housing for those most in need. The City includes HACA staff and HACA residents in all of its outreach efforts. The Housing Authority of the City of Austin (HACA) administers three federally subsidized programs that provide affordable housing to extremely low- to low-income families in Austin. HACA provided housing to over 20,000 people in 2017-18. Of the 20,000+ people served, 8,800 were children, 1,760 were seniors and 4,518 were persons with disabilities. The average annual income of a family in public housing is \$11,023 and for families in the Housing Choice Voucher program it is \$13,931.

### **Preserving Public Housing, Improving Residents' Lives, Sustaining HACA Resources**

HACA has begun its new initiative to preserve affordable housing for the lowest-income Austinites and improve the lives of residents who rely on that public housing. By participating in the U.S. Department of Housing and Urban Development (HUD) program known as the Rental Assistance Demonstration (RAD), HACA has been able to finance critically needed improvements to its aging properties and guarantee the availability of these properties to low-income residents for the next 40 years. The RAD program gives HACA more control over its finances and creates more opportunities for housing choice going forward.

### **RAD Overview**

RAD was initiated by HUD in 2012 to address U.S. public housing's national \$26 billion backlog of needed repairs and improvements. Under RAD, existing (and declining) public housing subsidies are converted into more stable rental assistance contracts for each property. This allows housing authorities to leverage that subsidy to finance rehabilitation and preservation of aging properties. HACA presented information regarding RAD and the agency's intentions of its 18 public housing properties to the Austin City Council in 2017-2018 and City Council has supported issuance of private activity bonds and 4% Low Income Housing Tax Credits for modernization and improvements at several HACA public housing properties. The Council also supported awarding 9% tax credits to redevelop Chalmers Courts Apartments, a 158-unit property which, when redeveloped, will feature over 300 affordable units in one of Austin's highest opportunity areas. Phase 1 of the redevelopment project begins with the construction of 86 affordable units.

### **How RAD Works at HACA**

There are several different types of RAD conversions being planned by HACA:

Depending on the age and condition of each property, HACA makes either straight conversions (modest maintenance needs), rehabilitations (more in-depth improvements) or redevelopments, which help create additional affordable and, if feasible, market-rate units at each property. Through RAD, residents receive specific protections, improvements and choices designed to better their quality of life.

HACA residents will receive "Protections." Residents can return to an upgraded unit at their original property. No screening. Affordability will be preserved for decades. HACA residents will see significant "Improvements" to the properties and their quality of life: Units will be upgraded, modernized and made energy efficient. Residents have a voice in planning improvements.

HACA residents have new "Choices" which will give them more control of their lives, including expanded

choices of where to live through site-based waiting lists. After two years, they will be eligible to apply for Housing Choice Vouchers.

From 2016-2018, HACA has already converted more than 1,200 units at several properties including: Bouldin Oaks, Manchaca II, Coronado Hills, Georgian Manor, North Loop, Northgate, Shadowbend Ridge, Manchaca Village, Gaston Place, Meadowbrook, Booker T Washington, Thurmond Heights, and Goodrich. HACA is in the process of securing financing from multiple sources, including tax credits and low interest loans, and preparing for the more complex RAD conversions in the second phase, which began in 2018.

HACA's Housing Choice Voucher (HCV) program and other housing assistance programs provide rental vouchers for 6,137 units of housing. These programs support more than 13,000 individuals, including families with children, elderly, and persons with disabilities in greater Austin's private rental market. In 2017-18, HACA administered several voucher programs, including:

- 5,253 Housing Choice Vouchers
- 464 Veteran Affairs Supportive Housing (VASH) Vouchers-HACA will seek to apply for additional vouchers
- 224 Homeless Program Grant Vouchers
- 85 Family Unification Program Vouchers
- 59 Mainstream Vouchers
- 36 Non-Elderly with Disabilities Vouchers
- 14 Hurricane Ike-Conversion Vouchers
- 2 Tenant-Based Vouchers

HACA expends 100% of its available funds provided by HUD for the Housing Choice Voucher program. HACA has 5,880 allocated Housing Choice Vouchers. However, due to limited HUD funding and high rental rates, HACA can only afford to assist approximately 5,300 families each month. For families issued a voucher, the search time to find an affordable housing unit is 60 to 90 days. On average, 15% of families issued vouchers are returned by families unable to find a unit due to Austin's high rents and a shortage of landlords who accept vouchers.

### **Actions taken to encourage public housing residents to become more involved in management and participate in homeownership**

Since 2004, Austin Affordable Housing Corporation (AAHC), a subsidiary of HACA, has supported the transition to homeownership for families in either Public Housing or the Housing Choice Voucher programs through a down payment assistance program. Eligible first-time homebuyers complete homeownership and financial education training prior to purchasing a home. Families can then receive \$10,000 to be applied towards eligible closing costs and a down payment on their home. To date, 105 individuals have purchased their own homes and 64 participants of HACA's homeownership program have accomplished their 5-year mark as a homeowner.

Supportive community services through self-sufficiency programs are essential to helping low-income families realize their goals towards independence from federal assistance. In conjunction with affordable housing, HACA staff helps clients build assets, attain higher education and start meaningful careers. These efforts are made possible through the Housing Authority of the City of Austin's Family Self-Sufficiency program and a robust group of community partners, including Any Baby Can, Boys and Girls Club, Boy Scouts, Communities in Schools, Family Eldercare, Girl Scouts, Goodwill Industries, Lifework's, Skillpoint Alliance and YWCA, among many others. The Housing Choice Voucher program provides case management services to 212 families working to achieve economic independence.

In 2018, 12 Housing Choice Voucher and 9 Public Housing Family Self-Sufficiency participants successfully graduated from the program.

### **Helping End Homelessness**

Austin's housing market is a challenge for the homeless. Recognizing this need, HACA agreed to project-base 50 vouchers to Housing First Oak Springs. The project is located at 3000 Oak Springs Drive, Austin TX 78702 and will be a four-story 40,000 square-foot complex with 50 one bath fully furnished single occupancy efficiency apartments with an integrated health clinic offering primary care and behavioral health services, a community room and parking garage. All units will be covered by the Project-Based Voucher Agreement. Twenty-five project-based vouchers will be funded by the Section 8 Housing Choice Voucher Program and the other 25 will be funded by the Veteran Assistance Supportive Housing (VASH) Program. The amount of Project-Based VASH and HCV funds proposed for this project is \$7,551,000 over a 15-year term. Housing First at Oak Springs will make a significant difference in the lives of 50 veterans and persons with mental health challenges by providing housing as well as access to onsite mental health counseling and treatment for drug and alcohol use.

HACA received 89 additional Mainstream vouchers from the U.S. Department of Housing and Urban Development (HUD) to provide permanent affordable housing to non-elderly persons with disabilities who are transitioning out of homelessness or congregate living facilities. HACA collaborated with the Ending Community Homelessness Coalition (ECHO), Integral Care, and ARCIL, Inc. to apply for these Mainstream vouchers and looks forward to working closely with community partners to help non-elderly persons with disabilities transition from homelessness to a stable home in 2019.

The City of Austin contracts with HACA to provide Tenant Based Rental Assistance (TBRA) for extremely low-income renters, including people experiencing homelessness. The TBRA program helped 70 households move from homelessness to self-sufficiency through rent and utility assistance. Case management and support services are provided by community-based nonprofit organizations such as The Salvation Army, Caritas, LifeWorks, SafePlace, Foundation Communities, and Foundation for the Homeless.

HACA also received a \$642,690 renewal grant through the Consolidated HUD Continuum of Care grant application process. These crucial funds will provide rental assistance to more than 70 previously homeless disabled individuals each month.

### **Actions taken to provide assistance to troubled PHAs**

HACA is not a troubled PHA. For 17 consecutive years, HACA has attained a high performer designation. For Fiscal Year 2017-18, HACA earned a score of 100 percent for the Section 8 Management Assessment Program.

Austin Pathways, a HACA-directed nonprofit organization, supports HACA's scholarship and self-sufficiency programs. In 2018, Austin Pathways sponsored 42 renewable academic scholarships totaling over \$80,000 for residents of Public Housing and Housing Choice Voucher programs to pursue post-secondary education opportunities. Scholarship recipients include high school graduates, students of post-secondary education, and adults attending college for the first time.

In 2018, the Housing Authority of the City of Austin received three awards of merit from the National Association of Housing and Redevelopment Officials. The awards were given in categories for housing and community development, program innovation for residential units, and client services. HACA's Resident Protection team won for its goal of easing challenges in moving, promoting community engagement and developing external relationships. The Involved Dads of Action Developing and Succeeding (I-DADS) team received its award for encouraging fathers and father figures in communities and helping men improve their parenting and co-parenting relationships. The agency's Residential, Digital, Energy and Mobility Ambassadors help with designing programs in communities and help build professional skills. The awards recognize agencies that find new and innovative ways to improve communities and the livelihoods of residents in affordable housing communities.

### **Jobs Plus Pilot Program-Booker T Washington and Chalmers Court**

The Jobs Plus ATX program was established by a \$2.7 million grant from HUD and will expand job opportunities to residents at Chalmers Courts and Booker T. Washington. This is the largest competitive grant HACA has received for resident services. The 4-Year Jobs Plus Pilot Program has celebrated its first two years of success. Program partners include: Workforce Solutions Capital Area, Austin Area Urban League, Austin Community College, BiG Austin, Capital IDEA, Economic Growth Business Incubator,

Goodwill of Central Texas, and Literacy Coalition.

**Accomplishments of the Jobs Plus program:**

**Financial Incentive**-198 Booker T. Washington and Chalmers Courts residents have saved \$683,273 in rent.

**Employment and Training Services**-210 residents have started new employment or have increased their earnings.

- 56 residents are enrolled in a college or a certification program.
- 11 residents from Booker T. Washington and Chalmers Courts have received a scholarship.
- 11 residents have applied for scholarships for the 2017-18 academic year.

**Community Support for Work**-Jobs Plus brought on 25 resident leaders to be Community Liaisons including 6 youth liaisons; currently there are 7 adult community liaisons and 1 youth community liaison.

- \$22,900 has been paid in childcare with services provided by family, neighbors, and childcare centers.
- Jobs Plus has given away 3 cars and hosted 37 “community building” events.

**Unlocking the Connection**

Unlocking the Connection celebrated its three-year anniversary in November 2017. Since the first-of-its kind digital literacy model began, about 80 percent of the residents at five HACA properties have signed up for free gigabit Google Fiber Internet service. To date, more than 1,100 donated refurbished computers have been deployed to families. HACA is working to ensure mobility equity for its residents through the Smart Work, Learn, Play Initiative funded by Next Centuries Cities’ Charles Benton Next Generation Engagement Award. T Mobility Ambassadors continue to receive training on digital tools for workforce, education and quality of life application that they pass on to their peers.



### **CR-35 - Other Actions 91.220(j)-(k); 91.320(i)-(j)**

*Actions taken to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment. 91.220 (j); 91.320 (i)*

The City of Austin completed its Analysis of Impediments to Fair Housing Choice (AI) in 2015. The AI identified complex land use regulations and overall lack of affordable housing supply throughout the City as the highest prioritized factors in limiting housing choice and creating impediments to housing affordability. The City of Austin has proceeded with two initiatives that should help address these impediments: the revision of the Land Development Code, known as CodeNEXT, and the adoption of a Strategic Housing Blueprint.

Austin City Council adopted a resolution in FY 2018 ([Resolution NO 20180809-111](#)) effectively postponing the CodeNEXT process and directed the City Manager to present a revised land development rewrite process by the beginning of 2019 calendar year. NHCD will work with the community and other city staff to ensure the actions of the AI concerning the land development code are incorporated into the new 2019 process.

The Strategic Housing Blueprint was adopted by City Council in 2017 and includes a community goal to create 60,000 units affordable to households at or below 80% of the median family income over 10 years. It also describes recommended actions in five key community values, including implementation of the Fair Housing Action Plan. The Blueprint recommends funding mechanisms, regulatory changes, and other creative approaches to increase housing choices for all Austinites in all parts of town. The Implementation Plan for the Strategic Housing Blueprint has been released in November 2018 and includes actions to improve access to economic and housing opportunities for underserved communities in Austin.

The City of Austin, along with nine other governmental entities in the Austin area, having been completing a regional Analysis of Impediment to Fair Housing Choice during this 2018 calendar year. This AI will be submitted to HUD in January 2019 and a new set of identified barriers and associated actions will inform the Consolidated Planning process.

### **Actions taken to address obstacles to meeting underserved needs. 91.220(k); 91.320(j)**

The City's Investment Plan is comprised of eight priority categories: Homeless/Special Needs Assistance, Renter Assistance, Homebuyer Assistance, Homeowner Assistance, Housing Developer Assistance, Neighborhood and Commercial Revitalization, Small Business Assistance, and Financial Empowerment. All these categories propose to serve very-low, low-, and moderate-income households, thereby addressing obstacles to meet underserved needs. The activities below are responsive to this undertaking.

The City continued to support the Austin Tenants' Council, which provides mediation, counseling, public information, and assistance to help identify fair housing complaints.

Tenant Based Rental Assistance provides rental housing subsidies and security deposits to eligible households who might otherwise be homeless. Austin Housing Finance Corporation oversees contracts with TBRA sub-recipients: Housing Authority of the City of Austin and the Salvation Army. The City's 2014

Comprehensive Housing Market Study identified very low-income renters as one of the most underserved populations.

Architectural Barrier Removal modifies homes of seniors and persons with disabilities who have limited income, at or below 80 percent MFI. These modifications help persons remain in their homes longer and live with greater independence. All services are free to eligible persons. ABR services include: wheelchair ramps, handrails, door widening, buzzing or flashing devices for people with visual/hearing impairment, accessible door and faucet handles, shower grab bars and shower wands, and accessible showers, toilets and sinks.

NHCD implemented the Green and Healthy Homes Initiative (GHHI) model for two home repair programs, Holly Good Neighbor (HGN) and General Obligation Bond Home Repair (G.O. Repair). Using the GHHI model through HGN and GoRepair will allow for a more holistic approach to remediate any household hazards allowing for a healthier environment. Permanent Supportive Housing (PSH) units are defined as subsidized rental units linked to a range of support services that enable tenants to live independently and participate in community life. In 2014, City Council set a new goal to create 400 additional PSH units by FY 2018, 200 of which will be dedicated as *Housing First* units. In FY 2017-2018, 143 PSH units were funded. Prospective tenants are selected through coordinated assessment to match people experiencing homelessness with appropriate housing and services, prioritizing based on vulnerability.

In 2014, Austin's Mayor signed on to the Mayors Challenge to End Veteran Homelessness. The Mayor's Office helped create a fund used to spur private landlord participation by making funds available for potential damages or rent loss to landlords that rented to veterans experiencing homelessness. In August 2016, HUD certified that Austin reached "functional zero" for veterans' homelessness.

#### **Actions taken to reduce lead-based paint hazards. 91.220(k); 91.320(j)**

The City of Austin was awarded \$2.5 million grant for a Lead Hazard Control and Healthy Homes Program in May 2013. The funding allowed the City of Austin to remediate lead-based hazards in low- to moderate-income homes and address other household health and safety hazards such as mold, carbon monoxide, fire, tripping hazards and pest management. This more holistic approach to remediate household hazards allowed citizens with young children to remain in their homes and benefit from a healthier environment. The program ended in July 2017. The City will continue testing properties built before 1978 for lead-based paint. Lead activities will be addressed through a different fund source.

#### **Actions taken to reduce the number of poverty-level families. 91.220(k); 91.320(j)**

All programs administered by the City of Austin aim to address critical needs through housing, community development, and public services to benefit eligible residents, including persons in poverty, so they can increase their opportunities for self-sufficiency. HOPWA, ESG, and CDBG activities in particular assist households that fall under the special populations category outlined in the FY 2014-19 Consolidated Plan.

The number of families and businesses served during FY 2017-18 can be found in Attachment 5d, Section 3 Summary Reports. All these programs are intended to help reduce the number of families in poverty. They are assessed on a regular basis to determine if they are effective in helping the meet this goal.

## **Housing Opportunities for People with AIDS (HOPWA) Activities**

The Austin Public Health (APH) Department administers all HOPWA activities for the City of Austin. These programs provide housing assistance for income-eligible persons living with HIV/AIDS and their families. The goals of these programs are to stabilize the households' living arrangement and improve access to primary care and other supportive services so that people living with HIV/AIDS can focus on improving their health and self-sufficiency.

## **Emergency Solutions Grant (ESG) Activities**

The Austin Public Health Department administers all ESG activities for the City of Austin. These programs are designed to be the first step in a continuum of assistance to help clients quickly regain stability in permanent housing after experiencing a housing crisis and/or homelessness. ESG funds the operational costs of the shelter facility, Rapid Rehousing programs, and licenses for the Homeless Management Information System and administration of the grant.

## **Public Service Activities**

The Neighborhood Housing and Community Development (NHCD) Department administers public service contracts funding using CDBG. APH provides program expertise for the development of the work statements and performance measures upon request from NHCD. Public services offer supportive services to households with gross incomes less than 200 percent of Federal Poverty Guidelines. Childcare Services provides childcare vouchers for homeless and near-homeless families, and direct child care services for teen parents who are attending school.

Youth Services provides access to holistic, wraparound services and support to youth designated as at-risk and their families. Senior Services offers services that prevent and protect seniors in becoming victims of abuse, neglect, and/or financial exploitation. Austin's Tenants' Council is another community partner that provides public services that focus on housing discrimination, tenant-landlord education and information, and housing repair and rehabilitation.

## **Actions taken to develop institutional structure. 91.220(k); 91.320(j)**

NHCD administers housing, community, and economic development programs, which require interdepartmental coordination. Many City of Austin departments coordinate efforts to provide program services and projects outlined in the FY 2014-19 Consolidated Plan and subsequent Annual Action Plans.

The City of Austin contracts with the Austin Housing Finance Corporation (AHFC) to develop affordable rental and homeownership opportunities and housing rehabilitation of owner-occupied homes. APH provides support to Austin residents living with HIV/AIDS and their families through the use of HOPWA grant funds. Austin Public Health also provides assistance to help clients quickly regain stability in permanent housing after experiencing a housing crisis and/or homelessness using ESG funds. NHCD and APH collaborate on several public service programs. The Economic Development Department fosters small business expansions in low-and moderate-income neighborhoods to stimulate job creation through the Family Business Loan Program (FBLP). Numerous non-federally funded housing programs and activities offered by NHCD rely on the coordination of other City departments including: Austin Energy, Austin Water Utility, Budget Office, City Manager's Office, Austin Code, Office of Real Estate Services, Government

Relations, APH, Law Department, Office of Sustainability, Parks and Recreation Department, Planning and Zoning Department, Development Services Department, Public Works, Austin Resource Recovery, and Watershed Protection.

Finally, the development of federal planning documents such as the Consolidated Plan, Annual Action Plan, and CAPER reflect the contributions of multiple City departments, and would not be possible without purposeful collaboration.

**Actions taken to enhance coordination between public and private housing and social service agencies. 91.220(k); 91.320(j)**

NHCD continued to work closely with the following organizations to overcome gaps and enhance coordination efforts: Community Development Commission (CDC), Community Advancement Network (CAN), Community Housing Development Organizations (CHDOs), Austin Housing Coalition, Ending Community Homelessness Coalition (ECHO), Housing Authority of the City of Austin (HACA), Housing Authority of Travis County (HATC), HousingWorks, and the Urban Renewal Agency, as well as other key stakeholders and organizations. NHCD remains engaged with housing finance agencies, the National Association of Local Housing Finance Agencies and the Texas Association of Local Housing Finance Agencies, to connect with other agencies whose missions address critical housing needs.

In FY 2017-18, NHCD continued to reference opportunity mapping, which is a research tool used to understand the dynamics of opportunity within geographic areas. The purpose of opportunity mapping is to illustrate where opportunity-rich communities exist (and assess who has access to these communities) and to focus on areas of need in underserved or opportunity-poor communities. Key indicators include: housing stability, education, mobility, economic security, and health and well-being. Staff utilizes the Opportunity360 metrics to further the City's housing and community development goals to help create more housing choices in areas of high opportunity.

**Identify actions taken to overcome the effects of any impediments identified in the jurisdiction's analysis of impediments to fair housing choice. 91.520(a)**

**Barriers:** Austin's historical lack of enforcement of city codes governing the maintenance of housing stock in different neighborhoods may influence the housing choices of protected classes, potentially restricting access to opportunities.

**9/2017:** Austin implemented a Reasonable Accommodations Ordinance with a standardized process and procedure for ensuring individuals with a disability have equal opportunity to use and enjoy a dwelling.

**Barriers:** Austin's historical lack of funding for public infrastructure and amenities, in different neighborhoods may disproportionately impact protected classes, influence housing preferences, and restrict access to opportunities.

**3/2017:** Parks and Recreation Department acquired 149 acres of parkland in minority-majority census tracts of Austin. This will lead to a quantifiable increase in access to public parks in areas where high concentrations of persons from protected classes do not live within walking distance of a park.

**8/2017:** Council directs staff to provide an assessment of fair housing compliance for 4% and 9% Low Income Housing Tax Credit developments seeking approval. This analysis will include opportunity indicators for proposed affordable housing sites within Austin.

**Barriers:** Lack of knowledge about fair housing requirements creates barriers to affirmatively furthering fair housing.

**1/2017:** Austin created an Equity Action Team of City leadership, staff, and community members. This group is analyzing the organization to identify racial inequities and disparities in services and programs in each department. Department racial equity assessments and equity awareness trainings are being developed and implemented. For a list of Fair Housing outreach initiatives and training opportunities in FY 2017-18, see attachment 5f.

**4/2017:** The Mayor's Taskforce on Institutional Racism and Systemic Inequities Report reaffirms the Fair Housing Action Plan actions and recommends additional policy changes Austin should enact to ameliorate the effects of historic racial segregation in housing.

**9/2017:** Language was added to the draft North Shoal Creek Neighborhood Plan that explicitly outlines Austin's obligation to affirmatively further fair housing. The Plan recommends a wider variety of unit sizes and affordability levels to provide more opportunity for racial/ethnic minority households, and other protected classes, to have access to the opportunities in the North Shoal Creek neighborhood.

**Ongoing:** Multiple education opportunities on the importance of economic, racial and ethnic diversity have been offered through such platforms as the UT Opportunity Forums, City of Austin law department presentations to Council, and CodeNEXT community engagement meetings.

## **CR-40 - Monitoring 91.220 and 91.230**

*Describe the standards and procedures used to monitor activities carried out in furtherance of the plan and used to ensure long-term compliance with requirements of the programs involved, including minority business outreach and the comprehensive planning requirements*

The goal of the City of Austin's monitoring process is to assess subrecipient/contractor performance for all CPD-funded activities, including Section 108, in the areas of program, financial and administrative compliance with applicable federal, state and municipal regulations and current program guidelines. The City of Austin's monitoring plan consists of monitoring of active contracts and long-term monitoring for completed projects. For more information, including a copy of the City of Austin's Monitoring Plan, please see Attachment 5a of this document.

### **Minority Business Outreach**

The City of Austin Small and Minority Business Resources Department (SMBR) administers the Minority-Owned and Women-Owned Business Enterprise (MBE/WBE) Procurement Program, which was established by the Austin City Council in 1987. SMBR also administers a federally-funded Disadvantaged Business Enterprise (DBE) procurement program. SMBR is responsible for the implementation of the certification process for the City of Austin and for ensuring that only firms that meet the eligibility criteria are certified as MBEs or WBEs in compliance with City of Austin Ordinances. The MBE/WBE program encourages the participation of minorities and women on City contracts by establishing procurement goals on City contracts (which excludes social service contracts) above the City Manager's spending authority. Goals for MBE, WBE, and DBE participation differ from contract to contract, based on the type of contract, the availability of MBEs, WBEs and DBEs to perform the functions of the contract, and other factors. Minority goals may be either aggregate MBE/WBE goals or race-specific goals depending on the project. SMBR provides development opportunities and resources so that small and minority businesses enterprises can have affirmative access to city procurement opportunities and succeed in their efforts to grow. SMBR offers monthly workshops, a Plan Room with electronic and hard copy access construction plans and specifications, and surety bonding counseling. For more information about the SMBR Office, please visit their website at: [www.austintexas.gov/departments/small-and-minority-business](http://www.austintexas.gov/departments/small-and-minority-business).

## **Citizen Participation Plan 91.105(d); 91.115(d)**

*Describe the efforts to provide citizens with reasonable notice and an opportunity to comment on performance reports.*

The City of Austin Citizen Participation Plan requires that the CAPER be made available for 15 days for public comment. The City made the draft report publicly available online and distributed hard copies at ten community centers throughout the City between November 21, 2018 and December 7, 2018. City facilities are compliant with the Americans with Disabilities Act to ensure that persons with disabilities are able to review and comment on the CAPER. The ten community centers and libraries were deliberately selected in order to reach the widest audience, including non-English speaking persons, and persons with disabilities. The public notice of the locations and comment period was published in Spanish as well as English to ensure non-English speaking persons could comment on the CAPER.

### **Ten Community Centers**

- Austin Central Library, 710 W Cesar Chavez Street (Central)
- Austin Resource Center for the Homeless (ARCH), 500 East 7th Street (Central)
- East Austin Neighborhood Center, 211 Comal Street (East)
- Neighborhood Housing and Community Development Office, 1000 East 11th Street, Suite 200 (East)
- Rosewood-Zaragosa Neighborhood Center, 2800 Webberville Road (East)
- St. John Community Center, 7500 Blessing Avenue (Northeast)
- AIDS Services of Austin, 7215 Cameron Road (North)
- Housing Authority of the City of Austin, 1124 South IH-35 (South)
- South Austin Neighborhood Center, 2508 Durwood Street (South)
- Pleasant Hill Library Branch, 211 East William Cannon Drive (South)

The City of Austin's Citizen Participation Plan was updated to include an Assessment of Fair Housing clause. The updated plan was approved by City Council in November 2017 and is featured in Attachment 4 of this document. A summary of public comments received, and staff responses is also featured in Attachment 4.

## **CR-45 - CDBG 91.520(c)**

*Specify the nature of, and reasons for, any changes in the jurisdiction's program objectives and indications of how the jurisdiction would change its programs as a result of its experiences.*

The Community Development Block Grant (CDBG) Program is authorized under Title I of the Housing and Community Development Act of 1974 as amended. The primary objective of CDBG is the development of viable communities by providing decent housing, providing a suitable living environment, and expanding economic opportunities. To achieve these goals, any activity funded with CDBG must benefit low- and moderate-income persons, aid in the prevention of slums or blight, or meet a particular urgent need.

No substantial changes were made to program objectives outlined in the Fiscal Year 2017-18 Action Plan.

**Does this Jurisdiction have any open Brownfields Economic Development Initiative (BEDI) grants?** No<sup>2</sup>

**[BEDI grantees] Describe accomplishments and program outcomes during the last year.**

**Does the grantee have an existing Section 108 guaranteed loan?**

For a report of FY 2017-18 accomplishments and program income for the Family Business Loan Program, Section 108 guaranteed loan, see the *Section 108-Description and Accomplishments* Table in CR-05.

<sup>2</sup> Source: Austin Resource Recovery and Economic Development Department. According to HUD's [BEDI Funding Announcements](#), no BEDI funds have been appropriated since FY 2010.



## **CR-50 - HOME 91.520(d)**

*Include the results of on-site inspections of affordable rental housing assisted under the program to determine compliance with housing codes and other applicable regulations. Please list those projects that should have been inspected on-site this program year based upon the schedule in §92.504(d). Indicate which of these were inspected and a summary of issues that were detected during the inspection. For those that were not inspected, please indicate the reason and how you will remedy the situation.*

During the fiscal year 2017-18, 112 affordable housing units from 10 HOME funded Rental Housing Development Assistance projects required a physical inspection. 95 units from 9 of those projects received an on-site physical inspection and are compliant, while 17 units from one project were non-compliant and awaiting re-inspection results. Common issues addressed during these inspections were inoperable smoke detectors – missing batteries or broken, cracked/broken switch and outlet covers, cracked windows and incidences of various exterior damage. With the exception of the one project awaiting re-inspection results, each issue was remedied within the prescribed time frame, re-inspected, and determined to be in compliance.

During the fiscal year 2017-18, 112 affordable housing units from 10 HOME funded Rental Housing Development Assistance projects required a file review. All units received a file review and are compliant. See attachment 5b for the detailed inspection plan.

## **Provide an assessment of the jurisdiction's affirmative marketing actions for HOME units. 92.351(b)**

As a recipient of federal funds, the City of Austin must adopt affirmative marketing procedures and requirements for rental and homebuyer projects containing five or more HOME-assisted housing units. Affirmative marketing steps consist of actions that provide information and otherwise attract eligible persons in the housing market area to the available housing without regard to race, color, national origin, sex, religion, familial status or disability. NHCD's efforts to affirmatively market its housing programs aim to ensure that eligible households have ample access and opportunity to participate in programs and services that use federal funds. In FY 2017-18, Homebuyer, Renter Assistance, and Homeowner Assistance programs and services were marketed to residents in low- to moderate-income neighborhoods and those with limited English proficiency. NHCD participated in numerous events throughout Austin promoting programs and services. Marketing efforts in FY 2017-18 were conducted through English and Spanish media outlets. NHCD also has certified bilingual employees on staff to assist clients with limited English proficiency. In addition, the [Neighborhood Housing and Community Development](#) website continues to play a vital role in targeting information to the public and ensuring program information reaches a broad audience through its translation feature available on all pages.

Refer to IDIS reports to describe the amount and use of program income for projects, including the number of projects and owner and tenant characteristics

**NHCD Office  
Sources and Uses of HOME Program  
Income  
Program Year 2017**

<b>Sources of Program Income:</b>		
	<b>Amount</b>	
Down Payment Assistance Loans Repaid	\$451,024.21	
Down Payment Assistance Loans Recapture	\$178,490.46	
First-time Homebuyer Loans Repaid	\$242,254.34	
First-time Homebuyer Loans Recapture	\$30,000	
Home Rehabilitation Loan Program Repaid	\$101,930.55	
Home Rehabilitation Loan Program Recapture	\$224,021.06	
Rental Housing Dev. Assistance Repaid	\$913.00	
	\$1,228,633.62	
<b>Uses of Program Income</b>	<b>Amount</b>	<b># Project</b>
First-time Homebuyer Loans	\$100,248.44	0
Tenant-Based Renter Assistance	\$238,107.05	42
Rental Housing Development Assistance	\$25,533.43	0
Home Rehabilitation Loan Program	-	0
Down Payment Assistance	\$785,108.49	13
Administration	\$79,612.23	-
<b>Total</b>	<b>\$1,228,609.64</b>	<b>55</b>

**Sources and Uses of HOME Program Income**

**Describe other actions taken to foster and maintain affordable housing. 91.220(k) (STATES ONLY: Including the coordination of LIHTC with the development of affordable housing). 91.320(j)**

During FY 2017-18, the Austin City Council adopted the Austin Strategic Housing Blueprint that outlines strategies to build and preserve affordable housing for a range of incomes throughout the City, as envisioned in the Imagine Austin Comprehensive Plan. The plan aligns resources, ensure a unified strategic direction, and help facilitate community partnerships to achieve this shared vision. It recommends new funding mechanisms, regulatory changes, and other approaches to achieve housing goals. These goals can be realized through a range of strategies addressing the following issues:

- Prevent Households from Being Priced Out of Austin – Preserve communities and combat gentrification through legislative changes, local policies, programs and targeted investments.
- Foster Equitable, Integrated, and Diverse Communities – Promote strategic investments and create protections for low-income households and people of color to address racial integration in housing.
- Invest in Housing for Those Most in Need – Adopt a balanced approach to provide affordable housing resources for low-income workers, seniors, people with disabilities and the thousands of people experiencing homelessness.
- Create New and Affordable Housing Choices for All Austinites in All Parts of Austin –Harness new development to create affordable homes and diversify housing choices for current and future residents.
- Help Austinites Reduce their Household Costs – Encourage development in a compact and connected manner so households of all incomes have access to a range of affordable housing and transportation options, and can easily access jobs, basic needs, health care, educational opportunities, and public services. Other household expenses such as healthcare costs, utilities, food and telecommunications must also be considered.

Concurrently, the City continued to prioritize resources to build and preserve affordable housing through its Acquisition and Development (A&D), Rental Housing Developer Assistance (RHDA), and home repair programs that benefit low- and moderate-income households. Staff also coordinated with Community Housing Development Organizations (CHDOs) through the Austin Housing Coalition to increase opportunities to foster and maintain affordable housing.

## CR-55 - HOPWA 91.520(e)

*Identify the number of individuals assisted and the types of assistance provided.*

Table for report on the one-year goals for the number of households provided housing through the use of HOPWA activities.

Number of Households Served Through:	One-year Goal	Actual
Short-term rent, mortgage, and utility assistance to prevent homelessness of the individual or family	70	93
Tenant-based rental assistance	73	58
Units provided in permanent housing facilities developed, leased, or operated with HOPWA funds	48	0
Units provided in transitional short-term housing facilities developed, leased, or operated with HOPWA funds (Short-term Supported Housing Assistance and Facility Based Transitional Housing comprise this goal)	95	135
<b>Total</b>	<b>286</b>	<b>286</b>

**Table 14 – HOPWA Number of Households Served**

The source of this information is the Austin Public Health Department.

**Short-Term Rent, Mortgage, and Utility (STRMU) Assistance Payments** provided short-term assistance to prevent homelessness of the tenant or homeowner. It helped maintain a stable living environment for the households who experienced financial crisis and possible loss of their housing arrangement. The STRMU program exceeded the goal in part due to increasing rental costs. Of the total households assisted, 90% received assistance with rent payments.

**Tenant-Based Rental Assistance (TBRA)** provided rent, mortgage and utility assistance to meet urgent needs of eligible persons with HIV/AIDS and their families. The goal is to prevent homelessness and to support independent living of persons with HIV/AIDS who access the program through HIV case management. The TBRA program did not meet the annual production goal in part due to a large number of households that continued to apply for TBRA assistance. TBRA clients are referred to the program through the Coordinated Assessment program and selected based on vulnerability and eligibility. Clients enrolled in the program face multiple barriers and have proved difficult to house independently given the high occupancy rate and shortage of affordable housing in Austin.

**Short-term Supported Housing Assistance (STSH)** provided up to 60 days of short-term emergency shelter to homeless families or individuals living with HIV/AIDS. This helps the client stabilize and allows for the opportunity to develop an individualized housing and service plan to guide the client's linkage to permanent housing. STSH met its annual production goal for FY 17-18.

**Facility Based Transitional Housing (FBTH)** provided services for people living with HIV/AIDS and their families across two properties with 30 apartments. FBTH did not meet its program goal due to the high barriers faced by clients in this program and the lack of permanent affordable housing options in the Austin

area.

\*In the FY 2017-18 Action Plan, the City incorrectly counted Facility Based Transitional Housing under the *units provided in permanent housing facilities developed, leased, or operated with HOPWA funds* unit of measure. Facility Based Transitional Housing should be associated with the *units provided in transitional short-term housing facilities, developed, leased, or operated with HOPWA funds* unit of measure. Therefore, the City combined Facility Based Transitional Housing and Short-term Supported Housing Assistance production, both of which are attributed to the *units provided in transitional short-term housing facilities developed, leased, or operated with HOPWA funds*.

## **CR-60 - ESG 91.520(g) (ESG Recipients only)**

### **ESG Supplement to the CAPER in *e-snaps* For Paperwork Reduction Act**

Please note that Section CR-60 in IDIS did not permit data entry of recipient or subrecipient information. As such, the City of Austin has attached this information separately in IDIS. The source of this information is Austin Public Health.

#### **1. Recipient Information—All Recipients Complete Basic Grant Information**

**Recipient Name** AUSTIN

**Organizational DUNS Number** 942230764 **EIN/TIN Number** 746000085

**Identify the Field Office** SAN ANTONIO

**Identify CoC(s) in which the recipient or subrecipient(s) will provide ESG assistance**

#### **ESG Contact Name: Prefix:**

**First Name:** Natasha

**Middle Name:** E.

**Last Name:** Ponczek Shoemake

**Suffix:**

**Title:** APH Program Coordinator

#### **ESG Contact Address**

**Street Address 1:** PO Box 1088

**Street Address 2:**

**City:** Austin

**State:** TX

**ZIP Code** 78767

**Phone Number:** 512-972-5027

**Extension:**

**Fax Number:** 512-972-5025

**Email Address:** Natasha.ponczek@austintexas.gov

**ESG Secondary Contact Prefix:**

**First Name:** Leslie

**Last Name:** Boyd

**Suffix:**

**Title:** Grants Program Manager

**Phone Number:** 512-972-5036

**Extension:**

**Email Address:** Leslie.boyd@austintexas.gov

#### **2. Reporting Period—All Recipients Complete Program Year Start Date 10/01/2017**

**Program Year End Date** 09/30/2018

#### **3a. Subrecipient Form – Complete one form for each subrecipient**

**Subrecipient or Contractor Name:** Front Steps, Inc.

**City:** Austin

**State:** Texas  
**Zip Code:** 78701  
**DUNS Number** 071056936  
**Is subrecipient a VAWA-DV provider** No  
**Subrecipient Organization Type** Non-Profit  
**ESG Subgrant or Contract Award Amount** FY 17-18 \$656,597

**Subrecipient or Contractor Name:**  
City of Austin Downtown Austin Community Court  
**City:** Austin  
**State:** Texas  
**Zip Code:** 78701  
**DUNS Number**  
**Is subrecipient a VAWA-DV provider** No  
**Subrecipient Organization Type** Municipal Government  
**ESG Subgrant or Contract Award Amount** FY 17-18 \$134,200

**Subrecipient or Contractor Name**  
Austin Public Health, Communicable Disease Unit  
**City:** Austin  
**State:** Texas  
**Zip Code:** 78701  
**DUNS Number** 945607265  
**Is subrecipient a VAWA-DV provider** No  
**Subrecipient Organization Type** Municipal Government  
**ESG Subgrant or Contract Award Amount** FY 17-18 \$69,700

## CR-65 - Persons Assisted

### Note Regarding Sage

HUD released updated CAPER requirements for the ESG Program in 2017. HUD now requires ESG recipients to report aggregated program information at the subrecipient level using the newly-developed ESG reporting repository system called Sage. The information in Sage is sourced from the Homeless Management Information System (HMIS) and produces statistical information on clients served by projects funded with ESG. The Sage report replaces section CR-65 and is featured in Attachment 1.

## 4. Persons Served

### 4a. Complete for Homelessness Prevention Activities

No ESG expenditures are used for homelessness prevention activities.

Number of Persons in Households	Total
Adults	0
Children	0
Don't Know/Refused/Other	0
Missing Information	0
<b>Total</b>	<b>0</b>

Table 14 – Household Information for Homeless Prevention Activities

### 4b. Complete for Rapid Re-Housing Activities

Number of Persons in Households	Total
Adults	0
Children	0
Don't Know/Refused/Other	0
Missing Information	0
<b>Total</b>	<b>0</b>

Table 15 – Household Information for Rapid Re-Housing Activities

### 4c. Complete for Shelter

Number of Persons in Households	Total
Adults	0
Children	0
Don't Know/Refused/Other	0
Missing Information	0
<b>Total</b>	<b>0</b>

Table 16 – Shelter Information



#### 4d. Street Outreach

Number of Persons in Households	Total
Adults	0
Children	0
Don't Know/Refused/Other	0
Missing Information	0
<b>Total</b>	<b>0</b>

Table 17 – Household Information for Street Outreach

#### 4e. Totals for all Persons Served with ESG

Number of Persons in Households	Total
Adults	0
Children	0
Don't Know/Refused/Other	0
Missing Information	0
<b>Total</b>	<b>0</b>

Table 18 – Household Information for Persons Served with ESG

#### 5. Gender—Complete for All Activities

	Total
Male	0
Female	0
Transgender	0
Don't Know/Refused/Other	0
Missing Information	0
<b>Total</b>	<b>0</b>

Table 19 - Gender Information

#### 6. Age—Complete for All Activities

	Total
Under 18	0
18-24	0
25 and over	0
Don't Know/Refused/Other	0
Missing Information	0
<b>Total</b>	<b>0</b>

Table 20 – Age Information

## 7. Special Populations Served—Complete for All Activities

\*No ESG expenditures are used for homelessness prevention activities.

### Number of Persons in Households

Subpopulation	Total	Total Persons Served Prevention*	Total Persons Served RRH	Total Persons Served in Emergency Shelters
Veterans				
Victims of Domestic Violence				
Elderly				
HIV/AIDS				
Chronically Homeless				

Persons with Disabilities:				
Severely Mentally Ill				
Chronic Substance Abuse				
Other Disability				
Total (Unduplicated if possible)				

Table 21— Special Population Served

## CR-70 – ESG 91.520(g) - Assistance Provided and Outcomes

### 10. Shelter Utilization

Number of New Units - Rehabbed	0
Number of New Units - Conversion	0
Total Number of bed-nights available	69,350
Total Number of bed-nights provided	56,877
Capacity Utilization	82%

Table 2 – Shelter Capacity

### 11. Project Outcomes Data measured under the performance standards developed in consultation with the CoC(s)

#### ESG Shelter Operations Performance Outcome FY 2017-2018

Outcome #1:	ACCOMPLISHMENTS
NUMERATOR: Number of case-managed households that transition from homelessness into housing	71
DENOMINATOR: Number of households that exit the program	147
OUTCOME RATE: Percent of case-managed households that transition from homelessness into housing who reside at the Austin Resource Center for the Homeless	48.30%

#### ESG Rapid Rehousing Program Rollup FY 2017-2018

NUMERATOR: Number of unduplicated clients who obtain safe and stable housing as a result of participating in Rapid Rehousing services (numerator)	ACCOMPLISHMENTS
Communicable Disease Unit	44
Downtown Austin Community Court	12
Front Steps	16
<b>Subtotal</b>	<b>72</b>

<b>DENOMINATOR: Number of unduplicated clients who participate in Rapid Rehousing services</b>	<b>ACCOMPLISHMENTS</b>
Communicable Disease Unit	54
Downtown Austin Community Court	16
Front Steps	20
<b>Subtotal</b>	90
Percentage of unduplicated clients who obtain safe and stable housing as a result of participating in Rapid Rehousing services (outcome rate)	80%

## CR-75 – Expenditures

### 11. Expenditures

**11a. ESG Expenditures for Homelessness Prevention** No ESG expenditures are used for homelessness prevention activities.

	Dollar Amount of Expenditures in Program Year		
	2015	2016	2017
Expenditures for Rental Assistance	0	0	0
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	0	0	0
Expenditures for Housing Relocation & Stabilization Services - Services	0	0	0
Expenditures for Homeless Prevention under Emergency Shelter Grants Program	0	0	0
<b>Subtotal Homelessness Prevention</b>	<b>0</b>	<b>0</b>	<b>0</b>

### 11b. ESG Expenditures for Rapid Re-Housing

	Dollar Amount of Expenditures in Program Year		
	2015	2016	2017
Expenditures for Rental Assistance	0	\$7,159	\$13,254
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	0	\$1,097	\$10,402
Expenditures for Housing Relocation & Stabilization Services - Services	0	\$42,666	\$162,947
Expenditures for Homeless Assistance under Emergency Shelter Grants Program	0	0	0
<b>Subtotal Rapid Re-Housing</b>	<b>0</b>	<b>\$50,922</b>	<b>\$186,603</b>

### 11c. ESG Expenditures for Emergency Shelter

	Dollar Amount of Expenditures in Program Year		
	2015	2016	2017
Essential Services	0	0	0
Operations	0	\$35,240	\$313,922
Renovation	0	0	0
Major Rehab	0	0	0
Conversion	0	0	0
<b>Subtotal</b>	<b>0</b>	<b>\$35,240</b>	<b>\$313,922</b>

**11d. Other Grant Expenditures**

	Dollar Amount of Expenditures in Program Year		
	2015	2016	2017
Street Outreach	0	0	0
HMIS	0	1,200	28,284
Administration	0	31,339	20,918

**11e. Total ESG Grant Funds**

Total ESG Funds Expended	2015	2016	2017
\$668,429	0	\$118,701	\$549,728

**11f. Match Source**

	2015	2016	2017
Other Non-ESG HUD Funds	0	0	0
Other Federal Funds	0	0	0
State Government	0	0	0
Local Government	0	0	\$622,954
Private Funds	0	0	0
Other	0	0	0
Fees	0	0	0
Program Income	0	0	0
<b>Total Match Amount</b>	<b>0</b>	<b>0</b>	<b>0</b>

**11g. Total**

Total Amount of Funds Expended on ESG Activities	2015	2016	2017
\$1,291,383	0	\$118,701	\$1,172,682

**Attachment 1:** ESG Program Report (Sage) and Subrecipient Information

## HUD ESG CAPER

Grant: **ESG: Austin - TX - Report** Type: **CAPER**

### Report Date Range

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10/1/2017 to 9/30/2018

### Q01a. Contact Information

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First name	Natasha
Middle name	
Last name	Ponczek Shoemake
Suffix	
Title	
Street Address 1	PO Box 1088
Street Address 2	
City	Austin
State	Texas
ZIP Code	78767
E-mail Address	natasha.ponczek@austintexas.gov
Phone Number	(512)972-5027
Extension	
Fax Number	



**Q01b. Grant Information**

As of 10/12/2018

**ESG Information from IDIS**

FISCAL YEAR	GRANT NUMBER	CURRENT AUTHORIZED AMOUNT	TOTAL DRAWN	BALANCE	OBLIGATION DATE	EXPENDITURE DEADLINE
2018						
2017	E17MC480500	\$886,287.00	\$549,727.91	\$336,559.09	9/22/2017	9/22/2019
2016	E16MC480500	\$637,196.00	\$637,196.00	\$0	9/29/2016	9/29/2018
2015	E15MC480500	\$622,474.00	\$622,474.00	\$0	9/30/2015	9/30/2017
2014	E14MC480500	\$583,706.00	\$583,706.00	\$0	9/24/2014	9/24/2016
2013	E13MC480500	\$488,262.00	\$488,262.00	\$0	9/30/2013	9/30/2015
2012	E12MC480500	\$595,612.00	\$595,612.00	\$0	10/26/2012	10/26/2014
2011	E11MC480500	\$516,377.00	\$516,377.00	\$0	7/27/2012	7/27/2014
Total		\$4,329,914.00	\$3,993,354.91	\$336,559.09		

**CAPER reporting includes funds used from fiscal year:****Project types carried out during the program year:***Enter the number of each type of projects funded through ESG during this program year.*

Street Outreach	0
Emergency Shelter	1
Transitional Housing (grandfathered under ES)	0
Day Shelter (funded under ES)	0
Rapid Re-Housing	3
Homelessness Prevention	0

**Q01c. Additional Information****HMIS****Comparable Database**

Are 100% of the project(s) funded through ESG, which are allowed to use HMIS, entering data into HMIS?	Yes
Have all of the projects entered data into Sage via a CSV - CAPER Report upload?	Yes
Are 100% of the project(s) funded through ESG, which are allowed to use a comparable database, entering data into the comparable database?	Yes
Have all of the projects entered data into Sage via a CSV - CAPER Report upload?	Yes

**Q04a: Project Identifiers in HMIS**

Organization Name	DACC
Organization ID	3984
Project Name	DACC ESG RRH
Project ID	9323
HMIS Project Type	13
Method of Tracking ES	
Is the Services Only (HMIS Project Type 6) affiliated with a residential project?	
Identify the Project ID's of the Housing Projects this Project is Affiliated with	
CSV Exception?	Yes
Uploaded via emailed hyperlink?	Yes
Email unique ID record link	bFVGqAGptQ
Project name (user-specified)	ESG DACC Rapid Rehousing
Project type (user-specified)	PH - Rapid Re-Housing
Organization Name	City of Austin Health and Human Services
Organization ID	4032
Project Name	HHSC - ESG RRH
Project ID	9324
HMIS Project Type	13
Method of Tracking ES	
Is the Services Only (HMIS Project Type 6) affiliated with a residential project?	
Identify the Project ID's of the Housing Projects this Project is Affiliated with	
CSV Exception?	No
Uploaded via emailed hyperlink?	Yes
Email unique ID record link	q9V1badGus
Project name (user-specified)	CDU Rapid Rehousing
Project type (user-specified)	PH - Rapid Re-Housing
Organization Name	FRONT STEPS
Organization ID	146
Project Name	Front Steps - Emergency Night Shelter
Project ID	9238
HMIS Project Type	1
Method of Tracking ES	3
Is the Services Only (HMIS Project Type 6) affiliated with a residential project?	
Identify the Project ID's of the Housing Projects this Project is Affiliated with	
CSV Exception?	No
Uploaded via emailed hyperlink?	Yes
Email unique ID record link	33gfBqfDa7
Project name (user-specified)	ARCH Shelter
Project type (user-specified)	Emergency Shelter
Organization Name	FRONT STEPS
Organization ID	146
Project Name	Front Steps - RRH
Project ID	9322
HMIS Project Type	13
Method of Tracking ES	
Is the Services Only (HMIS Project Type 6) affiliated with a residential project?	
Identify the Project ID's of the Housing Projects this Project is Affiliated with	
CSV Exception?	No
Uploaded via emailed hyperlink?	Yes
Email unique ID record link	9ZgAEiJjQe
Project name (user-specified)	FS Rapid Rehousing

**Q05a: Report Validations Table**

Total Number of Persons Served	1687
Number of Adults (Age 18 or Over)	1680
Number of Children (Under Age 18)	0
Number of Persons with Unknown Age	7
Number of Leavers	1411
Number of Adult Leavers	1404
Number of Adult and Head of Household Leavers	1404
Number of Stayers	276
Number of Adult Stayers	276
Number of Veterans	243
Number of Chronically Homeless Persons	601
Number of Youth Under Age 25	92
Number of Parenting Youth Under Age 25 with Children	0
Number of Adult Heads of Household	1564
Number of Child and Unknown-Age Heads of Household	0
Heads of Households and Adult Stayers in the Project 365 Days or More	51

**Q06a: Data Quality: Personally Identifying Information (PII)**

Data Element	Client Doesn't Know/Refused	Information Missing	Data Issues	% of Error Rate
Name	4	4	6	0.95 %
Social Security Number	47	8	179	13.46 %
Date of Birth	0	8	2	0.95 %
Race	33	8	0	2.08 %
Ethnicity	9	10	0	1.07 %
Gender	2	9	0	0.95 %
Overall Score				16.49 %

**Q06b: Data Quality: Universal Data Elements**

	Error Count	% of Error Rate
Veteran Status	8	0.48 %
Project Start Date	0	0.00 %
Relationship to Head of Household	125	7.41 %
Client Location	0	0.00 %
Disabling Condition	256	15.17 %

**Q06c: Data Quality: Income and Housing Data Quality**

	Error Count	% of Error Rate
Destination	32	2.27 %
Income and Sources at Start	244	15.60 %
Income and Sources at Annual Assessment	51	100.00 %
Income and Sources at Exit	178	12.68 %

**Q06d: Data Quality: Chronic Homelessness**

	Count of Total Records	Missing Time in Institution	Missing Time in Housing	Approximate Date Started DK/R/missing	Number of Times DK/R/missing	Number of Months DK/R/missing	% of Records Unable to Calculate
ES, SH, Street Outreach	1573	0	0	330	210	233	24.00 %
TH	0	0	0	0	0	0	--
PH (All)	79	0	0	1	0	1	2.54 %
Total	1652	0	0	0	0	0	22.97 %

**Q06e: Data Quality: Timeliness**

	Number of Project Start Records	Number of Project Exit Records
0 days	636	26
1-3 Days	261	6
4-6 Days	260	3
7-10 Days	179	5
11+ Days	169	1371

**Q06f: Data Quality: Inactive Records: Street Outreach & Emergency Shelter**

	# of Records	# of Inactive Records	% of Inactive Records
Contact (Adults and Heads of Household in Street Outreach or ES - NBN)	123	123	100.00 %
Bed Night (All Clients in ES - NBN)	123	1230	1,000.00 %

**Q07a: Number of Persons Served**

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Adults	1680	1680	0	0	0
Children	0	0	0	0	0
Client Doesn't Know/ Client Refused	0	0	0	0	0
Data Not Collected	7	0	0	0	7
Total	1687	1680	0	0	7

**Q08a: Households Served**

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Total Households	1564	1564	0	0	0

**Q08b: Point-in-Time Count of Households on the Last Wednesday**

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
January	243	243	0	0	0
April	198	198	0	0	0
July	204	204	0	0	0
October	209	209	0	0	0

**Q09a: Number of Persons Contacted**

	All Persons Contacted	First contact – NOT staying on the Streets, ES, or SH	First contact – WAS staying on Streets, ES, or SH	First contact – Worker unable to determine
Once	0	0	0	0
2-5 Times	0	0	0	0
6-9 Times	0	0	0	0
10+ Times	0	0	0	0
Total Persons Contacted	0	0	0	0

**Q09b: Number of Persons Engaged**

	All Persons Contacted	First contact – NOT staying on the Streets, ES, or SH	First contact – WAS staying on Streets, ES, or SH	First contact – Worker unable to determine
Once	0	0	0	0
2-5 Contacts	0	0	0	0
6-9 Contacts	0	0	0	0
10+ Contacts	0	0	0	0
Total Persons Engaged	0	0	0	0
Rate of Engagement	0.00	0.00	0.00	0.00

**Q10a: Gender of Adults**

	Total	Without Children	With Children and Adults	Unknown Household Type
Male	1657	1657	0	0
Female	16	16	0	0
Trans Male (FTM or Female to Male)	3	3	0	0
Trans Female (MTF or Male to Female)	0	0	0	0
Gender Non-Conforming (i.e. not exclusively male or female)	0	0	0	0
Client Doesn't Know/Client Refused	2	2	0	0
Data Not Collected	2	2	0	0
Subtotal	1680	1680	0	0

**Q10b: Gender of Children**

	Total	With Children and Adults	With Only Children	Unknown Household Type
Male	0	0	0	0
Female	0	0	0	0
Trans Male (FTM or Female to Male)	0	0	0	0
Trans Female (MTF or Male to Female)	0	0	0	0
Gender Non-Conforming (i.e. not exclusively male or female)	0	0	0	0
Client Doesn't Know/Client Refused	0	0	0	0
Data Not Collected	0	0	0	0
Subtotal	0	0	0	0

**Q10c: Gender of Persons Missing Age Information**

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Male	0	0	0	0	0
Female	0	0	0	0	0
Trans Male (FTM or Female to Male)	0	0	0	0	0
Trans Female (MTF or Male to Female)	0	0	0	0	0
Gender Non-Conforming (i.e. not exclusively male or female)	0	0	0	0	0
Client Doesn't Know/Client Refused	0	0	0	0	0
Data Not Collected	7	0	0	0	7
Subtotal	7	0	0	0	7

**Q10d: Gender by Age Ranges**

	Total	Under Age 18	Age 18-24	Age 25-61	Age 62 and over	Client Doesn't Know/ Client Refused	Data Not Collected
Male	1657	0	90	1412	155	0	0
Female	16	0	0	13	3	0	0
Trans Female (MTF or Male to Female)	3	0	0	3	0	0	0
Trans Male (FTM or Female to Male)	0	0	0	0	0	0	0
Gender Non-Conforming (i.e. not exclusively male or female)	0	0	0	0	0	0	0
Client Doesn't Know/Client Refused	2	0	1	1	0	0	0
Data Not Collected	9	0	1	1	0	0	7
Subtotal	1687	0	92	1430	158	0	7

**Q11: Age**

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Under 5	0	0	0	0	0
5 - 12	0	0	0	0	0
13 - 17	0	0	0	0	0
18 - 24	92	92	0	0	0
25 - 34	295	295	0	0	0
35 - 44	372	372	0	0	0
45 - 54	444	444	0	0	0
55 - 61	319	319	0	0	0
62+	158	158	0	0	0
Client Doesn't Know/Client Refused	0	0	0	0	0
Data Not Collected	7	0	0	0	7
Total	1687	1680	0	0	7

**Q12a: Race**

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
White	929	929	0	0	0
Black or African American	596	596	0	0	0
Asian	14	14	0	0	0
American Indian or Alaska Native	24	24	0	0	0
Native Hawaiian or Other Pacific Islander	7	7	0	0	0
Multiple Races	71	71	0	0	0
Client Doesn't Know/Client Refused	38	38	0	0	0
Data Not Collected	8	1	0	0	7
Total	1687	1680	0	0	7

**Q12b: Ethnicity**

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Non-Hispanic/Non-Latino	1288	1288	0	0	0
Hispanic/Latino	379	379	0	0	0
Client Doesn't Know/Client Refused	9	9	0	0	0
Data Not Collected	11	4	0	0	7
Total	1687	1680	0	0	7

**Q13a1: Physical and Mental Health Conditions at Start**

	Total Persons	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Mental Health Problem	657	657	0	0	0
Alcohol Abuse	128	128	0	0	0
Drug Abuse	139	139	0	0	0
Both Alcohol and Drug Abuse	189	189	0	0	0
Chronic Health Condition	534	534	0	0	0
HIV/AIDS	66	66	0	0	0
Developmental Disability	222	222	0	0	0
Physical Disability	537	537	0	0	0

**Q13b1: Physical and Mental Health Conditions at Exit**

	Total Persons	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Mental Health Problem	580	580	0	0	0
Alcohol Abuse	86	86	0	0	0
Drug Abuse	130	130	0	0	0
Both Alcohol and Drug Abuse	175	175	0	0	0
Chronic Health Condition	454	454	0	0	0
HIV/AIDS	58	58	0	0	0
Developmental Disability	198	198	0	0	0
Physical Disability	479	479	0	0	0

**Q13c1: Physical and Mental Health Conditions for Stayers**

	Total Persons	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Mental Health Problem	117	117	0	0	0
Alcohol Abuse	39	39	0	0	0
Drug Abuse	25	25	0	0	0
Both Alcohol and Drug Abuse	25	25	0	0	0
Chronic Health Condition	106	106	0	0	0
HIV/AIDS	11	11	0	0	0
Developmental Disability	37	37	0	0	0
Physical Disability	99	99	0	0	0

**Q14a: Domestic Violence History**

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Yes	252	252	0	0	0
No	1389	1389	0	0	0
Client Doesn't Know/Client Refused	8	8	0	0	0
Data Not Collected	31	31	0	0	0
Total	1680	1680	0	0	0

**Q14b: Persons Fleeing Domestic Violence**

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Yes	53	53	0	0	0
No	163	163	0	0	0
Client Doesn't Know/Client Refused	1	1	0	0	0
Data Not Collected	35	35	0	0	0
Total	252	252	0	0	0

**Q15: Living Situation**

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
<b>Homeless Situations</b>	0	0	0	0	0
Emergency shelter, including hotel or motel paid for with emergency shelter voucher	436	436	0	0	0
Transitional housing for homeless persons (including homeless youth)	13	13	0	0	0
Place not meant for habitation	849	849	0	0	0
Safe Haven	6	6	0	0	0
Interim Housing	2	2	0	0	0
<b>Subtotal</b>	1306	1306	0	0	0
<b>Institutional Settings</b>	0	0	0	0	0
Psychiatric hospital or other psychiatric facility	15	15	0	0	0
Substance abuse treatment facility or detox center	10	10	0	0	0
Hospital or other residential non-psychiatric medical facility	35	35	0	0	0
Jail, prison or juvenile detention facility	34	34	0	0	0
Foster care home or foster care group home	0	0	0	0	0
Long-term care facility or nursing home	2	2	0	0	0
Residential project or halfway house with no homeless criteria	5	5	0	0	0
<b>Subtotal</b>	101	101	0	0	0
<b>Other Locations</b>	0	0	0	0	0
Permanent housing (other than RRH) for formerly homeless persons	2	2	0	0	0
Owned by client, no ongoing housing subsidy	5	5	0	0	0
Owned by client, with ongoing housing subsidy	2	2	0	0	0
Rental by client, no ongoing housing subsidy	13	13	0	0	0
Rental by client, with VASH subsidy	2	2	0	0	0
Rental by client with GPD TIP subsidy	0	0	0	0	0
Rental by client, with other housing subsidy (including RRH)	13	13	0	0	0
Hotel or motel paid for without emergency shelter voucher	37	37	0	0	0
Staying or living in a friend's room, apartment or house	64	64	0	0	0
Staying or living in a family member's room, apartment or house	35	35	0	0	0
Client Doesn't Know/Client Refused	6	6	0	0	0
Data Not Collected	91	91	0	0	0
<b>Subtotal</b>	270	270	0	0	0
Total	1680	1680	0	0	0



**Q20a: Type of Non-Cash Benefit Sources**

	Benefit at Start	Benefit at Latest Annual Assessment for Stayers	Benefit at Exit for Leavers
Supplemental Nutritional Assistance Program	439	0	400
WIC	2	0	1
TANF Child Care Services	0	0	0
TANF Transportation Services	0	0	0
Other TANF-Funded Services	0	0	0
Other Source	14	0	10

**Q21: Health Insurance**

	At Start	At Annual Assessment for Stayers	At Exit for Leavers
Medicaid	252	0	219
Medicare	184	0	155
State Children's Health Insurance Program	0	0	0
VA Medical Services	95	0	91
Employer Provided Health Insurance	12	0	11
Health Insurance Through COBRA	1	0	1
Private Pay Health Insurance	5	0	3
State Health Insurance for Adults	2	0	3
Indian Health Services Program	0	0	0
Other	34	0	34
No Health Insurance	1173	0	947
Client Doesn't Know/Client Refused	4	0	5
Data Not Collected	72	51	53
Number of Stayers Not Yet Required to Have an Annual Assessment	0	225	0
1 Source of Health Insurance	334	0	317
More than 1 Source of Health Insurance	123	0	98

**Q22a2: Length of Participation – ESG Projects**

	Total	Leavers	Stayers
0 to 7 days	826	800	26
8 to 14 days	160	147	13
15 to 21 days	76	65	11
22 to 30 days	93	78	15
31 to 60 days	167	130	37
61 to 90 days	86	57	29
91 to 180 days	143	80	63
181 to 365 days	124	44	80
366 to 730 days (1-2 Yrs)	12	10	2
731 to 1,095 days (2-3 Yrs)	0	0	0
1,096 to 1,460 days (3-4 Yrs)	0	0	0
1,461 to 1,825 days (4-5 Yrs)	0	0	0
More than 1,825 days (> 5 Yrs)	0	0	0
Data Not Collected	0	0	0
Total	1687	1411	276

**Q22c: RRH Length of Time between Project Start Date and Housing Move-in Date (post 10/1/2018)**

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
7 days or less	4	4	0	0	0
8 to 14 days	2	2	0	0	0
15 to 21 days	1	1	0	0	0
22 to 30 days	3	3	0	0	0
31 to 60 days	8	8	0	0	0
61 to 180 days	12	12	0	0	0
181 to 365 days	3	3	0	0	0
366 to 730 days (1-2 Yrs)	2	2	0	0	0
Total (persons moved into housing)	32	32	0	0	0
Average length of time to housing	90.78	90.78	--	--	--
Persons who were exited without move-in	27	27	0	0	0
Total persons	64	64	0	0	0

**Q22c: RRH Length of Time between Project Start Date and Housing Move-in Date (pre 10/1/2018)**

Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
- no data -				

**Q22d: Length of Participation by Household Type**

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
7 days or less	826	819	0	0	7
8 to 14 days	160	160	0	0	0
15 to 21 days	76	76	0	0	0
22 to 30 days	93	93	0	0	0
31 to 60 days	167	167	0	0	0
61 to 90 days	86	86	0	0	0
91 to 180 days	143	143	0	0	0
181 to 365 days	124	124	0	0	0
366 to 730 days (1-2 Yrs)	12	12	0	0	0
731 to 1,095 days (2-3 Yrs)	0	0	0	0	0
1,096 to 1,460 days (3-4 Yrs)	0	0	0	0	0
1,461 to 1,825 days (4-5 Yrs)	0	0	0	0	0
More than 1,825 days (> 5 Yrs)	0	0	0	0	0
Data Not Collected	0	0	0	0	0
Total	1687	1680	0	0	7

**Q23a: Exit Destination – More Than 90 Days**

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
<b>Permanent Destinations</b>	0	0	0	0	0
Moved from one HOPWA funded project to HOPWA PH	0	0	0	0	0
Owned by client, no ongoing housing subsidy	0	0	0	0	0
Owned by client, with ongoing housing subsidy	0	0	0	0	0
Rental by client, no ongoing housing subsidy	5	5	0	0	0
Rental by client, with VASH housing subsidy	0	0	0	0	0
Rental by client, with GPD TIP housing subsidy	0	0	0	0	0
Rental by client, with other ongoing housing subsidy	8	8	0	0	0
Permanent housing (other than RRH) for formerly homeless persons	0	0	0	0	0
Staying or living with family, permanent tenure	0	0	0	0	0
Staying or living with friends, permanent tenure	0	0	0	0	0
Rental by client, with RRH or equivalent subsidy	3	3	0	0	0
<b>Subtotal</b>	16	16	0	0	0
<b>Temporary Destinations</b>	0	0	0	0	0
Emergency shelter, including hotel or motel paid for with emergency shelter voucher	1	1	0	0	0
Moved from one HOPWA funded project to HOPWA TH	0	0	0	0	0
Transitional housing for homeless persons (including homeless youth)	0	0	0	0	0
Staying or living with family, temporary tenure (e.g. room, apartment or house)	1	1	0	0	0
Staying or living with friends, temporary tenure (e.g. room, apartment or house)	0	0	0	0	0
Place not meant for habitation (e.g., a vehicle, an abandoned building, bus/train/subway station/airport or anywhere outside)	1	1	0	0	0
Safe Haven	0	0	0	0	0
Hotel or motel paid for without emergency shelter voucher	0	0	0	0	0
<b>Subtotal</b>	3	3	0	0	0
<b>Institutional Settings</b>	0	0	0	0	0
Foster care home or group foster care home	0	0	0	0	0
Psychiatric hospital or other psychiatric facility	0	0	0	0	0
Substance abuse treatment facility or detox center	0	0	0	0	0
Hospital or other residential non-psychiatric medical facility	0	0	0	0	0
Jail, prison, or juvenile detention facility	0	0	0	0	0
Long-term care facility or nursing home	0	0	0	0	0
<b>Subtotal</b>	0	0	0	0	0
<b>Other Destinations</b>	0	0	0	0	0
Residential project or halfway house with no homeless criteria	0	0	0	0	0
Deceased	1	1	0	0	0
Other	0	0	0	0	0
Client Doesn't Know/Client Refused	1	1	0	0	0
Data Not Collected (no exit interview completed)	4	4	0	0	0
<b>Subtotal</b>	6	6	0	0	0
<b>Total</b>	25	25	0	0	0
Total persons exiting to positive housing destinations	16	16	0	0	0
Total persons whose destinations excluded them from the calculation	1	1	0	0	0
Percentage	66.67 %	66.67 %	--	--	--

**Q23b: Exit Destination – 90 Days or Less**

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
<b>Permanent Destinations</b>	0	0	0	0	0
Moved from one HOPWA funded project to HOPWA PH	0	0	0	0	0
Owned by client, no ongoing housing subsidy	0	0	0	0	0
Owned by client, with ongoing housing subsidy	0	0	0	0	0
Rental by client, no ongoing housing subsidy	2	2	0	0	0
Rental by client, with VASH housing subsidy	0	0	0	0	0
Rental by client, with GPD TIP housing subsidy	0	0	0	0	0
Rental by client, with other ongoing housing subsidy	5	5	0	0	0
Permanent housing (other than RRH) for formerly homeless persons	1	1	0	0	0
Staying or living with family, permanent tenure	0	0	0	0	0
Staying or living with friends, permanent tenure	1	1	0	0	0
Rental by client, with RRH or equivalent subsidy	4	4	0	0	0
<b>Subtotal</b>	13	13	0	0	0
<b>Temporary Destinations</b>	0	0	0	0	0
Emergency shelter, including hotel or motel paid for with emergency shelter voucher	0	0	0	0	0
Moved from one HOPWA funded project to HOPWA TH	0	0	0	0	0
Transitional housing for homeless persons (including homeless youth)	0	0	0	0	0
Staying or living with family, temporary tenure (e.g. room, apartment or house)	0	0	0	0	0
Staying or living with friends, temporary tenure (e.g. room, apartment or house)	0	0	0	0	0
Place not meant for habitation (e.g., a vehicle, an abandoned building, bus/train/subway station/airport or anywhere outside)	0	0	0	0	0
Safe Haven	0	0	0	0	0
Hotel or motel paid for without emergency shelter voucher	0	0	0	0	0
<b>Subtotal</b>	0	0	0	0	0
<b>Institutional Settings</b>	0	0	0	0	0
Foster care home or group foster care home	0	0	0	0	0
Psychiatric hospital or other psychiatric facility	0	0	0	0	0
Substance abuse treatment facility or detox center	0	0	0	0	0
Hospital or other residential non-psychiatric medical facility	0	0	0	0	0
Jail, prison, or juvenile detention facility	0	0	0	0	0
Long-term care facility or nursing home	0	0	0	0	0
<b>Subtotal</b>	0	0	0	0	0
<b>Other Destinations</b>	0	0	0	0	0
Residential project or halfway house with no homeless criteria	0	0	0	0	0
Deceased	0	0	0	0	0
Other	1	1	0	0	0
Client Doesn't Know/Client Refused	0	0	0	0	0
Data Not Collected (no exit interview completed)	4	4	0	0	0
<b>Subtotal</b>	5	5	0	0	0
<b>Total</b>	18	18	0	0	0
Total persons exiting to positive housing destinations	13	13	0	0	0
Total persons whose destinations excluded them from the calculation	0	0	0	0	0
Percentage	72.22 %	72.22 %	--	--	--

**Q23c: Exit Destination – All persons**

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
<b>Permanent Destinations</b>	0	0	0	0	0
Moved from one HOPWA funded project to HOPWA PH	0	0	0	0	0
Owned by client, no ongoing housing subsidy	0	0	0	0	0
Owned by client, with ongoing housing subsidy	0	0	0	0	0
Rental by client, no ongoing housing subsidy	0	0	0	0	0
Rental by client, with VASH housing subsidy	0	0	0	0	0
Rental by client, with GPD TIP housing subsidy	0	0	0	0	0
Rental by client, with other ongoing housing subsidy	0	0	0	0	0
Permanent housing (other than RRH) for formerly homeless persons	0	0	0	0	0
Staying or living with family, permanent tenure	0	0	0	0	0
Staying or living with friends, permanent tenure	0	0	0	0	0
Rental by client, with RRH or equivalent subsidy	0	0	0	0	0
<b>Subtotal</b>	0	0	0	0	0
<b>Temporary Destinations</b>	0	0	0	0	0
Emergency shelter, including hotel or motel paid for with emergency shelter voucher	8	8	0	0	0
Moved from one HOPWA funded project to HOPWA TH	0	0	0	0	0
Transitional housing for homeless persons (including homeless youth)	0	0	0	0	0
Staying or living with family, temporary tenure (e.g. room, apartment or house)	0	0	0	0	0
Staying or living with friends, temporary tenure (e.g. room, apartment or house)	0	0	0	0	0
Place not meant for habitation (e.g., a vehicle, an abandoned building, bus/train/subway station/airport or anywhere outside)	0	0	0	0	0
Safe Haven	0	0	0	0	0
Hotel or motel paid for without emergency shelter voucher	0	0	0	0	0
<b>Subtotal</b>	8	8	0	0	0
<b>Institutional Settings</b>	0	0	0	0	0
Foster care home or group foster care home	0	0	0	0	0
Psychiatric hospital or other psychiatric facility	0	0	0	0	0
Substance abuse treatment facility or detox center	0	0	0	0	0
Hospital or other residential non-psychiatric medical facility	0	0	0	0	0
Jail, prison, or juvenile detention facility	0	0	0	0	0
Long-term care facility or nursing home	0	0	0	0	0
<b>Subtotal</b>	0	0	0	0	0
<b>Other Destinations</b>	0	0	0	0	0
Residential project or halfway house with no homeless criteria	0	0	0	0	0
Deceased	0	0	0	0	0
Other	0	0	0	0	0
Client Doesn't Know/Client Refused	0	0	0	0	0
Data Not Collected (no exit interview completed)	1360	1353	0	0	7
<b>Subtotal</b>	1360	1353	0	0	7
<b>Total</b>	1368	1361	0	0	7
Total persons exiting to positive housing destinations	0	0	0	0	0
Total persons whose destinations excluded them from the calculation	0	0	0	0	0
Percentage	0.00 %	0.00 %	--	--	0.00 %

**Q24: Homelessness Prevention Housing Assessment at Exit**

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Able to maintain the housing they had at project start--Without a subsidy	0	0	0	0	0
Able to maintain the housing they had at project start--With the subsidy they had at project start	0	0	0	0	0
Able to maintain the housing they had at project start--With an on-going subsidy acquired since project start	0	0	0	0	0
Able to maintain the housing they had at project start--Only with financial assistance other than a subsidy	0	0	0	0	0
Moved to new housing unit--With on-going subsidy	0	0	0	0	0
Moved to new housing unit--Without an on-going subsidy	0	0	0	0	0
Moved in with family/friends on a temporary basis	0	0	0	0	0
Moved in with family/friends on a permanent basis	0	0	0	0	0
Moved to a transitional or temporary housing facility or program	0	0	0	0	0
Client became homeless – moving to a shelter or other place unfit for human habitation	0	0	0	0	0
Client went to jail/prison	0	0	0	0	0
Client died	0	0	0	0	0
Client doesn't know/Client refused	0	0	0	0	0
Data not collected (no exit interview completed)	0	0	0	0	0
Total	0	0	0	0	0

**Q25a: Number of Veterans**

	Total	Without Children	With Children and Adults	Unknown Household Type
Chronically Homeless Veteran	72	72	0	0
Non-Chronically Homeless Veteran	171	171	0	0
Not a Veteran	1429	1429	0	0
Client Doesn't Know/Client Refused	5	5	0	0
Data Not Collected	3	3	0	0
Total	1680	1680	0	0

**Q26b: Number of Chronically Homeless Persons by Household**

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Chronically Homeless	601	601	0	0	0
Not Chronically Homeless	733	733	0	0	0
Client Doesn't Know/Client Refused	11	11	0	0	0
Data Not Collected	342	335	0	0	7
Total	1687	1680	0	0	7

## **CR-60 - ESG 91.520(g) (ESG Recipients only)**

### **ESG Supplement to the CAPER in *e-snaps* For Paperwork Reduction Act**

Please note that Section CR-60 in IDIS did not permit data entry of recipient or subrecipient information. As such, the City of Austin has attached this information separately in IDIS. The source of this information is Austin Public Health.

#### **1. Recipient Information—All Recipients Complete Basic Grant Information**

**Recipient Name** AUSTIN

**Organizational DUNS Number** 942230764 **EIN/TIN Number** 746000085

**Identify the Field Office** SAN ANTONIO

**Identify CoC(s) in which the recipient or subrecipient(s) will provide ESG assistance**

#### **ESG Contact Name: Prefix:**

**First Name:** Natasha

**Middle Name:** E.

**Last Name:** Ponczek Shoemake

**Suffix:**

**Title:** APH Program Coordinator

#### **ESG Contact Address**

**Street Address 1:** PO Box 1088

**Street Address 2:**

**City:** Austin

**State:** TX

**ZIP Code** 78767

**Phone Number:** 512-972-5027

**Extension:**

**Fax Number:** 512-972-5025

**Email Address:** Natasha.ponczek@austintexas.gov

#### **ESG Secondary Contact Prefix:**

**First Name:** Leslie

**Last Name:** Boyd

**Suffix:**

**Title:** Grants Program Manager

**Phone Number:** 512-972-5036

**Extension:**

**Email Address:** Leslie.boyd@austintexas.gov

#### **2. Reporting Period—All Recipients Complete Program Year Start Date 10/01/2017**

**Program Year End Date** 09/30/2018

#### **3a. Subrecipient Form – Complete one form for each subrecipient**

**Subrecipient or Contractor Name:** Front Steps, Inc.

**City:** Austin

**State:** Texas  
**Zip Code:** 78701  
**DUNS Number** 071056936  
**Is subrecipient a VAWA-DV provider** No  
**Subrecipient Organization Type** Non-Profit  
**ESG Subgrant or Contract Award Amount** FY 17-18 \$656,597

**Subrecipient or Contractor Name:**  
City of Austin Downtown Austin Community Court  
**City:** Austin  
**State:** Texas  
**Zip Code:** 78701  
**DUNS Number**  
**Is subrecipient a VAWA-DV provider** No  
**Subrecipient Organization Type** Municipal Government  
**ESG Subgrant or Contract Award Amount** FY 17-18 \$134,200

**Subrecipient or Contractor Name**  
Austin Public Health, Communicable Disease Unit  
**City:** Austin  
**State:** Texas  
**Zip Code:** 78701  
**DUNS Number** 945607265  
**Is subrecipient a VAWA-DV provider** No  
**Subrecipient Organization Type** Municipal Government  
**ESG Subgrant or Contract Award Amount** FY 17-18 \$69,700



**Attachment 2: ESG Program Standards**



**CITY OF AUSTIN**  
**Austin Public Health Department**

**EMERGENCY SOLUTIONS GRANT PROGRAM (ESG)**  
**PROGRAM STANDARDS AND GUIDELINES**

**A. ESG PROGRAM DESCRIPTION**

- I. Definitions
- II. General
- III. Eligible organizations
- IV. Ineligible Organizations
- V. Financial Terms
- VI. Matching Funds
- VII. Eligible Activities
- VIII. Client Eligibility
- IX. Emergency Shelter
- X. Rapid Rehousing and Other ESG-funded Services
- XI. Coordination Between Service Providers
- XII. Homeless Management Information System (HMIS)

**B. ESG PROGRAM MANAGEMENT**

- I. Grant Subaward Process
- II. Contracting
- III. Recordkeeping Requirements
- IV. Reporting Requirements
- V. Program Limitations
- VI. Performance Standards
- VII. Accessibility

## 1. **ESG PROGRAM DESCRIPTION**

### I. **Definitions** Terms used herein will have the following meanings:

#### **APH – Austin Public Health**

#### **At Risk of Homelessness-**

- (1) An individual or family who: (i) Has an annual income below 30% of median family income for the area; AND (ii) Does not have sufficient resources or support networks immediately available to prevent them from moving to an emergency shelter or another place defined in Category 1 of the “homeless” definition; AND (iii) Meets one of the following conditions: (A) Has moved because of economic reasons 2 or more times during the 60 days immediately preceding the application for assistance; OR (B) Is living in the home of another because of economic hardship; OR (C) Has been notified that their right to occupy their current housing or living situation will be terminated within 21 days after the date of application for assistance; OR (D) Lives in a hotel or motel and the cost is not paid for by charitable organizations or by Federal, State, or local government programs for low-income individuals; OR (E) Lives in an SRO or efficiency apartment unit in which there reside more than 2 persons or lives in a larger housing unit in which there reside more than one and a half persons per room; OR (F) Is exiting a publicly funded institution or system of care; OR (G) Otherwise lives in housing that has characteristics associated with instability and an increased risk of homelessness, as identified in the recipient’s approved Consolidated Plan;
- (2) A child or youth who does not qualify as homeless under the homeless definition, but qualifies as homeless under another Federal statute;
- (3) An unaccompanied youth who does not qualify as homeless under the homeless definition, but qualifies as homeless under section 725(2) of the McKinney-Vento Homeless Assistance Act, and the parent(s) or guardian(s) or that child or youth if living with him or her.

#### **CDO- Community Development Officer;**

#### **Chronically homeless means:**

(1) A “homeless individual with a disability,” as defined in section 401(9) of the McKinney-Vento Homeless Assistance Act (42 U.S.C. 11360(9)), who: (i) Lives in a place not meant for human habitation, a safe haven, or in an emergency shelter; and (ii) Has been homeless and living as described in paragraph (1)(i) of this definition continuously for at least 12 months or on at least 4 separate occasions in the last 3 years, as long as the combined occasions equal at least 12 months and each break in homelessness separating the occasions included at least 7 consecutive nights of not living as described in paragraph (1)(i). Stays in institutional care facilities for fewer than 90 days will not constitute as a break in homelessness, but rather such stays are included in the 12-month total, as long as the individual was living or residing in a place not meant for human habitation, a safe haven, or an emergency shelter immediately before entering the institutional care facility;

(2) An individual who has been residing in an institutional care facility, including a jail, substance abuse or mental health treatment facility, hospital, or other similar facility, for fewer than 90 days and met all of the criteria in paragraph (1) of this definition, before entering that facility; or

(3) A family with an adult head of household (or if there is no adult in the family, a minor head of household) who meets all of the criteria in paragraph (1) or (2) of this definition, including a family whose composition has fluctuated while the head of household has been homeless. (Updated 12-4-15)

#### **City- City of Austin;**

#### **ESG- Emergency Solutions Grant program;**

#### **Homeless Person(s)-**

- (1) An individual or family who lacks a fixed, regular, and adequate nighttime residence, meaning:

- (i) An individual or family with a primary nighttime residence that is a public or private place not designed for or ordinarily used as a regular sleeping accommodation for human beings, including a car, park, abandoned building, bus or train station, airport, or camping ground;
  - (ii) An individual or family living in a supervised publicly or privately operated shelter designated to provide temporary living arrangements (including congregate shelters, transitional housing, and hotels and motels paid for by charitable organizations or by federal, state, or local government programs for low-income individuals); or
  - (iii) An individual who is exiting an institution where he or she resided for 90 days or less and who resided in an emergency shelter or place not meant for human habitation immediately before entering that institution;
- (2) An individual or family who will imminently lose their primary nighttime residence, provided that:
- (i) The primary nighttime residence will be lost within 14 days of the date of application for homeless assistance;
  - (ii) No subsequent residence has been identified; and
  - (iii) The individual or family lacks the resources or support networks, e.g., family, friends, faith-based or other social networks needed to obtain other permanent housing;
- (3) Unaccompanied youth under 25 years of age, or families with children and youth, who do not otherwise qualify as homeless under this definition, but who:
- (i) Are defined as homeless under section 387 of the Runaway and Homeless Youth Act (42 U.S.C. 5732a), section 637 of the Head Start Act (42 U.S.C. 9832), section 41403 of the Violence Against Women Act of 1994 (42 U.S.C. 14043e-2), section 330(h) of the Public Health Service Act (42 U.S.C. 254b(h)), section 3 of the Food and Nutrition Act of 2008 (7 U.S.C. 2012), section 17(b) of the Child Nutrition Act of 1966 (42 U.S.C. 1786(b)), or section 725 of the McKinney-Vento Homeless Assistance Act (42 U.S.C. 11434a);
  - (ii) Have not had a lease, ownership interest, or occupancy agreement in permanent housing at any time during the 60 days immediately preceding the date of application for homeless assistance;
  - (iii) Have experienced persistent instability as measured by two moves or more during the 60-day period immediately preceding the date of applying for homeless assistance; and
  - (iv) Can be expected to continue in such status for an extended period of time because of chronic disabilities, chronic physical health or mental health conditions, substance addiction, histories of domestic violence or childhood abuse (including neglect), the presence of a child or youth with a disability, or two or more barriers to employment, which include the lack of a high school degree or General Education Development (GED), illiteracy, low English proficiency, a history of incarceration or detention for criminal activity, and a history of unstable employment; or
- (4) Any individual or family who:
- (i) Is fleeing, or is attempting to flee, domestic violence, dating violence, sexual assault, stalking, or other dangerous or life-threatening conditions that relate to violence against the individual or a family member, including a child, that has either taken place within the individual's or family's primary nighttime residence or has made the individual or family afraid to return to their primary nighttime residence;
  - (ii) Has no other residence; and
  - (iii) Lacks the resources or support networks, e.g., family, friends, faith-based or other social networks, to obtain other permanent housing;
- HUD-** U.S. Department of Housing and Urban Development;
- NHCD-** Neighborhood Housing and Community Development Office;
- Subrecipient-** An organization receiving ESG funds from the City to undertake eligible ESG activities.

- II. **General** The Emergency Solutions Grant Program (ESG), formerly known as the Emergency Shelter Grant Program, is funded through the City's Neighborhood Housing and Community Development Office (NHCD), which is made available by the U.S. Department of Housing and Urban Development (HUD). The City utilizes ESG funds to provide an array of services to assist homeless persons and persons at-risk of homelessness.

The ESG program is designed to be the first step in a continuum of assistance to help clients quickly regain stability in permanent housing after experiencing a housing crisis and/or homelessness.

The City's Austin Public Health Department is responsible for the implementation of ESG in compliance with the governing regulations of the ESG program. The City's Neighborhood Housing and Community Development Office (NHCD) is responsible for the planning and administration of the ESG program. The Community Development Officer (CDO) of NHCD has the authority to establish processes, procedures, and criteria for the implementation and operation of the program, and to waive compliance with any provision of these guidelines if s/he determines that to do so does not violate any Federal, state, or local law or regulation, and is in the best interest of the City. Nothing contained, stated, or implied in this document shall be construed to limit the authority of the City to administer and carry out the program by whatever means and in whatever manner it deems appropriate.

- III. **Eligible Organizations** The subrecipient must be a unit of local government or a private, non-profit organization, as defined by the Internal Revenue Service tax code, evidenced by having a Federal identification number, filed articles of incorporation, and written organizational by-laws.

- IV. **Ineligible Organizations** An organization will not be eligible to apply for ESG funds if it meets the following conditions:
- A. Outstanding audit or monitoring findings, unless appropriately addressed by a corrective action plan;
  - B. Current appearance on the List of Suspended and Debarred Contractors;
  - C. Terms and conditions of existing contract are not in full compliance;
  - D. History of non-performance with contracts.

V. **Financial Terms**

- A. Grantee shall expend the City budget in a reasonable manner in relation to Agreement time elapsed and/or Agreement program service delivery schedule.
- B. All grant funds allocated must be completely spent down within the 24 month allocation period.
- C. If the Grantee has a remaining balance at the end of the first twelve month period, the Grantee must submit a request to spend down remaining balance to the Assistant Director of the Austin Public Health, Health Equity Community Engagement Division (HECE).
- D. If cumulative expenditures are not within acceptable amounts, spending rates, or in accordance with grant compliance the City may require the Grantee to: 1) submit an expenditure plan, and/or 2) amend the Agreement budget amount to reflect projected expenditures, as determined by the City.

- VI. Matching Funds** Subrecipient organizations that receive ESG funds must provide a dollar for dollar (or 100%) match to their ESG award amount.
- A. Sources of matching funds include:
- i. Cash Contributions- Cash expended for allowable costs identified in 2 CFR Part 200. *Program Income* for the ESG program can also be used as match funds. Match funds are identified in 2CFR Part 200.306
  - ii. Non-Cash Contributions- The value of any real property, equipment, goods, or services.
- B. Funds used to match a previous ESG grant may not be used to match a subsequent award.
- VII. Eligible Activities** Each sub-recipient will be allocated funding by activity type, and may have multiple activities in one program. The following is a list of eligible activities for the ESG Program:
- A. *Street Outreach*- Support services limited to providing emergency care on the streets, including engagement, case management, emergency health and mental health services, and transportation;
  - B. *Emergency Shelter*- Includes essential services, case management, child care, education, employment, outpatient health services legal services, life skills training, mental health & substance abuse services, transportation, shelter operations, and funding for hotel/motel stays under certain conditions;
  - C. *Homeless Prevention*- Includes housing relocation & stabilization services and short/medium-term rental assistance for individuals/families who are at risk of homelessness;
  - D. *Rapid Re-Housing*- Includes housing relocation & stabilization services and short/medium-term rental assistance to help individuals/families move quickly into permanent housing and achieve stability;
  - E. *Homeless Management Information System (HMIS)* costs; and
  - F. *ESG Administration* costs.

**VIII. Client Eligibility**

In order to be eligible for services under the ESG program, clients must meet HUD's definition of homelessness or at-risk of homelessness, and must meet annual income guidelines for homelessness prevention activities.

**A. ESG Eligibility Documentation**

- i. Homelessness Prevention: *This program will not provide Homelessness Prevention Services.*
- ii. Rapid Re-Housing:
  - a. Please refer to the *Homeless Eligibility Form* for more information on documenting homelessness for ESG clients.
  - b. Subrecipient agencies must collect the required supporting documentation requested in the *Homeless Eligibility Form* in order for clients to be considered eligible for services.
  - c. All eligibility and supporting documentation for Rapid Re-Housing clients must be maintained in each client's file.

- d. Clients will be referred to ESG programs through the Coordinated Assessment or Coordinated Entry process.
- e. CDU-Specific Client Eligibility Requirements
  - i. Referral through Coordinated Assessment
  - ii. HIV Positive, homeless individuals
- f. DACC-Specific Eligibility Requirements
  - i. Referral through Coordinated Assessment
- g. Front Steps Specific Eligibility Requirements
  - i. Referral through Coordinated Assessment
  - ii. Targeted to those who sheltered at ARCH and coming from the streets outside the ARCH

#### B. Confidentiality of Client Information

- a. Subrecipients must have written client confidentiality procedures in their program policies and procedures that conform to items *b – d* below:
- b. All records containing personally identifying information of any individual or family who applies for and/or receives ESG assistance must be kept secure and confidential.
- c. The address or location of any domestic violence project assisted under ESG shall not be made public.
- d. The address or location of any housing for a program participant shall not be made public.

### IX. **Emergency Shelter**

***Requirement:** Policies and procedures for admission, diversion, referral and discharge by emergency shelters assisted under ESG, including standards regarding length of stay, if any, and safeguards to meet the safety and shelter needs of special populations.*

The ESG-funded emergency shelter, Austin Resource Center for the Homeless, or ARCH is a “low-demand” emergency shelter, which means that restrictions are not placed on the number of times clients may visit ARCH for services and that access to shelter does not require meeting set criteria or participation goals. The ARCH provides Day Resource Center, Emergency Night Shelter for men, Case Management, and other co-located services provided on-site by the following local service providers.

**Sleeping Unit Reservation System:** Of the 190 sleeping units, approximately 25 will be given to clients via a lottery system. These individuals do not have a reserved bed from one night to the next, and are informed about the process prior to entering the lottery.

The remaining units are reserved for those working with a Shelter Case Manager. There is no length of stay for the shelter, and in case management, the general length is 6 months with evaluation on a case by case basis. Clients are informed that if they have a reservation, but they do not arrive to check in, their reserved mat or bed will be available to lottery clients. There are also available beds in coordination with the following participating agencies: CommUnityCare Clinic, Veterans Administration (VA), and Austin/Travis County Integral Care, the local mental health authority. All of these case-managed clients work with their case manager to determine a housing plan and are connected to other resources to find permanent

housing. The client is informed of the grievance process, and their end date for services determined on a case by case basis.

Clients are encouraged to work with Case Managers to progress towards personal goals related to obtaining/maintaining sustainable income, exploring viable housing options, and addressing self-care issues that impact progress towards self-sufficiency. Case Management services are based on a Harm Reduction philosophy and the stages in the Trans-theoretical Model of Change. Various techniques, including motivational interviewing, are effectively utilized in working with clients whose needs vary across a spectrum of vulnerability. Men's and women's support groups as well as anger management classes are offered through case management. ARCH clients with domestic violence concerns are offered coordination and referral to appropriate programs on a case by case basis.

Front Steps, the agency administering the ARCH, has been designated as one of the "front doors"/community portals in the Coordinated Assessment process. Using the Vulnerability Index & Service Prioritization Decision Assistance Tool (VI-SPDAT) as part of the Coordinated Assessment process, coupled with more robust data entry into HMIS, clients who score within range and are identified as likely benefitting from receiving Shelter Case Management services will be offered these services as openings in the program become available.

The following is provided in the case that a client is terminated:

1. Written notice to the participant containing a clear statement of the reason for termination.
2. A review of the decision, in which the participant is given the opportunity to present written or oral objections before a person other than the person(or subordinate of the person) who made or approved the termination decisions, AND
3. Prompt written notification to the program participant.

Because the ARCH is a City building, the agencies cannot deny citizens access to the shelter property on a permanent basis.

**X. Rapid Rehousing and Other ESG-funded Services**

There are no essential services funded by ESG.

There are no homeless prevention services funded by ESG.

*Requirement: Policies and procedures for determining and prioritizing which eligible families and individuals will receive rapid re-housing assistance.*

All programs funded through the Emergency Solutions Grant will use Coordinated Entry for referrals for the program in order to serve the most vulnerable Rapid Rehousing clients in the community. Agency eligibility could include, for example, HIV status for the Communicable Disease Unit. All programs help clients go through the Coordinated Entry process to access appropriate referrals and community programs.

Each client will be individually assessed for the amount of Rapid Rehousing using progressive engagement and housing first principles. If a client or family needs continued services and financial assistance past the initial date of entry into the program, agencies will work to address those needs until the client exits the program. Other funding sources will be used to address the other service needs of the client such as case management, housing location or financial and rental assistance as needed.



*Requirement: Standards for determining the type, amount and duration of housing stabilization and/or relocation services to provide a program participant, including the limits on rapid re-housing assistance.*

All Rapid Rehousing programs will include the following components:

- Housing Stability Plan with Exit Strategy
- Progressive Engagement
- Coordination with other HUD funded programs and regular review the program's progress towards the HUD benchmarks:
  - 1) Reducing the length of time program participants spend homeless;
  - 2) Exiting households to permanent housing, and
  - 3) Limiting returns to homelessness within a year of program exit.

Also, all RR programs will provide the following services with ESG funds or with another funding source. If the agency is not able to provide all of these services they will work with a collaborative partner to provide them.

- Housing Location
- Financial Assistance – Rental, Deposits, Application Fees, etc.
- Housing Stability Case Management

ESG Rapid Rehousing Financial Assistance Guidelines:

1. Security Deposits are available for no more than 2 months' rent.
2. Last Month's Rent is only paid if the last month's rent is necessary for the participant to obtain housing, if it is paid at the same time as the security deposit and first month's rent, and does not exceed one month's rent.
3. Utility Deposit, Payments and Arrears is paid if it is within 24 month limit, including up to 6 months of utility arrears, and if the utility account is in the name of the participant or if there is proof of responsibility, and is for eligible gas, electric, water and sewage.
4. Caps on assistance by program:
  - Downtown Austin Community Court: Financial Assistance can include up to \$2300 a year in direct financial assistance for all eligible financial assistance and rental assistance funding, with a 24 month cap of \$4600.
  - Front Steps: None beyond the regulations above.
  - Communicable Disease Unit: Does not administer financial assistance and rental assistance.
5. Changes in household composition. The limits on the assistance under this section apply to the total assistance an individual receives, either as an individual or as part of a family.
6. Limit of months of assistance. No client may receive more than 24 months of assistance in a three-year period.
7. Recertification. Clients will be recertified at least every twelve months to determine ongoing eligibility as per 576.401. Recertification will assess clients to see if they do not have an annual income that exceeds 30% AMI, AND lack sufficient resources and support networks to retain housing without ESG assistance.

*Requirement: Standards for determining what percentage or amount of rent and utilities cost each program participant must pay while receiving rapid re-housing assistance.*

Most clients receiving financial assistance through the Emergency Solutions Grant will have high housing barriers and will be highly vulnerable. Participants are not required to contribute a percentage of their income to rent or utilities, so there are no standards developed.

Requirement: Standards for determining how long a particular program participant will be provided with rental assistance.

**Short-term and medium-term rental assistance can be provided to a program participant** with up to 24 months of rental assistance during any 3-year period. This assistance may be short-term rental assistance, medium-term rental assistance, payment of rental arrears, or any combination of this assistance.

1. Short and Medium Term Rental Assistance - Short-term rental assistance is assistance for up to 3 months of rent; Medium-term rental assistance is assistance for more than 3 months but not more than 24 months of rent; Rental assistance for this program will be tenant-based.
  - Rental Assistance use with other subsidies. Except for a one-time payment of rental arrears on the tenant's portion of the rental payment, rental assistance cannot be provided to a program participant who is receiving tenant-based rental assistance, or living in a housing unit receiving project-based rental assistance or operating assistance, through other public sources. Rental assistance may not be provided to a program participant who has been provided with replacement housing payments under the URA during the period of time covered by the URA payments.
  - Fair Market Rent - Rental Assistance must only be provided if rent does not exceed Fair Market Rent and complies with HUD's standard of rent reasonableness, as established under 24 CFR 982.507.
  - For purposes of calculating rent, the rent shall equal the sum of the total monthly rent for the unit, any fees required for occupancy under the lease (other than late fees and pet fees) and, if the tenant pays separately for utilities, the monthly allowance for utilities (excluding telephone) established by the public housing authority for the area in which the housing is located.
  - Rental assistance agreement. The recipient or subrecipient may make rental assistance payments only to an owner with whom the recipient or subrecipient has entered into a rental assistance agreement. The rental assistance agreement must set forth the terms under which rental assistance will be provided, including the requirements that apply under this section. The rental assistance agreement must provide that, during the term of the agreement, the owner must give the recipient or subrecipient a copy of any notice to the program participant to vacate the housing unit, or any complaint used under state or local law to commence an eviction action against the program participant.
  - Late payments. The recipient or subrecipient must make timely payments to each owner in accordance with the rental assistance agreement. The rental assistance agreement must contain the same payment due date, grace period, and late payment penalty requirements as the program participant's lease. The recipient or subrecipient is solely responsible for paying late payment penalties that it incurs with non-ESG funds.
  - Lease. Each program participant receiving rental assistance must have a legally binding, written lease for the rental unit, unless the assistance is solely for rental arrears. The lease must be between the owner and the program participant. Where the assistance is solely for rental arrears, an oral agreement may be accepted in place of a written lease, if the agreement gives the program participant an enforceable leasehold interest under state law and the agreement and rent owed are sufficiently documented by the owner's financial records, rent ledgers, or canceled checks. For program participants living in housing with project-based rental assistance under paragraph (i) of this section, the lease must have an initial term of one year.

2. Tenant-based rental assistance. Rental assistance for this program will be tenant-based, and all programs will provide the minimum amount of assistance needed for client to stabilize using the principles of Progressive Engagement.
  - (1) A program participant who receives tenant-based rental assistance may select a housing unit in which to live and may move to another unit or building and continue to receive rental assistance, as long as the program participant continues to meet the program requirements.
  - (2) The recipient may require that all program participants live within a particular area for the period in which the rental assistance is provided.
  - (3) The rental assistance agreement with the owner must terminate and no further rental assistance payments under that agreement may be made if:
    - (i) The program participant moves out of the housing unit for which the program participant has a lease;
    - (ii) The lease terminates and is not renewed; or
    - (iii) The program participant becomes ineligible to receive ESG rental assistance.
3. Rental Arrears are paid if the client is assisted with one-time payment of up to 6 months of rental arrears, including any late fees on those arrears. A lease must be present in the file with the participant's name on the lease or a document of the rent payments/financial records, as well as Rent Reasonableness, Lead Based Paint and Habitability Standards forms. Where the assistance is solely for rental arrears, an oral agreement may be accepted in place of a written lease, if the agreement gives the program participant an enforceable leasehold interest under state law and the agreement and rent owed are sufficiently documented by the owner's financial records, rent ledgers, or canceled checks. For program participants living in housing with project-based rental assistance under paragraph (i) of this section, the lease must have an initial term of one year.
4. Caps on assistance by program:
  - o Downtown Austin Community Court: Financial Assistance can include up to \$2300 a year in direct financial assistance for all eligible financial assistance and rental assistance funding, with a 24-month cap of \$4600.
  - o Front Steps: None beyond the regulations above.
  - o Communicable Disease Unit: Does not administer financial assistance and rental assistance.

All clients will be recertified at least every twelve months to determine ongoing eligibility as per 576.401. Recertification will assess clients to see if they do not have an annual income that exceeds 30% AMI, AND lack sufficient resources and support networks to retain housing without ESG assistance.

#### **XI. Coordination Between Service Providers**

The following list gives the types of service coordination activities to be undertaken for the ESG Program: Case management, permanent supportive housing, rapid re-housing and housing location and financial assistance.

Services will be coordinated between the downtown Austin Resource Center for the Homeless (ARCH), Downtown Austin Community Court, and in consultation with the local Continuum of Care as well as other service providers such as Austin Travis County Integral Care, Caritas of Austin, Salvation Army, Veterans Administration, Continuum of Care Permanent Supportive Housing programs and other appropriate federal, state and local service providers.

Agency	Case	Permanent	Rapid	Direct Financial
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	Management/ Supportive Services	Supportive Housing	Rehousing/ Housing Location	Assistance
Front Steps- PSH and Rapid Rehousing	X	X	X	X
Caritas of Austin with CoC and City funding	X	X	X	X
Downtown Community Court	X		X	X
Public Health Communicable Disease Unit	X		X	
Other Continuum of Care programs	X	X		
City-funded Social Service Agencies	X	X	X	X

ESG Rapid Rehousing Program Design: All ESG Programs will have all components or coordinate with other funding sources or entities so that all needs of the Rapid Rehousing clients will be adequately addressed.

RR Agency	Case Management/ Supportive Services	Housing Location	Direct Financial Assistance	Rental Assistance
Front Steps	Front Steps	Front Steps	Front Steps HHSP and SSVF; BSS Plus	Front Steps HHSP and SSVF, BSS Plus
Communicable Disease Unit (CDU)	CDU	CDU/DACC ESG	DACC ESG	DACC ESG
Downtown Austin Community Court	DACC	DACC	DACC ESG	DACC ESG

- XII. Homeless Management Information System (HMIS)** Organizations receiving funding from the City of Austin for homelessness prevention and homeless intervention services are required to utilize the Local Homeless Management Information System (HMIS) to track and report client information for individuals who are at risk of homelessness or who are homeless. A high level of data quality is required. All ESG-funded programs will also be working with the community's Coordinated Entry process.

## **B. ESG PROGRAM MANAGEMENT**

Management and operation of approved projects is the responsibility of the Subrecipient. The Subrecipient is the entity that will receive the City contract. Therefore, the subrecipient has the overall responsibility of the project's successful completion.

### **I. Grant Subaward Process**

At its discretion, the City may use a competitive Request for Application and comprehensive review process to award ESG funding to providers of services to homeless persons and persons at-risk of homelessness. Activities will be consistent with the City's Consolidated Plan, in compliance with local, state, and Federal requirements and the governing regulations for use of ESG funds, and in conformance with program standards. The City will enter into written agreements with selected Subrecipients and will work with Subrecipients to ensure that project costs are reasonable, appropriate, and necessary to accomplish the goals and objectives of the City's overall ESG Program. The subrecipient must be able to clearly demonstrate the benefits to be derived by the services provided to homeless individuals, and to low-to-moderate income families. Performance measures will be established in the contract. All ESG award decisions of the City are final.

### **II. Contracting**

Subrecipients must enter into a written contract with the City for performance of the project activities. Once a contract is signed, the subrecipient will be held to all agreements therein.

- A. Members of the Subrecipient organization, volunteers, residents, or subcontractors hired by the organization may carry out activities. Subrecipients must enter into a written contract with the subcontractors carrying out all or any part of an ESG project. All subcontractors must comply with the City and Federal procurement and contracting requirements.
- B. All contracts are severable and may be canceled by the City for convenience. Project funding is subject to the availability of ESG funds and, if applicable, City Council approval.
- C. Amendments - Any amendments to a contract must be mutually agreed upon by the Subrecipient and the City, *in writing*. Amendment requests initiated by the Subrecipient must clearly state the effective date of the amendment, in writing. Austin Public Health (APH) staff will determine if an amendment request is allowable. APH reserves the right to initiate amendments to the contract.
- D. Liability - Subrecipients shall forward Certificates of Insurance to the Austin Public Health Department within 30 calendar days after notification of the award, unless otherwise specified. The City's Risk Management Department will review and approve the liability insurance requirements for each contract. Subrecipients must maintain current insurance coverage throughout the entire contract period, as well as for any subsequent amendments or contract extensions.

### **III. Recordkeeping Requirements**

- a. Project Records- The Subrecipient must manage their contract and maintain records in accordance with City and Federal policies and must be in accordance with sound business and financial management practices, which will be determined by the City. Record retention for all ESG records, including client information, is five years after the expenditure of contract funds.
- b. Client Records- The Subrecipient must maintain the following types of client records to show evidence of services provided under the ESG program:

- i. Client Eligibility records, including documentation of Homelessness, or At-Risk of Homelessness plus income eligibility and support documentation.
- ii. Documentation of Continuum of Care centralized or coordinated assessment (for client intake)
- iii. Financial Assistance backup documentation required for each type of assistance outlined in the previous sections.
- iv. Rental assistance agreements and payments, including security deposits, and all backup documentation required for each type of assistance outlined in the previous sections.

#### **IV. Reporting Requirements**

- A. Monthly Payment Requests and Expenditure Reports shall be submitted, in a format prescribed by the City, by the 15<sup>th</sup> calendar day of the month after the reporting month's end, which identify the allowable expenditures incurred under this contract.
- B. Monthly Matching Funds Reports shall be submitted, in a format prescribed by the City, by the 15<sup>th</sup> calendar day of the month after the reporting month's end, which identify the allowable matching funds used by the Subrecipient under this contract.
- C. Quarterly performance reports shall be submitted, in a format prescribed by the City, by the 15<sup>th</sup> calendar day of the month after the quarter end, which identify the activities accomplished under this contract.
- D. The Federal ESG program year ends on September 30<sup>th</sup>. At completion of all activities, a Contract Closeout Report must be submitted within 30 days of the end of the contract. The subrecipient is required to supply such information, in such form and format as the City and HUD may require. All records and reports must be made available to any authorized City representative upon request and without prior notice.
- E. All ESG Subrecipients must use HMIS to report on clients served by the ESG program.

#### **V. Program Limitations**

- A. ESG Administration costs are limited to 7.5% of the total ESG allocation.
- B. ESG Street Outreach and Emergency Shelter costs are limited to the greater of: 60% of the City's 2011-12 ESG grant -or- the amount committed to emergency shelter for the City's 2010-11 ESG allocation.
- C. Program Income - Income derived from any ESG activity must be recorded and reported to APH as program income. Such income may not be retained or disbursed by the subrecipient without written approval from APH and is subject to the same controls and conditions as the Subrecipient's grant allocation.
- D. ESG funds may not be used for lobbying or for any activities designed to influence legislation at any government level.
- E. A church or religious affiliated organization must show secularism when submitting an ESG application.
- F. Any ESG funds that are unallocated after the funding cycle will be reprogrammed by APH. Contracts that show three (3) consecutive months of inactivity (as documented by monthly reports or non-submission of required reports) will be reviewed on a case-by-case basis, and may be irrevocably canceled.

## VI. Performance Standards

ESG-funded programs will report into HMIS and have a high level of data quality specified in Section A. XII. Homeless Management Information Systems. HMIS data quality is reviewed quarterly by City staff. All data quality is reviewed by the ECHO HMIS Administrator.

Performance measures will be reviewed quarterly by the City of Austin Austin Public Health Department. Measures will also be reviewed annually by the local Continuum of Care decision-making body, ECHO, during the annual Consolidated Evaluation and Performance Report process.

## VII. Accessibility

In order to demonstrate compliance with the Americans with Disabilities Act (ADA) and Section 504 requirements, the following statements must be added to all public notices, advertisements, program applications, program guidelines, program information brochures or packages, and any other material containing general information that is made available to participants, beneficiaries, applicants, or employees:

\_\_\_\_\_ (*insert the name of your organization*) as a subrecipient of the City of Austin is committed to compliance with the Americans with Disabilities Act. Reasonable modifications and equal access to communications will be provided upon request. Please call \_\_\_\_\_ (*insert your organization's phone number*) (voice) or Relay Texas at 1-800-735-2989 (TDD) for assistance.

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\_\_\_\_\_ (*insert the name of your organization*) como un subreceptor de la Ciudad de Austin se compromete a cumplir con el Decreto de los Americanos Incapacitados. Con solo solicitarlo se proveerán modificaciones e igual acceso a comunicaciones. Para información favor de llamar a \_\_\_\_\_ (*insert your organization's phone number*) (voz) o Relay Texas 1-800-735-2989 (TDD) para asistencia.

**Attachment 3:** PR-26 CDBG Financial Summary and LOCCS Reconciliation





Office of Community Planning and Development  
U.S. Department of Housing and Urban Development  
Integrated Disbursement and Information System  
PR26 - CDBG Financial Summary Report  
Program Year 2017  
AUSTIN , TX

DATE: 11-13-18  
TIME: 17:04  
PAGE: 1

PART I: SUMMARY OF CDBG RESOURCES

01 UNEXPENDED CDBG FUNDS AT END OF PREVIOUS PROGRAM YEAR	6,045,889.94
02 ENTITLEMENT GRANT	7,195,728.00
03 SURPLUS URBAN RENEWAL	0.00
04 SECTION 108 GUARANTEED LOAN FUNDS	0.00
05 CURRENT YEAR PROGRAM INCOME	167,506.04
05a CURRENT YEAR SECTION 108 PROGRAM INCOME (FOR SI TYPE)	14,601.95
06 FUNDS RETURNED TO THE LINE-OF-CREDIT	0.00
06a FUNDS RETURNED TO THE LOCAL CDBG ACCOUNT	6,640.08
07 ADJUSTMENT TO COMPUTE TOTAL AVAILABLE	0.00
08 TOTAL AVAILABLE (SUM, LINES 01-07)	13,430,366.01

PART II: SUMMARY OF CDBG EXPENDITURES

09 DISBURSEMENTS OTHER THAN SECTION 108 REPAYMENTS AND PLANNING/ADMINISTRATION	5,234,039.10
10 ADJUSTMENT TO COMPUTE TOTAL AMOUNT SUBJECT TO LOW/MOD BENEFIT	0.00
11 AMOUNT SUBJECT TO LOW/MOD BENEFIT (LINE 09 + LINE 10)	5,234,039.10
12 DISBURSED IN IDIS FOR PLANNING/ADMINISTRATION	1,181,671.93
13 DISBURSED IN IDIS FOR SECTION 108 REPAYMENTS	706,914.57
14 ADJUSTMENT TO COMPUTE TOTAL EXPENDITURES	0.00
15 TOTAL EXPENDITURES (SUM, LINES 11-14)	7,122,625.60
16 UNEXPENDED BALANCE (LINE 08 - LINE 15)	6,307,740.41

PART III: LOW/MOD BENEFIT THIS REPORTING PERIOD

17 EXPENDED FOR LOW/MOD HOUSING IN SPECIAL AREAS	0.00
18 EXPENDED FOR LOW/MOD MULTI-UNIT HOUSING	0.00
19 DISBURSED FOR OTHER LOW/MOD ACTIVITIES	5,188,393.87
20 ADJUSTMENT TO COMPUTE TOTAL LOW/MOD CREDIT	0.00
21 TOTAL LOW/MOD CREDIT (SUM, LINES 17-20)	5,188,393.87
22 PERCENT LOW/MOD CREDIT (LINE 21/LINE 11)	99.13%

LOW/MOD BENEFIT FOR MULTI-YEAR CERTIFICATIONS

23 PROGRAM YEARS(PY) COVERED IN CERTIFICATION	PY: PY: PY:
24 CUMULATIVE NET EXPENDITURES SUBJECT TO LOW/MOD BENEFIT CALCULATION	0.00
25 CUMULATIVE EXPENDITURES BENEFITING LOW/MOD PERSONS	0.00
26 PERCENT BENEFIT TO LOW/MOD PERSONS (LINE 25/LINE 24)	0.00%

PART IV: PUBLIC SERVICE (PS) CAP CALCULATIONS

27 DISBURSED IN IDIS FOR PUBLIC SERVICES	992,676.00
28 PS UNLIQUIDATED OBLIGATIONS AT END OF CURRENT PROGRAM YEAR	0.00
29 PS UNLIQUIDATED OBLIGATIONS AT END OF PREVIOUS PROGRAM YEAR	0.00
30 ADJUSTMENT TO COMPUTE TOTAL PS OBLIGATIONS	0.00
31 TOTAL PS OBLIGATIONS (LINE 27 + LINE 28 - LINE 29 + LINE 30)	992,676.00
32 ENTITLEMENT GRANT	7,195,728.00
33 PRIOR YEAR PROGRAM INCOME	212,197.93
34 ADJUSTMENT TO COMPUTE TOTAL SUBJECT TO PS CAP	0.00
35 TOTAL SUBJECT TO PS CAP (SUM, LINES 32-34)	7,407,925.93
36 PERCENT FUNDS OBLIGATED FOR PS ACTIVITIES (LINE 31/LINE 35)	13.40%

PART V: PLANNING AND ADMINISTRATION (PA) CAP

37 DISBURSED IN IDIS FOR PLANNING/ADMINISTRATION	1,181,671.93
38 PA UNLIQUIDATED OBLIGATIONS AT END OF CURRENT PROGRAM YEAR	0.00
39 PA UNLIQUIDATED OBLIGATIONS AT END OF PREVIOUS PROGRAM YEAR	0.00
40 ADJUSTMENT TO COMPUTE TOTAL PA OBLIGATIONS	0.00
41 TOTAL PA OBLIGATIONS (LINE 37 + LINE 38 - LINE 39 + LINE 40)	1,181,671.93
42 ENTITLEMENT GRANT	7,195,728.00
43 CURRENT YEAR PROGRAM INCOME	182,107.99
44 ADJUSTMENT TO COMPUTE TOTAL SUBJECT TO PA CAP	0.00
45 TOTAL SUBJECT TO PA CAP (SUM, LINES 42-44)	7,377,835.99
46 PERCENT FUNDS OBLIGATED FOR PA ACTIVITIES (LINE 41/LINE 45)	16.02%



Office of Community Planning and Development  
U.S. Department of Housing and Urban Development  
Integrated Disbursement and Information System  
PR26 - CDBG Financial Summary Report  
Program Year 2017  
AUSTIN , TX

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LINE 17 DETAIL: ACTIVITIES TO CONSIDER IN DETERMINING THE AMOUNT TO ENTER ON LINE 17

Report returned no data.

LINE 18 DETAIL: ACTIVITIES TO CONSIDER IN DETERMINING THE AMOUNT TO ENTER ON LINE 18

Plan Year	IDIS Project	IDIS Activity	Activity Name	Matrix Code	National Objective	Drawn Amount
2015	23	6157	RHDA -- ALDRICH 51 -- 2604 ALDRICH ST	01	LMH	\$12,297.00
2015	23	6270	RHDA - GOVALLE TERRACE - CESAR CHAVEZ FOUNDATION	01	LMH	\$2,030.23
				01	Matrix Code	\$14,327.23
2010	20	5761	RHDA-ARBOR TERRACE	14G	LMH	\$31,318.00
				14G	Matrix Code	\$31,318.00
Total						\$45,645.23

LINE 19 DETAIL: ACTIVITIES INCLUDED IN THE COMPUTATION OF LINE 19

Plan Year	IDIS Project	IDIS Activity	Voucher Number	Activity Name	Matrix Code	National Objective	Drawn Amount
2012	1	5933	6116739	A&D -- HABITAT -- MEADOW LAKE 14.6 ACRE ACQUISITION	01	LMH	\$2,388.50
2012	1	5933	6116840	A&D -- HABITAT -- MEADOW LAKE 14.6 ACRE ACQUISITION	01	LMH	\$2,039.31
2012	1	5933	6117057	A&D -- HABITAT -- MEADOW LAKE 14.6 ACRE ACQUISITION	01	LMH	\$2,006.23
2012	1	5933	6117407	A&D -- HABITAT -- MEADOW LAKE 14.6 ACRE ACQUISITION	01	LMH	\$5,664.60
2012	1	5933	6130198	A&D -- HABITAT -- MEADOW LAKE 14.6 ACRE ACQUISITION	01	LMH	\$6,791.20
2012	1	5933	6140273	A&D -- HABITAT -- MEADOW LAKE 14.6 ACRE ACQUISITION	01	LMH	\$1,510.74
2012	1	5933	6145667	A&D -- HABITAT -- MEADOW LAKE 14.6 ACRE ACQUISITION	01	LMH	\$179.97
2012	1	5933	6152996	A&D -- HABITAT -- MEADOW LAKE 14.6 ACRE ACQUISITION	01	LMH	\$483.21
2012	1	5933	6163827	A&D -- HABITAT -- MEADOW LAKE 14.6 ACRE ACQUISITION	01	LMH	\$758.72
2012	1	5933	6172798	A&D -- HABITAT -- MEADOW LAKE 14.6 ACRE ACQUISITION	01	LMH	\$11,492.45
2012	1	5933	6182182	A&D -- HABITAT -- MEADOW LAKE 14.6 ACRE ACQUISITION	01	LMH	\$605.88
2012	1	5933	6192082	A&D -- HABITAT -- MEADOW LAKE 14.6 ACRE ACQUISITION	01	LMH	\$11,671.39
2012	1	5933	6196471	A&D -- HABITAT -- MEADOW LAKE 14.6 ACRE ACQUISITION	01	LMH	\$138.15
					01	Matrix Code	\$45,730.35
2016	21	6294	6117057	A&D -AUSTIN HABITAT FOR HUMANITY - SCENIC POINT PHASE II	03K	LMA	\$257.83
2016	21	6294	6130198	A&D -AUSTIN HABITAT FOR HUMANITY - SCENIC POINT PHASE II	03K	LMA	\$462,731.13
2016	21	6294	6140273	A&D -AUSTIN HABITAT FOR HUMANITY - SCENIC POINT PHASE II	03K	LMA	\$94.38
2016	21	6294	6145667	A&D -AUSTIN HABITAT FOR HUMANITY - SCENIC POINT PHASE II	03K	LMA	\$93,868.88
2016	21	6294	6152996	A&D -AUSTIN HABITAT FOR HUMANITY - SCENIC POINT PHASE II	03K	LMA	\$135,317.13
2016	21	6294	6163827	A&D -AUSTIN HABITAT FOR HUMANITY - SCENIC POINT PHASE II	03K	LMA	\$98,115.11
2016	21	6294	6172798	A&D -AUSTIN HABITAT FOR HUMANITY - SCENIC POINT PHASE II	03K	LMA	\$679.12
					03K	Matrix Code	\$791,063.58
2017	2	6327	6130198	FY 17-18 SENIOR SERVICES	05A	LMC	\$10,225.26



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2017	2	6327	6140273	FY 17-18 SENIOR SERVICES	05A	LMC	\$24,991.91
2017	2	6327	6145667	FY 17-18 SENIOR SERVICES	05A	LMC	\$20,187.83
2017	2	6327	6152996	FY 17-18 SENIOR SERVICES	05A	LMC	\$9,810.28
2017	2	6327	6163827	FY 17-18 SENIOR SERVICES	05A	LMC	\$10,293.64
2017	2	6327	6172798	FY 17-18 SENIOR SERVICES	05A	LMC	\$10,394.19
2017	2	6327	6182182	FY 17-18 SENIOR SERVICES	05A	LMC	\$14,290.93
2017	2	6327	6192082	FY 17-18 SENIOR SERVICES	05A	LMC	\$3,416.95
2017	2	6327	6196471	FY 17-18 SENIOR SERVICES	05A	LMC	\$2,741.39
2017	2	6327	6206754	FY 17-18 SENIOR SERVICES	05A	LMC	\$7,231.62
					05A	Matrix Code	\$113,584.00
2017	3	6335	6130198	FY 17-18 YOUTH SERVICES	05D	LMC	\$65,348.36
2017	3	6335	6140273	FY 17-18 YOUTH SERVICES	05D	LMC	\$29,020.84
2017	3	6335	6145667	FY 17-18 YOUTH SERVICES	05D	LMC	\$32,326.12
2017	3	6335	6152996	FY 17-18 YOUTH SERVICES	05D	LMC	\$30,975.28
2017	3	6335	6163827	FY 17-18 YOUTH SERVICES	05D	LMC	\$14,933.40
2017	3	6335	6182182	FY 17-18 YOUTH SERVICES	05D	LMC	\$60.00
					05D	Matrix Code	\$172,664.00
2017	14	6328	6145667	FY 17-18 TENANT'S RIGHTS ASSISTANCE	05K	LMC	\$19,577.74
2017	14	6328	6152996	FY 17-18 TENANT'S RIGHTS ASSISTANCE	05K	LMC	\$44,135.23
2017	14	6328	6172798	FY 17-18 TENANT'S RIGHTS ASSISTANCE	05K	LMC	\$21,814.49
2017	14	6328	6182182	FY 17-18 TENANT'S RIGHTS ASSISTANCE	05K	LMC	\$93,408.11
2017	14	6328	6192082	FY 17-18 TENANT'S RIGHTS ASSISTANCE	05K	LMC	\$24,318.94
2017	14	6328	6199590	FY 17-18 TENANT'S RIGHTS ASSISTANCE	05K	LMC	\$25,323.03
2017	14	6328	6206754	FY 17-18 TENANT'S RIGHTS ASSISTANCE	05K	LMC	\$13,486.46
					05K	Matrix Code	\$242,064.00
2017	1	6324	6130198	FY 17-18 CHILD CARE SERVICES	05L	LMC	\$114,167.82
2017	1	6324	6140273	FY 17-18 CHILD CARE SERVICES	05L	LMC	\$29,299.07
2017	1	6324	6145667	FY 17-18 CHILD CARE SERVICES	05L	LMC	\$23,986.32
2017	1	6324	6152996	FY 17-18 CHILD CARE SERVICES	05L	LMC	\$67,366.99
2017	1	6324	6163827	FY 17-18 CHILD CARE SERVICES	05L	LMC	\$70,211.74
2017	1	6324	6172798	FY 17-18 CHILD CARE SERVICES	05L	LMC	\$15,340.29
2017	1	6324	6182182	FY 17-18 CHILD CARE SERVICES	05L	LMC	\$67,311.25
2017	1	6324	6192082	FY 17-18 CHILD CARE SERVICES	05L	LMC	\$43,282.55
2017	1	6324	6196471	FY 17-18 CHILD CARE SERVICES	05L	LMC	\$13,279.49
2017	1	6324	6199590	FY 17-18 CHILD CARE SERVICES	05L	LMC	\$13,570.00
2017	1	6324	6206754	FY 17-18 CHILD CARE SERVICES	05L	LMC	\$6,548.48
					05L	Matrix Code	\$464,364.00
2016	18	6269	6116739	HRLP-7502 HARDY DRIVE	14A	LMH	\$5,660.90
2016	18	6269	6116840	HRLP-7502 HARDY DRIVE	14A	LMH	\$1,804.56
2016	18	6269	6117057	HRLP-7502 HARDY DRIVE	14A	LMH	\$3,945.28
2016	18	6269	6130198	HRLP-7502 HARDY DRIVE	14A	LMH	\$1,527.37
2016	18	6269	6140273	HRLP-7502 HARDY DRIVE	14A	LMH	\$984.87
2016	18	6269	6145667	HRLP-7502 HARDY DRIVE	14A	LMH	\$631.01
2016	18	6269	6152996	HRLP-7502 HARDY DRIVE	14A	LMH	\$1,296.12
2016	18	6269	6163827	HRLP-7502 HARDY DRIVE	14A	LMH	\$1,365.24
2016	18	6269	6172798	HRLP-7502 HARDY DRIVE	14A	LMH	\$1,398.09
2016	18	6269	6182182	HRLP-7502 HARDY DRIVE	14A	LMH	\$1,865.53
2016	18	6269	6196471	HRLP-7502 HARDY DRIVE	14A	LMH	\$584.52
2016	18	6269	6199590	HRLP-7502 HARDY DRIVE	14A	LMH	\$820.89
2016	18	6271	6116739	HRLP-4305 MOUNT VERNON DR	14A	LMH	\$2,598.26
2016	18	6271	6116840	HRLP-4305 MOUNT VERNON DR	14A	LMH	\$2,645.52
2016	18	6271	6117057	HRLP-4305 MOUNT VERNON DR	14A	LMH	\$5,109.01
2016	18	6271	6130198	HRLP-4305 MOUNT VERNON DR	14A	LMH	\$1,333.20
2016	18	6271	6140273	HRLP-4305 MOUNT VERNON DR	14A	LMH	\$56,961.79
2016	18	6271	6145667	HRLP-4305 MOUNT VERNON DR	14A	LMH	\$1,586.40
2016	18	6271	6152996	HRLP-4305 MOUNT VERNON DR	14A	LMH	\$769.13



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2016	18	6271	6172798	HRLP-4305 MOUNT VERNON DR	14A	LMH	\$932.41
2016	18	6271	6182182	HRLP-4305 MOUNT VERNON DR	14A	LMH	\$550.80
2016	18	6271	6196471	HRLP-4305 MOUNT VERNON DR	14A	LMH	\$359.34
2016	18	6271	6199590	HRLP-4305 MOUNT VERNON DR	14A	LMH	\$15,766.58
2016	18	6273	6116739	HRLP-1605 CRIPPLE CREEK	14A	LMH	\$9,770.77
2016	18	6273	6116840	HRLP-1605 CRIPPLE CREEK	14A	LMH	\$410.86
2016	18	6273	6117057	HRLP-1605 CRIPPLE CREEK	14A	LMH	\$1,828.88
2016	18	6273	6130198	HRLP-1605 CRIPPLE CREEK	14A	LMH	\$1,028.41
2016	18	6273	6140273	HRLP-1605 CRIPPLE CREEK	14A	LMH	\$1,389.34
2016	18	6273	6145667	HRLP-1605 CRIPPLE CREEK	14A	LMH	\$628.45
2016	18	6273	6152996	HRLP-1605 CRIPPLE CREEK	14A	LMH	\$1,257.33
2016	18	6273	6163827	HRLP-1605 CRIPPLE CREEK	14A	LMH	\$1,243.96
2016	18	6273	6172798	HRLP-1605 CRIPPLE CREEK	14A	LMH	\$815.82
2016	18	6273	6196471	HRLP-1605 CRIPPLE CREEK	14A	LMH	\$727.27
2016	18	6285	6116739	HRLP-9835 CHILDRESS DRIVE	14A	LMH	\$1,419.86
2016	18	6285	6116840	HRLP-9835 CHILDRESS DRIVE	14A	LMH	\$36,362.48
2016	18	6285	6117057	HRLP-9835 CHILDRESS DRIVE	14A	LMH	\$16,066.17
2016	18	6285	6130198	HRLP-9835 CHILDRESS DRIVE	14A	LMH	\$1,412.76
2016	18	6285	6140273	HRLP-9835 CHILDRESS DRIVE	14A	LMH	\$2,629.91
2016	18	6285	6141719	HRLP-9835 CHILDRESS DRIVE	14A	LMH	\$4,058.39
2016	18	6298	6116739	HRLP-4707 RUE LE FLEUR	14A	LMH	\$1,835.81
2016	18	6298	6116840	HRLP-4707 RUE LE FLEUR	14A	LMH	\$52,650.52
2016	18	6298	6117057	HRLP-4707 RUE LE FLEUR	14A	LMH	\$18,225.82
2016	18	6298	6130198	HRLP-4707 RUE LE FLEUR	14A	LMH	\$1,931.88
2016	18	6298	6140273	HRLP-4707 RUE LE FLEUR	14A	LMH	\$24,246.27
2016	18	6298	6140619	HRLP-4707 RUE LE FLEUR	14A	LMH	\$2,281.27
2016	18	6300	6116739	HRLP-4503 FRANKLIN PARK DRIVE	14A	LMH	\$1,855.56
2016	18	6300	6116840	HRLP-4503 FRANKLIN PARK DRIVE	14A	LMH	\$10,509.14
2016	18	6300	6117057	HRLP-4503 FRANKLIN PARK DRIVE	14A	LMH	\$1,979.79
2016	18	6300	6130198	HRLP-4503 FRANKLIN PARK DRIVE	14A	LMH	\$1,142.27
2016	18	6300	6140273	HRLP-4503 FRANKLIN PARK DRIVE	14A	LMH	\$2,500.18
2016	18	6300	6145667	HRLP-4503 FRANKLIN PARK DRIVE	14A	LMH	\$955.72
2016	18	6303	6116840	HRLP-10601 MCMILLIAN DRIVE	14A	LMH	\$68,018.08
2016	18	6303	6117057	HRLP-10601 MCMILLIAN DRIVE	14A	LMH	\$8,680.15
2016	18	6303	6130198	HRLP-10601 MCMILLIAN DRIVE	14A	LMH	\$346.11
2016	18	6303	6140273	HRLP-10601 MCMILLIAN DRIVE	14A	LMH	\$986.71
2016	18	6303	6145667	HRLP-10601 MCMILLIAN DRIVE	14A	LMH	\$2,026.08
2016	18	6303	6152996	HRLP-10601 MCMILLIAN DRIVE	14A	LMH	\$390.97
2016	18	6303	6163827	HRLP-10601 MCMILLIAN DRIVE	14A	LMH	\$128.09
2016	18	6303	6172798	HRLP-10601 MCMILLIAN DRIVE	14A	LMH	\$254.93
2016	18	6303	6182182	HRLP-10601 MCMILLIAN DRIVE	14A	LMH	\$332.82
2016	18	6303	6192082	HRLP-10601 MCMILLIAN DRIVE	14A	LMH	\$331.51
2016	18	6306	6116739	HRLP-3000 CASTRO STREET	14A	LMH	\$195.65
2016	18	6306	6116840	HRLP-3000 CASTRO STREET	14A	LMH	\$2,250.67
2016	18	6306	6117057	HRLP-3000 CASTRO STREET	14A	LMH	\$66,235.64
2016	18	6306	6130198	HRLP-3000 CASTRO STREET	14A	LMH	\$7,954.53
2016	18	6306	6140273	HRLP-3000 CASTRO STREET	14A	LMH	\$6,584.80
2016	18	6306	6145667	HRLP-3000 CASTRO STREET	14A	LMH	\$2,792.79
2016	18	6306	6152996	HRLP-3000 CASTRO STREET	14A	LMH	\$1,871.63
2016	18	6306	6163827	HRLP-3000 CASTRO STREET	14A	LMH	\$290.83
2016	18	6306	6172798	HRLP-3000 CASTRO STREET	14A	LMH	\$1,810.78
2016	18	6306	6182182	HRLP-3000 CASTRO STREET	14A	LMH	\$2,361.31
2016	18	6307	6116739	HRLP-1802 JJ SEABROOK DRIVE	14A	LMH	\$234.79
2016	18	6307	6116840	HRLP-1802 JJ SEABROOK DRIVE	14A	LMH	\$1,956.24
2016	18	6307	6117057	HRLP-1802 JJ SEABROOK DRIVE	14A	LMH	\$3,814.47
2016	18	6307	6130198	HRLP-1802 JJ SEABROOK DRIVE	14A	LMH	\$17,294.68
2016	18	6307	6140273	HRLP-1802 JJ SEABROOK DRIVE	14A	LMH	\$6,757.57



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2016	18	6307	6145667	HRLP-1802 JJ SEABROOK DRIVE	14A	LMH	\$3,824.90
2016	18	6307	6152996	HRLP-1802 JJ SEABROOK DRIVE	14A	LMH	\$4,749.21
2016	18	6307	6163827	HRLP-1802 JJ SEABROOK DRIVE	14A	LMH	\$2,513.20
2016	18	6307	6172798	HRLP-1802 JJ SEABROOK DRIVE	14A	LMH	\$52,928.05
2016	18	6307	6182182	HRLP-1802 JJ SEABROOK DRIVE	14A	LMH	\$12,434.74
2016	18	6307	6192082	HRLP-1802 JJ SEABROOK DRIVE	14A	LMH	\$2,472.97
2017	15	6318	6116739	ABR-RENTAL-FY 17-18	14A	LMH	\$3,085.65
2017	15	6318	6116834	ABR-RENTAL-FY 17-18	14A	LMH	\$5,770.12
2017	15	6318	6117057	ABR-RENTAL-FY 17-18	14A	LMH	\$9,377.52
2017	15	6318	6130198	ABR-RENTAL-FY 17-18	14A	LMH	\$8,473.63
2017	15	6318	6140273	ABR-RENTAL-FY 17-18	14A	LMH	\$12,541.70
2017	15	6318	6145667	ABR-RENTAL-FY 17-18	14A	LMH	\$12,733.69
2017	15	6318	6152996	ABR-RENTAL-FY 17-18	14A	LMH	\$16,157.64
2017	15	6318	6163827	ABR-RENTAL-FY 17-18	14A	LMH	\$22,392.89
2017	15	6318	6172798	ABR-RENTAL-FY 17-18	14A	LMH	\$26,842.03
2017	15	6318	6182182	ABR-RENTAL-FY 17-18	14A	LMH	\$36,628.52
2017	15	6318	6192082	ABR-RENTAL-FY 17-18	14A	LMH	\$9,107.20
2017	15	6318	6196471	ABR-RENTAL-FY 17-18	14A	LMH	\$34,452.61
2017	15	6318	6199590	ABR-RENTAL-FY 17-18	14A	LMH	\$2,207.74
2017	17	6319	6116739	ABR-OWNER-FY 17-18	14A	LMH	\$110,488.89
2017	17	6319	6116840	ABR-OWNER-FY 17-18	14A	LMH	\$37,047.44
2017	17	6319	6117057	ABR-OWNER-FY 17-18	14A	LMH	\$54,806.99
2017	17	6319	6130198	ABR-OWNER-FY 17-18	14A	LMH	\$29,333.02
2017	17	6319	6140273	ABR-OWNER-FY 17-18	14A	LMH	\$165,932.58
2017	17	6319	6145667	ABR-OWNER-FY 17-18	14A	LMH	\$39,912.83
2017	17	6319	6152996	ABR-OWNER-FY 17-18	14A	LMH	\$178,975.85
2017	17	6319	6163827	ABR-OWNER-FY 17-18	14A	LMH	\$208,562.92
2017	17	6319	6172798	ABR-OWNER-FY 17-18	14A	LMH	\$98,488.88
2017	17	6319	6182182	ABR-OWNER-FY 17-18	14A	LMH	\$107,925.21
2017	17	6319	6192082	ABR-OWNER-FY 17-18	14A	LMH	\$46,714.99
2017	17	6319	6196471	ABR-OWNER-FY 17-18	14A	LMH	\$89,489.33
2017	17	6319	6199590	ABR-OWNER-FY 17-18	14A	LMH	\$21,645.22
2017	18	6322	6116840	HRLP-5901 EUREKA DRIVE	14A	LMH	\$1,454.80
2017	18	6322	6117057	HRLP-5901 EUREKA DRIVE	14A	LMH	\$1,061.06
2017	18	6322	6130198	HRLP-5901 EUREKA DRIVE	14A	LMH	\$689.64
2017	18	6322	6140273	HRLP-5901 EUREKA DRIVE	14A	LMH	\$5,773.40
2017	18	6322	6145667	HRLP-5901 EUREKA DRIVE	14A	LMH	\$65,468.64
2017	18	6322	6152996	HRLP-5901 EUREKA DRIVE	14A	LMH	\$4,359.28
2017	18	6322	6163827	HRLP-5901 EUREKA DRIVE	14A	LMH	\$1,878.17
2017	18	6322	6172798	HRLP-5901 EUREKA DRIVE	14A	LMH	\$1,314.99
2017	18	6323	6116840	HRLP-1505 EAST SECOND STREET	14A	LMH	\$1,480.30
2017	18	6323	6117057	HRLP-1505 EAST SECOND STREET	14A	LMH	\$1,182.92
2017	18	6323	6130198	HRLP-1505 EAST SECOND STREET	14A	LMH	\$686.00
2017	18	6323	6140273	HRLP-1505 EAST SECOND STREET	14A	LMH	\$32,697.82
2017	18	6323	6145667	HRLP-1505 EAST SECOND STREET	14A	LMH	\$2,962.17
2017	18	6323	6152996	HRLP-1505 EAST SECOND STREET	14A	LMH	\$34,282.59
2017	18	6323	6163827	HRLP-1505 EAST SECOND STREET	14A	LMH	\$10,039.15
2017	18	6323	6172798	HRLP-1505 EAST SECOND STREET	14A	LMH	\$2,500.64
2017	18	6323	6182182	HRLP-1505 EAST SECOND STREET	14A	LMH	\$701.21
2017	18	6323	6192082	HRLP-1505 EAST SECOND STREET	14A	LMH	\$127.23
2017	18	6334	6117057	HRLP-5603 TURA LN	14A	LMH	\$2,060.93
2017	18	6334	6130198	HRLP-5603 TURA LN	14A	LMH	\$214.36
2017	18	6334	6140273	HRLP-5603 TURA LN	14A	LMH	\$17,603.84
2017	18	6334	6145667	HRLP-5603 TURA LN	14A	LMH	\$1,290.42
2017	18	6334	6152996	HRLP-5603 TURA LN	14A	LMH	\$2,670.14
2017	18	6334	6163827	HRLP-5603 TURA LN	14A	LMH	\$8,933.43
2017	18	6334	6172798	HRLP-5603 TURA LN	14A	LMH	\$2,339.67



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2017	18	6334	6182182	HRLP-5603 TURA LN	14A	LMH	\$2,904.00
2017	18	6334	6192082	HRLP-5603 TURA LN	14A	LMH	\$68,590.47
2017	18	6334	6196471	HRLP-5603 TURA LN	14A	LMH	\$965.62
2017	18	6334	6199590	HRLP-5603 TURA LN	14A	LMH	\$459.35
2017	18	6354	6140273	HRLP-4708 CANDLETREE LN	14A	LMH	\$1,451.30
2017	18	6354	6145667	HRLP-4708 CANDLETREE LN	14A	LMH	\$2,028.49
2017	18	6354	6152996	HRLP-4708 CANDLETREE LN	14A	LMH	\$1,322.30
2017	18	6354	6163827	HRLP-4708 CANDLETREE LN	14A	LMH	\$13,707.43
2017	18	6354	6172798	HRLP-4708 CANDLETREE LN	14A	LMH	\$2,524.08
2017	18	6354	6182182	HRLP-4708 CANDLETREE LN	14A	LMH	\$2,320.85
2017	18	6354	6192082	HRLP-4708 CANDLETREE LN	14A	LMH	\$66,113.43
2017	18	6354	6196471	HRLP-4708 CANDLETREE LN	14A	LMH	\$10,728.61
2017	18	6354	6199590	HRLP-4708 CANDLETREE LN	14A	LMH	\$209.58
2017	19	6320	6140273	FY 17-18 EMERGENCY HOME REPAIR-AUSTIN AREA URBAN LEAGUE	14A	LMH	\$66,363.49
2017	19	6320	6145667	FY 17-18 EMERGENCY HOME REPAIR-AUSTIN AREA URBAN LEAGUE	14A	LMH	\$153,211.56
2017	19	6320	6152996	FY 17-18 EMERGENCY HOME REPAIR-AUSTIN AREA URBAN LEAGUE	14A	LMH	\$51,786.36
2017	19	6320	6163827	FY 17-18 EMERGENCY HOME REPAIR-AUSTIN AREA URBAN LEAGUE	14A	LMH	\$74,708.80
2017	19	6320	6172798	FY 17-18 EMERGENCY HOME REPAIR-AUSTIN AREA URBAN LEAGUE	14A	LMH	\$101,868.68
2017	19	6320	6182182	FY 17-18 EMERGENCY HOME REPAIR-AUSTIN AREA URBAN LEAGUE	14A	LMH	\$153,058.32
2017	19	6320	6192082	FY 17-18 EMERGENCY HOME REPAIR-AUSTIN AREA URBAN LEAGUE	14A	LMH	\$70,117.67
2017	19	6320	6196471	FY 17-18 EMERGENCY HOME REPAIR-AUSTIN AREA URBAN LEAGUE	14A	LMH	\$41,847.84
2017	19	6320	6199590	FY 17-18 EMERGENCY HOME REPAIR-AUSTIN AREA URBAN LEAGUE	14A	LMH	\$72,902.93
2017	19	6320	6206754	FY 17-18 EMERGENCY HOME REPAIR-AUSTIN AREA URBAN LEAGUE	14A	LMH	\$62,378.28
					14A	Matrix Code	\$3,208,923.94
2017	24	6348	6182182	FY 17-18 PEOPLEFUND	18A	LMJ	\$25,000.00
2017	24	6348	6196471	FY 17-18 PEOPLEFUND	18A	LMJ	\$125,000.00
					18A	Matrix Code	\$150,000.00
Total							\$5,188,393.87

LINE 27 DETAIL: ACTIVITIES INCLUDED IN THE COMPUTATION OF LINE 27

Plan Year	IDIS Project	IDIS Activity	Voucher Number	Activity Name	Matrix Code	National Objective	Drawn Amount
2017	2	6327	6130198	FY 17-18 SENIOR SERVICES	05A	LMC	\$10,225.26
2017	2	6327	6140273	FY 17-18 SENIOR SERVICES	05A	LMC	\$24,991.91
2017	2	6327	6145667	FY 17-18 SENIOR SERVICES	05A	LMC	\$20,187.83
2017	2	6327	6152996	FY 17-18 SENIOR SERVICES	05A	LMC	\$9,810.28
2017	2	6327	6163827	FY 17-18 SENIOR SERVICES	05A	LMC	\$10,293.64
2017	2	6327	6172798	FY 17-18 SENIOR SERVICES	05A	LMC	\$10,394.19
2017	2	6327	6182182	FY 17-18 SENIOR SERVICES	05A	LMC	\$14,290.93
2017	2	6327	6192082	FY 17-18 SENIOR SERVICES	05A	LMC	\$3,416.95
2017	2	6327	6196471	FY 17-18 SENIOR SERVICES	05A	LMC	\$2,741.39
2017	2	6327	6206754	FY 17-18 SENIOR SERVICES	05A	LMC	\$7,231.62
					05A	Matrix Code	\$113,584.00
2017	3	6335	6130198	FY 17-18 YOUTH SERVICES	05D	LMC	\$65,348.36
2017	3	6335	6140273	FY 17-18 YOUTH SERVICES	05D	LMC	\$29,020.84
2017	3	6335	6145667	FY 17-18 YOUTH SERVICES	05D	LMC	\$32,326.12
2017	3	6335	6152996	FY 17-18 YOUTH SERVICES	05D	LMC	\$30,975.28





Office of Community Planning and Development  
U.S. Department of Housing and Urban Development  
Integrated Disbursement and Information System  
PR26 - CDBG Financial Summary Report  
Program Year 2017  
AUSTIN , TX

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Plan Year	IDIS Project	IDIS Activity	Voucher Number	Activity Name	Matrix Code	National Objective	Drawn Amount
2017	3	6335	6163827	FY 17-18 YOUTH SERVICES	05D	LMC	\$14,933.40
2017	3	6335	6182182	FY 17-18 YOUTH SERVICES	05D	LMC	\$60.00
					05D	Matrix Code	\$172,664.00
2017	14	6328	6145667	FY 17-18 TENANT'S RIGHTS ASSISTANCE	05K	LMC	\$19,577.74
2017	14	6328	6152996	FY 17-18 TENANT'S RIGHTS ASSISTANCE	05K	LMC	\$44,135.23
2017	14	6328	6172798	FY 17-18 TENANT'S RIGHTS ASSISTANCE	05K	LMC	\$21,814.49
2017	14	6328	6182182	FY 17-18 TENANT'S RIGHTS ASSISTANCE	05K	LMC	\$93,408.11
2017	14	6328	6192082	FY 17-18 TENANT'S RIGHTS ASSISTANCE	05K	LMC	\$24,318.94
2017	14	6328	6199590	FY 17-18 TENANT'S RIGHTS ASSISTANCE	05K	LMC	\$25,323.03
2017	14	6328	6206754	FY 17-18 TENANT'S RIGHTS ASSISTANCE	05K	LMC	\$13,486.46
					05K	Matrix Code	\$242,064.00
2017	1	6324	6130198	FY 17-18 CHILD CARE SERVICES	05L	LMC	\$114,167.82
2017	1	6324	6140273	FY 17-18 CHILD CARE SERVICES	05L	LMC	\$29,299.07
2017	1	6324	6145667	FY 17-18 CHILD CARE SERVICES	05L	LMC	\$23,986.32
2017	1	6324	6152996	FY 17-18 CHILD CARE SERVICES	05L	LMC	\$67,366.99
2017	1	6324	6163827	FY 17-18 CHILD CARE SERVICES	05L	LMC	\$70,211.74
2017	1	6324	6172798	FY 17-18 CHILD CARE SERVICES	05L	LMC	\$15,340.29
2017	1	6324	6182182	FY 17-18 CHILD CARE SERVICES	05L	LMC	\$67,311.25
2017	1	6324	6192082	FY 17-18 CHILD CARE SERVICES	05L	LMC	\$43,282.55
2017	1	6324	6196471	FY 17-18 CHILD CARE SERVICES	05L	LMC	\$13,279.49
2017	1	6324	6199590	FY 17-18 CHILD CARE SERVICES	05L	LMC	\$13,570.00
2017	1	6324	6206754	FY 17-18 CHILD CARE SERVICES	05L	LMC	\$6,548.48
					05L	Matrix Code	\$464,364.00
Total							\$992,676.00

LINE 37 DETAIL: ACTIVITIES INCLUDED IN THE COMPUTATION OF LINE 37

Plan Year	IDIS Project	IDIS Activity	Voucher Number	Activity Name	Matrix Code	National Objective	Drawn Amount
2017	29	6309	6116739	FY 17-18 ADMIN AND MANAGEMENT	21A		\$13,355.02
2017	29	6309	6116840	FY 17-18 ADMIN AND MANAGEMENT	21A		\$26,815.15
2017	29	6309	6117057	FY 17-18 ADMIN AND MANAGEMENT	21A		\$39,842.55
2017	29	6309	6130198	FY 17-18 ADMIN AND MANAGEMENT	21A		\$26,992.19
2017	29	6309	6140273	FY 17-18 ADMIN AND MANAGEMENT	21A		\$20,863.54
2017	29	6309	6145667	FY 17-18 ADMIN AND MANAGEMENT	21A		\$26,382.55
2017	29	6309	6152996	FY 17-18 ADMIN AND MANAGEMENT	21A		\$34,061.32
2017	29	6309	6163827	FY 17-18 ADMIN AND MANAGEMENT	21A		\$34,520.90
2017	29	6309	6172798	FY 17-18 ADMIN AND MANAGEMENT	21A		\$32,973.87
2017	29	6309	6182182	FY 17-18 ADMIN AND MANAGEMENT	21A		\$31,541.47
2017	29	6309	6192082	FY 17-18 ADMIN AND MANAGEMENT	21A		\$25,857.14
2017	29	6309	6196471	FY 17-18 ADMIN AND MANAGEMENT	21A		\$38,683.85
2017	29	6309	6199590	FY 17-18 ADMIN AND MANAGEMENT	21A		\$41,214.37
2017	29	6310	6116739	FY 17-18 FINANCIAL MONITORING/BUDGET	21A		\$9,386.52
2017	29	6310	6116840	FY 17-18 FINANCIAL MONITORING/BUDGET	21A		\$21,325.05
2017	29	6310	6117057	FY 17-18 FINANCIAL MONITORING/BUDGET	21A		\$30,213.83
2017	29	6310	6130198	FY 17-18 FINANCIAL MONITORING/BUDGET	21A		\$18,934.37
2017	29	6310	6140273	FY 17-18 FINANCIAL MONITORING/BUDGET	21A		\$19,939.79
2017	29	6310	6145667	FY 17-18 FINANCIAL MONITORING/BUDGET	21A		\$21,667.83
2017	29	6310	6152996	FY 17-18 FINANCIAL MONITORING/BUDGET	21A		\$22,213.42
2017	29	6310	6163827	FY 17-18 FINANCIAL MONITORING/BUDGET	21A		\$21,986.58
2017	29	6310	6172798	FY 17-18 FINANCIAL MONITORING/BUDGET	21A		\$32,636.49
2017	29	6310	6182182	FY 17-18 FINANCIAL MONITORING/BUDGET	21A		\$21,759.91
2017	29	6310	6192082	FY 17-18 FINANCIAL MONITORING/BUDGET	21A		\$21,759.81
2017	29	6310	6196471	FY 17-18 FINANCIAL MONITORING/BUDGET	21A		\$21,759.83
2017	29	6311	6140273	FY 17-18 FACILITIES	21A		\$11,993.52
2017	29	6311	6145667	FY 17-18 FACILITIES	21A		\$24,086.28





PY: 2017

## LOCCS RECONCILIATION

<b>Unexpended balance of CDBG funds</b> <i>(Line 16 of the IDIS Financial Summary)</i>	<b>\$ 6,307,740.41</b>
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<b>LOCCS Balance (as of the end of the program year)</b>	<b>\$ 6,796,178.56</b>
--	------------------------

**Unexpended CDBG program income and /or  
Unexpended CDBG grant funds:**

a) <i>Grantee Program Account</i>	<b>\$ 5,833.33</b>
b) <i>Subrecipients Program Account</i>	<b>\$ -</b>
c) <i>Revolving Fund Cash Balances</i>	<b>\$ 202,145.85</b>
d) <i>Section 108 Cash Balances</i>	<b>\$ 14,601.95</b>
<b>Total CASH ON-HAND</b>	<b>\$ 222,581.13</b>

<b>Grantee Program Liabilities</b> <i>(include any reimbursements due from program funds)</i>	<b>\$ 147,883.89</b>
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<b>Subrecipient Program Liabilities</b> <i>(include any reimbursement due from program funds)</i>	<b>\$ 563,135.39</b>
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<b>Total LIABILITIES</b>	<b>\$ 711,019.28</b>
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<b>RECONCILING BALANCE</b> <i>Liabilities Total)</i>	<b>\$ 6,307,740.41</b>
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<b>Total Unexpended Balance of CDBG Funds minus Reconciling Balance =</b>	<b>\$ -</b>
---	-------------

***Explanation for discrepancy***

1)	.
2)	
3)	
<b>Total prior year entries</b>	<b>\$ -</b>
<b>Unreconciled difference</b>	<b>\$ -</b>

**Attachment 4:** Citizen Participation Plan, Public Notice, and Comments



**CITY OF AUSTIN**  
**NEIGHBORHOOD HOUSING AND COMMUNITY DEVELOPMENT (NHCD) DEPARTMENT**  
**CITIZEN PARTICIPATION PLAN**

**A. PURPOSE**

Participating Jurisdictions (PJs) that receive U.S. Department of Housing and Urban Development (HUD) entitlement grant funds must develop a Citizen Participation Plan (CPP). The CPP describes efforts that will be undertaken to encourage community members to participate in the development of the City's federal reports: Assessment of Fair Housing (AFH), Consolidated Plan, annual Action Plan, and the Consolidated Annual Performance and Evaluation Report (CAPER).

The CPP is designed to encourage the participation of city residents in the development of the federal reports listed above, particularly those residents who are predominantly low- and moderate-income. The CPP also encourages local and regional institutions and other organizations (including businesses, developers, and community and faith-based organizations) in the process of developing and implementing the Consolidated Plan and related reports. The City takes appropriate actions to encourage the participation of persons of minority backgrounds, persons with limited-English proficiency, and persons with disabilities.

The City of Austin is committed to compliance with the Americans with Disabilities Act (ADA) and Section 504 of the Rehabilitation Act of 1973, as amended. Reasonable modifications and equal access to communications will be provided upon request. The City of Austin does not discriminate on the basis of disability in the admission or access to, or treatment or employment in, its programs and activities.

The City of Austin considers it the right of all Austin's residents to have the opportunity to provide input and comment on the use of public funds and the community's needs related to affordable housing and community and economic development.

The CPP applies to six areas of planning for the use of affordable housing, community and economic development made possible through HUD funding:

- 1) Assessment of Fair Housing (AFH);
- 2) The Consolidated Plan;
- 3) The annual Action Plan;
- 4) The Consolidated Annual Performance and Evaluation Report (CAPER);
- 5) Substantial amendments to a Consolidated Plan and/or annual Action Plan; and
- 6) Amendments to the CPP, itself.

The City of Austin's program/fiscal year begins October 1 and ends September 30. In order to receive entitlement grant funding, the U. S. Department of Housing and Urban Development (HUD) requires jurisdictions to submit a Consolidated Plan every five years. This plan is a comprehensive strategic plan for community planning and development activities. The annual Action Plan serves as the City's

application for these HUD grant programs. Federal law also requires community members have opportunities to review and comment on the local jurisdiction's plans to allocate these funds.

The purpose of programs covered by this CPP is to improve the Austin community by providing: decent housing, a suitable living environment, and growing economic opportunities – all principally for low- and moderate- income households.

This document outlines how members of the Austin community may participate in the six planning areas listed above. General requirements for all or most activities are described in detail in Section E of the Citizen Participation Plan (CPP).

## **B. HUD PROGRAMS**

The City of Austin receives four entitlement grants from the U.S. Department of Housing and Urban Development (HUD), to help address the City's affordable housing, community and economic development needs. The four grant programs are described below:

1. ***Community Development Block Grant Program (CDBG):*** Title I of the Housing and Community Development Act of 1974 (PL 93-383) created the CDBG program. It was re-authorized in 1990 as part of the Cranston-Gonzalez National Affordable Housing Act. The primary objective of the CDBG program is to develop viable urban communities by providing decent housing and a suitable living environment and by expanding economic development opportunities for persons of low and moderate income. The City develops locally defined programs and funding priorities for CDBG, but activities must address one or more of the national objectives of the CDBG program. The three national objectives are: (1) to benefit low- and moderate- income persons; (2) to aid in the prevention or elimination of slums or blight; and/or (3) to meet other urgent community development needs. The City of Austin's CDBG program emphasizes activities that directly benefit low and moderate-income persons.
2. ***HOME Investment Partnerships Program (HOME):*** HOME was introduced in the Cranston-Gonzalez National Affordable Housing Act of 1990 and provides funding for housing rehabilitation, new housing construction, acquisition of affordable housing, and tenant-based rental assistance. A portion of the funds (15 percent) must be set aside for community housing development organizations (CHDOs) certified by the City of Austin.
3. ***Emergency Shelter/Solutions Grant (ESG):*** The ESG Program is authorized by the Steward B. McKinney Homeless Assistance Act of 1987 and was amended by the Homeless Emergency Assistance and Rapid Transition to Housing (HEARTH) Act of 2009. ESG has four primary objectives: (1) to improve the quality of existing emergency shelters for the homeless; (2) to provide additional emergency shelters; (3) to help meet the cost of operating emergency shelters; and (4) to provide certain essential social services to homeless individuals. The program is also intended to help reduce the number of people at risk of becoming homeless.
4. ***Housing Opportunities for Persons with AIDS (HOPWA):*** HOPWA funds may be used to assist housing designed to meet the needs of persons with HIV/AIDS, including the prevention of homelessness. Supportive services may also be included. HOPWA grants are allocated to Eligible Metropolitan Statistical Areas (EMSAs) with a high incidence of HIV/AIDS. The City of Austin receives a HOPWA grant on behalf of a five-county EMSA (Bastrop, Hays, Travis, Williamson, and Caldwell Counties).

## **C. LEAD AGENCY**

The Neighborhood Housing and Community Development (NHCD) Office is designated by the Austin City Council as the lead agency for the administration of the CDBG, HOME, HOPWA, and ESG grant programs. Through the U.S. Department of Housing and Urban Development (HUD) NHCD directly administers the CDBG

and HOME programs. The City Council designates the Austin Public Health (APH) to administer the HOPWA and ESG programs.

As the lead agency for HUD, NHCD is responsible for developing the Consolidated, annual Action Plans, and the Consolidated Annual Performance and Evaluation Report (CAPER). NHCD coordinates with APH, boards and commissions and other community agencies to develop these documents. Needs and priorities for funding for the ESG and HOPWA grants are developed by APH in consultation with community agencies.

#### **D. PLANNING ACTIVITIES SUBJECT TO CITIZEN PARTICIPATION PLAN**

**ACTIVITY 1 – ASSESSMENT OF FAIR HOUSING.** The Assessment of Fair Housing (AFH) is a planning document prepared in accordance with HUD regulations at 24 CFR 91.105 and 24 CFR 5.150 through 5.166, which became effective June 30, 2015. This AFH includes an analysis of fair housing data, assesses fair housing issues and contributing factors, and identifies the City's fair housing priorities and goals for affirmatively furthering fair housing.

1. **Stakeholder Consultation and Outreach.** In the development of the AFH, the City will consult with other public and private agencies including, but not limited to, the following:
  - Local public housing authorities
  - Other assisted housing providers
  - Social service providers including those focusing on services to minorities, families with children, the elderly, persons with disabilities, persons with HIV/AIDS and their families, homeless persons, and other protected classes
  - Community-based and regionally-based organizations that represent protected class members and organizations that enforce fair housing laws
  - Regional government agencies involved in metropolitan-wide planning and transportation responsibilities
  - Financial and lending sector partners

A variety of mechanisms may be utilized to solicit input from these entities. These could include telephone or personal interviews, mail surveys, internet-based feedback and surveys, focus groups, and/or consultation workshops.

2. **Publishing Data.** City staff shall make any proposed analysis and the relevant documents, including the HUD-provided data and any other data to be included in the AFH, available to the public in a manner that affords diverse residents and others the opportunity to examine the content.
3. **Public Hearing.** To obtain the views of the general public on AFH-related data and affirmatively furthering fair housing in the City's housing and community development programs, the City will conduct at least one public hearing before the Community Development Commission (CDC) during the development of the AFH.

4. **Public Display and Comment Period.** The draft AFH will be placed on display in physical and online form for a period of no less than 30 calendar days to encourage public review and comment. The public notice shall include a brief summary of the content and purpose of the draft AFH, the dates of the public display and comment period, the locations where copies of the proposed document can be examined, how comments will be accepted, and

the anticipated submission date to HUD. The Draft AFH will be made available at public libraries, public housing authorities, neighborhood centers, at NHCD's Office, and on the NHCD's web site ([www.austintexas.gov/housing](http://www.austintexas.gov/housing)). In addition, upon request, federal reports will be provided in a form accessible to persons with disabilities.

5. **Comments Received on the Draft Assessment of Fair Housing.** Comments will be accepted by the City Contact Person, or a designee, during the 30-day public display and comment period. The City will consider any comments or views received in writing, or orally at the public hearing, in preparing the final AFH. A summary of these comments or views, and a summary of any comments or views not accepted and the reasons why, will be attached to the final AFH for submission to HUD.
6. **Submission to HUD.** All written or oral testimony provided will be considered in preparing the final AFH. The AFH will be submitted to HUD 270 days before the Consolidated Plan is due.
7. **Revisions to AFH.** A HUD accepted AFH must be revised and submitted to HUD for review when either of the following situations occurs:
  - a. A material change occurs. A material change is one that both;
    - i) impacts the circumstances in the City which may include natural disasters, significant demographic changes, new significant contributing factors, and civil rights findings and
    - ii) causes alterations to the AFH's analyses, contributing factors, priorities, and/or goals.
  - b. The City receives a written notification from HUD specifying a material change.

Whenever a Revision to the Assessment of Fair Housing is proposed, it will be available for public comment for a period of thirty (30) days before submission to HUD. A Revision to the Assessment of Fair Housing will not be implemented until the conclusion of the 30-day public comment period. A summary of all comments or views received in writing, or orally, during the comment period, will be attached to the Revision upon submission to HUD.

**ACTIVITY 2 –CONSOLIDATED PLAN.** The City of Austin's Consolidated Plan is developed through a collaborative process whereby the community establishes a unified vision for Austin's affordable housing, and community and economic development initiatives.

Community members' participation is an essential component in developing the Consolidated Plan, including amending the plan as well as reporting on program performance. Consultations, public hearings, community meetings, surveys and opportunities to provide written comment are all a part of the strategy to obtain community members' input. The City will make special efforts to solicit the views of community members who reside in the designated CDBG-priority neighborhoods of Austin, and to encourage the participation of all community members including minorities, the non-English speaking population, and persons with disabilities. Actions for public participation in the Consolidated Plan follow:

1. **Consultations with Other Community Institutions.** In developing the Consolidated Plan, the City will consult with other public and private agencies, both for-profit and non-profits that either provide or have direct impact on the broad range of housing, health, and social services needed by Austin residents. Consultations may take place through meetings, task forces or committees, or other means with which to coordinate information and facilitate communication. The purpose of these meetings is to gather information and data on the community and economic development needs of the community. The City will seek specific input to identify the needs of persons experiencing homelessness, persons living with HIV/AIDS and their families, persons with disabilities and other special populations.

2. **Utilize Quantitative and Qualitative Data on Community Needs.** City staff shall review relevant data and conduct necessary evaluation and analysis to provide an accurate assessment of community needs and priorities on which to base strategic recommendations.
3. **Initial Public Hearings.** There will be a minimum of two public hearings at the beginning stages of the development of the Consolidated Plan before the Community Development Commission (CDC), policy advisers to NHCD appointed by the City Council, to gather information on community needs from community members. There will be two more hearings sponsored by organizations working with low- and moderate-income populations. An additional hearing will be held before City Council. Based on public testimony received, the CDC will make recommendations to City Council on the community needs.
4. **Written Comments.** Based on public input and quantitative analysis, NHCD staff will prepare a draft Consolidated Plan, which also includes proposed allocation of first-year funding. A period of 30 calendar days will be provided to receive written comments on the draft Consolidated Plan. The draft plan will be made available at public libraries, public housing authorities, neighborhood centers, at NHCD's Office, and on the NHCD's web site ([www.austintexas.gov/housing/publications](http://www.austintexas.gov/housing/publications).) In addition, upon request, federal reports will be provided in a form accessible to persons with disabilities.
5. **Draft Consolidated Plan Public Hearings.** There will be a public hearing held before the City Council to receive oral public comments on the draft. An additional hearing will be held before the Community Development Commission (CDC). These hearings will be scheduled during the 30-day written comment period on the draft plan. The CDC will be given the opportunity to make recommendations to Council on the draft Consolidated Plan/ Action Plan.
6. **Final Action on the Consolidated Plan.** All written or oral testimony provided will be considered in preparing the final Consolidated Plan. A summary of testimony received and the City's reasons for accepting or not accepting the comments must be included in the final document. The City Council will consider these comments, CDC recommendations, and the recommendations of the City Manager before taking final action on the Consolidated Plan. Final action by the City Council will occur no sooner than fifteen calendar days next following the second City Council public hearing on the draft plan. When approved by City Council, the Consolidated Plan will be submitted to HUD, no later than August 15 each year.

**ACTIVITY 3 – ONE-YEAR ACTION PLAN.** Each year the City must submit an annual Action Plan to HUD, reporting on how that year's funding allocation for the four HUD entitlement grants will be used to achieve the goals outlined in the Consolidated Plan.

1. NHCD staff will gather input from community members and consultations to prepare the draft Action Plan and report progress on Fair Housing Action Plan. There shall be two public hearings: one before the Community Development Commission (CDC) and one before the City Council to receive community member input on the community needs, including funding allocations.
2. NHCD staff will gather public input and statistical data to prepare the draft Action Plan. A draft Action Plan will be available for 30 days for public comment after reasonable notice to the public is given.
3. During this comment period, the CDC and the City Council shall conduct two additional public hearings to receive public comments on the draft Action Plan and Consolidated Plan, if it is during a Consolidated Planning year.
4. The CDC will be given the opportunity to make recommendations to the City Council prior to its final action.
5. Final action by the City Council will occur no sooner than fifteen calendar days following the second Council public hearing on the draft Action Plan.
6. When approved by City Council, the Action Plan will be submitted to HUD.

**ACTIVITY 4 – SUBSTANTIAL AMENDMENTS TO CONSOLIDATED/ACTION PLAN.** Recognizing that changes during the year may be necessary to the Consolidated Plan and annual Action Plan after approval, the Citizen Participation Plan allows for “substantial amendments” to plans. These “substantial amendments” apply only to changes in CDBG funding allocations. Changes in funding allocation for other HUD grant programs received by the City of Austin -- HOME, ESG, and HOPWA - are not required to secure public review and comment. The CPP defines a substantial amendment as:

- a) A proposed use of CDBG funds that does not address a need identified in the governing Consolidated Plan or annual Action Plan; or
- b) A change in the use of CDBG funds from one eligible program to another. The eligible programs defined in the City of Austin’s Business Plan are “Housing” or “Community Development.”
- c) A cumulative change in the use of CDBG funds from an eligible activity to another eligible activity that decreases an activity’s funding by 10% or more OR increases an activity’s funding by 10% or more during fiscal year. An activity is defined as a high priority need identified in the Consolidated Plan that is eligible for funding in the Action Plan (see Attachment #1 – NHCD’s Investment Plan).

In the event that there are substantial amendments to the governing the Consolidated Plan or annual Action Plan,

- 1. The City will draft the amendment and publish a brief summary of the proposed substantial amendment(s) and identify where the amendment(s) may be viewed
- 2. After reasonable notice, there will be a 30-day written public comment period
- 3. During the 30-day comment period, the City Council shall receive oral comments in public hearings.
- 4. The CDC will be given the opportunity to make recommendations to City Council prior to its final action.
- 5. Upon approval by Council, the substantial amendment will be posted in the official City Council minutes and available online and in the City Clerk’s office. Final action by the City Council will occur no sooner than fifteen calendar days next following the second Council public hearing on the draft plan.

**ACTIVITY 5 – CONSOLIDATED ANNUAL PERFORMANCE AND EVALUATION REPORT (CAPER).**

The City is required to submit annually by December 30 a CAPER to HUD that describes the City’s progress in meeting the goals in the Consolidated Plan.

- 1. NHCD staff prepares the draft CAPER.
- 2. After reasonable notice is provided, the CAPER is available for 15 days for written public comment.
- 3. The final CAPER and public comments will then be submitted to HUD.
- 4. The CAPER and public comments will be presented at a CDC meeting.

**ACTIVITY 6 – AMENDMENTS TO CITIZEN PARTICIPATION PLAN.** In the event that changes to this Citizen Participation Plan (CPP) are necessary, the NHCD staff shall draft them.

- 1. After reasonable notice, these will be available to the public for 15 days for written comment.
- 2. The CDC and City Council shall each hold a public hearing to receive oral public comments on the proposed change.
- 3. The CDC will be given the opportunity to make recommendations to City Council prior to its final action.
- 4. Upon approval by City Council, the substantial amendment will be posted in the official City Council minutes and available online and in the City Clerk’s office.



The City will review the CPP at a minimum of every 5 years for potential enhancement or modification; this review will occur as a component of the Consolidated Planning process.

#### **E. GENERAL REQUIREMENTS**

The City of Austin is committed to compliance with the Americans with Disabilities Act (ADA) and Section 504 of the Rehabilitation Act of 1973, as amended. Reasonable modifications and equal access to communications will be provided upon request. The City of Austin does not discriminate on the basis of disability in the admission or access to, or treatment or employment in, its programs and activities.

1. **Public Hearings.** Public hearings before the Austin City Council, the Community Development Commission (CDC), and other appropriate community organizations will be advertised in accordance with the guidelines outlined in the notification section below. The purpose of public hearings is to provide an opportunity for community members, public agencies, and other interested parties to provide input on the City of Austin's affordable housing, community and economic development needs. Public hearings will be held in locations accessible to low- and moderate- income residents and persons with disabilities. Spanish translation and translation for individuals with hearing impairments will be provided upon request.
2. **Public Meetings.** Public meetings of the Austin City Council, Community Development Commission (CDC), and other boards and commissions overseeing HUD programs provide opportunities for community members' participation and comment on a continuous basis. Public meeting notices are posted at the Office of the City Clerk at least three days (72 hours), prior to the meeting date, in accordance with the Texas Open Meetings Act. Public meetings are held in locations accessible to persons with disabilities. Spanish translation and translation for individuals with hearing impairments will be provided upon request.

**Notification.** The Neighborhood Housing and Community Development (NHCD) Office will provide the community advance notice of public hearings and/or public comment periods. The notice will be provided at least two weeks prior to the public hearing date and the start date of comment periods.

Related to the CPP specified federal documents, NHCD will provide public notifications by utilizing City of Austin publications and media (television, print, electronic) that will maximize use of City resources and reach an increased number of Austin residents by direct mail. Related to federal publications referenced above, NHCD will notify the public about public hearings, comment periods, public meetings, and additional opportunities for public feedback through communications outlets that are designed to increase public participation and generate quantifiable feedback/results. NHCD will utilize the following notifications mechanisms as available: City of Austin utility bill inserts (distribution to approximately 410,000 households, 2011); City of Austin web site; and Channel 6, the municipally-owned cable channel. In addition, NHCD will use other available media (print, electronic, television) to promote public feedback opportunities. Notifications will be published in English and Spanish.

NHCD will coordinate with the Community Development Commission, Urban Renewal Agency, other governmental agencies, public housing authorities, key stakeholders, and the general public during the development of the Assessment of Fair Housing, Consolidated Plan and annual Action Plan.

3. **Document Access.** Copies of all planning documents, including the following federal reports: City's Citizen Participation Plan (CPP), Assessment of Fair Housing, Consolidated Plan, annual Action Plan, and the Consolidated Annual Performance and Evaluation Report (CAPER), will be available to the public upon request. Community members will have the opportunity to review and comment on applicable federal reports in draft form prior to final adoption by the Austin City Council. These documents will be made available at public libraries, public housing authorities, certain

neighborhood centers, at NHCD's Office, and on the NHCD's web site ([www.austintexas.gov/housing/publications](http://www.austintexas.gov/housing/publications).) In addition, upon request, federal reports will be provided in a form accessible to persons with disabilities.

4. **Access to Records.** The City will provide community members, public agencies, and other interested parties reasonable and timely access to information and records relating to the Citizen Participation Plan (CPP), Assessment of Fair Housing, Consolidated Plan, annual Action Plan, and CAPER, and the City's use of assistance under the four entitlement grant programs, as stated in the Texas Public Information Act and the Freedom of Information Act.
5. **Technical Assistance.** The City will provide technical assistance upon request and to the extent resources are available to groups or individuals that need assistance in preparing funding proposals, provided that the level of technical assistance does not constitute a violation of federal or local rules or regulations. The provision of technical assistance does not involve re-assignment of City staff to the proposed project or group, or the use of City equipment, nor does technical assistance guarantee an award of funds.

**F. COMMUNITY MEMBERS' COMPLAINTS**

Written complaints related to NHCD's programs and activities funded through entitlement grant funding may be directed to the Neighborhood Housing and Community Development (NHCD) Office. A timely, written, and substantive response to the complainant will be prepared with 15 working days of receipt of the complaint by NHCD. If a response cannot be prepared within the 15-day period, the complainant will be notified of the approximate date a response will be provided. Written complaints must include complainant's name, address, and zip code. A daytime telephone number should also be included in the event further information or clarification is needed. Complaints should be addressed as follows:

Neighborhood Housing and Community Development Department  
Attn: Director  
City of Austin  
P.O. Box 1088  
Austin, Texas 78767

If the response is not sufficient, an appeal may be directed to the City Manager, and a written response will be provided within 30 days. An appeal should be addressed as follows:

City Manager's Office  
Attn: City Manager  
P.O. Box 1088  
Austin, Texas 78767

**G. CITY OF AUSTIN'S RESIDENTIAL ANTI-DISPLACEMENT AND RELOCATION ASSISTANCE PLAN**

The City of Austin does not anticipate any displacement to occur as a result of any HUD funded activities. All programs will be carried out in such a manner as to safeguard that no displacement occurs. However, in the event that a project involving displacement is mandated in order to address a concern for the general public's health and welfare, the City of Austin will take the following steps:

1. A public hearing will be held to allow interested community members an opportunity to comment on the proposed project and voice any concerns regarding possible relocation. Notice of the public hearing/meeting will be made as per the procedure noted in Section E - General Requirements section of the Citizen Participation Plan.
2. In the event that a project involving displacement is pursued, the City of Austin will contact each person/household/business in the project area and/or hold public meetings, depending on the project size; inform persons of the project and their rights under the Uniform Relocation

Assistance and Real Property Acquisition Policies Act of 1970, as amended, and respond to any questions or concerns.

3. Relocation assistance will be provided in adherence with the City's Project Relocation Plan and the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended.

The City's Anti-Displacement and Relocation Assistance Plan may be viewed in NHCD's Action Plan submitted annually to HUD. The document is available online at [www.austintexas.gov/housing](http://www.austintexas.gov/housing); NHCD, 1000 E. 11<sup>th</sup> Street, Austin, Texas 78702.

*The City of Austin is committed to compliance with the Americans with Disabilities Act. Reasonable modifications and equal access to communications will be provided upon request. For assistance please call 974-2210 OR 974-2445 TDD.*



**City of Austin**  
**Neighborhood Housing and Community Development Office Notice**  
**of 15-Day Public Comment Period**

The City of Austin Neighborhood Housing and Community Development (NHCD) Department announces a 15-day public comment period to receive public comments on its Draft FY 2017-18 Consolidated Performance and Evaluation Report (CAPER). NHCD receives the following grant entitlement funds annually from the U.S. Department of Housing and Urban Development (HUD) for affordable housing, community development, economic development and public services: Community Development Block Grant (CDBG), HOME Investment Partnership Program, Emergency Solutions Grant (ESG), and Housing Opportunities for Persons with AIDS (HOPWA).

Participating cities are required to develop a Consolidated Annual Performance and Evaluation Report (CAPER). The CAPER assesses the city's progress and the effectiveness of its performance during FY 2017-18, in the achievement of its overall strategies, objectives, and priorities outlined in the FY 2014-19 Consolidated Plan. The Draft FY 2017-18 CAPER will be available for review during the public comment period. The city will submit this report to HUD prior to December 28, 2018.

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**Public Comment Period**

The public is invited to review the Draft FY 2017-18 CAPER during the public comment period, November 21 through December 7, 2018. The document will be available on NHCD's web site [www.austintexas.gov/caper](http://www.austintexas.gov/caper) and at the following community locations:

- Austin Central Public Library, 710 W. Cesar Chavez Street (Central)
- Austin Resource Center for the Homeless (ARCH), 500 East 7<sup>th</sup> Street (Central)
- East Austin Neighborhood Center, 211 Comal Street (East)
- Neighborhood Housing and Community Development, 1000 East 11<sup>th</sup> Street, Suite 200 (East)
- Rosewood-Zaragosa Neighborhood Center, 2800 Webberville Road (East)
- St. John's Neighborhood Center, 7500 Blessing Avenue (North East)
- AIDS Services of Austin, 7215 Cameron Road (North)
- Housing Authority of the City of Austin, 1124 S IH 35 (South)
- South Austin Neighborhood Center, 2508 Durwood Street (South)
- Pleasant Hill Library Branch, 211 East William Cannon Drive (South)

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**Written Comments**

Written comments may be submitted until 5 PM on December 7, 2018. Please include a name, address, and phone number.

**Mail to:**

Neighborhood Housing and Community Development Office

Attn: FY 2017-18 CAPER

P.O. Box 1088

Austin, Texas 78767

Email: [NHCD@austintexas.gov](mailto:NHCD@austintexas.gov)

For additional information, call the NHCD Office at 512-974-3100 (voice).

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*The City of Austin is committed to compliance with the Americans with Disabilities Act. Reasonable modifications and equal access to communications will be provided upon request. For assistance please call 512-974-3100; TTY users route through Relay Texas at 711.*



**Ciudad de Austin**  
**Departamento de Vivienda y Desarrollo Comunitario**  
**Aviso de un Período de 15 Días de Comentario Público**

El Departamento de Vivienda y Desarrollo Comunitario de la Ciudad de Austin (NHCD) anuncia la apertura de un período de 15 días para recibir comentarios públicos sobre el borrador de su Reporte Anual Consolidado sobre la Ejecución y Evaluación (CAPER) en relación al año fiscal 2017-18. NHCD recibe los siguientes fondos anualmente del Departamento de Vivienda y Desarrollo Urbano de los E.E.U.U. (HUD) para proveer viviendas económicas, desarrollo comunitario, desarrollo económico y servicios públicos: Subsidio Definido de Desarrollo Comunitario (CDBG), Programa de Inversión Asociada (HOME), Fondos para Soluciones de Emergencia (ESG), y Programa de Vivienda para Personas con Sida (HOPWA).

HUD requiere que las ciudades que reciben fondos preparen un CAPER donde evalúen el progreso de la ciudad y la efectividad de sus operaciones durante el año fiscal 2017-18 en la realización de sus estrategias, objetivos y prioridades establecidas en el Plan Consolidado de los años fiscales 2014-19. La ciudad deberá de someter el reporte a HUD para el 29 de diciembre del 2018.

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**Para Ver el Reporte**

Se invita al público a revisar los dos reportes desde el 21 de noviembre al 7 de diciembre del 2018 en los siguientes lugares: en el sitio web de la ciudad, [www.austintexas.gov/caper](http://www.austintexas.gov/caper) o en los siguientes lugares:

- Austin Central Public Library, 710 W. Cesar Chavez St. (Central)
- Austin Resource Center for the Homeless (ARCH), 500 East 7th Street (Central)
- East Austin Neighborhood Center, 211 Comal Street (Este)
- Neighborhood Housing and Community Development Department, 1000 East 11th Street, Suite 200 (Este)
- Rosewood-Zaragoza Neighborhood Center, 2800 Webberville Road (Este)
- St. John's Neighborhood Center, 7500 Blessing Avenue (Noreste)
- AIDS Services of Austin, 7215 Cameron Road (Norte)
- Housing Authority of the City of Austin, 1124 S IH 35 (Sur)
- South Austin Neighborhood Center, 2508 Durwood Street (Sur)
- Pleasant Hill Library Branch, 211 East William Cannon Drive (Sur)

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**Comentarios Escritos**

Comentarios escritos puede ser enviado hasta las 5 pm el 7 de diciembre del 2018. Por favor incluya su nombre, dirección, y número de teléfono.

Neighborhood Housing and Community Development Office Attn: FY 2017-18 CAPER  
PO Box 1088  
Austin, Texas 78767  
Email: [NHCD@austintexas.gov](mailto:NHCD@austintexas.gov)

Para información adicional, llame a la oficina de NHCD al 512-974-3100 (voz).

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*La Ciudad de Austin se compromete a cumplir con el Acta de Americanos con Discapacidades. Modificaciones razonables e igual acceso a comunicaciones disponibles bajo petición. Para asistencia, por favor llame al 512-974-3100 O 711 TTY.*



## CITY OF AUSTIN

FOR IMMEDIATE RELEASE

Release Date: Oct. 19, 2018

Contact: Jeff Patterson 5129743172 Email

### DRAFT FY 2017-18 CAPER AVAILABLE FOR COMMUNITY REVIEW NOVEMBER 21

#### *Report Documents City's Progress and Effectiveness in Implementing HUD Grant Funding*

The City of Austin Neighborhood Housing and Community Development (NHCD) Department announces a 15-day public comment period to receive public comments on its Draft FY 2017-18 Consolidated Annual Performance and Evaluation Report (CAPER). NHCD receives the following grant entitlement funds annually from the U.S. Department of Housing and Urban Development (HUD) for affordable housing, community development, economic development and public services: Community Development Block Grant (CDBG), HOME Investment Partnership Program, Emergency Solutions Grant (ESG), and Housing Opportunities for Persons with AIDS (HOPWA).

Cities are required to develop a Consolidated Annual Performance and Evaluation Report (CAPER). The CAPER assesses the city's progress and the effectiveness of its performance during FY 2017-18, in the achievement of its overall strategies, objectives, and priorities outlined in the FY 2014-19 Consolidated Plan. The Draft FY 2017-18 CAPER will be available for review during the public comment period. The city will submit this report to HUD prior to December 29, 2018.

#### **Public Comment Period**

The public is invited to review the Draft FY 2017-18 CAPER during the public comment period, November 21 through December 7, 2018. The document will be available on NHCD's website [www.austintexas.gov/caper](http://www.austintexas.gov/caper) and at the following community locations:

- Austin Central Public Library, 710 W. Cesar Chavez Street (Central)
- Austin Resource Center for the Homeless (ARCH), 500 East 7th Street (Central)
- East Austin Neighborhood Center, 211 Comal Street (East)
- Neighborhood Housing and Community Development, 1000 East 11th Street, Suite 200 (East)
- Rosewood-Zaragosa Neighborhood Center, 2800 Webberville Road (East)
- St. John's Neighborhood Center, 7500 Blessing Avenue (Northeast)
- AIDS Services of Austin, 7215 Cameron Road (North)
- Housing Authority of the City of Austin, 1124 S IH 35 (South)
- South Austin Neighborhood Center, 2508 Durwood Street (South)
- Pleasant Hill Library Branch, 211 East William Cannon Drive (South)

#### **Written Comments**

Written comments may be submitted until 5 pm on December 7, 2018. Please include a name, address, and phone number.

#### **Mail to:**

Neighborhood Housing and Community Development Office  
Attn: FY 2017-18 CAPER  
P.O. Box 1088  
Austin, Texas 78767

Email: [NHCD@austintexas.gov](mailto:NHCD@austintexas.gov)

For additional information, call the NHCD Office at 512-974-3100 (voice).

Share   

Communications and Public Information Office 301 W. 2nd Street, Austin, TX 78701

## CITY OF AUSTIN CAPER COMMENTS 2017-2018

Stuart Harry Hersh [REDACTED] [\[REDACTED\].com](#)

This was the first fiscal year when Action Plan goals were established after the adoption of the Housing Blueprint by the Austin City Council. Three major goals are:

1. 135,000 net new housing units over 10 years
2. 60,000 net affordable housing units over 10 years
3. 600 low income owner-occupied homes repaired each for 10 years

The federal investment has many goals established in the Action Plan that were not achieved in FY 2017-2018. In addition, we need the following information to be more likely to achieve Housing Blueprint goals in the 2018-2019 fiscal year:

1. Total number of market rate and affordable housing units completed and retained in the University Neighborhood Overlay
2. Total number of market rate and affordable housing units completed and retained in the former Mueller Airport site
3. Total number of affordable housing units promised and created at The Triangle, Green, and Seaholm sites.
4. Total number of home repairs funded through the GO Bond Repair Program.
5. Adjusted goals for new owner-occupied and rental housing based on Texas Legislature rejection of source of income and linkage fee policy initiatives.
6. Adjusted goals for new owner-occupied and rental housing due to pause in decision making process for Land Development Code amendments.

This information also impacts anti-displacement recommended strategies from the Task Force. Stakeholders have offered ideas for removing impediments to S.M.A.R.T. Housing, a policy adopted by the City Council on 4/20/2000, and these ideas are still under consideration.



From: [REDACTED] com  
To: "NHCD@austintexas.gov"  
Cc:  
Sent: Thursday November 29 2018 1:31:34PM  
Subject: City of Austin CAPER Comments

Here are my comments on the 2017-2018 Draft CAPER.

### Staff Response

**From:** NHCD  
**Sent:** Thursday, November 29, 2018 2:15 PM  
**To:** Sommers, Angela <Angela.Sommers@austintexas.gov>; Leak, Erica <Erica.Leak@austintexas.gov>; Tomko, Jonathan <Jonathan.Tomko@austintexas.gov>  
**Subject:** FYI: Response to CAPER Comments

FYI:

Thank you for your comments submitted during the public comment period for the [Draft FY 2017-18 Consolidated Performance and Evaluation Report](#) (CAPER). NHCD receives grant entitlement funds annually from the U.S. Department of Housing and Urban Development (HUD) for affordable housing, community development, economic development and public services.

As part of the grant process, each year the City develops the CAPER to assess the effectiveness of its performance and its achievement of its overall strategies, objectives, and priorities outlined in the FY 2014-19 Consolidated Plan.

Because all written comments are included in the appendices, **your comments about the CAPER will be included as part of the public record.** Austin City Council, as well as the general public, will have access to those comments and feedback about affordable housing, community development, economic development, and public services. If you do not want to have your identity or your comments disclosed as part of the CAPER, please notify Neighborhood Housing and Community Development at [NHCD@austintexas.gov](mailto:NHCD@austintexas.gov) or by phone at 512-974-3172.





**ECHO Board of Directors**

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Community Member

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TIM LEAGUE  
JUDY MAGGIO

**EXECUTIVE DIRECTOR**

Ann Howard  
512-963-7630  
[annhoward@austinecho.org](mailto:annhoward@austinecho.org)

300 E. Highland Mall Blvd,  
Ste 200 Austin, TX 78752

The City of Austin  
Neighborhood Housing and Community Development Office  
Attn: FY 2017-18 CAPER  
P.O. Box 1088  
Austin, Texas 78767

December 7, 2018

Re: Public Comment Period Draft FY 17-18 CAPER

To the City of Austin,

This letter is to provide public comment on the Draft FY 17-18 CAPER. We also provided direct feedback to NHCD staff regarding the narrative sections of the CAPER and appreciate the ability to help the City report on the community's efforts to end homelessness.

We commend the City of Austin for their continued commitment to provide funding for housing and services programs that serve people experiencing homelessness. However, with limited resources we encourage the City to continue to evaluate programs that are underperforming to ensure that our dollars can have the most impact.

We encourage the City to be more solution focused when it comes to not meeting the performance goal of the ESG funded Rapid Re-housing program. The City could determine what barriers caused the community to serve significantly under program goals and redesign the program to meet those needs. The City could look to national best practices for guidance. In addition, all City funded Permanent Housing programs that serve persons experiencing homelessness should comply with Coordinated Entry regardless of the funding source, but especially for all HUD funds.

Twenty four percent of RHDA units going to households at or below of 30% of MFI is an accomplishment, however, we encourage the City to also start tracking how much of RHDA funds build units for households experiencing homelessness, referred to as Continuum of Care (CoC) units (including Permanent Supportive Housing and Rapid Re-housing programs). These households struggle to be approved for leases and the City can lead on reducing barriers. Especially with the addition of G.O. Bonds, the City should make a commitment to not only prioritize the creation of CoC units, but track the creation through annual reporting to the public.

Sincerely,

Ann Howard  
Executive Director

**Attachment 5a: City of Austin Monitoring Plan**

## MONITORING PLAN

The goal of the City of Austin's monitoring process is to assess subrecipient/contractor performance in the areas of program, financial and administrative compliance with applicable federal, state and municipal regulations and current program guidelines. Under this plan, select programs and project activities are monitored through one or more of the following components. The City of Austin's monitoring plan consists of active contract monitoring and long-term monitoring for closed projects.

### **Active Contract Monitoring**

Prior to executing any agreement or obligation, monitoring takes the form of a compliance review. Verification is obtained to ensure that the proposed activity to be funded has received the proper authorization through venues such as the annual Action Plan, environmental review and fund release, and identification in the Integrated Disbursement & Information System (IDIS). A contract begins with written program guidelines, documentation and tracking mechanisms that will be used to demonstrate compliance with applicable federal, state and local requirements.

For activities implemented through external programs or third-party contracts with non-profit, for-profit and community-based organizations, a solicitation may be required in the form of a comprehensive Notice of Fund Availability (NOFA) or Request for Proposals (RFP) which details performance, financial and regulatory responsibilities.

**1. Compliance Review prior to obligation of funds.** Prior to entering into any agreement or to the obligation of entitlement funds, the City conducts a compliance review to verify that the program activity has been duly authorized. The compliance review consists of verifying and documenting:

- The program activity has been approved as part of the Action Plan for the specified funding source and year;
- The availability of applicable funds for the specific activity;
- The activity has received environmental review and determination and fund release, as applicable;
- The service provider is not listed in the System for Award Management (SAM);
- The activity has been set up and identified in IDIS;
- The scope of work defined in the contract has adequately addressed performance, financial and tracking responsibilities necessary to report and document accomplishments; and
- The service provider has the required insurance in place.

After this information has been verified and documented, staff may proceed in obtaining authorization and utilization of entitlement funds for the activity.

**2. Desk Review.** Before processing an invoice for payment, staff reviews the invoice to verify that the item or service is an eligible expense and it is part of the contract budget. Staff also reviews performance reports and supporting documentation submitted with the invoice to ensure that the contractor is performing in accordance with the terms of the contract and the scope of work. This level of monitoring is performed on an ongoing basis throughout the duration of the contract.

- 3. Records Audit.** A records audit includes a review of all file documents as needed. A file checklist is used to determine if the required documents are present. Through the review of performance reports and other documentation submitted by the contractor, staff is able to identify areas of concern and facilitate corrections and/or improvements. Should problems be identified, a contractor or recipient of funds may then be provided technical assistance as necessary to reach a resolution.
- 4. Selected On-Site Monitoring.** A risk assessment is conducted internally and is used to determine the priority of site reviews to be conducted. Based on the results of the risk assessment, a selected number of projects may be subject to an on-site review. The performance of contractors is reviewed for compliance with the program guidelines and the terms and conditions of the contract. In particular, staff verifies program administration and regulatory compliance in the following areas:
  - Performance (*e.g.* meeting a national objective, conducting eligible activities, achieving contract objectives, performing scope of work activities, maintaining contract schedule, abiding by the contract budget);
  - Record keeping;
  - Reporting practices; and
  - Compliance with applicable anti-discrimination regulations.

There will be follow-up, as necessary, to verify regulatory and program administration compliance has been achieved.

- 5. Failure to resolve identified problems.** If no resolution of identified problems occurs or the contractor fails to perform in accordance with the terms and conditions of the contract, the City of Austin has the authority to suspend further payments to the contractor or recipient of funds until such time that issues have been satisfactorily resolved.
- 6. Contract Closeout.** Once a project activity has been completed and all eligible project funds expended, the staff will require the contractor to submit a project closeout package. The contract closeout will provide documentation to confirm whether the contractor was successful in completing all performance and financial objectives of the contract. Staff will review and ask the contractor, if necessary, to reconcile any conflicting information previously submitted. The project closeout will constitute the final report for the project. Successful completion of a project means that all project activities, requirements, and responsibilities of the contractor have been adequately addressed and completed.

### **Long-term Monitoring**

Acceptance of funds from Neighborhood Housing and Community Development (NHCD) Office of the City of Austin, or its sub-recipient Austin Housing Finance Corporation (AHFC) obligates beneficiaries/borrowers to adhere to conditions for the term of the affordability period. NHCD is responsible for the compliance oversight and enforcement of long- or extended-term projects and financial obligations created through City- sponsored or -funded housing and community development projects. In this capacity, NHCD performs the following long-term monitoring duties:

- Performs compliance monitoring in accordance with regulatory requirements specified in the agreement;
- Reviews and verifies required information and documentation submitted by borrowers for compliance with applicable legal obligations and/or regulatory requirements;
- Enforces and takes corrective action with nonperforming loans and/or projects deemed to be out of compliance in accordance with legal and/or regulatory terms and conditions; and
- If the beneficiary has been uncooperative, non-responsive, or unwilling to cure the existing default by all reasonable means, staff will discuss with management and will refer the loan to the City Attorney for review, with a recommendation for judgment and/or foreclosure.

The first step in the monitoring process includes the development of a risk assessment that is essential in guiding the monitoring efforts of the department. Based on the results of the risk assessment, additional projects may be monitored. Monitoring may be in the form of a desk review, on-site visit, or Uniform Physical Conditions Standards (UPCS) inspection. Technical assistance is available to assist beneficiaries/ borrowers in understanding any aspect of the contractual obligation so that performance goals are met with minimal deficiencies.

**Attachment 5b:** HOME Inspection Summary by Project

Monitoring Completed	Physical Inspection Completed	NAME	# Units Total	# Units to Inspect	# Units Passed to Date	# Files Reviewed	Project Address
✓	✓	Lyons Gardens	53	5	5	5	2720 Lyons Road
✓	✓	Skyline Terrace	100	10	10	10	1212 W Ben White Blvd
✓	✓	Capital Studios	135	14	14	14	309 E .11th Street
✓	✓	Bluebonnet Studios	107	11	11	11	2301 S. Lamar
✓	✓	Lakeline Station	128	13	13	13	13635 Rutledge Spur
✓	✓	Live Oak Trails	58	6	6	6	8500 U.S. Highway 71 West
✓	✓	Lucero Apartments	173	17	In Progress	17	2324 Wilson Street
✓	✓	Cardinal Point Apartments	120	12	12	12	11015 Four Points Dr.
✓	✓	Wildflower Terrace	171	17	17	17	2604 Aldrich Street
✓	✓	Aldrich 51	65	7	7	7	3801 Berkman Drive
			<b>1110</b>	<b>112</b>	<b>95</b>	<b>112</b>	

**Attachment 5c: HOME Match Report**



## HOME Match Report

U.S. Department of Housing and Urban Development  
Office of Community Planning and DevelopmentOMB Approval No. 2506-0171  
(exp. 12/31/2012)

<b>Part I Participant Identification</b>			<b>Match Contributions for Federal Fiscal Year Ending 09/30/2018</b>	
1. Participant No. (assigned by HUD) 480264		2. Name of the Participating Jurisdiction City of Austin		3. Name of Contact (person completing this report) Nora Richardson
5. Street Address of the Participating Jurisdiction 1000 East 11th Street, Suite 300				4. Contact's Phone Number (include area code) 512-974-3138
6. City Austin		7. State TX	8. Zip Code 78702	

**Part II Fiscal Year Summary**

1. Excess match from prior Federal fiscal year	\$ 32,782,206.75	
2. Match contributed during current Federal fiscal year (see Part III.9.)	\$ 17,042,572.84	
3. Total match available for current Federal fiscal year (line 1 + line 2)		\$ 49,824,779.59
4. Match liability for current Federal fiscal year		\$ 680,129.56
5. Excess match carried over to next Federal fiscal year (line 3 minus line 4)		\$ 49,144,650.03

**Part III Match Contribution for the Federal Fiscal Year**

1. Project No. or Other ID	2. Date of Contribution (mm/dd/yyyy)	3. Cash (non-Federal sources)	4. Foregone Taxes, Fees, Charges	5. Appraised Land/ Real Property	6. Required Infrastructure	7. Site Preparation, Construction Materials, Donated labor	8. Bond Financing	9. Total Match
Prior Year MF Bonds	10/30/2014						\$ 3,994,618.55	\$ 170,032.39
NON-2018-01 Aria Grand Apartments	12/29/2017	1,500,000.07						\$ 1,500,000.07
NON-2018-02 Elysium Park	8/1/2018	2,694,867.17						\$ 2,694,867.17
NON-2018-03 Garden Terrace Phase 3	4/6/2018	504,201.71						\$ 504,201.71
NON-2018-04 Habitat Scenic Point	8/14/2018	413,567.99						\$ 413,567.99
NON-2018-05 Housing First Oak Springs	8/29/2018	2,559,903.51						\$ 2,559,903.51
NON-2018-06 The Jordan Apartments	9/7/2018	4,000,000.00						\$ 4,000,000.00
NON-2018-07 The Nightingale	5/23/2018	2,000,000.00						\$ 2,000,000.00
NON-2018-08 Waterloo Terrace	8/17/2018	3,200,000.00						\$ 3,200,000.00

**Attachment 5d: Annual Section 3 Report**



## Section 3 Summary Report

Economic Opportunities for Low- and Very Low-Income Persons

**U.S. Department of Housing and Urban Development**

Office of Fair Housing and Equal Opportunity

OMB Approval No. 2529-0043  
(exp. 11/30/2018)

Disbursement Agency
CITY OF AUSTIN HUMAN RES EEFHO
PO BOX 1088, AUSTIN, TX 78767
74-6000085

Reporting Entity
CITY OF AUSTIN HUMAN RES EEFHO
PO BOX 1088, AUSTIN, TX 78767

Dollar Amount:	\$668,428.76
Contact Person:	Jenny Wang
Date Report Submitted:	11/19/2018

Reporting Period		Program Area Code	Program Area Name
From	To		
10/1/17	9/30/18	EMRG	Emergency Shelter Grants

**The expenditure of these funds did not result in any covered contracts or new hires during the 12-month period selected.**



## Section 3 Summary Report

Economic Opportunities for Low- and Very Low-Income Persons

**U.S. Department of Housing and Urban Development**

Office of Fair Housing and Equal Opportunity

OMB Approval No. 2529-0043  
(exp. 11/30/2018)

Disbursement Agency
CITY OF AUSTIN HUMAN RES EEFHO
PO BOX 1088, AUSTIN, TX 78767
74-6000085

Reporting Entity
CITY OF AUSTIN HUMAN RES EEFHO
PO BOX 1088, AUSTIN, TX 78767

Dollar Amount	\$6,865,091.35
Contact Person	Jenny Wang
Date Report Submitted	10/10/2018

Reporting Period		Program Area Code	Program Area Name
From	To		
10/1/17	9/30/18	CDB1	Community Devel Block Grants

## Part I: Employment and Training

Job Category	Number of New Hires	Number of New Hires that Are Sec. 3 Residents	Aggregate Number of Staff Hours Worked	Total Staff Hours for Section 3 Employees	Number of Section 3 Trainees
AC Mechanic	0	0	0	0	2
Carpentry	0	0	0	0	28
Masonry	0	0	0	0	2
Floor Layer	0	0	0	0	2
Insulator	0	0	0	0	1
Iron Worker	0	0	0	0	1
Laborer	0	0	0	0	8
Painter	0	0	0	0	2
Plumbing	0	0	0	0	1

<b>Total New Hires</b>	0
<b>Section 3 New Hires</b>	0
<b>Percent Section 3 New Hires</b>	N/A
Total Section 3 Trainees	47
The minimum numerical goal for Section 3 new hires is 30%.	

## Part II: Contracts Awarded

Construction Contracts	
Total dollar amount of construction contracts awarded	\$1,605,855.46
Total dollar amount of contracts awarded to Section 3 businesses	\$1,605,855.46
Percentage of the total dollar amount that was awarded to Section 3 businesses	100.0%
Total number of Section 3 businesses receiving construction contracts	10
The minimum numerical goal for Section 3 construction opportunities is 10%.	

Non-Construction Contracts	
Total dollar amount of all non-construction contracts awarded	\$0.00
Total dollar amount of non-construction contracts awarded to Section 3 businesses	\$0.00
Percentage of the total dollar amount that was awarded to Section 3 businesses	N/A
Total number of Section 3 businesses receiving non-construction contracts	0
The minimum numerical goal for Section 3 non-construction opportunities is 3%.	

### Part III: Summary

Indicate the efforts made to direct the employment and other economic opportunities generated by HUD financial assistance for housing and community development programs, to the greatest extent feasible, toward low- and very low-income persons, particularly those who are recipients of government assistance for housing.

Yes	Attempted to recruit low-income residents through: local advertising media, signs prominently displayed at the project site, contacts with community organizations and public or private agencies operating within the metropolitan area (or nonmetropolitan county) in which the Section 3 covered program or project is located, or similar methods.
No	Participated in a HUD program or other program which promotes the training or employment of Section 3 residents.
No	Participated in a HUD program or other program which promotes the award of contracts to business concerns which meet the definition of Section 3 business concerns.
No	Coordinated with Youthbuild Programs administered in the metropolitan area in which the Section 3 covered project is located.
No	Other; describe below.

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## Section 3 Summary Report

Economic Opportunities for Low- and Very Low-Income Persons

**U.S. Department of Housing and Urban Development**

Office of Fair Housing and Equal Opportunity

OMB Approval No. 2529-0043  
(exp. 11/30/2018)

Disbursement Agency
CITY OF AUSTIN HUMAN RES EEFHO
PO BOX 1088, AUSTIN, TX 78767
74-6000085

Reporting Entity
CITY OF AUSTIN HUMAN RES EEFHO
PO BOX 1088, AUSTIN, TX 78767

Dollar Amount:	\$1,438,257.40
Contact Person:	Jenny Wang
Date Report Submitted:	11/19/2018

Reporting Period		Program Area Code	Program Area Name
From	To		
10/1/17	9/30/18	HPWA	Hsg Opport for Persons with AIDS

**The expenditure of these funds did not result in any covered contracts or new hires during the 12-month period selected.**





## Section 3 Summary Report

Economic Opportunities for Low- and Very Low-Income Persons

**U.S. Department of Housing and Urban Development**

Office of Fair Housing and Equal Opportunity

OMB Approval No. 2529-0043  
(exp. 11/30/2018)

Disbursement Agency
CITY OF AUSTIN HUMAN RES EEFHO
PO BOX 1088, AUSTIN, TX 78767
74-6000085

Reporting Entity
CITY OF AUSTIN HUMAN RES EEFHO
PO BOX 1088, AUSTIN, TX 78767

Dollar Amount:	\$2,773,347.94
Contact Person:	Jenny Wang
Date Report Submitted:	11/19/2018

Reporting Period		Program Area Code	Program Area Name
From	To		
10/1/17	9/30/18	HOME	HOME Program

**The expenditure of these funds did not result in any covered contracts or new hires during the 12-month period selected.**

**Attachment 5e:** Section 108 Project Level Data

FY 2017-18 Section 108 Project Level Data

Activity Fiscal Year	Grantee Name	ST	Section 108 Project Number	Project Name	Address	ZipCode	Census Tract	EDI or BEDI Grant Number (if applicable)	108 Loan Amount	108 Amount Advanced	108 Project Amount (for multiple projects)	EDI or BEDI Grant Amount	Other CDBG	Total CDBG Assistance	Have EDI or BEDI funds been drawn (Y/N)	National Objective Code	IDIS Matri x Code	Is Activity Comple te? (Y/N)	Has N.O. Been Met? (Y/N)	Presumed Low/ Mod Benefit (P) or Rev. Strategy Area (RSA)	FTE Jobs Est. in 108 Appl.	FTE Jobs Created or Retained in FY17-18	Total FTE Jobs Created or Retained	Number of FTE Jobs Held by/ Made Avail. to Low/ Mod	Total Housing Units Assisted	Number of Units Occpd. by Low/ Mod Households	Slum/Bli ght Area Y=Yes
→A4:Y123	Rosa Santis	TX	1	Shady Lane Enterprises	1103 East 6th Street	78702	9.02	n/a	\$ 150,000.00	\$ 150,000.00		0	0	\$ 150,000.00		570.208(a)(4)(i)(iv)	18A	Y	Y	P	12	1	12.5	12.5	0	0	n/a
13-14	Eastern Diner, LLC, Stephen and Lauren Shallcross	TX	2	Sawyer and Co.	4827 E Cesar Chavez	78702	21.11	n/a	\$ 519,000.00	\$ 519,000.00		0	0	\$ 519,000.00		570.208(a)(4)(i)(iv)	18A	Y	Y	P	19	13	38.5	38.5	0	0	n/a
13-14	Sweet Victoria LLC, Tram Le	TX	3	Eat Ban Mi	1007 S Congress Ave.	78704	14.01	n/a	\$ 35,000.00	\$ 35,000.00		0	0	\$ 35,000.00		570.201(o) (1)	18C	Y	Y	570.208(a)(2)iii	4	0	1	1	0	0	n/a
13-14	11E5 LLC, Richard Kooris and Denise McDaniel	TX	4	Fair Market	1100 East 5th Street	78702	9.02	n/a	\$ 333,829.00	\$ 333,829.00		0	0	\$ 333,829.00		570.208(a)(4)(i)(iv)	18A	Y	N	P	14	5	14	11	0	0	n/a
13-14	Eastside Music School LLC, Alex Ballentine	TX	5	Eastside Music School	501 N IH 35	78702	9.02	n/a	\$ 30,000.00	\$ 30,000.00		0	0	\$ 30,000.00		570.208(a)(4)(i)(iv)	18C	Y	N	P	2	3	3	3	0	0	n/a
14-15	1707 Airport Commerce LLC- Amit Patel, Nick Bhakta	TX	6	Home 2 Suites	1707 Airport Commerce Drive	78741	23.12	n/a	\$ 402,000.00	\$ 402,000.00		0	0	\$ 402,000.00		570.208(a)(4)(i)(iv)	18A	Y	N	P	15	8	14	14	0	0	n/a
14-15	Jose Luis Salon Inc.- Jose Buitron	TX	7	Jose Luis Salon	1100 South Lamar	78704	13.03	n/a	\$ 523,727.00	\$ 523,727.00		0	0	\$ 523,727.00		570.208(a)(4)(i)(iv)	18A	Y	Y	570.208(a)(4)i	16	2	16	12	0	0	n/a
14-15	Rosa Santis	TX	8	Austin Fit Centro	4901 East Cesar Chavez	78702	21.11	n/a	\$ 800,000.00	\$ 800,000.00		0	0	\$ 800,000.00		570.208(a)(4)(i)(iv)	18A	N	N	P	24	5	18	0	0	0	n/a
15-16	Hip Haven, Inc	TX	9	Hip Haven	3910-C Warehouse Row	78704	20.03	N/a	\$ 35,000.00	\$ 35,000.00		0	0	\$ 35,000.00		570.201(o) (1)	18C	Y	Y	P	1	0	3	2	0	0	n/a
15-16	Bee Sweet	TX	10	Bee Sweet	900 JUANITA ST	78704	13.07	n/a	\$ 35,000.00	\$ 35,000.00		0	0	\$ 35,000.00		570.201(o) (1)	18C	Y	Y	P	1	2	1	1	0	0	n/a
15-16	IK Osorio Investment	TX	11	Lima Criolla	6406 N Interstate 35 Frontage Rd #1550	78752	15.03	n/a	\$ 35,000.00	\$ 35,000.00		0	0	\$ 35,000.00		570.201(o) (1)	18C	Y	Y	P	2	2	5.5	5.5	0	0	n/a
15-16	Caffine Dealer, LLC	TX	12	Bouldin Creek Café	1900 S 1st St	78704	13.05	n/a	\$ 220,050.00	\$ 220,050.00		0	0	\$ 220,050.00		570.208(a)(4)(i)(iv)	18A	Y	N	P	8	6	30	30	0	0	n/a
15-16	Mort Subite, LLC	TX	13	Mort Subite	308 Congress Ave	78704	13.05	n/a	\$ 154,200.00	\$ 154,200.00		0	0	\$ 154,200.00		570.208(a)(4)(i)(iv)	18A	N	N	570.209(b)	15	7	4.5	4.5	0	0	n/a
15-16	Garbo Salon, Inc.	TX	14	Garbo Salon	1330 Shore District Dr. Suite 300	78741	23.04	n/a	\$ 139,000.00	\$ 139,000.00		0	0	\$ 139,000.00		570.208(a)(4)(i)(iv)	18A	Y	N	570.209(b)	5	3	6.5	6.5	0	0	n/a
16-17	Jose Luis Domain	TX	15	Jose Luis Domain	3100 Esperanza Crossing	78758	18.49	N/a	\$477,760	\$ 477,760.00		o	o	\$ 477,760.00		570.208(a)(4)(i)(iv)	18A	N	N	570.209(b)	17	0	16.5	15.5	0	0	n/a
17-18	Asazu LLC- Kome Sushi.	TX	16	Asazu LLC- Kome	5301 Airport Blvd	78751	21.05	n/a	\$ 200,000.00	\$ 200,000.00		0	0	\$ 200,000.00		570.208(a)(4)(i)(iv)	18A	N	N	P	8	33	33	33	0	0	n/a
17-18	Cykl Services ATX	TX	17	CKYL Services ATX, LLC	6609 Backbay	78739		n/a	\$ 35,000.00	\$ 35,000.00		0	0	\$ 35,000.00		570.208(a)(4)(i)(iv)	18C	N	N	570.209(b)	2	2	2	2	0	0	n/a
17-18	Medici Coffee	TX	18	The Renssance Project, Inc.	1023 Springdale Road Bld 1	78721	21.1	n/a	\$ 550,000.00	\$ 550,000.00		0	0	\$ 550,000.00		570.208(a)(4)(i)(iv)	18A	N	N	P	30	0	0	0	0	0	n/a
17-18	GH2M	TX	19	GH2M LLC	1110 Old Walsh Tarlton	78746		n/a	\$ 82,000.00	\$ 82,000.00		0	0	\$ 82,000.00		570.208(a)(4)(i)(iv)	18A	N	N	570.209(b)	3	0	0	0	0	0	n/a
FY 17-18 Totals									\$ 867,000.00	\$ 867,000.00			Total Program Loans	\$ 4,756,566.00							43	92	219.00	192.00			

**Attachment 5f:** Equal Employment/Fair Housing Office Supplement

## City of Austin Equal Employment/Fair Housing Office

The City of Austin Equal Employment & Fair Housing Office is the enforcement arm within the city limits of Austin. As a Fair Housing Assistance Program EEFHO is funded annually on a noncompetitive basis to enforce fair housing laws that HUD has determined to be substantially equivalent to the Federal Fair Housing Act. The following is the housing team's performance for FY 18 under the City of Austin Ordinance Chapter 5-1 Housing Discrimination. Fifty Housing Complaints were processed during FY 18 (Oct. 1, 2017-September 30, 2018).

No Cause to believe discrimination occurred	25
Conciliations with benefit to the Charging Party	21
Complaints withdrawn by complainant after resolution	03
Complaints withdraw without resolution	01
No jurisdiction	none
FHAP Judicial Consent Order	none
Complainant Failed to Cooperate	none
Charges filed/issued against Respondent	none
Reactivated by HUD	none

The City of Austin Equal Employment Fair Housing Office has participated in a number of outreach and training opportunities to affirmatively further fair housing in FY 17-18. However our biggest outreach event by far was the 2018 Fair Housing Summit on April 2-5, 2018. The City of Austin Equal Employment/Fair Housing Office and Neighborhood Housing and Community Development in partnership with the Texas Workforce Commission Civil Rights Division hosted the 2018 Fair Housing Summit in Austin, Texas honoring the legacy of President Lyndon B. Johnson's signing of the Fair Housing Act on April 11, 1968. The Summit included keynote presentations, and educational engagement by some of our country's most renowned fair housing experts. The Summit celebrated the impact of the Fair Housing Act over the past 50 years, took a hard look at barriers to fair housing that still remain, and shared best practices to affirmatively further fair housing.

Julián Castro the Dean's Distinguished Fellow and Fellow of the Dávila Chair in International Trade Policy at the LBJ School of Public Affairs was the Summit Keynote. Mr. Castro previously, served as the 16th United States Secretary of Housing and Urban Development (HUD) under President Barack Obama from 2014 to 2017 and Mayor of San Antonio from 2009 to 2014.

The Summit included timely topics such Case Investigations for Investigator, Re-entry and Criminal Background in Fair Housing, Disability Law and Fair Housing, Voices of Protected Classes and the latest case law updates to name a few.

The Summit was held at the Austin Convention Center located at 500 E. Cesar Chavez Austin, Texas 78701. Sixteen FHAP agencies from around the Country were in attendance resulting in over 500 attendees.

Fair Housing Complaints can be filed with the City of Austin Equal Employment/Fair Housing Office via our online complaint filing system at <https://www.austintexas.gov/department/housing-discrimination> . The Equal Employment & Fair Housing Office website also provide information about the city's Fair Housing Ordinance, Employment Ordinance, Fair Chance Hiring Ordinance, as well as relevant federal fair housing and employment laws.

**Attachment 5g: Summary Funding and Production Table**

City of Austin  
Neighborhood and Community Development Office  
FY 2017-18 Summary Funding and Production Table

AP-20 Item # - Program / AP-35 Item # - Activity	Funding	Action Plan		CAPER	
		New Funding	Estimated Services	Actual Expenditures	Services Provided
<b>1</b> Homeless and Special Needs					
<u>1</u> <u>Child Care Services</u>	CDBG	550,965	201	464,319	215
	HTF	-	-	-	-
	GF	-	-	37,810	17
Child Care Services		550,965	201	502,129	232
<u>2</u> <u>Senior Services</u>	CDBG	113,584	230	113,584	133
	HTF	-	-	-	-
	GF	-	-	15,200	18
Senior Services		113,584	230	128,784	151
<u>3</u> <u>Youth Support Services</u>	CDBG	172,664	133	172,664	138
	HTF	-	-	-	-
	GF	-	-	30,991	25
Youth Support Services		172,664	133	203,655	163
Public Service activities other than Low/Mod Income Housing Benefits		837,213	564	834,567	546
<u>4</u> <u>ARCH - ESG Shelter Operating and Maintenance</u> <u>Communicable Disease Unit - Rapid Re-Housing</u> <u>Program</u>	ESG	313,922	2,000	349,162	1,607
<u>Downtown Austin Court - Rapid Re-Housing Program</u>	ESG	-	-	54,325	18
<u>Homeless Management Information Systems</u>	ESG	-	-	128,995	32
<u>Front Steps - Rapid Re-Housing Program</u>	ESG	29,484	-	29,484	-
<u>Rapid Re-Housing Programs</u>	ESG	-	-	54,206	30
<u>ESG - Adm</u>	ESG	495,091	143	-	-
	ESG	47,790	-	52,257	-
<u>4</u> Total ESG		886,287	2,143	668,429	1,687
<u>5</u> <u>Permanent Housing Placement</u>	HOPWA	20,000	30	17,917	28
<u>6</u> <u>Short termed supported housing assistance</u>	HOPWA	104,294	95	96,520	95
<u>7</u> <u>Short-term rent mortgage and utilities</u>	HOPWA	111,282	70	108,528	93
<u>8</u> <u>Supportive Services</u>	HOPWA	144,336	48	145,352	50
<u>9</u> <u>Tenant-Based Rental Assistance</u>	HOPWA	529,250	73	545,017	58
<u>10</u> <u>Facility Based Transitional Housing</u>	HOPWA	192,200	48	188,594	40
<u>11</u> <u>HOPWA Housing Case Management</u>	HOPWA	156,678	-	162,174	-
<u>12</u> <u>HOPWA - Adm</u>	HOPWA	38,908	-	37,278	-
Total HOPWA		1,296,948	364	1,301,380	364
Total Homeless and Special Needs		3,020,448	3,071	2,804,376	2,597
<b>2</b> Renter Assistance					
<u>13</u> <u>Tenant-Based Rental Assistance</u>	HOME	510,300	75	241,571	37
	HOME-PI	-	-	264,788	40
<u>Tenant-Based Rental Assistance - Administration</u>	GF	-	-	52,444	-
		510,300	75	558,803	77
<u>15</u> <u>Architectural Barrier Program - Rental</u>	CDBG	185,000	10	199,771	6
<u>14</u> <u>Tenants' Rights Assistance</u>	CDBG	242,064	511	242,064	625
	HTF	-	-	-	-
	GF	-	-	-	-
Tenants' Rights Assistance		242,064	511	242,064	625
<u>Local Rental Assistance Program</u>	CIP	-	-	48,856	-

City of Austin  
Neighborhood and Community Development Office  
FY 2017-18 Summary Funding and Production Table

AP-20 Item # - Program / AP-35 Item # - Activity	Funding	Action Plan		CAPER	
		New Funding	Estimated Services	Actual Expenditures	Services Provided
	HTF	-	-	80,000	105
Total Renter Assistance		937,364	596	1,129,494	813
<b>3</b> Homebuyer Assistance					
<u>Down Payment Assistance</u>	HOME	625,000	15	383,466	9
	HOME-PI	220,000	-	584,412	13
<u>16</u> Total Homebuyer Assistance		845,000	15	967,878	22
<b>4</b> Homeowner Assistance					
<u>17</u> <u>ABR - Owner</u>	CDBG	1,325,000	81	1,189,324	66
<u>19</u> <u>Emergency Home Repair</u>	CDBG	1,000,000	320	848,244	195
<u>18</u> <u>HRLP</u>	CDBG-RL	75,000	1	70,560	1
	CDBG	716,346	7	894,720	7
HRLP - Subtotal CDBG		791,346	8	965,280	8
	HOME	434,520	6	(260,223)	-
HRLP - Subtotal HOME	HOME-PI	50,000	1	-	-
HRLP - Total		484,520	7	(260,223)	-
<u>LeadSmart Program</u>		1,275,866	15	705,057	8
<u>Technical Assistance Fund</u>	LEAD	-	-	-	-
<u>Holly Good Neighbor Program</u>	HTF	-	-	-	-
<u>Home Repair Program</u>	GF	-	-	133,383	
<u>GO Repair! Program</u>	CIP	-	-	186,458	7
Total GO Repair! Program	CIP	-	-	-	-
	GO Bonds	2,000,000	-	3,109,309	145
		2,000,000	-	3,109,309	145
Homeowner Assistance		5,600,866	416	6,171,776	421
<b>5</b> Housing Developer Assistance					
<u>20</u> <u>RHDA</u>	CDBG	714,327	3	10,865	124
	CDBG-PI	-	-	-	-
	CDBG-RL	-	-	-	-
RHDA - Subtotal CDBG		714,327	3	10,865	124
	HOME-CHDO	191,009	5	998,562	-
	HOME	192,504	-	545,931	21
	HOME-PI	50,000	1	822	-
RHDA - Subtotal HOME		433,513	6	1,545,315	21
	GO Bonds	6,062,500	-	15,182,568	149
	CIP	-	-	560,000	
	UNO	-	-	294,355	
	HTF	875,953	-	138,741	-
Total RHDA		8,086,293	9	17,731,844	294
<u>21</u> <u>A&amp;D</u>	CDBG	386,633	5	383,080	-
	CDBG-PI	-	-	-	-
	CDBG-RL	100,000	2	457,177	-
Total CDBG		486,633	7	840,257	-
	HOME	97,753	-	-	-
	HOME-CHDO	191,008	3	991,280	8



City of Austin  
Neighborhood and Community Development Office  
FY 2017-18 Summary Funding and Production Table

AP-20 Item # - Program / AP-35 Item # - Activity		Funding	Action Plan		CAPER	
			New Funding	Estimated Services	Actual Expenditures	Services Provided
	Total HOME	HOME-PI	-	-	67,026	-
			288,761	3	1,058,306	8
	Total A&D	GO Bonds CIP HTF	1,937,500	-	2,546,296	22
			-	-	481,223	-
			-	-	427,118	-
			2,712,894	10	5,353,200	30
22 CHDO Operating Expense Grants S.M.A.R.T. Housing™	HOME-CO GF	50,000 -	2 -	25,000 296,142	1	
Housing Developer Assistance			10,849,187	21	23,406,186	325
6	Small Business Assistance					
23	Microenterprise Technical Assistance	CDBG	200,000	31	-	-
		EDD	-		170,096	33
24	Community Development Bank	CDBG	150,000	6	150,000	6
25	Family Business Loan Program	Section 108 - PI	90,000	90	-	96
26	Neighborhood Commercial Management	CDBG-RL	40,000	-	-	-
Small Business Assistance			480,000	127	320,096	135
7	Neighborhood and Community Revitalization					
	Historic Preservation	CDBG	-	-	-	-
		CDBG-RL	-	-	-	-
		CDBG-PI	-	-	-	-
	Public Facilities	GF	-	-	20,843	-
	Parking Facilities	GF	-	-	11,880	-
	Neighborhood Opportunity Improvement Program	CDBG	-	-	-	-
27	Choice neighborhoods Implementation Grant	CDBG	-	-	-	-
Neighborhood and Community Revitalization			-	-	32,723	-
8	Financial Empowerment					
31	Individual Development Account Program	IDA	-	-	49,008	29
		HTF	-	-	(47,396)	-
Individual Development Account Program			-	-	1,612	29
	Housing Smarts - In-House	GF	-	-	124,361	194
	Housing Smarts - Contract	GF	-	-		19
Housing Smarts			-	-	124,361	213
Financial Empowerment			-	-	125,973	242
	Debt Service					
28	East 11th & 12th St Revital, Debt Service	CDBG	-		408,921	
		CDBG-PI	-		56,000	
		Section 108 - PI	90,382		200,461	
	Neighborhood Commercial Mgmt, Debt Service	Section 108			137,669	
		Section 108 - PI	139,006		31,336	
Debt Service			229,388		834,387	
8	Administration					
30	CDBG Adm	CDBG	1,439,145		1,167,672	
		CDBG-PI	14,000		14,000	
29	HOME - Adm	HOME	254,687		203,213	

City of Austin  
Neighborhood and Community Development Office  
FY 2017-18 Summary Funding and Production Table

AP-20 Item # - Program / AP-35 Item # - Activity	Funding	Action Plan		CAPER	
		New Funding	Estimated Services	Actual Expenditures	Services Provided
	HOME-PI	27,000		79,612	
Sub-total Federal Funds		1,734,832		1,464,497	
HTF - Adm	HTF	232,281		224,347	
GF - Adm	GF	-		4,887,489	
Administration		1,967,113		6,576,333	
Total Programs, Debt Services, and Admin Costs		23,929,366	4,246	42,369,222	4,555
<b>FUND SUMMARIES:</b>		<b>AMOUNT</b>	<b>SERVICES</b>	<b>AMOUNT</b>	<b>SERVICES</b>
CDBG		7,195,728	1,538	6,245,228	1,515
CDBG-PI		14,000	-	70,000	-
CDBG-RL		215,000	3	527,737	1
Total CDBG		7,424,728	1,541	6,842,965	1,516
HOME		2,114,764	96	1,113,958	67
HOME-CHDO		382,017	8	1,989,842	8
HOME-CO		50,000	2	25,000	1
HOME-PI		347,000	2	996,660	53
Total HOME		2,893,781	108	4,125,460	129
ESG		886,287	2,143	668,429	1,687
HOPWA		1,296,948	364	1,301,380	364
Total CDBG, HOME, ESG, and HOPWA		12,501,744	4,156	12,938,234	3,696
Other Funds					
LEAD		-	-	-	-
IDA		-	-	49,008	29
Section 108 - PI		319,388	90	231,797	96
Section 108		-	-	137,669	-
GO Bonds		10,000,000	-	20,838,173	316
CIP		-	-	1,276,537	7
HTF		1,108,234	-	822,810	105
GF		-	-	5,610,543	273
UNO		-	-	294,355	-
EDD		-	-	170,096	33
Fund Summary Totals		23,929,366	4,246	42,369,222	4,555
Balance Check		-	-	-	-



*The City of Austin is committed to compliance with the Americans with Disabilities Action (ADA). Reasonable modifications and equal access to communications will be provided upon request. For assistance, please call 512-974-3100; TTY users route through Relay Texas at 711.*