

# City of Austin Fiscal Year 2018-19 CAPER

Consolidated Annual Performance and Evaluation Report

Providing Opportunities, Changing Lives

**Homeless &  
Special Needs  
Assistance**

**Renter  
Assistance**

**Homebuyer  
Assistance**

**Homeowner  
Assistance**

**Housing  
Development  
Assistance**

**Neighborhood  
& Commercial  
Revitalization**

**Small  
Business  
Assistance**

**Financial  
Empowerment**



Neighborhood Housing and  
Community Development Office



**City of Austin, Texas**

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**Fiscal Year 2018-19 Consolidated  
Annual Performance Evaluation  
Report (CAPER)**

**For Consolidated Plan Years  
October 1, 2018 through September 30, 2019**



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City of Austin

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### ATTACHMENTS

Attachment 1: ESG Program Report (Sage)

Attachment 2: ESG Program Standards

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Attachment 5: Grantee Unique Appendices

- a. City of Austin Monitoring Plan
- b. HOME Inspection Summary by Project
- c. HOME Match Report
- d. Annual Section 3 Report
- e. Section 108 Project Level Data
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- g. Summary Funding and Production Table

# CITY OF AUSTIN

## FISCAL YEAR 2018-19 CAPER

Consolidated Annual Performance and Evaluation Report  
Submitted to HUD December 27, 2019

The Consolidated Annual Performance and Evaluation Report (CAPER) is an end-of-year requirement of the U.S. Department of Housing and Urban Development (HUD). The purpose of the CAPER is to provide an overall evaluation of federally funded activities and accomplishments to HUD and the community served. The Fiscal Year 2018-2019 CAPER was submitted electronically to HUD via the Integrated Disbursement and Information System (IDIS) on December 27, 2019.

IDIS is the reporting system for the following formula grant programs:

- Community Development Block Grant (CDBG)
- HOME Investment Partnerships (HOME)
- Housing Opportunities for Persons with AIDS (HOPWA)
- Emergency Solutions Grant (ESG)

While the CAPER focuses on federally funded activities, the City of Austin recognizes the importance that local funding plays in the provision of housing and community development services. **Attachment 5g** of this document - Summary Funding and Production Table - presents Fiscal Year 2018-2019 accomplishments supported by both local and federal funds.

## CR-05 - Goals and Outcomes

### Progress the jurisdiction has made in carrying out its strategic plan and its action plan. 91.520(a)

*This could be an overview that includes major initiatives and highlights that were proposed and executed throughout the program year.*

**Table 1 – Accomplishments – Program Year and Strategic Plan to Date** displays actual performance for the most recent fiscal year. Data are organized by funding priority as outlined in the City of Austin’s [FY 2014-19 Consolidated Plan](#). The City identified eight high priority needs in its FY 2014-19 Consolidated Plan: Homeless/Special Needs; Renter Assistance; Homebuyer Assistance; Homeowner Assistance; Housing Development Assistance; Neighborhood and Commercial Revitalization; Small Business Assistance; and Financial Empowerment. These priority needs inform a broad spectrum of programs that address community needs for a variety of constituencies. These constituencies include, but are not limited to prospective homebuyers, homeowners, children, seniors, youth, affordable housing developers, and rental tenants, as well as prospective and current small business owners.

**Highlights from the Housing Development Assistance Program.** In 2018 Austin voters approved [\\$250 million in general obligation bonds](#) for affordable housing. These funds will be utilized for: construction, renovation, and improvements to housing for low-income persons and families; acquiring land, and accumulating interest in land and property; and, for funding affordable housing programs. To ensure local and federal funds are awarded in a manner that creates the greatest number of affordable housing units possible, staff implemented three major changes to the [Housing Development Assistance Program](#) in 2018. First, staff deployed a new developer application based upon the quantitative goals laid out in the Strategic Housing Blueprint. Second, staff created a quarterly review process wherein all applications received by a certain date would be considered against each other, increasing the competitive nature of the program. Third, the review process has been expanded to include several stages of qualitative review. These changes have led to a dramatic increase in the number of units funded, and the number of projects awarded.

**Other Highlights.** The City of Austin’s Neighborhood Housing and Community Development (NHCD) Department also partnered with the City’s Innovation Office to focus on displacement mitigation activities in geographic areas susceptible to gentrification amongst vulnerable households. This partnership resulted in new planned displacement mitigation activities to be funded with local funds.

**Ongoing.** The City continues to evaluate and modify program administration to improve efficiency within all its programs.

**Comparison of the proposed versus actual outcomes for each outcome measure submitted with the consolidated plan and explain, if applicable, why progress was not made toward meeting goals and objectives. 91.520(g)**

*Categories, priority levels, funding sources and amounts, outcomes/objectives, goal outcome indicators, units of measure, targets, actual outcomes/outputs, and percentage completed for each of the grantee's program year goals.*

**Table 1 – Accomplishments – Program Year and Strategic Plan Outcomes to Date** features expected and actual accomplishments provided in the FY 2014-19 Consolidated Plan and the FY 2018-19 Action Plan for federally funded activities. The Production Detail column provides a breakdown of the program year accomplishments displayed in each row. This has been added by the City of Austin for ease of reference. Some fields are intentionally left BLANK because production is entered under a different indicator. Some indicators were set up in error during the creation of the 2014-19 Consolidated Plan in IDIS. Incorrect values are noted in the Production Detail as “NA Indicator Set Up in Error”. ESG-funded program details are featured in **Attachment 1**, ESG Program Report. **Attachment 5g** (Summary Funding and Production Table) provides more detail about the local and federal program year accomplishments.

Goal	Category	Source Amount	Indicator	Unit of Measure	Expected Strategic Plan	Actual Strategic Plan	Percent Complete	Expected Program Year	Actual Program Year	Percent Complete	Production Detail
Financial Empowerment	Financial Empowerment	CDBG: \$ HOME: \$ HTF: \$ G.O. Economic Development Bonds: \$ HHS IDA Grant: \$	Public service activities other than Low/Moderate Income Housing Benefit	Persons Assisted	190	105	55%	0	0	N/A	Individual Development Account Program ended FY 17-18.
Homebuyer Assistance	Affordable Housing	HOME: \$	Homeowner Housing Added	Household Housing Unit	0	0	BLANK	BLANK	BLANK	BLANK	NA Indicator Set up in Error
Homebuyer Assistance	Affordable Housing	HOME: \$845,000	Homeowner Housing Added; Direct Financial Assistance to Homebuyers	Households Assisted	75	100	133%	20	15	75%	Down Payment Assistance

Homeless / Special Needs	Homeless Non-Homeless Special Needs	CDBG: \$ HOME: \$ HOPWA: \$ ESG: \$	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit	Persons Assisted	0	0	0.00%	BLANK	BLANK	BLANK	NA Indicator Set up in Error
Homeless / Special Needs	Homeless Non-Homeless Special Needs	CDBG: \$918,741 HOME: \$ HOPWA: \$ ESG: \$	Public service activities other than Low/Moderate Income Housing Benefit	Persons Assisted	2,205	2,141	97%	627	465	90%	Child Care Services (201) *Senior Services (119) Youth Supports Services (145) All HOPWA goals listed together
Homeless / Special Needs	Homeless Non-Homeless Special Needs	CDBG:\$ HOME:\$ HOPWA:\$ ESG:\$255,791	Tenant-based rental assistance/ Rapid Rehousing	Household Assisted	1,466	512	35%	128	107	84%	Rapid Rehousing Program
Homeless / Special Needs	Homeless Non-Homeless Special Needs	CDBG:\$ HOME:\$ HOPWA:\$ ESG:\$313,922	Homeless Person Overnight Shelter	Persons Assisted	27,080	12,459	46%	2,000	1,407	70%	Arch-ESG Shelter Operating and Maintenance
Homeless / Special Needs	Homeless Non-Homeless Special Needs	CDBG:\$ HOME:\$ HOPWA:\$ ESG:\$	Homelessness Prevention	Persons Assisted	355	0	0.00%	0	0	0	*NA All HOPWA goals listed together



Homeless / Special Needs	Homeless Non-Homeless Special Needs	CDBG:\$ HOME:\$ HOPWA:\$ ESG:\$	Housing for People with HIV/AIDS added	Household Housing Unit	197	0	0.00%	BLANK	BLANK	BLANK	*NA All HOPWA goals listed together
Homeless / Special Needs	Homeless Non-Homeless Special Needs	CDBG:\$ HOME:\$ HOPWA:\$1,425,086 ESG:	HIV/AIDS Housing Operations/ Public Service Activities other than low/moderate-income housing benefit/ Homelessness Prevention/ Tenant-based rental assistance/rapid re-housing	Household Housing Unit Household Assisted Persons Assisted	1,044	818	127%	300	282	94%	*Permanent Housing Placement (22) *Hotel/Motel Assistance (91) *Short-term rent mortgage and utilities (107) *Supportive Services (98) *Tenant Based Rental Assistance (61) *Facility Based Housing (35)
Homeowner Assistance	Affordable Housing	CDBG:\$3,116,346 HOME:\$50,000 G.O.:\$2,000,000	Homeowner Housing Rehabilitated	Household Housing Unit	3,527	1,540	44%	410	267	65%	*ABR Owner (82) *Minor Home Repair (178) *HRLP (7)
Housing Development Assistance	Affordable Housing Homeless	CDBG:\$ HOME:\$1,047,340	Rental units constructed	Household Housing Unit	3,250	529	16%	40	7	17%	*RHDA New Construction
Housing Development Assistance	Affordable Housing Homeless	CDBG:\$997,827 HOME:\$ HTF:\$ G.O. Bonds:\$	Rental units rehabilitated	Household Housing Unit	0	16	0.00%	0	4	0.00%	*RHDA Rehab (4)

Housing Development Assistance	Affordable Housing Homeless	CDBG: \$714,132 HOME: \$902,591	Homeowner Housing Added	Household Housing Unit	68	40	59%	21	4	19%	*Ownership Housing Development Assistance
Housing Development Assistance	Affordable Housing Homeless	CDBG: \$ HOME: \$	Homeowner Housing Rehabilitated	Household Housing Unit	0	0	BLANK	BLANK	BLANK	BLANK	*NA Indicator Set up in Error
Housing Development Assistance	Affordable Housing Homeless	CDBG: \$ HOME: \$	Housing for Homeless added	Household Housing Unit	0	0	BLANK	BLANK	BLANK	BLANK	*NA Indicator Set up in Error
Housing Development Assistance	Affordable Housing Homeless	CDBG: \$ HOME: \$	Housing for People with HIV/AIDS added	Household Housing Unit	0	0	BLANK	BLANK	BLANK	BLANK	*NA Indicator Set up in Error
Housing Development Assistance	Affordable Housing Homeless	HOME: \$50,000	Other	Other	13	1	8%	2	0	0%	*CHDO Operating Expense Grants
Neighborhood and Commercial Revitalization	Non-Housing Community Development	CDBG: \$	Other	Other	4	0	0.00%	0	0	N/A	*Neighborhood Opportunity Improvement Program Inactive as of FY 2015-16

Renter Assistance	Affordable Housing	CDBG: \$265,636 HOME: \$ HTF: \$ G.O. Bonds:\$	Public service activities other than Low/Moderate Income Housing Benefit	Persons Assisted	2,427	2,661	110%	539	434	80%	*Tenants' Rights Assistance
Renter Assistance	Affordable Housing	CDBG: \$ HOME: \$ \$	Public service activities for Low/Moderate Income Housing Benefit	Households Assisted	0	0	0.00%	BLANK	BLANK	BLANK	*NA Indicator Set up in Error
Renter Assistance	Affordable Housing	CDBG:\$185,000 HOME: \$ HTF: \$ G.O. Bonds:\$	Rental units rehabilitated	Household Housing Unit	76	30	39%	7	1	14%	*Architectural Barrier Program Rental
Renter Assistance	Affordable Housing	HOME: \$510,300	Tenant-based rental assistance Rapid Rehousing	Households Assisted	495	344	69%	75	63	84%	*Tenant Based Rental Assistance
Small Business Assistance	Non-Housing Community Development	CDBG:\$150,000 Economic Development:\$	Jobs created/retained	Jobs	29	30	103%	6	6	100%	*Community Development Bank (6)
Small Business Assistance	Non-Housing Community Development	CDBG:\$ Economic Development:\$	Businesses assisted	Businesses Assisted	165	97	59%	31	0	0%	*Micro-enterprise Technical Assistance

Small Business Assistance	Non-Housing Community Development	CDBG:\$	Other	Other	5	0	0.00%	BLANK	BLANK	BLANK	*NA Indicator Set up in Error
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**Table 1 - Accomplishments – Program Year & Strategic Plan Outcomes to Date**

***Explanations of variance between “Expected” values and “Actual” values for select indicators are addressed below.***

**Row #**

**Goal: Financial Empowerment**

**Indicator:** Public service activities other than Low/Moderate Income Housing Benefit

**Description:** To serve one-hundred and ninety participants was the strategic goal for this multi-year grant, which included local and federal funds. The initial grant term for the Individual Development Account (IDA) program was FY 2012 through FY 2017. The grant received an extension in January 2017 to allow more time for program recipients to complete the program and ended March 31, 2018. During the grant period, the program served one hundred and five participants (thirty home purchases, fifty-two for business assistance, and twenty-three for education expenses). The strategic plan goal was not met due to an overestimation of the initial goal of 190 participants, and the federal requirement of 200% of poverty level for income qualification was challenging for clients to purchase a home in the Austin market.

**Goal: Homebuyer Assistance**

**Indicator:** Direct Financial Assistance to Homebuyers

**Description:** The Down Payment Assistance (DPA) program did not meet the annual goal; however, the program did observe an increase in the number of applications. The goal was not met due to a sharp increase in Austin’s average home sales price, and application rejections due to the department’s commitment to align with Uniform Physical Condition Standards (UPCS) for home inspections.

**Goal: Homeless/Special Needs**

**Indicator:** Public service activities other than Low/Moderate Income Housing Benefit

**Description:** The Special Needs category includes three programs: Child Care Services, Senior Services, and Youth Support Services. The Special Needs Category did not meet its combined annual federal production goal. The Child Care Services program did not meet its annual federal goal due to an increase in the number of infants and toddlers, whose tuition is much higher than preschoolers and school-aged children; the decrease in the number of teen parents in the Austin Independent School District; and the lack of another teen parent contract, which was solicited without any qualified responses. The Senior Services program did not meet its annual production goal due to an increased number of clients requesting service and the complexity of services needed. The Youth Support Services program met the annual goal.

**Goal: Homeless/Special Needs**

**Indicator:** Tenant-based rental assistance/Rapid Rehousing

**Description:** The ESG Rapid Rehousing programs did not meet the annual goals due to several reasons. The programs served fewer clients than anticipated due to a higher acuity of clients seeking Rapid Rehousing. The community has less Permanent Supportive Housing resources than needed, and since clients have a choice between Permanent Supportive Housing later or Rapid Rehousing earlier, Permanent Supportive Housing clients are being served in Rapid Rehousing programs. These clients have more housing barriers and require more

resources, including rental assistance, which is a limited resource in the community. In addition, there is a large amount of funding from the FY 2018-19 allocation that will be expended in FY 2019-20. Rapid Rehousing programs met 84% of the goal due to difficulties with locating affordable housing combined with clients with high barriers to housing entry.

**Goal: Homeless/Special Needs**

**Indicator:** Overnight Shelter/Transitional Housing Beds

**Description:** The ARCH Shelter Operating Maintenance category did not meet the annual goal. This year, the contract went through a competition which changed the program design and there were some closures due to shelter renovations. The new program design will offer case management to all clients, close the Day Resource Center, and reduce the bed count so that all clients will be able to receive more robust services. During the transition period of the last six months of FY 2018-19, the bed count was reduced to 130 from 190 and this, in addition to the shelter closures contributed to fewer clients served.

**Goal: Homeless/Special Needs**

**Indicator:** HIV/AIDS Housing Operations

**Description:** The Housing Opportunities for Persons Living with HIV and AIDS (HOPWA) program includes seven service categories: Permanent Housing Placement, Hotel/Motel Assistance (H/M), Short-Term Rent, Mortgage, and Utilities Assistance (STRMU), Supportive Services, Housing Case Management, Tenant-based Rental Assistance, and Facility Based Housing (FBH). Per guidance received from HUD, two service categories were changed during the grant year. Short-term Supported Housing (STSH) was changed to Hotel/Motel Assistance and Facility Based Transitional Housing (FBTH) was changed to Facility Based Housing. The changes were made because the STSH program was not utilizing a shelter and because clients in the FBTH program had stayed in the program for longer than 48 months. The HOPWA program did not meet its overall goal in part due to the lack of options available to clients to exit the program and the rising cost of housing in Austin. Clients for TBRA and FBH are required to apply to other, more long-term housing options upon entry to the program. At the end of the grant year, 78% of TBRA clients and 80% of FBH clients continued receiving HOPWA assistance. Additionally, the project sponsor that provides FBH is preparing to undergo construction on their facilities that will more than double the number of available units. In preparation, they stopped accepting new applicants for the FBH program in July. Current FBH clients will temporarily move during construction in the coming grant year.

**Goal: Homeowner Assistance**

**Indicator:** Homeowner Housing Rehabilitated

**Description:** The Homeowner Assistance category includes three programs: Architectural Barrier Removal (Owner), Minor Home Repair, and the Home Rehabilitation Loan Program. Homeowner Assistance did not meet its combined annual goal due to several factors. The Architectural Barrier Removal (Owner) program exceeded its annual goal due to increased marketing efforts. The Minor Home Repair Program production was less than expected due to a reorganization of the operational model, long delays in closing construction permits, and quality control issues in the construction process. The Home Rehabilitation Loan Program did not meet its annual goal due to an increase in the number of applications received.

**Goal: Housing Development Assistance**

**Indicator:** Rental units constructed

**Description:** The Rental Housing Development Assistance program did not meet its annual goal due to project construction delays.

**Goal: Housing Development Assistance**

**Indicator:** Homeowner Housing Added

**Description:** The Ownership Housing Development Assistance (OHDA) Program did not meet its annual goal due to project construction delays.

**Goal: CHDO Operating Expense**

**Indicator:** Other

**Description:** CHDO Operating Expenses Grants are provided to qualified CHDOs when the CHDO has a project underway. The program did not meet the annual production goal. At the end of FY 17-18, the City awarded an operating grant to Blackland Neighborhood Development Corporation; however, this loan was not distributed until the beginning of FY 18-19. The City made two additional awards for operating grants during the 2018-19 calendar year; however, these awards were not expended by the close of the fiscal year. With these two grants executed, the City will have at least two operating grants distributed in FY 19-20.

**Goal: Renter Assistance**

**Indicator:** Public service activities other than Low/Moderate Income Housing Benefit

**Description:** The Tenants' Rights Assistance program did not meet its annual goal due to delays in the construction of a new office for Austin Tenants' Council, and a high rate of client cancellations for service.

**Goal: Renter Assistance**

**Indicator:** Rental units rehabilitated

**Description:** Although the goal for the program was not met, 10 ABR-Renter applications were processed. The Program observed an increase in the number of applications for home repairs and actual inspections performed. This resulted in a positive increase in program activity. Three projects were rolled over to the next fiscal year 2019-2020. It is anticipated that this pipeline will be addressed now that the division is fully staffed.

**Goal: Renter Assistance**

**Indicator:** Tenant-based rental assistance / Rapid Rehousing

**Description:** The Tenant Based Rental Assistance program did not meet its annual goal due to challenges associated with accessing low-cost and low-barrier housing in the Austin full purpose area. As a result of these challenges, program recipients spent more time looking for housing.

**Goal: Small Business Assistance**

**Indicator:** Businesses Assisted

**Description:** The Microenterprise Technical Assistance program did not use federal funding during FY 2018-19. The expected production goal for FY 18-19 was 31 businesses assisted; however, that goal was not met because program requirements could not be met by the applicant.

In addition to the accomplishments featured in Table 1, a description of Section 108 activities is provided below. Detailed project-level information is featured in **Attachment 5e**. The source of this information is the City of Austin Economic Development Department.

<b>Project Description</b>	The Family Business Loan Program (FBLP), a public-private partnership loan program provides fixed-asset and working capital loans to qualified small-business borrowers in Austin. The lending partners are a private bank member of the Federal Home Loan Bank, an SBA-certified CDC Section 504 community lender, and the City of Austin's Economic Development Department (EDD). The FBLP's goals are to foster business expansion in low- and moderate-income neighborhoods, stimulate low- to moderate-income job creation, and increase Austin's tax base. The FBLP is targeted to business owners that are ready to expand their businesses and create jobs.
<b>Accomplishments Description</b>	<b>Recent Activity:</b> In FY 2018-19 FBLP approved 1 new loan for \$238,000, scheduled to close and fund by December 2019. The Section 108 program currently manages a portfolio of 19 previously closed loans and reports 56.5 jobs for FY 2018-2019. Of the total 56.5 FTEs reported for FY 18-19, 51% or greater benefit persons of low- to moderate-incomes (LTMI). Borrowers commit to creating 1 full time job for every \$35,000 borrowed within five years of receiving funding. <b>Cumulative Activity and Reporting:</b> Since 2012, the program has created/retained jobs benefiting over 340 unique individuals or approximately 266.5 full time-equivalents (FTEs). Jobs created/retained data represents a snapshot in time of the prior year's jobs created/retained; therefore, data collected in year 2019 will represent successes in FY 2018-19 CAPER. This convention will apply to each prior year, i.e., data collected/reported in year 2018 is reported in FY 2017-2018 CAPER. <b>B-05-MC-48-0500 (consolidated report) Specific Activity:</b> Pursuant to guidance from HUD DC and in coordination with HUD San Antonio field office, activities funded under B-05-MC-48-0500 are consolidated into a single report. The consolidated report tracks 11 loans totaling \$2,898,556 in HUD Section 108 funding. Of businesses funded under the B-05-MC-48-0500 allocation, 10 out of 11 (90.9%) have met their commitments and are exceeding requirements for creating jobs for low- to moderate-income persons. One activity (business) was funded in FY 2015 and has not yet achieved its goal (this activity is in year four of five and will have until FY 2019 to complete the goal). B-05-MC-48-0500 cannot be completed until the expiration of the five-year window. <b>FY 18-19 Goal Performance:</b> The proposed FY 2018-19 goal of 5 businesses assisted has yielded 20% achievement due to several mitigating factors, including an eight-month interruption of a key program support contract for underwriting services. This interruption resulted in a significant delay in loans processed/approved. Delays in turn impacted program interest and the application pool from potential for-profit borrowers, as well as adherence to HUD approved lending guidelines. Loan(s) in the amount of \$238,000 were approved but not yet closed in FY 2018-19. Section 108 funds leveraged \$389,000 in private financing.
<b>Performance Measure</b>	Jobs created and/or retained
<b>FY 2018-19 Total Funding</b>	\$25,357.52 in FY 2018-19 program income, plus \$718,496.57 carried over from FY 2017-18 = \$743,854.09
<b>FY 2018-19 Proposed Goal</b>	Jobs created/retained: 71; Businesses assisted: 6

<b>FY 2018-19 Expended</b>	\$0.00
<b>FY 2018-19 Accomplishments</b>	56.5 FTE jobs created and/or retained in FY 2018-19



**Assess how the jurisdiction's use of funds, particularly CDBG, addresses the priorities and specific objectives identified in the plan, giving special attention to the highest priority activities identified.**

The City's FY 2014-19 Consolidated Plan identified the following high priority need areas: Homeless/Special Needs Assistance, Renter Assistance, Homebuyer Assistance, Homeowner Assistance, Housing Development Assistance, Small Business Assistance, Neighborhood and Commercial Revitalization, and Financial Empowerment. These funding priorities were established based on the housing and community development needs identified through public and stakeholder input, the housing market analysis, and the analysis of special populations. Funding priorities were also affirmed in subsequent Annual Action Plans. All the proposed funding priorities serve very-low, low- and moderate-income households in the City of Austin. In addition, the City of Austin's federally funded activities serve special needs populations including: seniors, persons with disabilities, persons experiencing homelessness and at risk of homelessness, persons living with HIV/AIDS, at risk children and youth, victims of domestic violence, housing authority residents, and persons returning to the community from correctional institutions and/or with criminal histories.

Funding priorities also seek to be responsive to the City of Austin's *2015 Analysis of Impediments to Fair Housing Choice*, which is described in CR-35 of this document.

Of the high priority need areas identified above, those funded with CDBG funds in FY 18-19 were: Special Needs Assistance, Renter Assistance, Homeowner Assistance, Housing Development Assistance, and Small Business Assistance. Progress for these programs in FY 2018-19 can be found in Table 1, Accomplishments – Program Year and Strategic Plan to Date, and in **Attachment 5g-** Summary Funding and Production Table.

## CR 10- Racial and Ethnic composition of families assisted

Describe the families assisted (including the racial and ethnic status of families assisted). 91.520(a)

Race	CDBG	HOME	ESG	HOPWA	TOTAL
White	731	47	772	171	1,721
Black or African American	397	38	581	107	1,123
Asian	16	4	7	1	28
American Indian or American Native	13	0	19	1	33
Native Hawaiian or Other Pacific Islander	0	0	9	1	10
Other (multi, declined to state, information missing)	20	0	126	1	147
<b>Total</b>	<b>1,177</b>	<b>89</b>	<b>1,514</b>	<b>282</b>	<b>3,062</b>

### Ethnicity

Hispanic	462	30	330	65	1,732
Not Hispanic	715	59	1,175	217	1,321
Other (declined to state, information missing)			9	0	9
<b>Total</b>	<b>1,177</b>	<b>89</b>	<b>1,514</b>	<b>282</b>	<b>3,062</b>

-Source of CDBG and HOME data is the Neighborhood Housing and Community Development Department.

-Source of HOPWA and ESG data is Austin Public Health.

### Narrative

The City of Austin identifies priority needs and offers services and programs to eligible households regardless of race or ethnicity. Table CR-10 (above) depicts counts for Fiscal Year 2018-19 by fund source.

## CR-15 Resources and Investments

### *Identify Resources Made Available*

Source of Funds	Source	Expected Amount Available	Actual Amount Expended During Program Year
CDBG	CDBG	\$8,180,853	\$6,148,661
HOME	HOME	\$3,775,034	\$4,810,103
HOPWA	HOPWA	\$1,469,160	\$1,390,287
ESG	ESG	\$647,777	\$794,438
Other	Local-General Obligation Bond	\$2,000,000	\$5,243,205

**Table 2 - Resources Made Available**

### **Narrative**

**CDBG:** Per the Neighborhood Housing and Community Development Department, the expected Amount Available was \$8,180,853 and the actual Amount Expended was \$6,148,661. This is reflected in Table 2 and includes all expenditures through September 30, 2019. Disbursements made after the end of the fiscal year, but that contribute to the prior year, are included.

**HOME:** Per the Neighborhood Housing and Community Development Department, the expected Amount Available was \$3,775,034 and the actual Amount Expended was \$4,810,103. This is reflected in the table and includes all expenditures through September 30, 2019. Disbursements made after the end of the fiscal year, but that contribute to the prior year, are included.

**HOPWA:** Per the Austin Public Health Department, the expected Amount Available was \$1,469,160 and the actual Amount Expended was \$1,390,287.

**ESG:** Per the Austin Public Health Department, the expected Amount Available was \$647,777 and the actual Amount Expended was \$794,438.

**Other:** Other Expenditures reflect non-formula grant expenditures that support funding priorities outlined in the FY 2014-19 Consolidated Plan.

## Identify the geographic distribution and location of investments

Target Area	Planned Percentage of Allocation	Actual Percentage of Allocation	Narrative Description
Throughout the City of Austin	100	100	Citywide

**Table 3 – Identify the geographic distribution and location of investments**

### Narrative

While the City of Austin does not currently target investments to specific geographic areas, it considers the geographic distribution of affordable housing throughout Austin to be a core value in the investment of affordable housing-related activities with federal and local funds. The City supports providing affordable housing in areas outside of low-income neighborhoods, thereby reducing racial and ethnic segregation, deconcentrating poverty, and providing more economic opportunities for low-income households. Using a scoring matrix, Neighborhood Housing and Community Development (NHCD) awards funding points to projects that assist in the dispersion of affordable housing stock throughout the community, in order to focus on “high opportunity” areas in Austin where often there is a shortage of affordable housing. NHCD utilizes data from Enterprise Community Partners’ Opportunity360 and the Austin Strategic Housing Blueprint goals to inform the scoring matrix. These resources help the City of Austin target rental subsidies and home ownership opportunities to low-income residents. The application, program guidelines, and other tools to complete the application for financing can be found at <http://www.austintexas.gov/page/affordable-housing-development-funding>.

Finally, the City of Austin’s Strategic Housing Blueprint reinforces the importance of geographic dispersion and increasing the diversity of housing choice and supply. The Austin City Council adopted the Strategic Housing Blueprint in 2017. The Strategic Housing Blueprint is described in section CR-35 of this document, and additional information is publicly available at [www.austintexas.gov/housingblueprint](http://www.austintexas.gov/housingblueprint).

## Leveraging

*Explain how federal funds leveraged additional resources (private, state and local funds), including a description of how matching requirements were satisfied, as well as how any publicly owned land or property located within the jurisdiction that were used to address the needs identified in the plan.*

The table below features units leveraged that received federal funds.

Program	Fund Source	Units	Unit Funding	Leveraged
<b>Units Leveraged That Received Federal Funds</b>				
Ownership Housing Development Assistance (OHDA)	HOME/CDBG	13	\$57,462	\$1,538,000
Down Payment Assistance (DPA)	HOME	15	\$41,240	\$2,511,508
Rental Housing Development Assistance (RHDA)	HOME/CDBG	7	\$183,066	\$1,238,000
	<b>Total</b>	<b>35</b>	<b>\$281,768</b>	<b>\$5,287,508</b>

**Matching Requirements.** For HOME dollars displayed in the leveraging table above, the match was met through general obligation bonds and bond financing. Matching requirements are also displayed in the HOME Match Report, which is featured as **Attachment 5c**. For a description of how ESG matching requirements were satisfied, see section CR-75, Table 11f.

**Publicly Owned Land.** The Austin Housing Finance Corporation began development of two detached dwelling units during the reporting period. Construction is expected to be completed and the units rented and sold to low-income households in FY 2019-20. Planning and pre-development work is ongoing for several other sites discussed in the FY 2018-19 Action Plan, with the intent to develop the properties in the coming years.

<b>Fiscal Year Summary – HOME Match</b>	
1. Excess match from prior Federal fiscal year	\$49,144,650.03
2. Match contributed during current Federal fiscal year	\$4,542,124.84
3. Total match available for current Federal fiscal year (Line 1 plus Line 2)	\$53,686,774.87
4. Match liability for current Federal fiscal year	\$1,068,868.26
5. Excess match carried over to the next Federal fiscal year (Line 3 minus Line 4)	\$52,617,906.61

**Table 4 – Fiscal Year Summary - HOME Match Report**

Match Contribution for the Federal Fiscal Year									
Project No. or Other ID	Date of Contribution	Cash (non-Federal sources)	Foregone Taxes, Fees, Charges	Appraised Land/Real Property	Required Infrastructure	Site Preparation, Construction Materials, Donated labor	Bond Financing	Total Match	
Prior Year MF Bonds	10/30/2014						\$3,824,586.16	\$267,217.07	
NON-2019-01 Housing First Oak Springs	10/30/2018	\$205,050.92						\$205,050.92	
NON-2019-02 RBJ Center	01/22/2019	\$1,489,844.85						\$1,489,844.85	
NON-2019-03 Works at Pleasant Valley, Phase II	12/26/2018	\$416,600.00						\$416,600.00	
NON-2019-04 Cambrian East Riverside	09/12/2019	\$1,035,000.00						\$1,035,000.00	
NON-2019-05 Travis Flats	09/16/2019	\$128,412.00						\$128,412.00	
NON-2019-06 Burnet Place PA/2018	09/26/2019	\$1,000,000						\$1,000,000.00	

Table 5 – Match Contribution for the Federal Fiscal Year

## HOME MBE/WBE report

Program Income – Enter the program amounts for the reporting period				
Balance on hand at beginning of reporting period	Amount received during reporting period	Total amount expended during the reporting period	Amount expended for TBRA	Balance on hand at end of reporting period
\$3.98	\$993,820.19	\$497,641.72	\$276,846.88	\$496,182.45

Table 6 – Program Income

**Minority Business Enterprises and Women Business Enterprises** – Indicate the number and dollar value of contracts for HOME projects completed during the reporting period

	Total	Minority Business Enterprises				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Contracts						
Dollar Amount	0	0	0	0	0	0
Number						
Sub-Contracts						
Number	0	0	0	0	0	0
Dollar Amount	0	0	0	0	0	0

	Total	Women Business Enterprises	Male	
<b>Contracts</b>				
Dollar Amount	0	0	0	
Number	0	0	0	
<b>Sub-Contracts</b>				
Number	0	0	0	
Dollar Amount	0	0	0	

**Minority Owners of Rental Property** – Indicate the number of HOME assisted rental property owners and the total amount of HOME funds in these rental properties assisted

	Total	Minority Property Owners				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Number	0	0	0	0	0	0
Dollar Amount						

**Relocation and Real Property Acquisition** – Indicate the number of persons displaced, the cost of relocation payments, the number of parcels acquired, and the cost of acquisition

Parcels Acquired	0	NA
Businesses Displaced	0	NA
Nonprofit Organizations Displaced	0	NA
Households Temporarily Relocated, not Displaced	0	NA

Households Displaced	Total	Minority Property Enterprises				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Number	0	0	0	0	0	0
Cost	NA	NA	NA	NA	NA	NA

**Table 7 – Minority Business and Women Business Enterprises Report**

**Minority Business Enterprises and Women Business Enterprises.** Figures do not reflect: 1) contracts that do not meet the categories requested in the table, 2) contracts where the business declined to state ethnicity or gender, or 3) \*firms not certified by the City of Austin as a minority-owned business enterprise (MBE) and/or a women-owned business enterprise (WBE). \*City of Austin Certification standards can be found on the City of Austin’s Small and Minority Business Resources webpage at <http://austintexas.gov/departments/certification>.

**Minority Owners of Rental Property.** Neighborhood Housing and Community Development programs that provide assistance for rental housing include Architectural Barrier Removal - Rental (ABR Rental) and Rental Housing Developer Assistance (RHDA). ABR Rental is not HOME-funded. RHDA receives a small amount of HOME funds, but the assistance in the RHDA program is always provided to developers, and not directly to individuals.



## CR-20 - Affordable Housing 91.520(b)

*Evaluation of the jurisdiction's progress in providing affordable housing, including the number and types of families served, the number of extremely low-income, low-income, moderate-income, and middle-income persons served.*

The goals in the following tables reflect federally funded projects that do not include the provision of emergency shelter, transitional shelter, or social services, and that meet the definition of households provided affordable housing units within the program year. In accordance with guidance from HUD, units must be brought up to standard in order to be counted in the tables below. Therefore, programs that only offer services for each of the categories below, but do not bring a unit up to standard, are not counted in this section. See section CR-05 for an explanation of variance between expected and actual accomplishments.

	One-Year Goal	Actual
Number of Homeless households to be provided affordable housing units	75	63
Number of Non-Homeless households to be provided affordable housing units	60	37
Number of Special-Needs households to be provided affordable housing units	88	83
<b>Total</b>	<b>223</b>	<b>183</b>

**Table 8 – Number of Households Provided Affordable Housing**

	One-Year Goal	Actual
Number of households supported through Rental Assistance	75	63
Number of households supported through The Production of New Units	31	15
Number of households supported through Rehab of Existing Units	108	90
Number of households supported through Acquisition of Existing Units	20	15
<b>Total</b>	<b>234</b>	<b>183</b>

**Table 9 – Number of Households Supported**

**Discuss the difference between goals and outcomes and problems encountered in meeting these goals.**

The difference between goals and outcomes in Tables 8 and 9 is due to a miscalculation of the one-year goal for some of the categories reported in the FY 18-19 Action Plan, and program variances between expected and actual accomplishments. A detailed breakdown of the programs that comprise Tables 8 and 9 is featured below. See section CR-05 for an explanation of variance between expected and actual accomplishments.

The following is a breakdown of the values featured in Table 8 – Number of Households

<b>Homeless</b>	
Tenant-Based Rental Assistance (HOME)	63
<b>Subtotal</b>	63
<b>Non-Homeless</b>	
Rental Housing Development Assistance (CDBG & HOME)	11
Ownership Housing Development Assistance (CDBG & HOME)	4
Home Rehabilitation Loan Program (CDBG)	7
Down Payment Assistance (HOME)	15
<b>Subtotal</b>	37
<b>Special Needs</b>	
Architectural Barrier Program - Rental (CDBG)	1
Architectural Barrier Program - Owner (CDBG)	82
<b>Subtotal</b>	83
<b>Grand Total</b>	183

The following is a breakdown of the values featured in Table 9 – Number of Households Supported

<b>Rental Assistance</b>	
Tenant-Based Rental Assistance (HOME)	63
<b>Subtotal</b>	63
<b>Production of New Units</b>	
Rental Housing Development Assistance (CDBG & HOME)	7
Ownership Housing Development Assistance (CDBG & HOME)	4
<b>Subtotal</b>	11
<b>Rehab of Existing Units</b>	
Architectural Barrier Program - Rental (CDBG)	1
Architectural Barrier Program - Owner (CDBG)	82
Home Rehabilitation Loan Program (CDBG)	7
Rental Housing Development Assistance - Rehab (CDBG)	4
<b>Subtotal</b>	94
<b>Acquisition of Existing Units</b>	
Down Payment Assistance (HOME)	15
<b>Subtotal</b>	15
<b>Grand Total</b>	183

**Discuss how these outcomes will impact future annual action plans.**

The City of Austin annually assesses its progress toward meeting goals outlined in the FY 2014-19 Consolidated Plan through development of the Consolidated Annual Performance and Evaluation Report (CAPER). The CAPER provides an opportunity for the City to evaluate the performance of its programs and services, and to determine whether adjustments to the current 5-year goals are needed. The City looks to performance in a given year, as well as trends over time, to inform and calibrate future targets. Additionally, the City provides technical assistance to subrecipients to enable them to be more effective and efficient.

**Include the number of extremely low-income, low-income, and moderate-income persons served by each activity where information on income by family size is required to determine the eligibility of the activity.**

Number of Persons Served	CDBG Actual	HOME Actual	Total
<b>Extremely Low-income</b> ( $\leq 30\%$ MFI)	27	63	90
<b>Low-Income</b> (31-50% MFI)	36	7	43
<b>Moderate-Income</b> (51-80% MFI)	31	19	50
<b>Total</b>	94	89	183

Number of Persons Served	CDBG Actual	HOME Actual	Total
<b>Extremely Low-income</b>			
Renter households	0	63	63
Owner households	27	0	27
<b>Extremely Low-Income Subtotal</b>	27	63	90
<b>Low-income</b>			
Renter households	5	7	12
Owner households	31	0	31
<b>Low-Income Subtotal</b>	36	7	43
<b>Moderate-income</b>			
Renter households	0	0	0
Owner households	31	19	50
<b>Moderate-Income Subtotal</b>	31	19	50
<b>Grand Total</b>	94	89	183

The tables above display the actual number of households by renter/owner provided affordable housing with CDBG and HOME funds. See Tables 8 and 9 for a detailed breakdown of the programs that comprise the tables above.

## Narrative Information

Among the total persons served with CDBG funds that received housing assistance during the program year, 29 percent were extremely low-income, 38 percent were low-income, and 33 percent were moderate-income. Among the total persons served with HOME funds that received housing assistance during the program year, 71 percent were extremely low-income, 8 percent were low-income, and 21 percent were moderate-income. These proportions are consistent with the funding priorities outlined in the FY 2014-19 Consolidated Plan. The City of Austin continues to direct federal and local dollars toward services benefiting extremely low-income residents.

This summary of progress includes a comparison of actual accomplishments with proposed goals for the 2018-19 reporting period, efforts to address “worst-case needs”, and the accessibility needs of persons with disabilities.

The City addressed households experiencing “worst-case housing needs” and made progress in meeting the needs of persons with disabilities through the following federally funded housing related programs: ABR-Renter and ABR-Owner, TBRA (HOME) and the Home Repair Loan Program. These programs meet the Section 215 definition of affordable housing for rental and homeownership. See **Tables 8 and 9** for a detailed list of programs that show the number of persons assisted who received housing assistance.

## **CR-25 - Homeless and Other Special Needs 91.220(d, e); 91.320(d, e); 91.520(c)**

*Evaluate the jurisdiction's progress in meeting its specific objectives for reducing and ending homelessness through: Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs.*

**CoC System Outreach and Assessment Process.** The Austin/Travis County Continuum of Care, led by the [Ending Community Homelessness Coalition](#) (ECHO), operates a Coordinated Entry System (CES) which includes both ongoing coordinated homeless outreach, and housing navigation and engagement to individuals who are experiencing unsheltered homelessness. ECHO administers a system-wide Coordinated Assessment tool (VI-SPDAT) to match and prioritize households based on each individual or family's needs, as well as their eligibility for various community programs to end homelessness. In FY 2018-19, 3,438 persons completed the Coordinated Assessment tool- 62% of which were unsheltered. The Coordinated Entry process allows the community to collect data on individuals and families experiencing homelessness to ensure the most vulnerable households are matched with appropriate service packages, and to ensure our community's overall crisis response system meets the changing needs to successfully end homelessness. In addition, ECHO coordinates a robust annual Point in Time Count each January where over 700 volunteers survey the entire Austin/Travis County CoC jurisdiction to identify and further assess the needs of people experiencing homelessness - both sheltered and unsheltered. In 2018, ECHO expanded community partnerships to enable increased access to the Coordinated Entry process by providing comprehensive outreach services and initiatives to community members across all geographic areas within the jurisdiction.

**Other CoC Outreach Initiatives.** With eight different Street Outreach teams that are directly connected to the Coordinated Entry System (CES), the CoC partnership covers 100% of the CoC's eligible geographic area and bring services to underserved clients. These teams conduct outreach daily across the jurisdiction. In June 2016, the City of Austin implemented a [Homeless Outreach Street Team](#) (HOST) model which consists of two Austin Police Department officers, two behavioral health specialists from Integral Care (the local mental health authority), one paramedic, and one outreach social worker. The HOST program has provided additional outreach capacity to Austin's downtown area and provides services to better address the needs of those experiencing unsheltered homelessness in downtown Austin.

**Emergency Solutions Grants Efforts.** All City of Austin Emergency Solutions Grant (ESG) funded programs collaborate with the Continuum of Care and participate in the local Coordinated Entry System. ESG funding supports staff at the Communicable Disease Unit (CDU), Downtown Austin Community Court (DACC), and Front Steps to provide Rapid Rehousing services. The Rapid Rehousing programs receive referrals through Coordinated Entry resulting in services provided to highly vulnerable households experiencing sheltered and unsheltered homelessness. Rapid Rehousing services are coordinated between the subrecipients, all of whom provide programming that includes core components such as Housing Location, Housing Stability Case Management, and Rental Assistance/Financial Assistance. ESG funding is also used to operate the Austin Resource Center for the Homeless (ARCH), an emergency shelter that provides services such as: day sleeping; basic services such as showers, laundry facilities, mailing addresses, telephone use, and lockers; and access to mental health care, legal assistance, and employment assistance provided by co-located agencies. ARCH also partners with CommUnity Care/Central Health by providing an on-site Healthcare for the Homeless Clinic to provide free to low cost medical services and resources to unsheltered individuals.

**HOPWA Efforts.** Representatives from the HOPWA program participate in the Continuum of Care working group to better support people who are experiencing homelessness and living with HIV. Representatives

from the HOPWA program convene a bi-weekly meeting comprised of representatives from Austin's AIDS service organizations, Austin Public Health, and ECHO staff. The group discusses community-wide issues and develops plans to serve each client. The HOPWA Tenant Based Rental Assistance program participates in the Coordinated Entry System to prioritize the most vulnerable persons experiencing homelessness, and those living with HIV, for services. Program staff partner with local outreach teams to coordinate services, engage in programming through rapport-building, quickly reduce barriers through access to financial resources, and ensure housing options are available, in order to reduce the length of time households experience homelessness. Many HOPWA clients have a need for more in-depth interventions; therefore, the HOPWA program began offering supportive services to clients living in a recuperative care facility for people living with HIV. These clients typically arrive directly from the hospital and have been homeless and out of care for some time. They are provided with medical care at the recuperative care facility and can begin to access supportive services so that they can be prepared to meet their housing needs when they are discharged.

### **Addressing the emergency shelter and transitional housing needs of homeless persons**

Austin Public Health (APH) funds a private non-profit organization, [Front Steps](#), to operate the Austin Resource Center for the Homeless (ARCH). ARCH provides emergency shelter to homeless adult men through its Overnight Shelter program, and provides Day Sleeping to homeless adult men and women. The ARCH provides basic services such as showers, laundry facilities, mailing addresses, telephone use, and lockers through its Day Resource Center program. The Day Resource Center program also includes a number of services such as mental health care, legal assistance, and employment assistance provided by co-located agencies. In addition, ARCH houses the Healthcare for the Homeless clinic operated by CommUnity Care/Central Health. ARCH served 1,409 individuals with its Night Sleeping Program in FY 2018-19. All clients are entered into the [Homeless Management Information Systems](#) (HMIS) database. While the City also funds other shelters and homeless services, including a shelter for women and children, it does not utilize ESG funds to do so. All clients served through ARCH have low to moderate incomes and are at or below 50 percent of MFI. ESG funds are used to provide maintenance and operations for this shelter program.

Facility-based housing is provided by Project Transitions. Funded by HOPWA, this program provides housing at two properties with a total of 30 apartments, for people living with HIV/AIDS. Supportive services such as medication adherence, maintenance in medical care, life skills, and connections to other resources, are provided to all program residents.

In 2018, Austin Public Health held seven public meetings to discuss revisioning the ARCH and its services and contracted with National Alliance to End Homelessness (NAEH) to develop recommendations for a competitive contract bidding process. The contract was awarded to Front Steps, who has worked with stakeholders, APH, and NAEH to decrease the number of beds available and increase case management during the last two quarters of 2018-2019. The shelter will be case managing all clients who are wanting these services, and the day resources will only be focused on the shelter residents. The new contract allows for a shift toward housing-focused services and increases the number of crisis beds available by rapidly exiting people into stable Permanent Housing solutions. The City plans to address day resource centers throughout the community and has expanded funding for outreach and navigation services.

**Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: likely to become homeless after being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); and, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs.**

In 2018 and 2019, the CoC coordinated directly with the Texas Department of Family Protective Services-Child Protective Services regional team to identify and address any barriers for youth who are imminently exiting the foster care system into homelessness. This was demonstrated in the 100 Day Challenge, which diverted five young people exiting care to a permanent housing destination. Second, Texas State Hospital, which provides intensive in-patient mental health care, coordinates all referrals to the Coordinated Entry process with ECHO for any individuals who are exiting care into homelessness. In addition, the Central Health/ CommUnity Care team has on-site hours at the ARCH and utilizes HMIS to better connect patients that are exiting care with resources for homelessness.

The City of Austin ESG funding is not allocated for Homelessness Prevention. However, the ESG Rapid Rehousing program and the ESG-funded Emergency Shelter provides comprehensive services for persons experiencing unsheltered homelessness. Austin Public Health expends City general fund dollars for homelessness prevention programs that provide financial assistance and case management to poverty-level individuals, and families who are at-risk of homelessness.

The HOPWA Short-Term Rent, Mortgage, and Utility Assistance program provides up to 21 weeks of assistance to eligible households who are at risk of losing their living arrangements. This assistance allows households that are experiencing financial crises to maintain stable living environments. HOPWA providers also focus on building relationships with other housing providers in the area. This allows people living with HIV to be served by any agency that may better fit their needs, since they will not have to wait to utilize an AIDS Service Organization.

**Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again.**

**CoC System Performance Measures: Austin and Travis County.** ECHO, the CoC Lead Agency, oversees a strategy to reduce the period of time that households experience homelessness. The CoC identifies and houses persons with the longest length of time homeless by utilizing the Coordinated Entry System (CES) and prioritizes housing for persons with highest need by utilizing the VI-SPDAT prioritization tool. The CES effectively engages with persons experiencing homelessness, including long-term homelessness, by having multiple access points through drop-in centers, shelters, street outreach programs, medical clinics, jails, and call-in phone options.

**To reduce the length of time persons experience homelessness, the CoC:** a) operates a Coordinated Entry System (CES) for Rapid Rehousing and Permanent Supportive Housing, prioritizing the most vulnerable

households for housing, including chronically homeless persons with long histories of homelessness, and advertising services among first line of respondents (e.g. schools and clinics) for early intervention; b) assists people early on to obtain necessary documents for program enrollment (e.g., birth certificates, state ID's, disability verification) through housing navigation and outreach services; c) partners with landlords to offer immediate access to low-barrier housing units; and, d) works with shelter providers to increase staff capacity and connect to other financial assistance and community resources needed to increase housing and employment opportunities that will reduce the length of time persons experience homelessness.

**Strategies the CoC has implemented to improve retention in Permanent Supportive Housing (PSH).**

Strategies implemented by the CoC include a) using the Coordinated Entry System to connect all persons to permanent housing that matches their needs; b) using data to evaluate and understand returns to homelessness and proactively offer preventative solutions; c) using data to drive a competitive funding process that ensures programs are funded based on ability to demonstrate successful outcomes (i.e. percent of successful exits to Permanent Housing, increase in income); d) partnering with landlords for expedient access to units that become available; and, e) training case managers to use best practices and clinical interventions (e.g., Motivational Interviewing, Problem Solving Therapy, Critical Time Intervention) that promote housing preservation and long-term housing stability. The CoC utilizes its comprehensive Violence Against Women Act (VAWA) Policy and Procedures to reduce the number of households who return to homelessness due to violence. Moreover, service providers for homeless persons are trained in how to inform program participants of their housing protections and rights. The CoC has reduced barriers to accessing safe housing options by streamlining the process for requesting External Emergency Transfers (EETs). EETs are facilitated through the Coordinated Entry System using de-identified data and a victim-centered approach. CoC has incorporated retention strategies informed by lessons learned from the Veteran Initiative that achieved Functional Zero homelessness among veterans in Austin. PSH housing retention was 94% in FY17 and 97% in FY18. Two-year returns to homelessness from PSH increased from 14% in FY17 to 19% in FY18.

**CoC strategies to increase the rate of persons in Permanent Housing projects to retain their Permanent Housing (PH) or exit to Permanent Housing. a)**

monitoring PH projects quarterly on successful retention and exits to PH destinations; **b)** partnering with landlords for expedient access to units that become available and for lease negotiation that fit the needs of vulnerable people in PH projects; **c)** training case managers to implement best practices that promote housing stability and retention; and, **d)** actively partnering with both local Housing Authorities to develop a Move-On Strategy, streamlining access to mainstream Housing Choice Voucher for individuals in Permanent Supportive Housing who are no longer in need of intensive case management services, but who wish to exit to a long-term subsidized housing opportunity. In 2019, the CoC partnered with Workforce Solutions (WFS) to develop system interventions aimed at improving employment opportunities for persons experiencing homelessness and improve outcomes related to increasing income. The CoC provided two trainings to WFS staff which focused on a brief introduction to the local homeless system and building rapport, by developing Motivational Interviewing skills. WFS also hosted a WFS Orientation Training that invited service providers to tour WFS and learn more about their programs and service requirements.

**CoC Targeted Sub-Populations**

**Veterans.** The CoC, local VA Medical Center, VA-funded programs, and state and privately funded community organizations, coordinate housing and support services through the CoC HMIS database and the Coordinated Entry Process (CEP). Veterans are referred to HUD-VASH, SSVF, GPD, or CoC housing programs as determined by client need and eligibility. Staff from all involved organizations meet regularly



to review cases and create active engagement plans for potentially unreached Veterans. CoC has demonstrated success in this process by reaching an effective end to Veteran Homelessness in August 2016.

**Chronic Homelessness.** The CoC prioritizes all Permanent Supportive Housing program units for individuals experiencing homelessness, and who fit the chronic homeless definition. The City of Austin and the Austin/Travis County CoC have worked on strategies to expand capacity for Permanent Supportive Housing over the past five years, such as incentivizing the set-aside of CoC units in City funded affordable rental housing, providing community development opportunities, and approving a Pay For Success funding model (an innovative approach to furthering development).

**Families with Children.** In FY16, CoC implemented written standards to prioritize highest-need and longest unhoused families for CoC and ESG Rapid Rehousing programs, and expanded housing location efforts using a Housing First approach, to minimize time spent homeless and locating housing. The current average length of time homeless is 421 days. CoC uses Coordinated Entry to create a real-time, by-name list of homeless families, including first date homelessness, advertising in locations where families request help first (e.g. family clinics, shelters and 211).

**Unaccompanied Youth.** In 2016, the CoC was awarded the Youth Homelessness Demonstration Project (YHDP) that now provides services through Lifeworks, Diversion, Rapid Rehousing, and combination Transitional Housing+Rapid Rehousing programs for Youth. The CoC and LifeWorks partnered to test an Assertive Outreach initiative that encourages self-resolution conversations and provides resources for unaccompanied Youth experiencing homelessness who are not expected to receive a full housing intervention through the YHDP initiative.

**ESG Rapid Rehousing.** ESG funds supports staff at the Communicable Disease Unit (CDU), Downtown Austin Community Court (DACC), and Front Steps. The Rapid Rehousing programs receive referrals through Coordinated Entry, which brings in many unsheltered individuals with high vulnerability. Rapid Rehousing services are coordinated between the subrecipients. Services provided include: Housing Location, Housing Stability Case Management, Financial Assistance, and Rental Assistance.

## CR-30 - Public Housing 91.220(h); 91.320(j)

### *Actions taken to address the needs of public housing*

[The Housing Authority of the City of Austin](#) (HACA) and the City of Austin are close partners in an effort to increase quality affordable housing for those most in need. As one of two Public Housing Authorities serving the City of Austin boundaries, input from, and coordination with HACA is valuable to address community needs efficiently. HACA is a partnering agency in the development of the Regional Analysis of Impediments, the Housing Market Analysis, and the Consolidated Plan. Furthermore, the City includes HACA staff and HACA residents in all outreach efforts.

In FY 2018-19, the City partnered with HACA to provide HousingSmarts classes to public housing households in support of HACA's down payment assistance program. HousingSmarts is a free training that helps potential homebuyers qualify for down payment assistance, and provides valuable education such as money management techniques, understanding credit and budgeting.

HACA administers three federally subsidized programs that provide affordable housing to extremely low-to low-income families in Austin. HACA provided housing to over 20,000 people in FY 2018-19. Of the 20,000+ people served, 7,714 were children, 1,929 were seniors and 4,714 were persons with disabilities. The average annual income of a family in public housing is \$11,023, and for families in the Housing Choice Voucher program it is \$14,489.

Austin Affordable Housing Corporation (AAHC), a nonprofit subsidiary of HACA, currently provides over 5,400 additional affordable housing units in Austin, as well as almost 700 more units under construction, helping to meet the city's need for additional affordable housing opportunity. AAHC has another 3,457 units in its pipeline for possible future acquisition and/or development.

**Preserving Public Housing, Improving Residents' Lives, Sustaining HACA Resources.** HACA continues its new initiative to preserve affordable housing for the lowest-income Austinites and improve the lives of residents who rely on that housing. By participating in the U.S. Department of Housing and Urban Development (HUD) program known as the [Rental Assistance Demonstration](#) (RAD), HACA has been able to finance critically needed improvements to its aging properties, and guarantee the availability of these properties to low-income residents for the next 40 years. The RAD program gives HACA more control over its finances and creates more opportunities for housing choice going forward.

**Rental Assistance Demonstration (RAD) Overview.** RAD was initiated by HUD in 2012 to address U.S. public housing's national \$26 billion backlog of needed repairs and improvements. Under RAD, existing (and declining) public housing subsidies are converted into more stable rental assistance contracts for each property. This allows housing authorities to leverage that subsidy to finance rehabilitation and preservation of aging properties. HACA presented information regarding RAD, and the agency's intentions regarding its 18 public housing properties, to the Austin City Council. Council supports issuance of private activity bonds and 4% Low Income Housing Tax Credits for modernization and improvements at several HACA public housing properties. The Council also supported awarding 9% tax credits to redevelop Chalmers Courts Apartments, a 158-unit property which, when redeveloped, will feature over 390 affordable units in one of Austin's highest opportunity areas. Phase 1 of the redevelopment project constructed 86 affordable units, with residents moving in during October 2019. Phase 2 groundbreaking took place in November 2019.

**How RAD Works at HACA.** There are several different types of RAD conversions which are underway or

have been completed by HACA, described below.

**Residents have a voice in planning improvements.** Depending on the age and condition of each property, HACA makes straight conversions (modest maintenance needs), rehabilitations (more in-depth improvements) or redevelopments, all of which help create additional affordable and, if feasible, market-rate units at each property. Through RAD, residents receive specific protections, improvements and choices designed to better their quality of life.

**HACA residents receive “protections.”** Residents can return to an upgraded unit at their original property with no screening. Affordability will be preserved for decades. HACA residents will see significant “improvements” to the properties and their quality of life: units are being upgraded, modernized and made energy efficient.

**HACA residents also have new “choices.”** Choices give residents more control over their lives. The site-based waiting list includes expanded choices of living options. After two years, residents will be eligible to apply for Housing Choice Vouchers if they so choose.

From 2016-2019, HACA has converted 1,895 units at all but one of its properties. During 2018-2019, HACA completely remodeled 100 units at Gaston Place, a senior-focused property. Also, groundbreaking occurred at Goodrich Place. Once completed in late 2019, Goodrich Place will provide 120 units of affordable housing, up from the original 40 units. HACA is in the process of securing financing from multiple sources, including tax credits and low interest loans, to finalize the more complex RAD conversions which require extensive rehabilitation or reconstruction.

HACA’s Housing Choice Voucher (HCV) program and other housing assistance programs provide rental vouchers for 6,137 units of housing. These programs support more than 13,000 individuals, including families with children, elderly, and persons with disabilities, in greater Austin’s private rental market. In 2018-19, HACA administered several voucher programs, including:

- 5,256 Housing Choice Vouchers
- 489 Veteran Affairs Supportive Housing (VASH) Vouchers (HACA will seek to apply for additional vouchers)
- 85 Family Unification Program Vouchers
- 148 Mainstream Vouchers
- 36 Non-Elderly with Disabilities Vouchers
- 11 Hurricane Ike Conversion Vouchers

HACA expends 100% of funds provided by HUD for the Housing Choice Voucher program. HACA has 6,153 allocated Housing Choice Vouchers. However, due to limited HUD funding and high rental rates, HACA can only afford to assist approximately 5,300 families each month. For families issued a voucher, the search time to find an affordable housing unit is 60 to 90 days. On average, 15% of vouchers issued to families are returned by those unable to find a unit, due to Austin’s high rents and a shortage of landlords who accept vouchers.

## **Actions taken to encourage public housing residents to become more involved in management and participate in homeownership**

Since 2004, Austin Affordable Housing Corporation (AAHC), a subsidiary of HACA, has supported the transition to homeownership for families in either Public Housing or Housing Choice Voucher programs through a down payment assistance program. Eligible first-time homebuyers complete homeownership and financial education training prior to purchasing a home. Families can then receive \$10,000 to be applied towards eligible closing costs and a down payment on their home. To date, 109 individuals have purchased their own homes, and 64 participants of HACA's homeownership program have reached their five-year mark as a homeowner.

Supportive community services through self-sufficiency programs are essential to helping low-income families realize their goals of independence from federal assistance. In conjunction with affordable housing, HACA staff helps clients build assets, attain higher education, and start meaningful careers. These efforts are made possible through the Housing Authority of the City of Austin's Family Self-Sufficiency program and a robust group of community partners, including Any Baby Can, Boys and Girls Club, Boy Scouts, Communities in Schools, Family Eldercare, Girl Scouts, Goodwill Industries, Lifeworks, Skillpoint Alliance and YWCA, among many others. The Housing Choice Voucher program provides case management services to 245 families working to achieve economic independence.

In 2019, 31 Family Self-Sufficiency participants successfully graduated from the program. To date, more than 250 participants have graduated from the FSS program.

**Helping End Homelessness.** Austin's housing market is a challenge for persons experiencing homelessness. Recognizing this, HACA provides 50 project-based vouchers to Housing First Oak Springs. The project is a four-story, 40,000 square-foot complex with 50 one-bath and fully furnished single occupancy efficiency apartments, with an integrated health clinic offering primary care and behavioral health services, a community room and parking garage. All units are covered by the Project-Based Voucher Agreement. Twenty-five project-based vouchers are funded by the Section 8 Housing Choice Voucher Program and the other 25 are funded by the Veteran Assistance Supportive Housing (VASH) Program. The amount of Project-Based VASH and HCV funds provided for this project is \$7,551,000 over a 15-year term. Housing First at Oak Springs will make a significant difference in the lives of 50 veterans and persons with mental health challenges, by providing housing as well as access to onsite mental health counseling, and treatment for drug and alcohol use. Additionally, HACA has dedicated 25 VASH project-based vouchers at Elysium Grand, which opens in Fall 2019.

HACA received 89 additional Mainstream vouchers from HUD, for a total of 148 vouchers, to provide permanent affordable housing to non-elderly persons with disabilities who are transitioning out of homelessness or congregate living facilities. HACA collaborated with the Ending Community Homelessness Coalition (ECHO), Integral Care, and ARCIL, Inc. to apply for these Mainstream vouchers, and has worked closely with community partners to help non-elderly persons with disabilities transition from homelessness to stable homes in 2019.

The City of Austin contracts with HACA to provide Tenant Based Rental Assistance (TBRA) for extremely low-income renters experiencing homelessness. The TBRA program helped 70 households move from homelessness to self-sufficiency through rent and utility assistance. Case management and support services are provided by community-based nonprofit organizations such as The Salvation Army, Caritas,

LifeWorks, SafePlace, Foundation Communities, and Foundation for the Homeless.

HACA also received a \$642,690 renewal grant through the Consolidated HUD Continuum of Care grant application process which provides rental assistance to more than 70 previously homeless disabled individuals each month.

### **Actions taken to provide assistance to troubled PHAs**

HACA is not a troubled PHA. For 18 consecutive years, HACA has attained a high performer designation. For Fiscal Year 2018-19, HACA earned a score of 100 percent for the Section 8 Management Assessment Program.

Austin Pathways, a HACA-directed nonprofit organization, supports HACA's scholarship and self-sufficiency programs. In 2019, Austin Pathways sponsored 37 renewable academic scholarships totaling over \$60,000 for residents of Public Housing and Housing Choice Voucher programs to pursue post-secondary education opportunities. Since 2001, 637 scholarships have been awarded. Scholarship recipients include high school graduates, students of post-secondary education, and adults attending college for the first time.

I-DADS, Involved Dads of Action, Developing and Succeeding, is an innovative and award-winning program designed to strengthen families by reaching out to the fathers and father-figures in HACA communities. The program empowers fathers and father-figures, encouraging self-reflection and participation in topics ranging from parenting skills, workforce development, and ways to improve their father-child relationship.

### **Jobs Plus Pilot Program-Booker T Washington and Chalmers Court**

The Jobs Plus ATX program was established by a \$2.7 million grant from HUD and expands job opportunities to residents at Chalmers Courts and Booker T. Washington. This is the largest competitive grant HACA has received for resident services. The 4-Year Jobs Plus Pilot Program has celebrated its first three years of success. Program partners include: Workforce Solutions Capital Area, Austin Area Urban League, Austin Community College, BiG Austin, Capital IDEA, Economic Growth Business Incubator, Goodwill of Central Texas, and Literacy Coalition.

### **Accomplishments of the Jobs Plus program:**

**Financial Incentive-** Booker T. Washington and Chalmers Courts residents have saved \$1.26 million in rent by participating.

**Employment and Training Services-**233 residents have started new employment or have increased their earnings.

- 346 residents are enrolled in the program.
- 233 families returned to work or increased their earnings
- 91 residents started new employment
- \$6,254 increase in average earned income at both properties

HACA's Jobs Plus Program was chosen by HUD to serve as a model for other Jobs Plus Cohorts across the United States.

### **Unlocking the Connection**

Unlocking the Connection celebrates its four-year anniversary in November 2019. Since the first-of-its kind digital literacy model began, 2,312 residents now have free in-home internet which is 53% of HACA residents, up from 4% in 2014. Over 1,300 residents have completed over 30,000 hours of digital literacy training to earn refurbished desktops, laptops and phones since 2015. For 60% of those recipients, it was their first computer. To date, more than 2,000 donated refurbished computers have been deployed to families. HACA continues to ensure mobility equity for its residents through the Smart Work, Learn, Play Initiative. Our partners include City of Austin GTOPS, Transit Empowerment Fund, Wells Fargo, CapMetro, University of Texas LBJ School of Public Affairs, Lyft, American Institutes for Research, and Austin CityUP. Mobility Ambassadors continue to receive training on digital tools such as applications for the workforce, student achievement, and enhanced quality of life that they pass on to their peers.

### **CR-35 - Other Actions 91.220(j)-(k); 91.320(i)-(j)**

*Actions taken to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment. 91.220 (j); 91.320 (i)*

The City of Austin completed an Analysis of Impediments to Fair Housing Choice (AI) in 2015. The AI identified complex land use regulations and overall lack of affordable housing supply throughout the City as the highest prioritized factors in limiting housing choice and creating impediments to housing affordability. The City of Austin has proceeded with two initiatives that will assist with addressing these impediments: the revision of the Land Development Code and the adoption of the [Strategic Housing Blueprint](#), which includes council district and 2017 Mobility Bond Corridor affordable housing goals.

The Strategic Housing Blueprint was adopted by City Council in 2017 and includes a community goal to create or preserve 60,000 units affordable to households at or below 80% of the median family income over 10 years. It also describes recommended actions in five key community values, including implementation of the Fair Housing Action Plan. The Blueprint recommends funding mechanisms, regulatory changes, and other creative approaches to increase housing choices for all Austinites in all parts of town. The Implementation Plan for the Strategic Housing Blueprint was released in November 2018 and includes actions to improve access to economic and housing opportunities for underserved households in Austin.

The City Manager provided direction in spring 2019 regarding initiating a new process to update the City's land development code, which has not been comprehensively updated since 1984. The Neighborhood Housing and Community Development Department has provided recommendations that incentivize affordable housing, expand housing choice, and reduce housing access barriers to include in the City's code re-write. Another ordinance, called [Affordability Unlocked](#), waives certain standards or regulatory barriers for affordable housing developments. To qualify, developments must reserve at least half the units at or below 60% MFI for rental units or at or below 80% MFI for ownership units.

### **Actions taken to address obstacles to meeting underserved needs. 91.220(k); 91.320(j)**

The City's 2014-19 Investment Plan is comprised of eight priority categories: Homeless/Special Needs Assistance, Renter Assistance, Homebuyer Assistance, Homeowner Assistance, Housing Development Assistance, Neighborhood and Commercial Revitalization, Small Business Assistance, and Financial Empowerment. All of these categories propose to serve very-low, low-, and moderate-income households, thereby addressing obstacles to meet underserved needs. The activities below are responsive to this undertaking.

**Austin Tenants' Council.** The City continues to support the Austin Tenants' Council, a community-based nonprofit organization that provides mediation, counseling, public information, and assistance to help identify fair housing complaints.

**Tenant Based Rental Assistance (TBRA)** provides rental housing subsidies and security deposits to eligible households who might otherwise be homeless. Austin Housing Finance Corporation oversees contracts with TBRA sub-recipients, the Housing Authority of the City of Austin and the Salvation Army. The City's 2014 Comprehensive Housing Market Study identified very low-income renters as one of the most

underserved populations.

**Architectural Barrier Removal** modifies homes of seniors and persons with disabilities who have limited income, at or below 80 percent MFI. These modifications help people remain in their homes longer and live with greater independence. All services are free to eligible persons. ABR services include wheelchair ramps, handrails, door widening, buzzing or flashing devices for people with visual/hearing impairment, accessible door and faucet handles, shower grab bars and shower wands, and accessible showers, toilets and sinks.

**Housing First Model.** In 2014, the City made a strong commitment to the Housing First model of PSH, with the goal of lowering barriers to house those most vulnerable by setting a new [400 PSH unit goal](#), 200 of which are to be Housing First. The City has nearly met this goal. In addition, the Austin Strategic Housing Blueprint calls for the City to support the production of 50 PSH units each year over a period of 10 years starting in 2017. The City's Continuum of Care (CoC) entity, ECHO, advised that all Permanent Supportive Housing (PSH) units be referred to as Continuum of Care, or CoC units starting in 2019. These CoC units will all be Housing First and ECHO is lead in placing people experiencing homelessness from the Coordinated Assessment. With the \$250 million Affordable Housing Bond approved by voters in 2018, dedicated revenue from the downtown density bonus program, and the new Pay for Success initiative, there is expected to be continued movement towards housing the chronically homeless population in Austin.

**Tenant Relocation Ordinance.** Responsive to an underserved need, the City Council adopted the Tenant Relocation Ordinance, which supports vulnerable low-income households, the elderly, and persons with disabilities facing displacement. It requires landlords to provide notice about property redevelopment and resources for displaced tenants and provides information on community services/legal assistance. The [Tenant Notification and Relocation Assistance Ordinance](#) includes tenant notification and relocation assistance requirements. The City has also established the Tenant Relocation Assistance Program Rules, which provide detailed information on how to comply with the ordinance, including how to deliver notice to tenants.

**Affordable Housing Search Tool.** Additionally, the Neighborhood Housing and Community Development Department, in collaboration with internal and external partners, is leading a project to develop an Affordable Housing Search Tool that will assist low-income households with locating affordable housing that best fits their particular needs. Housing that is funded or incentivized by NHCD, and other income restricted housing including Housing Authority Travis County, Housing Authority City of Austin, and the Texas Department of Housing and Community Affairs are included in this database. More information about the search tool can be found at [atxaffordablehousing.net](http://atxaffordablehousing.net).

**Ongoing.** NHCD continues to reference opportunity mapping, which is a research tool used to understand the dynamics of opportunity within geographic areas. The purpose of opportunity mapping is to illustrate where opportunity-rich communities exist (and assess who has access to these communities) and to focus on areas of need in underserved or opportunity-poor communities. Key indicators include housing stability, education, mobility, economic security, health and well-being, environment, social cohesion, accessibility of jobs goods and services, and community institutions. Staff utilizes the Opportunity360 metrics to further the City's housing and community development goals to help create more housing choices in areas of high opportunity.



### **Actions taken to reduce lead-based paint hazards. 91.220(k); 91.320(j)**

The City of Austin does not have a specific lead-based paint program. However, the City addresses lead abatement through multiple programs and funding sources, including NHCD's home repair programs and rehabilitations funded through NHCD's Rental Housing Development Assistance program. Eligible Austinites that reside in a home that was built prior to 1978 will have their homes tested for the presence of lead-based paint and other household health and safety hazards such as mold, carbon monoxide, fire, tripping hazards and pest management. If necessary, these issues will be remediated as part of the scope of work for each program. This more holistic approach to remediating household hazards allows community members to remain in their homes and benefit from a healthier environment.

### **Actions taken to reduce the number of poverty-level families. 91.220(k); 91.320(j)**

All federally funded programs administered by the City of Austin address critical needs through housing, community development, and public services for eligible residents, including people in poverty so they can increase their opportunities for self-sufficiency. HOPWA, ESG, and CDBG activities in particular assist households that fall under the special populations category outlined in the FY 2014-19 Consolidated Plan.

All of the following programs are intended to help reduce the number of families in poverty. They are assessed on a regular basis to determine if they are effective in helping meet this goal.

**Housing Opportunities for People with AIDS (HOPWA) Activities.** The Austin Public Health Department administers all HOPWA activities for the City of Austin. These programs provide housing assistance for income-eligible persons living with HIV/AIDS and their families. The goals of these programs are to stabilize the households' living arrangement and improve access to primary care and other supportive services so that people living with HIV/AIDS can focus on improving their health and self-sufficiency.

**Emergency Solutions Grant (ESG) Activities.** The Austin Public Health Department administers all ESG activities for the City of Austin. These programs are designed to be the first step in a continuum of assistance to help clients quickly regain stability in permanent housing after experiencing a housing crisis and/or homelessness. ESG funds the operational costs of the shelter facility, Rapid Rehousing programs, and licenses for the Homeless Management Information System and administration of the grant. Emergency Solutions Grant (ESG) funds supports employees at Communicable Disease Unit (CDU), Downtown Austin Community Court (DACC), and Front Steps. The Rapid Rehousing programs receive referrals through Coordinated Entry, which brings in many unsheltered individuals with high vulnerability. Rapid Rehousing services are coordinated between the subrecipients, providing Housing Location, Housing Stability Case Management, Financial Assistance and Rental Assistance.

**Public Service Activities.** The Neighborhood Housing and Community Development (NHCD) Department administers public service contracts funding using CDBG. APH provides program expertise for the development of work statements and performance measures upon request from NHCD. Public services offer supportive services to households with gross incomes less than 200 percent of Federal Poverty Guidelines. Childcare Services provides childcare vouchers for homeless and near-homeless families, and direct childcare services for teen parents who are attending school. Youth Services provides access to holistic, wraparound services and support to youth designated as at-risk and their families. Senior Services offers services that prevent and protect seniors in becoming victims of abuse, neglect, and/or financial exploitation. Austin's Tenants' Council is another community partner that provides public services that

focus on housing discrimination, tenant-landlord education and information, and housing repair and rehabilitation.

**Actions taken to develop institutional structure. 91.220(k); 91.320(j)**

NHCD administers housing, community, and economic development programs, which require interdepartmental coordination. Many City of Austin departments coordinate efforts to provide program services and projects outlined in the FY 2014-19 Consolidated Plan and subsequent Annual Action Plans.

The City of Austin contracts with the Austin Housing Finance Corporation (AHFC) to develop affordable rental and homeownership opportunities and housing rehabilitation of owner-occupied homes. Austin Public Health (APH) provides support to residents living with HIV/AIDS and their families with HOPWA grant funds, and also provides assistance to help clients quickly regain stability in permanent housing after experiencing a housing crisis and/or homelessness with ESG funds. NHCD and APH collaborate on several public service programs. The Economic Development Department fosters small business expansions in low- and moderate-income neighborhoods to stimulate job creation through the Family Business Loan Program (FBLP). Numerous non-federally funded housing programs and activities offered by NHCD rely on the coordination of other City departments including: Austin Energy, Austin Water Utility, the Budget Office, City Manager's Office, Austin Code, Office of Real Estate Services, Government Relations, Law Department, Office of Sustainability, Parks and Recreation Department, Planning and Zoning Department, Development Services Department, Public Works, Austin Resource Recovery, and Watershed Protection.

Finally, the development of federal planning documents such as the Consolidated Plan, Annual Action Plan, and CAPER reflect the contributions of multiple City departments, and would not be possible without purposeful collaboration.

**Actions taken to enhance coordination between public and private housing and social service agencies. 91.220(k); 91.320(j)**

NHCD continued to work closely with the following organizations to overcome gaps and enhance coordination efforts: Community Development Commission (CDC), Community Advancement Network (CAN), Community Housing Development Organizations (CHDOs), Austin Housing Coalition, Ending Community Homelessness Coalition (ECHO), Housing Authority of the City of Austin (HACA), Housing Authority of Travis County (HATC), HousingWorks, and the Urban Renewal Agency, as well as other key stakeholders and organizations. NHCD remains engaged with housing finance agencies, the National Association of Local Housing Finance Agencies and the Texas Association of Local Housing Finance Agencies, to connect with other agencies whose missions address critical housing needs.

## **Identify actions taken to overcome the effects of any impediments identified in the jurisdictions analysis of impediments to fair housing choice. 91.520(a)**

**Barrier:** Information on housing choice is not widely available in languages other than English and/or in accessible formats. No information is available to people who are members of protected classes about possibilities to live in housing that was created in higher opportunity areas through city incentives and developer agreement programs.

**2019:** Neighborhood Housing and Community Development (NHCD) works with the City of Austin's Language Access program coordinator to assure that policies, services, and education are meaningful to all Austinites with limited English proficiency. NHCD appointed the public information officer to act as department liaison to the Language Access Program coordinator in order to provide guidance and support in areas of strategic outreach to limited English proficient communities, interpretation, and translation strategy planning and training. The language access program liaison consults with various stakeholders in managing the department language access plan, as well as developing ways to notify the public of the availability of language services within the department through advertising, community outreach, the Neighborhood Housing and Community Development website, and other media systems.

**Barrier:** Overly complex land use regulations limit housing choice and create impediments to housing affordability. These include minimum site area requirements for multifamily housing, limits on accessory dwelling units, compatibility standards, overly restrictive neighborhood plans and excessive parking requirements.

**2019:** City Council adopted an ordinance called "[Affordability Unlocked](#)" to enable developers with 50% or more of the units in their developments affordable at 50% or 60% of the MFI to access increased entitlements. This should assist with the creation of additional income-restricted affordable units in Austin.

**Barrier:** Austin's historical lack of funding for public infrastructure and amenities in different neighborhoods may disproportionately impact protected classes, influence housing preferences, and restrict access to opportunities.

**2019:** Opportunity indicators are included in an assessment for 4% and 9% Low Income Housing Tax Credit developments seeking approval.

**Barrier:** Lack of knowledge about fair housing requirements creates barriers to affirmatively furthering fair housing.

**2019:** NHCD received a report from the Equity Office on the department's equity assessment and are working on a plan to undertake the recommended actions.

**Ongoing:** In 2019 the City and its regional partners established a Central Texas Regional Fair Housing working group designed to transcend jurisdictional boundaries to address affordable housing barriers on a regional scale. In collaboration with the Fair Housing working group, the City will address its unique affordable housing challenges through a number of initiatives such as: working with local and state leadership to raise awareness about the negative impacts of the requirement to have three times the rent for rental units; implement displacement mitigation strategies and Strategic Housing Blueprint action items that are related to the disproportionate housing needs identified in the AI, encourage developers and landlords who benefit from public funding and development incentives to adopt reasonable policies on tenant criminal history, accept legal unearned income in consideration of the ability to pay rent, and do not discriminate based on source of income; conduct an analysis and calibrate S.M.A.R.T. Housing incentives to function in high opportunity areas; increase awareness and affirmatively market program

information and improve access to healthy food, quality schools, transit, and safe neighborhoods for low income populations. Furthermore, multiple education opportunities on the importance of economic, racial and ethnic diversity have been offered through such platforms as the UT Opportunity Forums, City of Austin Law Department presentations to Council, and Land Development Code community engagement meetings.

More information about the City's [Equal Employment/Fair Housing Office](#) fiscal year performance record and outreach initiatives is featured in **Attachment 5f**.

## **CR-40 - Monitoring 91.220 and 91.230**

*Describe the standards and procedures used to monitor activities carried out in furtherance of the plan and used to ensure long-term compliance with requirements of the programs involved, including minority business outreach and the comprehensive planning requirements*

The goal of the City of Austin's monitoring process is to assess subrecipient/contractor performance for all CPD-funded activities, including Section 108, in the areas of program, financial and administrative compliance with applicable federal, state and municipal regulations and current program guidelines. The City of Austin's monitoring plan consists of monitoring of active contracts and long-term monitoring for completed projects. For more information, including a copy of the City of Austin's Monitoring Plan, please see **Attachment 5a** of this document.

### **Minority Business Outreach**

The City of Austin Small and Minority Business Resources Department (SMBR) administers the Minority-Owned and Women-Owned Business Enterprise (MBE/WBE) Procurement Program, which was established by the Austin City Council in 1987. SMBR also administers a federally funded Disadvantaged Business Enterprise (DBE) procurement program. SMBR is responsible for the implementation of the certification process for the City of Austin and for ensuring that only firms that meet the eligibility criteria are certified as MBEs or WBEs in compliance with City of Austin Ordinances. The MBE/WBE program encourages the participation of minorities and women on City contracts by establishing procurement goals on City contracts (which excludes social service contracts) above the City Manager's spending authority. Goals for MBE, WBE, and DBE participation differ from contract to contract, based on the type of contract, the availability of MBEs, WBEs and DBEs to perform the functions of the contract, and other factors. Minority goals may be either aggregate MBE/WBE goals or race-specific goals depending on the project. SMBR provides development opportunities and resources so that small and minority businesses enterprises can have affirmative access to city procurement opportunities and succeed in their efforts to grow. SMBR offers monthly workshops, a Plan Room with electronic and hard copy access construction plans and specifications, and surety bonding counseling. For more information about the SMBR Office, please visit their website at: [www.austintexas.gov/department/small-and-minority-business](http://www.austintexas.gov/department/small-and-minority-business).

## **Citizen Participation Plan 91.105(d); 91.115(d)**

*Describe the efforts to provide citizens with reasonable notice and an opportunity to comment on performance reports.*

The City of Austin's [Citizen Participation Plan](#) requires that the CAPER be made available for 15 days for public comment. The City made the draft report publicly available online and distributed hard copies at ten community centers throughout the City between November 20, 2019 and December 6, 2019. City facilities are compliant with the Americans with Disabilities Act to ensure that persons with disabilities can review and comment on the CAPER. The ten community centers and libraries were deliberately selected in order to reach the widest audience, including non-English speaking persons, and persons with disabilities. The public notice of the locations and comment period was published in Spanish as well as English to ensure non-English speaking persons could comment on the CAPER.

### **Ten Community Centers**

- Austin Central Library, 710 W Cesar Chavez Street (Central)
- Austin Resource Center for the Homeless (ARCH), 500 East 7th Street (Central)
- East Austin Neighborhood Center, 211 Comal Street (East)
- Neighborhood Housing and Community Development Office, 1000 East 11th Street, Suite 200 (East)
- Rosewood-Zaragosa Neighborhood Center, 2800 Webberville Road (East)
- St. John Community Center, 7500 Blessing Avenue (Northeast)
- AIDS Services of Austin, 7215 Cameron Road (North)
- Housing Authority of the City of Austin, 1124 South IH-35 (South)
- South Austin Neighborhood Center, 2508 Durwood Street (South)
- Southeast Branch Library, 5803 Nuckols Crossing Road (South)

The City of Austin's Citizen Participation Plan was updated to include an Assessment of Fair Housing clause. The updated plan was approved by City Council in November 2017 and is featured in **Attachment 4** of this document. A summary of public comments received, and staff responses is provided in this document.

## **CR-45 - CDBG 91.520(c)**

*Specify the nature of, and reasons for, any changes in the jurisdiction's program objectives and indications of how the jurisdiction would change its programs as a result of its experiences.*

The Community Development Block Grant (CDBG) Program is authorized under Title I of the Housing and Community Development Act of 1974 as amended. The primary objective of CDBG is the development of viable communities by providing decent housing, providing a suitable living environment, and expanding economic opportunities. To achieve these goals, any activity funded with CDBG must benefit low- and moderate-income persons, aid in the prevention of slums or blight, or meet a particularly urgent need.

**Does this Jurisdiction have any open Brownfields Economic Development Initiative (BEDI) grants?**  
No<sup>2</sup>

**[BEDI grantees] Describe accomplishments and program outcomes during the last year.**

**Does the grantee have an existing Section 108 guaranteed loan?**

For a report of FY 2018-19 accomplishments and program income for the Family Business Loan Program, Section 108 guaranteed loan, see the *Section 108-Description and Accomplishments* table in CR-05.

<sup>2</sup>Source: According to HUD's [BEDI Funding Announcements](#), no BEDI funds have been appropriated since FY 2010.

## **CR-50 - HOME 91.520(d)**

*Include the results of on-site inspections of affordable rental housing assisted under the program to determine compliance with housing codes and other applicable regulations. Please list those projects that should have been inspected on-site this program year based upon the schedule in §92.504(d). Indicate which of these were inspected and a summary of issues that were detected during the inspection. For those that were not inspected, please indicate the reason and how you will remedy the situation.*

During Fiscal Year 2018-19, 188 affordable housing units from 60 HOME funded Rental Housing Development Assistance projects required a physical inspection. All 188 units from those projects received an on-site physical inspection and are compliant. Common issues addressed during these inspections were: inoperable smoke detectors (missing batteries or broken), cracked/broken switch and outlet covers, cracked windows, and incidences of various exterior damage. Each issue was remedied within the prescribed time frame, re-inspected, and determined to be in compliance.

Additionally, 165 affordable housing units from 39 HOME funded Rental Housing Development Assistance projects required a file review. All units received a file review and are compliant. See **Attachment 5b** for the detailed inspection plan.

## **Provide an assessment of the jurisdiction's affirmative marketing actions for HOME units. 92.351(b)**

As a recipient of federal funds, the City of Austin must adopt affirmative marketing procedures and requirements for rental and homebuyer projects containing five or more HOME-assisted housing units. Affirmative marketing steps consist of actions that provide information and otherwise attract eligible persons in the housing market area to the available housing without regard to race, color, national origin, sex, religion, familial status or disability. The Neighborhood Housing and Community Development Department's (NHCD) efforts to affirmatively market its housing programs aim to ensure that eligible households have ample access and opportunity to participate in programs and services that use federal funds. In FY 2018-19, Homebuyer Assistance, Renter Assistance, and Homeowner Assistance programs and services were marketed to residents in low- to moderate-income neighborhoods and those with limited English proficiency. The City periodically reviews its Affirmative Marketing plan and has taken steps to integrate affirmative marketing requirements into the program guidelines for all federally funded programs. The City continues to review its Affirmative Marketing plan to ensure eligible persons who are least likely to access affordable housing opportunities are informed of available affordable housing in the Austin area.



Refer to IDIS reports to describe the amount and use of program income for projects, including the number of projects and owner and tenant characteristics.

**Sources and Uses of HOME Program Income  
Program Year 2019**

<b>Sources of Program Income:</b>	<b>Amount</b>
Down Payment Assistance Loans Repaid	\$250,975.98
Down Payment Assistance Loans Recapture	\$398,704.34
First-time Homebuyer Loans Repaid	\$0.00
First-time Homebuyer Loans Recapture	\$0.00
Home Rehabilitation Loan Program Repaid	\$215,554.72
Home Rehabilitation Loan Program Recapture	\$122,081.15
Rental Housing Development Assistance Repaid	\$6,504.00
<b>Total</b>	<b>\$993,820.19</b>
<b>Uses of Program Income</b>	<b>Amount</b>
First-time Homebuyer Loans	\$23,992.08
Tenant-Based Rental Assistance	\$71,647.97
Rental Housing Development Assistance	\$3,656.67
Home Rehabilitation Loan Program	\$0.00
Down Payment Assistance	\$347,721.25
Administration	\$50,619.77
<b>Total</b>	<b>\$497,637.74</b>

**Describe other actions taken to foster and maintain affordable housing. 91.220(k) (STATES ONLY: Including the coordination of LIHTC with the development of affordable housing). 91.320(j)**

In 2017, The Austin City Council adopted the [Austin Strategic Housing Blueprint](#) that outlines strategies to build and preserve affordable housing for a range of incomes throughout the City, as envisioned in the Imagine Austin Comprehensive Plan. The plan aligns resources, ensure a unified strategic direction, and help facilitate community partnerships to achieve this shared vision. It recommends new funding mechanisms, regulatory changes, and other approaches to achieve housing goals. These goals can be realized through a range of strategies addressing the following issues:

- **Prevent Households from Being Priced Out of Austin.** Preserve communities and combat gentrification through legislative changes, local policies, programs and targeted investments.
- **Foster Equitable, Integrated, and Diverse Communities.** Promote strategic investments and create protections for low-income households and people of color to address racial integration in housing.
- **Invest in Housing for Those Most in Need.** Adopt a balanced approach to provide affordable housing resources for low-income workers, seniors, people with disabilities and the thousands of people experiencing homelessness.
- **Create New and Affordable Housing Choices for All Austinites in All Parts of Austin.** Harness new development to create affordable homes and diversify housing choices for current and future residents.
- **Help Austinites Reduce their Household Costs.** Encourage development in a compact and connected manner so households of all incomes have access to a range of affordable housing and transportation options, and can easily access jobs, basic needs, health care, educational opportunities, and public services. Other household expenses such as healthcare costs, utilities, food and telecommunications must also be considered.

Concurrently, the City continues to prioritize resources to build and preserve affordable housing through its Ownership Housing Development Assistance (OHDA), Rental Housing Development Assistance (RHDA), and home repair programs that benefit low- and moderate-income households. Staff also coordinate with Community Housing Development Organizations (CHDOs) through the Austin Housing Coalition to increase opportunities to foster and maintain affordable housing.

During this fiscal year, the City of Austin certified a new CHDO, bringing the total number of active CHDOs operating with the City to four. All four CHDOs have HOME funded projects underway. Accessible Housing Austin! broke ground on a project that has been in pre-development for several years. This development will provide 27 units that are fully accessible for individuals experiencing mobility impairments. Guadalupe Neighborhood Development Corporation (GNDC) continues to develop energy efficient homeownership units in the Guadalupe Saldana Neighborhood, which will include more than 50 ownership units for households with incomes below 80% of the Austin-Round Rock MSA Median Family Income (MFI). Blackland Community Development Corporation (BCDC) continues to maximize available land by constructing accessory dwelling units, while Blackshear Neighborhood Development Corporation (BNDC), the newest CHDO, is rehabilitating several units in their coverage area, and will rent to households with incomes below 50% of the MFI.

NHCD administers a range of home repair programs, including Architectural Barrier Removal (ABR) - Rental and Owner, Minor Home Repair Program (formerly known as Emergency Home Repair), Homeowner Rehabilitation Loan Program (HRLP), and the G.O. Repair! Program. The Minor Home Repair program provides up to \$5,000 in home repair assistance, and is administered by the City's subrecipient, Austin Area Urban

League. The G.O. Repair! Program is funded with general obligation bonds and provides up to \$20,000 in home repair assistance. This program is administered by the City's nonprofit partners who comprise the Austin Housing Repair Coalition. The remaining programs, ABR Owner, ABR Renter, and HRLP, are administered by AHFC staff. All home repair programs serve households at or below 80% MFI.

In addition to providing direct assistance to low- and moderate-income homeowners, NHCD collaborates with Austin Energy, Austin Water Utility and the City's Code Compliance department to offer comprehensive services promoting healthier homes. All of these City departments participate in the Austin Housing Repair Coalition and are actively involved in cross-departmental referrals and collaboration.

NHCD is working to produce a comprehensive strategy to preserve affordability by coordinating with local partners to identify and preserve affordable housing.

## CR-55 - HOPWA 91.520(e)

*Identify the number of individuals assisted and the types of assistance provided.*

**Table for report on the one-year goals for the number of households provided housing through the use of HOPWA activities.**

Number of Households Served Through:	One-year Goal	Actual
Short-term rent, mortgage, and utility assistance to prevent homelessness of the individual or family	85	107
Tenant-based rental assistance	65	61
Units provided in permanent housing facilities developed, leased, or operated with HOPWA funds	60	35
Units provided in transitional short-term housing facilities developed, leased, or operated with HOPWA funds (Initially comprised of Short-term Supported Housing Assistance and Facility Based Transitional Housing. Now comprised of Hotel/Motel Assistance)	60	91
<b>Total</b>	<b>270</b>	<b>294</b>

**Table 10 – HOPWA Number of Households Served**

During FY 18-19, HUD conducted onsite monitoring of the City's HOPWA program. As a result of the monitoring, some service category names were amended to better reflect the services provided. The revised service categories are featured below.

**Short-Term Rent, Mortgage, and Utility (STRMU) Assistance Payments** provided short-term assistance to prevent homelessness of the tenant or homeowner. It helped maintain a stable living environment for the households who experienced financial crisis and possible loss of their housing arrangements. The STRMU program exceeded the annual goal, in part, due to rising rental costs and the lack of affordable rental properties in the Austin area. Of the total households assisted, 89% received assistance with rent payments.

**Tenant-Based Rental Assistance (TBRA)** provided rental assistance to meet the needs of eligible persons living with HIV/AIDS and their families. The TBRA program did not meet the annual production goal partly due to the continued need for TBRA assistance and lack of available options for clients to exit the program. TBRA clients are required to apply for Section 8 assistance and other affordable housing programs and must accept entrance into those programs if it is offered.

**Hotel/Motel Assistance (H/M)** was previously reported as Short-term Supported Housing Assistance. Per guidance received from HUD, the service was reclassified as Hotel/Motel Assistance. H/M provided up to 60 days of short-term emergency housing to homeless families or individuals living with HIV/AIDS. This helps the client stabilize and allows the opportunity to develop an individualized housing and service plan to guide the client's linkage to permanent housing. H/M exceeded its annual goal due to the large number of households in need of stabilization.

**Facility Based Housing (FBH)** was previously reported as Facility Based Transitional Housing. Per guidance received from HUD, the service was reclassified as Facility Based Housing. FBH provided services for people living with HIV/AIDS and their families. FBH did not meet its annual goal partly due to the high barriers faced by clients in this program and the lack of available options for clients to exit the program. The project sponsor

that provides FBH is also beginning construction on two new facilities that will more than double the number of available FBH units for clients in the future. As a result, the project sponsor did not accept new applicants into the program beyond July 2019 in preparation of transitioning current residents into alternate housing while the new facility undergoes expansion.

## **CR-60 - ESG 91.520(g) (ESG Recipients only)**

ESG Supplement to the CAPER in e-snaps. For Paperwork Reduction Act

### **Recipient Information—All Recipients Complete Basic Grant Information**

**Recipient Name:** AUSTIN

**Organizational DUNS Number** 942230764 EIN/TIN Number 746000085

**Identify the Field Office:** SAN ANTONIO

### **Identify CoC(s) in which the recipient or subrecipient(s) will provide ESG assistance:**

**ESG Contact Name:**

**First Name:** Natasha

**Middle Name:** E.

**Last Name:** Ponczek Shoemake

**Title:** APH Contract Management Specialist III

**ESG Contact Address**

**Street Address 1:** PO Box 1088

**Street Address 2:**

**City:** Austin

**State:** TX

**ZIP Code** 78767

**Phone Number:** 512-972-5027

**Fax Number:** 512-972-5025

**Email Address:** [Natasha.ponczek@austintexas.gov](mailto:Natasha.ponczek@austintexas.gov)

### **ESG Secondary Contact Prefix:**

**First Name:** Leslie

**Last Name:** Boyd

**Title:** Grants Program Manager

**Phone Number:** 512-972-5036

**Email Address:** [Leslie.boyd@austintexas.gov](mailto:Leslie.boyd@austintexas.gov)

## **2. Reporting Period—All Recipients Complete Program Year Start Date 10/01/2018**

**Program Year End Date** 09/30/2019

### **3a. Subrecipient Form – Complete one form for each subrecipient**

**Subrecipient or Contractor Name:** Front Steps, Inc.

**City:** Austin

**State:** Texas

**Zip Code:** 78701

**DUNS Number** 071056936

**Is subrecipient a VAWA-DV provider** No

**Subrecipient Organization Type** Non-Profit

**ESG Subgrant or Contract Award Amount FY 18-19** \$542,015

**Subrecipient or Contractor Name:**

City of Austin Downtown Austin Community Court

**City:** Austin

**State:** Texas

**Zip Code:** 78701

**DUNS Number** 066432683

**Is subrecipient a VAWA-DV provider** No

**Subrecipient Organization Type** Municipal Government

**ESG Subgrant or Contract Award Amount** FY 18-19 \$126,427

**Subrecipient or Contractor Name**

Austin Public Health, Communicable Disease Unit

**City:** Austin

**State:** Texas

**Zip Code:** 78701

**DUNS Number** 945607265

**Is subrecipient a VAWA-DV provider** No

**Subrecipient Organization Type** Municipal Government

**ESG Subgrant or Contract Award Amount** FY 18-19 \$96,760

## CR-65 - Persons Assisted

### Note Regarding Sage

HUD released updated CAPER requirements for the ESG Program in 2017. HUD now requires ESG recipients to report aggregated subrecipient program information through the Sage Homeless Management Information System (HMIS) reporting repository. The information in Sage is sourced from HMIS and produces statistical information on clients receiving homeless assistance. The Sage report replaces section CR-65 and is featured in **Attachment 1**.

## 4. Persons Served

### 4a. Complete for Homelessness Prevention Activities

\*No ESG expenditures are used for homelessness prevention activities.

Number of Persons in Households	Total
Adults	0
Children	0
Don't Know/Refused/Other	0
Missing Information	0
<b>Total</b>	<b>0</b>

Table 11 – Household Information for Homeless Prevention Activities

### 4b. Complete for Rapid Re-Housing Activities

Number of Persons in Households	Total
Adults	0
Children	0
Don't Know/Refused/Other	0
Missing Information	0
<b>Total</b>	<b>0</b>

Table 12 – Household Information for Rapid Re-Housing Activities

### 4c. Complete for Shelter

Number of Persons in Households	Total
Adults	0
Children	0
Don't Know/Refused/Other	0
Missing Information	0
<b>Total</b>	<b>0</b>

Table 13 – Shelter Information



#### 4d. Street Outreach

Number of Persons in Households	Total
Adults	0
Children	0
Don't Know/Refused/Other	0
Missing Information	0
<b>Total</b>	<b>0</b>

Table 14 – Household Information for Street Outreach

#### 4e. Totals for all Persons Served with ESG

Number of Persons in Households	Total
Adults	0
Children	0
Don't Know/Refused/Other	0
Missing Information	0
<b>Total</b>	<b>0</b>

Table 15 – Household Information for Persons Served with ESG

#### 5. Gender—Complete for All Activities

	Total
Male	0
Female	0
Transgender	0
Don't Know/Refused/Other	0
Missing Information	0
<b>Total</b>	<b>0</b>

Table 16 - Gender Information

#### 6. Age—Complete for All Activities

	Total
Under 18	0
18-24	0
25 and over	0
Don't Know/Refused/Other	0
Missing Information	0
<b>Total</b>	<b>0</b>

Table 17 – Age Information

## 7. Special Populations Served—Complete for All Activities

\*No ESG expenditures are used for homelessness prevention activities.

### Number of Persons in Households

Subpopulation	Total	Total Persons Served Prevention*	Total Persons Served RRH	Total Persons Served in Emergency Shelters
Veterans				
Victims of Domestic Violence				
Elderly				
HIV/AIDS				
Chronically Homeless				
<b>Persons with Disabilities:</b>				
Severely Mentally Ill				
Chronic Substance Abuse				
Other Disability				
Total (Unduplicated if possible)				

Table 18– Special Population Served

## CR-70 – ESG 91.520(g) - Assistance Provided and Outcomes

### 8. Shelter Utilization

Number of New Units - Rehabbed	0
Number of New Units - Conversion	0
Total Number of bed-nights available	83,950
Total Number of bed-nights provided	55,915
Capacity Utilization	67%

Table 19 – Shelter Capacity

### 9. Project Outcomes Data measured under the performance standards developed in consultation with the CoC(s)

#### ESG Shelter Operations Performance Outcome FY 2018-2019

Outcome #1:	ACCOMPLISHMENTS
NUMERATOR: Number of case-managed households that transition from homelessness into housing	65
DENOMINATOR: Number of households that exit the program	107
OUTCOME RATE: Percent of case-managed households that transition from homelessness into housing who reside at the Austin Resource Center for the Homeless	61%

#### ESG Rapid Rehousing Program Rollup FY 2018-2019

NUMERATOR: Number of unduplicated clients who obtain safe and stable housing as a result of participating in Rapid Rehousing services (numerator)	ACCOMPLISHMENTS
Communicable Disease Unit	23
Downtown Austin Community Court	11
Front Steps	28
<b>Subtotal</b>	<b>62</b>

DENOMINATOR: Number of unduplicated clients who participate in Rapid Rehousing services	ACCOMPLISHMENTS
Communicable Disease Unit	26
Downtown Austin Community Court	20
Front Steps	30
<b>Subtotal</b>	<b>76</b>
Percentage of unduplicated clients who obtain safe and stable housing as a result of participating in Rapid Rehousing services (outcome rate)	82%

## CR-75 – Expenditures

### 11. Expenditures

#### 11a. ESG Expenditures for Homelessness Prevention

\*No ESG expenditures are used for homelessness prevention activities.

	Dollar Amount of Expenditures in Program Year		
	2016	2017	2018
Expenditures for Rental Assistance	0	0	0
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	0	0	0
Expenditures for Housing Relocation & Stabilization Services - Services	0	0	0
Expenditures for Homeless Prevention under Emergency Shelter Grants Program	0	0	0
<b>Subtotal Homelessness Prevention</b>	0	0	0

#### 11b. ESG Expenditures for Rapid Re-Housing

	Dollar Amount of Expenditures in Program Year		
	2016	2017	2018
Expenditures for Rental Assistance	0	\$94,917	\$4,995
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	0	\$64,178	\$8,481
Expenditures for Housing Relocation & Stabilization Services - Services	0	\$132,305	\$131,553
Expenditures for Homeless Assistance under Emergency Shelter Grants Program	0	0	0
<b>Subtotal Rapid Re-Housing</b>	0	\$291,400	\$145,029

#### 11c. ESG Expenditures for Emergency Shelter

	Dollar Amount of Expenditures in Program Year		
	2016	2017	2018
Essential Services	0	0	0
Operations	0	0	\$279,625
Renovation	0	0	0
Major Rehab	0	0	0
Conversion	0	0	0
<b>Subtotal</b>	0	\$0	\$279,625

**11d. Other Grant Expenditures**

	Dollar Amount of Expenditures in Program Year		
	2016	2017	2018
Street Outreach	0	0	0
HMIS	0	\$40,287	\$8,862
Administration	0	\$4,872	\$24,363

**11e. Total ESG Grant Funds**

Total ESG Funds Expended	2016	2017	2018
	0	\$336,559	\$457,879

**11f. Match Source**

	2016	2017	2018
Other Non-ESG HUD Funds	0	0	0
Other Federal Funds	0	0	0
State Government	0	0	0
Local Government	0	\$310,288	\$457,878
Private Funds	0	0	0
Other	0	0	0
Fees	0	0	0
Program Income	0	0	0
<b>Total Match Amount</b>	0	\$310,288	\$457,878

**11g. Total**

Total Amount of Funds Expended on ESG Activities	2016	2017	2018
	0	\$646,847	\$915,757

**Attachment 1:** ESG Program Report (Sage) and Subrecipient Information



HUD ESG CAPER FY2020

Grant: **ESG: Austin - TX - Report** Type: **CAPER**

Report Date Range

10/1/2018 to 9/30/2019

Q01a. Contact Information

First name	NATASHA
Middle name	
Last name	Ponczek SHOEMAKE
Suffix	
Title	
Street Address 1	7201 Levander Loop, Building H
Street Address 2	
City	AUSTIN
State	Texas
ZIP Code	78701
E-mail Address	Natasha.ponczek@austintexas.gov
Phone Number	(512)972-5027
Extension	
Fax Number	

Q01b. Grant Information

As of 10/4/2019

ESG Information from IDIS	FISCAL YEAR	GRANT NUMBER	CURRENT AUTHORIZED AMOUNT	TOTAL DRAWN	BALANCE	OBLIGATION DATE	EXPENDITURE DEADLINE
	2019	E19MC480500	\$669,980.00	\$0	\$669,980.00	9/4/2019	9/4/2021
	2018	E18MC480500	\$647,777.00	\$457,877.80	\$189,899.20	10/3/2018	10/3/2020
	2017	E17MC480500	\$886,287.00	\$886,287.00	\$0	9/22/2017	9/22/2019
	2016	E16MC480500	\$637,196.00	\$637,196.00	\$0	9/29/2016	9/29/2018
	2015	E15MC480500	\$622,474.00	\$622,474.00	\$0	9/30/2015	9/30/2017
	2014	E14MC480500	\$583,706.00	\$583,706.00	\$0	9/24/2014	9/24/2016
	2013	E13MC480500	\$488,262.00	\$488,262.00	\$0	9/30/2013	9/30/2015
	2012	E12MC480500	\$595,612.00	\$595,612.00	\$0	10/26/2012	10/26/2014
	2011						
	Total		\$5,131,294.00	\$4,271,414.80	\$859,879.20		

CAPER reporting includes funds used from fiscal year:

Project types carried out during the program year:

Enter the number of each type of projects funded through ESG during this program year.	
Street Outreach	0
Emergency Shelter	1
Transitional Housing (grandfathered under ES)	0
Day Shelter (funded under ES)	0
Rapid Re-Housing	3
Homelessness Prevention	0

Q01c. Additional Information

HMIS

Comparable Database

Are 100% of the project(s) funded through ESG, which are allowed to use HMIS, entering data into HMIS?	Yes
Have all of the projects entered data into Sage via a CSV - CAPER Report upload?	Yes
Are 100% of the project(s) funded through ESG, which are allowed to use a comparable database, entering data into the comparable database?	Yes
Have all of the projects entered data into Sage via a CSV - CAPER Report upload?	Yes

## Q04a: Project Identifiers in HMIS

Organization Name	Organization ID	Project Name	Project ID	HMIS Project Type	Method for Tracking ES	Affiliated with a residential project	Project IDs of affiliations	CoC Number	Geocode	Victim Service Provider	HMIS Software Name	Report Start Date	Report End Date	CSV Exception?	Upload via email hyperlink
DACC	3984	DACC ESG RRH	9323	13				TX-503	480264	0	ServicePoint	2018-10-01	2019-09-30	Yes	Yes
City of Austin Health and Human Services	4032	HHSC - ESG RRH	9324	13				TX-503	480264	0	ServicePoint	2018-10-01	2019-09-30	Yes	Yes
FRONT STEPS	146	Front Steps - Emergency Night Shelter	9238	1	3			TX-503	480264	0	ServicePoint	2018-10-01	2019-09-30	Yes	Yes
FRONT STEPS	146	Front Steps - RRH	9322	13				TX-503	480264	0	ServicePoint	2018-10-01	2019-09-30	Yes	Yes

## Q05a: Report Validations Table

Total Number of Persons Served	1514
Number of Adults (Age 18 or Over)	1513
Number of Children (Under Age 18)	1
Number of Persons with Unknown Age	0
Number of Leavers	1333
Number of Adult Leavers	1333
Number of Adult and Head of Household Leavers	1333
Number of Stayers	181
Number of Adult Stayers	180
Number of Veterans	185
Number of Chronically Homeless Persons	608
Number of Youth Under Age 25	81
Number of Parenting Youth Under Age 25 with Children	0
Number of Adult Heads of Household	1504
Number of Child and Unknown-Age Heads of Household	0
Heads of Households and Adult Stayers in the Project 365 Days or More	33

## Q06a: Data Quality: Personally Identifying Information (PII)

Data Element	Client Doesn't Know/Refused	Information Missing	Data Issues	Total	% of Error Rate
Name	0	5	0	5	0.33 %
Social Security Number	50	13	83	146	9.64 %
Date of Birth	0	2	3	5	0.33 %
Race	36	5	0	41	2.71 %
Ethnicity	7	2	0	9	0.59 %
Gender	0	0	0	0	0.00 %
Overall Score				182	12.02 %

## Q06b: Data Quality: Universal Data Elements

	Error Count	% of Error Rate
Veteran Status	17	1.12 %
Project Start Date	0	0.00 %
Relationship to Head of Household	10	0.66 %
Client Location	0	0.00 %
Disabling Condition	204	13.47 %

## Q06c: Data Quality: Income and Housing Data Quality

	Error Count	% of Error Rate
Destination	1220	91.52 %
Income and Sources at Start	147	9.77 %
Income and Sources at Annual Assessment	32	96.97 %
Income and Sources at Exit	86	6.45 %



**Q06d: Data Quality: Chronic Homelessness**

	Count of Total Records	Missing Time in Institution	Missing Time in Housing	Approximate Date Started DK/R/missing	Number of Times DK/R/missing	Number of Months DK/R/missing	% of Records Unable to Calculate
ES, SH, Street Outreach	1395	0	0	184	89	107	16.00 %
TH	0	0	0	0	0	0	--
PH (All)	106	0	0	0	0	2	1.93 %
Total	1501	0	0	0	0	0	15.01 %

**Q06e: Data Quality: Timeliness**

	Number of Project Start Records	Number of Project Exit Records
0 days	640	39
1-3 Days	224	10
4-6 Days	188	6
7-10 Days	173	3
11+ Days	96	1275

**Q06f: Data Quality: Inactive Records: Street Outreach & Emergency Shelter**

	# of Records	# of Inactive Records	% of Inactive Records
Contact (Adults and Heads of Household in Street Outreach or ES - NBN)	83	83	100.00 %
Bed Night (All Clients in ES - NBN)	83	0	0.00 %

**Q07a: Number of Persons Served**

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Adults	1513	1511	2	0	0
Children	1	0	1	0	0
Client Doesn't Know/ Client Refused	0	0	0	0	0
Data Not Collected	0	0	0	0	0
Total	1514	1511	3	0	0
For PSH & RRH – the total persons served who moved into housing	57	57	0	0	0

**Q08a: Households Served**

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Total Households	1504	1503	1	0	0
For PSH & RRH – the total households served who moved into housing	57	57	0	0	0

**Q08b: Point-in-Time Count of Households on the Last Wednesday**

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
January	251	251	0	0	0
April	206	206	0	0	0
July	215	215	0	0	0
October	229	229	0	0	0

**Q09a: Number of Persons Contacted**

	All Persons Contacted	First contact – NOT staying on the Streets, ES, or SH	First contact – WAS staying on Streets, ES, or SH	First contact – Worker unable to determine
Once	0	0	0	0
2-5 Times	0	0	0	0
6-9 Times	0	0	0	0
10+ Times	0	0	0	0
Total Persons Contacted	0	0	0	0

**Q09b: Number of Persons Engaged**

	All Persons Contacted	First contact – NOT staying on the Streets, ES, or SH	First contact – WAS staying on Streets, ES, or SH	First contact – Worker unable to determine
Once	0	0	0	0
2-5 Contacts	0	0	0	0
6-9 Contacts	0	0	0	0
10+ Contacts	0	0	0	0
Total Persons Engaged	0	0	0	0
Rate of Engagement	0.00	0.00	0.00	0.00

**Q10a: Gender of Adults**

	Total	Without Children	With Children and Adults	Unknown Household Type
Male	1490	1489	1	0
Female	15	14	1	0
Trans Female (MTF or Male to Female)	0	0	0	0
Trans Male (FTM or Female to Male)	3	3	0	0
Gender Non-Conforming (i.e. not exclusively male or female)	5	5	0	0
Client Doesn't Know/Client Refused	0	0	0	0
Data Not Collected	0	0	0	0
Subtotal	1513	1511	2	0

**Q10b: Gender of Children**

	Total	With Children and Adults	With Only Children	Unknown Household Type
Male	1	1	0	0
Female	0	0	0	0
Trans Female (MTF or Male to Female)	0	0	0	0
Trans Male (FTM or Female to Male)	0	0	0	0
Gender Non-Conforming (i.e. not exclusively male or female)	0	0	0	0
Client Doesn't Know/Client Refused	0	0	0	0
Data Not Collected	0	0	0	0
Subtotal	1	1	0	0

**Q10c: Gender of Persons Missing Age Information**

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Male	0	0	0	0	0
Female	0	0	0	0	0
Trans Female (MTF or Male to Female)	0	0	0	0	0
Trans Male (FTM or Female to Male)	0	0	0	0	0
Gender Non-Conforming (i.e. not exclusively male or female)	0	0	0	0	0
Client Doesn't Know/Client Refused	0	0	0	0	0
Data Not Collected	0	0	0	0	0
Subtotal	0	0	0	0	0

**Q10d: Gender by Age Ranges**

	Total	Under Age 18	Age 18-24	Age 25-61	Age 62 and over	Client Doesn't Know/ Client Refused	Data Not Collected
Male	1491	1	77	1252	161	0	0
Female	15	0	1	12	2	0	0
Trans Female (MTF or Male to Female)	3	0	1	2	0	0	0
Trans Male (FTM or Female to Male)	0	0	0	0	0	0	0
Gender Non-Conforming (i.e. not exclusively male or female)	5	0	2	3	0	0	0
Client Doesn't Know/Client Refused	0	0	0	0	0	0	0
Data Not Collected	0	0	0	0	0	0	0
Subtotal	1514	1	81	1269	163	0	0

## Q11: Age

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Under 5	0	0	0	0	0
5 - 12	0	0	0	0	0
13 - 17	1	0	1	0	0
18 - 24	81	81	0	0	0
25 - 34	274	274	0	0	0
35 - 44	314	312	2	0	0
45 - 54	388	388	0	0	0
55 - 61	293	293	0	0	0
62+	163	163	0	0	0
Client Doesn't Know/Client Refused	0	0	0	0	0
Data Not Collected	0	0	0	0	0
Total	1514	1511	3	0	0

## Q12a: Race


	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
White	772	770	2	0	0
Black or African American	581	580	1	0	0
Asian	7	7	0	0	0
American Indian or Alaska Native	19	19	0	0	0
Native Hawaiian or Other Pacific Islander	9	9	0	0	0
Multiple Races	74	74	0	0	0
Client Doesn't Know/Client Refused	47	47	0	0	0
Data Not Collected	5	5	0	0	0
Total	1514	1511	3	0	0

## Q12b: Ethnicity

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Non-Hispanic/Non-Latino	1175	1173	2	0	0
Hispanic/Latino	330	329	1	0	0
Client Doesn't Know/Client Refused	7	7	0	0	0
Data Not Collected	2	2	0	0	0
Total	1514	1511	3	0	0


## Q13a1: Physical and Mental Health Conditions at Start

	Total Persons	Without Children	Adults in HH with Children & Adults	Children in HH with Children & Adults	With Children and Adults 	With Only Children	Unknown Household Type
Mental Health Problem	631	629	2	0	--	0	0
Alcohol Abuse	114	114	0	0	--	0	0
Drug Abuse	162	160	2	0	--	0	0
Both Alcohol and Drug Abuse	200	200	0	0	--	0	0
Chronic Health Condition	513	510	2	1	--	0	0
HIV/AIDS	70	69	1	0	--	0	0
Developmental Disability	232	231	1	0	--	0	0
Physical Disability	523	521	1	1	--	0	0

 The "With Children and Adults" column is retired as of 10/1/2019 and replaced with the columns "Adults in HH with Children & Adults" and "Children in HH with Children & Adults".

## Q13b1: Physical and Mental Health Conditions at Exit

	Total Persons	Without Children	Adults in HH with Children & Adults	Children in HH with Children & Adults	With Children and Adults 	With Only Children	Unknown Household Type
Mental Health Problem	570	570	0	0	--	0	0
Alcohol Abuse	111	111	0	0	--	0	0
Drug Abuse	145	145	0	0	--	0	0
Both Alcohol and Drug Abuse	191	191	0	0	--	0	0
Chronic Health Condition	454	454	0	0	--	0	0
HIV/AIDS	51	51	0	0	--	0	0
Developmental Disability	208	208	0	0	--	0	0
Physical Disability	469	469	0	0	--	0	0

 The "With Children and Adults" column is retired as of 10/1/2019 and replaced with the columns "Adults in HH with Children & Adults" and "Children in HH with Children & Adults".

**Q13c1: Physical and Mental Health Conditions for Stayers**

	Total Persons	Without Children	Adults in HH with Children & Adults	Children in HH with Children & Adults	With Children and Adults ☺	With Only Children	Unknown Household Type
Mental Health Problem	101	99	2	0	--	0	0
Alcohol Abuse	16	16	0	0	--	0	0
Drug Abuse	31	29	2	0	--	0	0
Both Alcohol and Drug Abuse	23	23	0	0	--	0	0
Chronic Health Condition	91	88	2	1	--	0	0
HIV/AIDS	22	21	1	0	--	0	0
Developmental Disability	35	34	1	0	--	0	0
Physical Disability	89	87	1	1	--	0	0

☺ The "With Children and Adults" column is retired as of 10/1/2019 and replaced with the columns "Adults in HH with Children & Adults" and "Children in HH with Children & Adults".


**Q14a: Domestic Violence History**


	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Yes	240	238	2	0	0
No	1233	1233	0	0	0
Client Doesn't Know/Client Refused	9	9	0	0	0
Data Not Collected	31	31	0	0	0
Total	1513	1511	2	0	0

**Q14b: Persons Fleeing Domestic Violence**

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Yes	50	49	1	0	0
No	157	157	0	0	0
Client Doesn't Know/Client Refused	0	0	0	0	0
Data Not Collected	33	32	1	0	0
Total	240	238	2	0	0

## Q15: Living Situation

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
<b>Homeless Situations</b>	0	0	0	0	0
Emergency shelter, including hotel or motel paid for with emergency shelter voucher	447	447	0	0	0
Transitional housing for homeless persons (including homeless youth)	12	12	0	0	0
Place not meant for habitation	757	755	2	0	0
Safe Haven	8	8	0	0	0
Host Home (non-crisis)	0	0	0	0	0
Interim Housing 	0	0	0	0	0
<b>Subtotal</b>	1224	1222	2	0	0
<b>Institutional Settings</b>	0	0	0	0	0
Psychiatric hospital or other psychiatric facility	19	19	0	0	0
Substance abuse treatment facility or detox center	11	11	0	0	0
Hospital or other residential non-psychiatric medical facility	35	35	0	0	0
Jail, prison or juvenile detention facility	37	37	0	0	0
Foster care home or foster care group home	0	0	0	0	0
Long-term care facility or nursing home	1	1	0	0	0
Residential project or halfway house with no homeless criteria	4	4	0	0	0
<b>Subtotal</b>	107	107	0	0	0
<b>Other Locations</b>	0	0	0	0	0
Permanent housing (other than RRH) for formerly homeless persons	2	2	0	0	0
Owned by client, no ongoing housing subsidy	1	1	0	0	0
Owned by client, with ongoing housing subsidy	0	0	0	0	0
Rental by client, with RRH or equivalent subsidy	0	0	0	0	0
Rental by client, with HCV voucher (tenant or project based)	0	0	0	0	0
Rental by client in a public housing unit	0	0	0	0	0
Rental by client, no ongoing housing subsidy	17	17	0	0	0
Rental by client, with VASH subsidy	1	1	0	0	0
Rental by client with GPD TIP subsidy	0	0	0	0	0
Rental by client, with other housing subsidy (including RRH)	3	3	0	0	0
Hotel or motel paid for without emergency shelter voucher	42	42	0	0	0
Staying or living in a friend's room, apartment or house	44	44	0	0	0
Staying or living in a family member's room, apartment or house	38	38	0	0	0
Client Doesn't Know/Client Refused	13	13	0	0	0
Data Not Collected	21	21	0	0	0
<b>Subtotal</b>	182	182	0	0	0
<b>Total</b>	1513	1511	2	0	0

 Interim housing is retired as of 10/1/2019.

## Q16: Cash Income - Ranges

	Income at Start	Income at Latest Annual Assessment for Stayers	Income at Exit for Leavers
No income	939	1	828
\$1 - \$150	8	0	5
\$151 - \$250	13	0	12
\$251 - \$500	39	0	38
\$501 - \$1000	243	0	239
\$1,001 - \$1,500	91	0	79
\$1,501 - \$2,000	54	0	46
\$2,001+	45	0	41
Client Doesn't Know/Client Refused	2	0	0
Data Not Collected	79	1	45
Number of Adult Stayers Not Yet Required to Have an Annual Assessment	0	147	0
Number of Adult Stayers Without Required Annual Assessment	0	31	0
<b>Total Adults</b>	1513	180	1333

**Q17: Cash Income - Sources**

	Income at Start	Income at Latest Annual Assessment for Stayers	Income at Exit for Leavers
Earned Income	152	0	145
Unemployment Insurance	4	0	3
SSI	210	0	194
SSDI	151	0	136
VA Service-Connected Disability Compensation	27	0	24
VA Non-Service Connected Disability Pension	6	0	5
Private Disability Insurance	0	0	0
Worker's Compensation	0	0	0
TANF or Equivalent	0	0	0
General Assistance	0	0	0
Retirement (Social Security)	27	0	27
Pension from Former Job	3	0	1
Child Support	1	0	1
Alimony (Spousal Support)	0	0	0
Other Source	20	0	17
Adults with Income Information at Start and Annual Assessment/Exit	0	2	8

**Q19b: Disabling Conditions and Income for Adults at Exit**

	AO: Adult with Disabling Condition	AO: Adult without Disabling Condition	AO: Total Adults	AO: % with Disabling Condition by Source	AC: Adult with Disabling Condition	AC: Adult without Disabling Condition	AC: Total Adults	AC: % with Disabling Condition by Source	UK: Adult with Disabling Condition	UK: Adult without Disabling Condition	UK: Total Adults	UK: % with Disabling Condition by Source
Earned Income	86	59	146	58.48 %	0	0	0	--	0	0	0	--
Supplemental Security Income (SSI)	166	31	198	83.72 %	0	0	0	--	0	0	0	--
Social Security Disability Insurance (SSDI)	125	12	138	90.87 %	0	0	0	--	0	0	0	--
VA Service-Connected Disability Compensation	30	3	34	88.09 %	0	0	0	--	0	0	0	--
Private Disability Insurance	9	1	11	82.00 %	0	0	0	--	0	0	0	--
Worker's Compensation	9	1	11	82.00 %	0	0	0	--	0	0	0	--
Temporary Assistance for Needy Families (TANF)	9	1	11	82.00 %	0	0	0	--	0	0	0	--
Retirement Income from Social Security	27	9	37	72.86 %	0	0	0	--	0	0	0	--
Pension or retirement income from a former job	9	2	12	75.17 %	0	0	0	--	0	0	0	--
Child Support	9	2	12	75.17 %	0	0	0	--	0	0	0	--
Other source	27	6	34	79.29 %	0	0	0	--	0	0	0	--
No Sources	404	403	810	50.07 %	0	0	0	--	0	0	0	--
Unduplicated Total Adults	704	507	1211		0	0	0		0	0	0	

**Q20a: Type of Non-Cash Benefit Sources**

	Benefit at Start	Benefit at Latest Annual Assessment for Stayers	Benefit at Exit for Leavers
Supplemental Nutritional Assistance Program	415	1	394
WIC	9	0	9
TANF Child Care Services	0	0	0
TANF Transportation Services	0	0	0
Other TANF-Funded Services	0	0	0
Other Source	11	0	10

**Q21: Health Insurance**

	At Start	At Annual Assessment for Stayers	At Exit for Leavers
Medicaid	246	0	225
Medicare	159	0	151
State Children's Health Insurance Program	0	0	0
VA Medical Services	76	0	77
Employer Provided Health Insurance	11	0	8
Health Insurance Through COBRA	0	0	0
Private Pay Health Insurance	3	0	2
State Health Insurance for Adults	0	0	0
Indian Health Services Program	0	0	0
Other	52	0	48
No Health Insurance	1061	2	916
Client Doesn't Know/Client Refused	4	0	2
Data Not Collected	47	31	30
Number of Stayers Not Yet Required to Have an Annual Assessment	0	148	0
1 Source of Health Insurance	295	0	277
More than 1 Source of Health Insurance	122	0	114

**Q22a2: Length of Participation – ESG Projects**

	Total	Leavers	Stayers
0 to 7 days	707	705	2
8 to 14 days	133	130	3
15 to 21 days	76	75	1
22 to 30 days	63	58	5
31 to 60 days	141	131	10
61 to 90 days	90	69	21
91 to 180 days	153	98	55
181 to 365 days	140	64	76
366 to 730 days (1-2 Yrs)	11	3	8
731 to 1,095 days (2-3 Yrs)	0	0	0
1,096 to 1,460 days (3-4 Yrs)	0	0	0
1,461 to 1,825 days (4-5 Yrs)	0	0	0
More than 1,825 days (> 5 Yrs)	0	0	0
Data Not Collected	0	0	0
Total	1514	1333	181

**Q22c: Length of Time between Project Start Date and Housing Move-in Date**

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
7 days or less	7	7	0	0	0
8 to 14 days	3	3	0	0	0
15 to 21 days	1	1	0	0	0
22 to 30 days	2	2	0	0	0
31 to 60 days	2	2	0	0	0
61 to 180 days	17	17	0	0	0
181 to 365 days	3	3	0	0	0
366 to 730 days (1-2 Yrs)	0	0	0	0	0
Total (persons moved into housing)	35	35	0	0	0
Average length of time to housing	82.03	82.03	--	--	--
Persons who were exited without move-in	16	16	0	0	0
Total persons	51	51	0	0	0

**Q22d: Length of Participation by Household Type**

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
7 days or less	707	707	0	0	0
8 to 14 days	133	133	0	0	0
15 to 21 days	76	76	0	0	0
22 to 30 days	63	63	0	0	0
31 to 60 days	141	141	0	0	0
61 to 90 days	90	90	0	0	0
91 to 180 days	153	150	3	0	0
181 to 365 days	140	140	0	0	0
366 to 730 days (1-2 Yrs)	11	11	0	0	0
731 to 1,095 days (2-3 Yrs)	0	0	0	0	0
1,096 to 1,460 days (3-4 Yrs)	0	0	0	0	0
1,461 to 1,825 days (4-5 Yrs)	0	0	0	0	0
More than 1,825 days (> 5 Yrs)	0	0	0	0	0
Data Not Collected	0	0	0	0	0
Total	1514	1511	3	0	0

**Q22e: Length of Time Prior to Housing - based on 3.917 Date Homelessness Started**

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
7 days or less	263	263	0	0	0
8 to 14 days	26	26	0	0	0
15 to 21 days	24	24	0	0	0
22 to 30 days	25	25	0	0	0
31 to 60 days	64	64	0	0	0
61 to 180 days	150	150	0	0	0
181 to 365 days	151	151	0	0	0
366 to 730 days (1-2 Yrs)	206	206	0	0	0
731 days or more	360	360	0	0	0
Total (persons moved into housing)	1269	1269	0	0	0
Not yet moved into housing	50	47	3	0	0
Data not collected	195	195	0	0	0
Total persons	1514	1511	3	0	0



## Q23c: Exit Destination – All persons

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
<b>Permanent Destinations</b>	0	0	0	0	0
Moved from one HOPWA funded project to HOPWA PH	0	0	0	0	0
Owned by client, no ongoing housing subsidy	0	0	0	0	0
Owned by client, with ongoing housing subsidy	0	0	0	0	0
Rental by client, no ongoing housing subsidy	6	6	0	0	0
Rental by client, with VASH housing subsidy	1	1	0	0	0
Rental by client, with GPD TIP housing subsidy	0	0	0	0	0
Rental by client, with other ongoing housing subsidy	6	6	0	0	0
Permanent housing (other than RRH) for formerly homeless persons	2	2	0	0	0
Staying or living with family, permanent tenure	5	5	0	0	0
Staying or living with friends, permanent tenure	2	2	0	0	0
Rental by client, with RRH or equivalent subsidy	20	20	0	0	0
Rental by client, with HCV voucher (tenant or project based)	0	0	0	0	0
Rental by client in a public housing unit	0	0	0	0	0
<b>Subtotal</b>	42	42	0	0	0
<b>Temporary Destinations</b>	0	0	0	0	0
Emergency shelter, including hotel or motel paid for with emergency shelter voucher	10	10	0	0	0
Moved from one HOPWA funded project to HOPWA TH	0	0	0	0	0
Transitional housing for homeless persons (including homeless youth)	2	2	0	0	0
Staying or living with family, temporary tenure (e.g. room, apartment or house)	3	3	0	0	0
Staying or living with friends, temporary tenure (e.g. room, apartment or house)	0	0	0	0	0
Place not meant for habitation (e.g., a vehicle, an abandoned building, bus/train/subway station/airport or anywhere outside)	5	5	0	0	0
Safe Haven	0	0	0	0	0
Hotel or motel paid for without emergency shelter voucher	1	1	0	0	0
Host Home (non-crisis)	0	0	0	0	0
<b>Subtotal</b>	21	21	0	0	0
<b>Institutional Settings</b>	0	0	0	0	0
Foster care home or group foster care home	0	0	0	0	0
Psychiatric hospital or other psychiatric facility	1	1	0	0	0
Substance abuse treatment facility or detox center	0	0	0	0	0
Hospital or other residential non-psychiatric medical facility	0	0	0	0	0
Jail, prison, or juvenile detention facility	5	5	0	0	0
Long-term care facility or nursing home	0	0	0	0	0
<b>Subtotal</b>	6	6	0	0	0
<b>Other Destinations</b>	0	0	0	0	0
Residential project or halfway house with no homeless criteria	1	1	0	0	0
Deceased	0	0	0	0	0
Other	1	1	0	0	0
Client Doesn't Know/Client Refused	0	0	0	0	0
Data Not Collected (no exit interview completed)	1219	1219	0	0	0
<b>Subtotal</b>	1221	1221	0	0	0
<b>Total</b>	1290	1290	0	0	0
Total persons exiting to positive housing destinations	42	42	0	0	0
Total persons whose destinations excluded them from the calculation	0	0	0	0	0
Percentage	3.26 %	3.26 %	--	--	--

**Q24: Homelessness Prevention Housing Assessment at Exit**

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Able to maintain the housing they had at project start--Without a subsidy	0	0	0	0	0
Able to maintain the housing they had at project start--With the subsidy they had at project start	0	0	0	0	0
Able to maintain the housing they had at project start--With an on-going subsidy acquired since project start	0	0	0	0	0
Able to maintain the housing they had at project start--Only with financial assistance other than a subsidy	0	0	0	0	0
Moved to new housing unit--With on-going subsidy	0	0	0	0	0
Moved to new housing unit--Without an on-going subsidy	0	0	0	0	0
Moved in with family/friends on a temporary basis	0	0	0	0	0
Moved in with family/friends on a permanent basis	0	0	0	0	0
Moved to a transitional or temporary housing facility or program	0	0	0	0	0
Client became homeless -- moving to a shelter or other place unfit for human habitation	0	0	0	0	0
Client went to jail/prison	0	0	0	0	0
Client died	0	0	0	0	0
Client doesn't know/Client refused	0	0	0	0	0
Data not collected (no exit interview completed)	0	0	0	0	0
Total	0	0	0	0	0

**Q25a: Number of Veterans**

	Total	Without Children	With Children and Adults	Unknown Household Type
Chronically Homeless Veteran	66	66	0	0
Non-Chronically Homeless Veteran	119	119	0	0
Not a Veteran	1311	1309	2	0
Client Doesn't Know/Client Refused	3	3	0	0
Data Not Collected	14	14	0	0
Total	1513	1511	2	0

**Q26b: Number of Chronically Homeless Persons by Household**

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Chronically Homeless	608	608	0	0	0
Not Chronically Homeless	708	705	3	0	0
Client Doesn't Know/Client Refused	13	13	0	0	0
Data Not Collected	185	185	0	0	0
Total	1514	1511	3	0	0

**Attachment 2: ESG Program Standards**



**CITY OF AUSTIN**  
**Austin Public Health Department**

**EMERGENCY SOLUTIONS GRANT PROGRAM (ESG)**  
**PROGRAM STANDARDS AND GUIDELINES**

**A. ESG PROGRAM DESCRIPTION**

- I. Definitions
- II. General
- III. Eligible organizations
- IV. Ineligible Organizations
- V. Financial Terms
- VI. Matching Funds
- VII. Eligible Activities
- VIII. Client Eligibility
- IX. Emergency Shelter
- X. Rapid Rehousing and Other ESG-funded Services
- XI. Coordination Between Service Providers
- XII. Homeless Management Information System (HMIS)

**B. ESG PROGRAM MANAGEMENT**

- I. Grant Subaward Process
- II. Contracting
- III. Recordkeeping Requirements
- IV. Reporting Requirements
- V. Program Limitations
- VI. Performance Standards
- VII. Accessibility

## 1. **ESG PROGRAM DESCRIPTION**

### I. **Definitions** Terms used herein will have the following meanings:

#### **APH – Austin Public Health**

#### **At Risk of Homelessness-**

- (1) An individual or family who: (i) Has an annual income below 30% of median family income for the area; AND (ii) Does not have sufficient resources or support networks immediately available to prevent them from moving to an emergency shelter or another place defined in Category 1 of the “homeless” definition; AND (iii) Meets one of the following conditions: (A) Has moved because of economic reasons 2 or more times during the 60 days immediately preceding the application for assistance; OR (B) Is living in the home of another because of economic hardship; OR (C) Has been notified that their right to occupy their current housing or living situation will be terminated within 21 days after the date of application for assistance; OR (D) Lives in a hotel or motel and the cost is not paid for by charitable organizations or by Federal, State, or local government programs for low-income individuals; OR (E) Lives in an SRO or efficiency apartment unit in which there reside more than 2 persons or lives in a larger housing unit in which there reside more than one and a half persons per room; OR (F) Is exiting a publicly funded institution or system of care; OR (G) Otherwise lives in housing that has characteristics associated with instability and an increased risk of homelessness, as identified in the recipient’s approved Consolidated Plan;
- (2) A child or youth who does not qualify as homeless under the homeless definition, but qualifies as homeless under another Federal statute;
- (3) An unaccompanied youth who does not qualify as homeless under the homeless definition, but qualifies as homeless under section 725(2) of the McKinney-Vento Homeless Assistance Act, and the parent(s) or guardian(s) or that child or youth if living with him or her.

#### **CDO- Community Development Officer;**

#### **Chronically homeless means:**

(1) A “homeless individual with a disability,” as defined in section 401(9) of the McKinney-Vento Homeless Assistance Act (42 U.S.C. 11360(9)), who: (i) Lives in a place not meant for human habitation, a safe haven, or in an emergency shelter; and (ii) Has been homeless and living as described in paragraph (1)(i) of this definition continuously for at least 12 months or on at least 4 separate occasions in the last 3 years, as long as the combined occasions equal at least 12 months and each break in homelessness separating the occasions included at least 7 consecutive nights of not living as described in paragraph (1)(i). Stays in institutional care facilities for fewer than 90 days will not constitute as a break in homelessness, but rather such stays are included in the 12-month total, as long as the individual was living or residing in a place not meant for human habitation, a safe haven, or an emergency shelter immediately before entering the institutional care facility;

(2) An individual who has been residing in an institutional care facility, including a jail, substance abuse or mental health treatment facility, hospital, or other similar facility, for fewer than 90 days and met all of the criteria in paragraph (1) of this definition, before entering that facility; or

(3) A family with an adult head of household (or if there is no adult in the family, a minor head of household) who meets all of the criteria in paragraph (1) or (2) of this definition, including a family whose composition has fluctuated while the head of household has been homeless. (Updated 12-4-15)

#### **City- City of Austin;**

#### **ESG- Emergency Solutions Grant program;**

#### **Homeless Person(s)-**

- (1) An individual or family who lacks a fixed, regular, and adequate nighttime residence, meaning:

- (i) An individual or family with a primary nighttime residence that is a public or private place not designed for or ordinarily used as a regular sleeping accommodation for human beings, including a car, park, abandoned building, bus or train station, airport, or camping ground;
  - (ii) An individual or family living in a supervised publicly or privately operated shelter designated to provide temporary living arrangements (including congregate shelters, transitional housing, and hotels and motels paid for by charitable organizations or by federal, state, or local government programs for low-income individuals); or
  - (iii) An individual who is exiting an institution where he or she resided for 90 days or less and who resided in an emergency shelter or place not meant for human habitation immediately before entering that institution;
- (2) An individual or family who will imminently lose their primary nighttime residence, provided that:
- (i) The primary nighttime residence will be lost within 14 days of the date of application for homeless assistance;
  - (ii) No subsequent residence has been identified; and
  - (iii) The individual or family lacks the resources or support networks, e.g., family, friends, faith-based or other social networks needed to obtain other permanent housing;
- (3) Unaccompanied youth under 25 years of age, or families with children and youth, who do not otherwise qualify as homeless under this definition, but who:
- (i) Are defined as homeless under section 387 of the Runaway and Homeless Youth Act (42 U.S.C. 5732a), section 637 of the Head Start Act (42 U.S.C. 9832), section 41403 of the Violence Against Women Act of 1994 (42 U.S.C. 14043e-2), section 330(h) of the Public Health Service Act (42 U.S.C. 254b(h)), section 3 of the Food and Nutrition Act of 2008 (7 U.S.C. 2012), section 17(b) of the Child Nutrition Act of 1966 (42 U.S.C. 1786(b)), or section 725 of the McKinney-Vento Homeless Assistance Act (42 U.S.C. 11434a);
  - (ii) Have not had a lease, ownership interest, or occupancy agreement in permanent housing at any time during the 60 days immediately preceding the date of application for homeless assistance;
  - (iii) Have experienced persistent instability as measured by two moves or more during the 60-day period immediately preceding the date of applying for homeless assistance; and
  - (iv) Can be expected to continue in such status for an extended period of time because of chronic disabilities, chronic physical health or mental health conditions, substance addiction, histories of domestic violence or childhood abuse (including neglect), the presence of a child or youth with a disability, or two or more barriers to employment, which include the lack of a high school degree or General Education Development (GED), illiteracy, low English proficiency, a history of incarceration or detention for criminal activity, and a history of unstable employment; or
- (4) Any individual or family who:
- (i) Is fleeing, or is attempting to flee, domestic violence, dating violence, sexual assault, stalking, or other dangerous or life-threatening conditions that relate to violence against the individual or a family member, including a child, that has either taken place within the individual's or family's primary nighttime residence or has made the individual or family afraid to return to their primary nighttime residence;
  - (ii) Has no other residence; and
  - (iii) Lacks the resources or support networks, e.g., family, friends, faith-based or other social networks, to obtain other permanent housing;
- HUD-** U.S. Department of Housing and Urban Development;
- NHCD-** Neighborhood Housing and Community Development Office;
- Subrecipient-** An organization receiving ESG funds from the City to undertake eligible ESG activities.

- II. **General** The Emergency Solutions Grant Program (ESG), formerly known as the Emergency Shelter Grant Program, is funded through the City's Neighborhood Housing and Community Development Office (NHCD), which is made available by the U.S. Department of Housing and Urban Development (HUD). The City utilizes ESG funds to provide an array of services to assist homeless persons and persons at-risk of homelessness.

The ESG program is designed to be the first step in a continuum of assistance to help clients quickly regain stability in permanent housing after experiencing a housing crisis and/or homelessness.

The City's Austin Public Health Department is responsible for the implementation of ESG in compliance with the governing regulations of the ESG program. The City's Neighborhood Housing and Community Development Office (NHCD) is responsible for the planning and administration of the ESG program. The Community Development Officer (CDO) of NHCD has the authority to establish processes, procedures, and criteria for the implementation and operation of the program, and to waive compliance with any provision of these guidelines if s/he determines that to do so does not violate any Federal, state, or local law or regulation, and is in the best interest of the City. Nothing contained, stated, or implied in this document shall be construed to limit the authority of the City to administer and carry out the program by whatever means and in whatever manner it deems appropriate.

- III. **Eligible Organizations** The subrecipient must be a unit of local government or a private, non-profit organization, as defined by the Internal Revenue Service tax code, evidenced by having a Federal identification number, filed articles of incorporation, and written organizational by-laws.
- IV. **Ineligible Organizations** An organization will not be eligible to apply for ESG funds if it meets the following conditions:
- A. Outstanding audit or monitoring findings, unless appropriately addressed by a corrective action plan;
  - B. Current appearance on the List of Suspended and Debarred Contractors;
  - C. Terms and conditions of existing contract are not in full compliance;
  - D. History of non-performance with contracts.
- V. **Financial Terms**
- A. Grantee shall expend the City budget in a reasonable manner in relation to Agreement time elapsed and/or Agreement program service delivery schedule.
  - B. All grant funds allocated must be completely spent down within the 24 month allocation period.
  - C. If the Grantee has a remaining balance at the end of the first twelve month period, the Grantee must submit a request to spend down remaining balance to the Assistant Director of the Austin Public Health, Health Equity Community Engagement Division (HECE).
  - D. If cumulative expenditures are not within acceptable amounts, spending rates, or in accordance with grant compliance the City may require the Grantee to: 1) submit an expenditure plan, and/or 2) amend the Agreement budget amount to reflect projected expenditures, as determined by the City.

- VI. Matching Funds** Subrecipient organizations that receive ESG funds must provide a dollar for dollar (or 100%) match to their ESG award amount.
- A. Sources of matching funds include:
- i. Cash Contributions- Cash expended for allowable costs identified in 2 CFR Part 200. *Program Income* for the ESG program can also be used as match funds. Match funds are identified in 2CFR Part 200.306
  - ii. Non-Cash Contributions- The value of any real property, equipment, goods, or services.
- B. Funds used to match a previous ESG grant may not be used to match a subsequent award.
- VII. Eligible Activities** Each sub-recipient will be allocated funding by activity type, and may have multiple activities in one program. The following is a list of eligible activities for the ESG Program:
- A. *Street Outreach*- Support services limited to providing emergency care on the streets, including engagement, case management, emergency health and mental health services, and transportation;
  - B. *Emergency Shelter*- Includes essential services, case management, child care, education, employment, outpatient health services legal services, life skills training, mental health & substance abuse services, transportation, shelter operations, and funding for hotel/motel stays under certain conditions;
  - C. *Homeless Prevention*- Includes housing relocation & stabilization services and short/medium-term rental assistance for individuals/families who are at risk of homelessness;
  - D. *Rapid Re-Housing*- Includes housing relocation & stabilization services and short/medium-term rental assistance to help individuals/families move quickly into permanent housing and achieve stability;
  - E. *Homeless Management Information System (HMIS)* costs; and
  - F. *ESG Administration* costs.

**VIII. Client Eligibility**

In order to be eligible for services under the ESG program, clients must meet HUD's definition of homelessness or at-risk of homelessness, and must meet annual income guidelines for homelessness prevention activities.

**A. ESG Eligibility Documentation**

- i. Homelessness Prevention: *This program will not provide Homelessness Prevention Services.*
- ii. Rapid Re-Housing:
  - a. Please refer to the *Homeless Eligibility Form* for more information on documenting homelessness for ESG clients.
  - b. Subrecipient agencies must collect the required supporting documentation requested in the *Homeless Eligibility Form* in order for clients to be considered eligible for services.
  - c. All eligibility and supporting documentation for Rapid Re-Housing clients must be maintained in each client's file.



- d. Clients will be referred to ESG programs through the Coordinated Assessment or Coordinated Entry process.
- e. CDU-Specific Client Eligibility Requirements
  - i. Referral through Coordinated Assessment
  - ii. HIV Positive, homeless individuals
- f. DACC-Specific Eligibility Requirements
  - i. Referral through Coordinated Assessment
- g. Front Steps Specific Eligibility Requirements
  - i. Referral through Coordinated Assessment
  - ii. Targeted to those who sheltered at ARCH and coming from the streets outside the ARCH

#### B. Confidentiality of Client Information

- a. Subrecipients must have written client confidentiality procedures in their program policies and procedures that conform to items *b – d* below:
- b. All records containing personally identifying information of any individual or family who applies for and/or receives ESG assistance must be kept secure and confidential.
- c. The address or location of any domestic violence project assisted under ESG shall not be made public.
- d. The address or location of any housing for a program participant shall not be made public.

### IX. **Emergency Shelter**

***Requirement:** Policies and procedures for admission, diversion, referral and discharge by emergency shelters assisted under ESG, including standards regarding length of stay, if any, and safeguards to meet the safety and shelter needs of special populations.*

The ESG-funded emergency shelter, Austin Resource Center for the Homeless, or ARCH is a “low-demand” emergency shelter, which means that restrictions are not placed on the number of times clients may visit ARCH for services and that access to shelter does not require meeting set criteria or participation goals. The ARCH provides Day Resource Center, Emergency Night Shelter for men, Case Management, and other co-located services provided on-site by the following local service providers.

**Sleeping Unit Reservation System:** Of the 190 sleeping units, approximately 25 will be given to clients via a lottery system. These individuals do not have a reserved bed from one night to the next, and are informed about the process prior to entering the lottery.

The remaining units are reserved for those working with a Shelter Case Manager. There is no length of stay for the shelter, and in case management, the general length is 6 months with evaluation on a case by case basis. Clients are informed that if they have a reservation, but they do not arrive to check in, their reserved mat or bed will be available to lottery clients. There are also available beds in coordination with the following participating agencies: CommUnityCare Clinic, Veterans Administration (VA), and Austin/Travis County Integral Care, the local mental health authority. All of these case-managed clients work with their case manager to determine a housing plan and are connected to other resources to find permanent

housing. The client is informed of the grievance process, and their end date for services determined on a case by case basis.

Clients are encouraged to work with Case Managers to progress towards personal goals related to obtaining/maintaining sustainable income, exploring viable housing options, and addressing self-care issues that impact progress towards self-sufficiency. Case Management services are based on a Harm Reduction philosophy and the stages in the Trans-theoretical Model of Change. Various techniques, including motivational interviewing, are effectively utilized in working with clients whose needs vary across a spectrum of vulnerability. Men's and women's support groups as well as anger management classes are offered through case management. ARCH clients with domestic violence concerns are offered coordination and referral to appropriate programs on a case by case basis.

Front Steps, the agency administering the ARCH, has been designated as one of the "front doors"/community portals in the Coordinated Assessment process. Using the Vulnerability Index & Service Prioritization Decision Assistance Tool (VI-SPDAT) as part of the Coordinated Assessment process, coupled with more robust data entry into HMIS, clients who score within range and are identified as likely benefitting from receiving Shelter Case Management services will be offered these services as openings in the program become available.

The following is provided in the case that a client is terminated:

1. Written notice to the participant containing a clear statement of the reason for termination.
2. A review of the decision, in which the participant is given the opportunity to present written or oral objections before a person other than the person(or subordinate of the person) who made or approved the termination decisions, AND
3. Prompt written notification to the program participant.

Because the ARCH is a City building, the agencies cannot deny citizens access to the shelter property on a permanent basis.

**X. Rapid Rehousing and Other ESG-funded Services**

There are no essential services funded by ESG.

There are no homeless prevention services funded by ESG.

*Requirement:* Policies and procedures for determining and prioritizing which eligible families and individuals will receive rapid re-housing assistance.

All programs funded through the Emergency Solutions Grant will use Coordinated Entry for referrals for the program in order to serve the most vulnerable Rapid Rehousing clients in the community. Agency eligibility could include, for example, HIV status for the Communicable Disease Unit. All programs help clients go through the Coordinated Entry process to access appropriate referrals and community programs.

Each client will be individually assessed for the amount of Rapid Rehousing using progressive engagement and housing first principles. If a client or family needs continued services and financial assistance past the initial date of entry into the program, agencies will work to address those needs until the client exits the program. Other funding sources will be used to address the other service needs of the client such as case management, housing location or financial and rental assistance as needed.

*Requirement:* Standards for determining the type, amount and duration of housing stabilization and/or relocation services to provide a program participant, including the limits on rapid re-housing assistance.

All Rapid Rehousing programs will include the following components:

- Housing Stability Plan with Exit Strategy
- Progressive Engagement
- Coordination with other HUD funded programs and regular review the program's progress towards the HUD benchmarks:
  - 1) Reducing the length of time program participants spend homeless;
  - 2) Exiting households to permanent housing, and
  - 3) Limiting returns to homelessness within a year of program exit.

Also, all RR programs will provide the following services with ESG funds or with another funding source. If the agency is not able to provide all of these services they will work with a collaborative partner to provide them.

- Housing Location
- Financial Assistance – Rental, Deposits, Application Fees, etc.
- Housing Stability Case Management

ESG Rapid Rehousing Financial Assistance Guidelines:

1. Security Deposits are available for no more than 2 months' rent.
2. Last Month's Rent is only paid if the last month's rent is necessary for the participant to obtain housing, if it is paid at the same time as the security deposit and first month's rent, and does not exceed one month's rent.
3. Utility Deposit, Payments and Arrears is paid if it is within 24 month limit, including up to 6 months of utility arrears, and if the utility account is in the name of the participant or if there is proof of responsibility, and is for eligible gas, electric, water and sewage.
4. Caps on assistance by program:
  - Downtown Austin Community Court: Financial Assistance can include up to \$2300 a year in direct financial assistance for all eligible financial assistance and rental assistance funding, with a 24 month cap of \$4600.
  - Front Steps: None beyond the regulations above.
  - Communicable Disease Unit: Does not administer financial assistance and rental assistance.
5. Changes in household composition. The limits on the assistance under this section apply to the total assistance an individual receives, either as an individual or as part of a family.
6. Limit of months of assistance. No client may receive more than 24 months of assistance in a three-year period.
7. Recertification. Clients will be recertified at least every twelve months to determine ongoing eligibility as per 576.401. Recertification will assess clients to see if they do not have an annual income that exceeds 30% AMI, AND lack sufficient resources and support networks to retain housing without ESG assistance.

*Requirement:* Standards for determining what percentage or amount of rent and utilities cost each program participant must pay while receiving rapid re-housing assistance.

Most clients receiving financial assistance through the Emergency Solutions Grant will have high housing barriers and will be highly vulnerable. Participants are not required to contribute a percentage of their income to rent or utilities, so there are no standards developed.

Requirement: Standards for determining how long a particular program participant will be provided with rental assistance.

**Short-term and medium-term rental assistance can be provided to a** program participant with up to 24 months of rental assistance during any 3-year period. This assistance may be short-term rental assistance, medium-term rental assistance, payment of rental arrears, or any combination of this assistance.

1. Short and Medium Term Rental Assistance - Short-term rental assistance is assistance for up to 3 months of rent; Medium-term rental assistance is assistance for more than 3 months but not more than 24 months of rent; Rental assistance for this program will be tenant-based.
  - Rental Assistance use with other subsidies. Except for a one-time payment of rental arrears on the tenant's portion of the rental payment, rental assistance cannot be provided to a program participant who is receiving tenant-based rental assistance, or living in a housing unit receiving project-based rental assistance or operating assistance, through other public sources. Rental assistance may not be provided to a program participant who has been provided with replacement housing payments under the URA during the period of time covered by the URA payments.
  - Fair Market Rent - Rental Assistance must only be provided if rent does not exceed Fair Market Rent and complies with HUD's standard of rent reasonableness, as established under 24 CFR 982.507.
  - For purposes of calculating rent, the rent shall equal the sum of the total monthly rent for the unit, any fees required for occupancy under the lease (other than late fees and pet fees) and, if the tenant pays separately for utilities, the monthly allowance for utilities (excluding telephone) established by the public housing authority for the area in which the housing is located.
  - Rental assistance agreement. The recipient or subrecipient may make rental assistance payments only to an owner with whom the recipient or subrecipient has entered into a rental assistance agreement. The rental assistance agreement must set forth the terms under which rental assistance will be provided, including the requirements that apply under this section. The rental assistance agreement must provide that, during the term of the agreement, the owner must give the recipient or subrecipient a copy of any notice to the program participant to vacate the housing unit, or any complaint used under state or local law to commence an eviction action against the program participant.
  - Late payments. The recipient or subrecipient must make timely payments to each owner in accordance with the rental assistance agreement. The rental assistance agreement must contain the same payment due date, grace period, and late payment penalty requirements as the program participant's lease. The recipient or subrecipient is solely responsible for paying late payment penalties that it incurs with non-ESG funds.
  - Lease. Each program participant receiving rental assistance must have a legally binding, written lease for the rental unit, unless the assistance is solely for rental arrears. The lease must be between the owner and the program participant. Where the assistance is solely for rental arrears, an oral agreement may be accepted in place of a written lease, if the agreement gives the program participant an enforceable leasehold interest under state law and the agreement and rent owed are sufficiently documented by the owner's financial records, rent ledgers, or canceled checks. For program participants living in housing with project-based rental assistance under paragraph (i) of this section, the lease must have an initial term of one year.

2. Tenant-based rental assistance. Rental assistance for this program will be tenant-based, and all programs will provide the minimum amount of assistance needed for client to stabilize using the principles of Progressive Engagement.
  - (1) A program participant who receives tenant-based rental assistance may select a housing unit in which to live and may move to another unit or building and continue to receive rental assistance, as long as the program participant continues to meet the program requirements.
  - (2) The recipient may require that all program participants live within a particular area for the period in which the rental assistance is provided.
  - (3) The rental assistance agreement with the owner must terminate and no further rental assistance payments under that agreement may be made if:
    - (i) The program participant moves out of the housing unit for which the program participant has a lease;
    - (ii) The lease terminates and is not renewed; or
    - (iii) The program participant becomes ineligible to receive ESG rental assistance.
3. Rental Arrears are paid if the client is assisted with one-time payment of up to 6 months of rental arrears, including any late fees on those arrears. A lease must be present in the file with the participant's name on the lease or a document of the rent payments/financial records, as well as Rent Reasonableness, Lead Based Paint and Habitability Standards forms. Where the assistance is solely for rental arrears, an oral agreement may be accepted in place of a written lease, if the agreement gives the program participant an enforceable leasehold interest under state law and the agreement and rent owed are sufficiently documented by the owner's financial records, rent ledgers, or canceled checks. For program participants living in housing with project-based rental assistance under paragraph (i) of this section, the lease must have an initial term of one year.
4. Caps on assistance by program:
  - Downtown Austin Community Court: Financial Assistance can include up to \$2300 a year in direct financial assistance for all eligible financial assistance and rental assistance funding, with a 24-month cap of \$4600.
  - Front Steps: None beyond the regulations above.
  - Communicable Disease Unit: Does not administer financial assistance and rental assistance.

All clients will be recertified at least every twelve months to determine ongoing eligibility as per 576.401. Recertification will assess clients to see if they do not have an annual income that exceeds 30% AMI, AND lack sufficient resources and support networks to retain housing without ESG assistance.

#### **XI. Coordination Between Service Providers**

The following list gives the types of service coordination activities to be undertaken for the ESG Program: Case management, permanent supportive housing, rapid re-housing and housing location and financial assistance.

Services will be coordinated between the downtown Austin Resource Center for the Homeless (ARCH), Downtown Austin Community Court, and in consultation with the local Continuum of Care as well as other service providers such as Austin Travis County Integral Care, Caritas of Austin, Salvation Army, Veterans Administration, Continuum of Care Permanent Supportive Housing programs and other appropriate federal, state and local service providers.

Agency	Case	Permanent	Rapid	Direct Financial
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	Management/ Supportive Services	Supportive Housing	Rehousing/ Housing Location	Assistance
Front Steps- PSH and Rapid Rehousing	X	X	X	X
Caritas of Austin with CoC and City funding	X	X	X	X
Downtown Community Court	X		X	X
Public Health Communicable Disease Unit	X		X	
Other Continuum of Care programs	X	X		
City-funded Social Service Agencies	X	X	X	X

ESG Rapid Rehousing Program Design: All ESG Programs will have all components or coordinate with other funding sources or entities so that all needs of the Rapid Rehousing clients will be adequately addressed.

RR Agency	Case Management/ Supportive Services	Housing Location	Direct Financial Assistance	Rental Assistance
Front Steps	Front Steps	Front Steps	Front Steps HHSP and SSVF; BSS Plus	Front Steps HHSP and SSVF, BSS Plus
Communicable Disease Unit (CDU)	CDU	CDU/DACC ESG	DACC ESG	DACC ESG
Downtown Austin Community Court	DACC	DACC	DACC ESG	DACC ESG

- XII. Homeless Management Information System (HMIS)** Organizations receiving funding from the City of Austin for homelessness prevention and homeless intervention services are required to utilize the Local Homeless Management Information System (HMIS) to track and report client information for individuals who are at risk of homelessness or who are homeless. A high level of data quality is required. All ESG-funded programs will also be working with the community's Coordinated Entry process.

## **B. ESG PROGRAM MANAGEMENT**

Management and operation of approved projects is the responsibility of the Subrecipient. The Subrecipient is the entity that will receive the City contract. Therefore, the subrecipient has the overall responsibility of the project's successful completion.

### **I. Grant Subaward Process**

At its discretion, the City may use a competitive Request for Application and comprehensive review process to award ESG funding to providers of services to homeless persons and persons at-risk of homelessness. Activities will be consistent with the City's Consolidated Plan, in compliance with local, state, and Federal requirements and the governing regulations for use of ESG funds, and in conformance with program standards. The City will enter into written agreements with selected Subrecipients and will work with Subrecipients to ensure that project costs are reasonable, appropriate, and necessary to accomplish the goals and objectives of the City's overall ESG Program. The subrecipient must be able to clearly demonstrate the benefits to be derived by the services provided to homeless individuals, and to low-to-moderate income families. Performance measures will be established in the contract. All ESG award decisions of the City are final.

### **II. Contracting**

Subrecipients must enter into a written contract with the City for performance of the project activities. Once a contract is signed, the subrecipient will be held to all agreements therein.

- A. Members of the Subrecipient organization, volunteers, residents, or subcontractors hired by the organization may carry out activities. Subrecipients must enter into a written contract with the subcontractors carrying out all or any part of an ESG project. All subcontractors must comply with the City and Federal procurement and contracting requirements.
- B. All contracts are severable and may be canceled by the City for convenience. Project funding is subject to the availability of ESG funds and, if applicable, City Council approval.
- C. Amendments - Any amendments to a contract must be mutually agreed upon by the Subrecipient and the City, *in writing*. Amendment requests initiated by the Subrecipient must clearly state the effective date of the amendment, in writing. Austin Public Health (APH) staff will determine if an amendment request is allowable. APH reserves the right to initiate amendments to the contract.
- D. Liability - Subrecipients shall forward Certificates of Insurance to the Austin Public Health Department within 30 calendar days after notification of the award, unless otherwise specified. The City's Risk Management Department will review and approve the liability insurance requirements for each contract. Subrecipients must maintain current insurance coverage throughout the entire contract period, as well as for any subsequent amendments or contract extensions.

### **III. Recordkeeping Requirements**

- a. Project Records- The Subrecipient must manage their contract and maintain records in accordance with City and Federal policies and must be in accordance with sound business and financial management practices, which will be determined by the City. Record retention for all ESG records, including client information, is five years after the expenditure of contract funds.
- b. Client Records- The Subrecipient must maintain the following types of client records to show evidence of services provided under the ESG program:

- i. Client Eligibility records, including documentation of Homelessness, or At-Risk of Homelessness plus income eligibility and support documentation.
- ii. Documentation of Continuum of Care centralized or coordinated assessment (for client intake)
- iii. Financial Assistance backup documentation required for each type of assistance outlined in the previous sections.
- iv. Rental assistance agreements and payments, including security deposits, and all backup documentation required for each type of assistance outlined in the previous sections.

#### **IV. Reporting Requirements**

- A. Monthly Payment Requests and Expenditure Reports shall be submitted, in a format prescribed by the City, by the 15<sup>th</sup> calendar day of the month after the reporting month's end, which identify the allowable expenditures incurred under this contract.
- B. Monthly Matching Funds Reports shall be submitted, in a format prescribed by the City, by the 15<sup>th</sup> calendar day of the month after the reporting month's end, which identify the allowable matching funds used by the Subrecipient under this contract.
- C. Quarterly performance reports shall be submitted, in a format prescribed by the City, by the 15<sup>th</sup> calendar day of the month after the quarter end, which identify the activities accomplished under this contract.
- D. The Federal ESG program year ends on September 30<sup>th</sup>. At completion of all activities, a Contract Closeout Report must be submitted within 30 days of the end of the contract. The subrecipient is required to supply such information, in such form and format as the City and HUD may require. All records and reports must be made available to any authorized City representative upon request and without prior notice.
- E. All ESG Subrecipients must use HMIS to report on clients served by the ESG program.

#### **V. Program Limitations**

- A. ESG Administration costs are limited to 7.5% of the total ESG allocation.
- B. ESG Street Outreach and Emergency Shelter costs are limited to the greater of: 60% of the City's 2011-12 ESG grant -or- the amount committed to emergency shelter for the City's 2010-11 ESG allocation.
- C. Program Income - Income derived from any ESG activity must be recorded and reported to APH as program income. Such income may not be retained or disbursed by the subrecipient without written approval from APH and is subject to the same controls and conditions as the Subrecipient's grant allocation.
- D. ESG funds may not be used for lobbying or for any activities designed to influence legislation at any government level.
- E. A church or religious affiliated organization must show secularism when submitting an ESG application.
- F. Any ESG funds that are unallocated after the funding cycle will be reprogrammed by APH. Contracts that show three (3) consecutive months of inactivity (as documented by monthly reports or non-submission of required reports) will be reviewed on a case-by-case basis, and may be irrevocably canceled.



## VI. Performance Standards

ESG-funded programs will report into HMIS and have a high level of data quality specified in Section A. XII. Homeless Management Information Systems. HMIS data quality is reviewed quarterly by City staff. All data quality is reviewed by the ECHO HMIS Administrator.

Performance measures will be reviewed quarterly by the City of Austin Austin Public Health Department. Measures will also be reviewed annually by the local Continuum of Care decision-making body, ECHO, during the annual Consolidated Evaluation and Performance Report process.

## VII. Accessibility

In order to demonstrate compliance with the Americans with Disabilities Act (ADA) and Section 504 requirements, the following statements must be added to all public notices, advertisements, program applications, program guidelines, program information brochures or packages, and any other material containing general information that is made available to participants, beneficiaries, applicants, or employees:

\_\_\_\_\_ (*insert the name of your organization*) as a subrecipient of the City of Austin is committed to compliance with the Americans with Disabilities Act. Reasonable modifications and equal access to communications will be provided upon request. Please call \_\_\_\_\_ (*insert your organization's phone number*) (voice) or Relay Texas at 1-800-735-2989 (TDD) for assistance.

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\_\_\_\_\_ (*insert the name of your organization*) como un subreceptor de la Ciudad de Austin se compromete a cumplir con el Decreto de los Americanos Incapacitados. Con solo solicitarlo se proveerán modificaciones e igual acceso a comunicaciones. Para información favor de llamar a \_\_\_\_\_ (*insert your organization's phone number*) (voz) o Relay Texas 1-800-735-2989 (TDD) para asistencia.

**Attachment 3: PR-26 CDBG and PR-01 Financial Summary**



Office of Community Planning and Development  
U.S. Department of Housing and Urban Development  
Integrated Disbursement and Information System  
PR26 - CDBG Financial Summary Report  
Program Year 2018  
AUSTIN , TX

DATE: 10-01-19  
TIME: 17:56  
PAGE: 1

PART I: SUMMARY OF CDBG RESOURCES

01 UNEXPENDED CDBG FUNDS AT END OF PREVIOUS PROGRAM YEAR	6,307,740.41
02 ENTITLEMENT GRANT	7,895,853.00
03 SURPLUS URBAN RENEWAL	0.00
04 SECTION 108 GUARANTEED LOAN FUNDS	1,858,010.00
05 CURRENT YEAR PROGRAM INCOME	339,093.22
05a CURRENT YEAR SECTION 108 PROGRAM INCOME (FOR SI TYPE)	0.00
06 FUNDS RETURNED TO THE LINE-OF-CREDIT	0.00
06a FUNDS RETURNED TO THE LOCAL CDBG ACCOUNT	0.00
07 ADJUSTMENT TO COMPUTE TOTAL AVAILABLE	0.00
08 TOTAL AVAILABLE (SUM, LINES 01-07)	16,400,696.63

PART II: SUMMARY OF CDBG EXPENDITURES

09 DISBURSEMENTS OTHER THAN SECTION 108 REPAYMENTS AND PLANNING/ADMINISTRATION	5,732,676.37
10 ADJUSTMENT TO COMPUTE TOTAL AMOUNT SUBJECT TO LOW/MOD BENEFIT	0.00
11 AMOUNT SUBJECT TO LOW/MOD BENEFIT (LINE 09 + LINE 10)	5,732,676.37
12 DISBURSED IN IDIS FOR PLANNING/ADMINISTRATION	1,090,617.35
13 DISBURSED IN IDIS FOR SECTION 108 REPAYMENTS	0.00
14 ADJUSTMENT TO COMPUTE TOTAL EXPENDITURES	0.00
15 TOTAL EXPENDITURES (SUM, LINES 11-14)	6,823,293.72
16 UNEXPENDED BALANCE (LINE 08 - LINE 15)	9,577,402.91

PART III: LOW/MOD BENEFIT THIS REPORTING PERIOD

17 EXPENDED FOR LOW/MOD HOUSING IN SPECIAL AREAS	0.00
18 EXPENDED FOR LOW/MOD MULTI-UNIT HOUSING	0.00
19 DISBURSED FOR OTHER LOW/MOD ACTIVITIES	5,729,258.87
20 ADJUSTMENT TO COMPUTE TOTAL LOW/MOD CREDIT	0.00
21 TOTAL LOW/MOD CREDIT (SUM, LINES 17-20)	5,729,258.87
22 PERCENT LOW/MOD CREDIT (LINE 21/LINE 11)	99.94%

LOW/MOD BENEFIT FOR MULTI-YEAR CERTIFICATIONS

23 PROGRAM YEARS(PY) COVERED IN CERTIFICATION	PY: PY: PY:
24 CUMULATIVE NET EXPENDITURES SUBJECT TO LOW/MOD BENEFIT CALCULATION	0.00
25 CUMULATIVE EXPENDITURES BENEFITING LOW/MOD PERSONS	0.00
26 PERCENT BENEFIT TO LOW/MOD PERSONS (LINE 25/LINE 24)	0.00%

PART IV: PUBLIC SERVICE (PS) CAP CALCULATIONS

27 DISBURSED IN IDIS FOR PUBLIC SERVICES	917,487.00
28 PS UNLIQUIDATED OBLIGATIONS AT END OF CURRENT PROGRAM YEAR	0.00
29 PS UNLIQUIDATED OBLIGATIONS AT END OF PREVIOUS PROGRAM YEAR	0.00
30 ADJUSTMENT TO COMPUTE TOTAL PS OBLIGATIONS	0.00
31 TOTAL PS OBLIGATIONS (LINE 27 + LINE 28 - LINE 29 + LINE 30)	917,487.00
32 ENTITLEMENT GRANT	7,895,853.00
33 PRIOR YEAR PROGRAM INCOME	182,107.99
34 ADJUSTMENT TO COMPUTE TOTAL SUBJECT TO PS CAP	0.00
35 TOTAL SUBJECT TO PS CAP (SUM, LINES 32-34)	8,077,960.99
36 PERCENT FUNDS OBLIGATED FOR PS ACTIVITIES (LINE 31/LINE 35)	11.36%

PART V: PLANNING AND ADMINISTRATION (PA) CAP

37 DISBURSED IN IDIS FOR PLANNING/ADMINISTRATION	1,090,617.35
38 PA UNLIQUIDATED OBLIGATIONS AT END OF CURRENT PROGRAM YEAR	0.00
39 PA UNLIQUIDATED OBLIGATIONS AT END OF PREVIOUS PROGRAM YEAR	0.00
40 ADJUSTMENT TO COMPUTE TOTAL PA OBLIGATIONS	0.00
41 TOTAL PA OBLIGATIONS (LINE 37 + LINE 38 - LINE 39 + LINE 40)	1,090,617.35
42 ENTITLEMENT GRANT	7,895,853.00
43 CURRENT YEAR PROGRAM INCOME	339,093.22
44 ADJUSTMENT TO COMPUTE TOTAL SUBJECT TO PA CAP	0.00
45 TOTAL SUBJECT TO PA CAP (SUM, LINES 42-44)	8,234,946.22
46 PERCENT FUNDS OBLIGATED FOR PA ACTIVITIES (LINE 41/LINE 45)	13.24%



Office of Community Planning and Development  
U.S. Department of Housing and Urban Development  
Integrated Disbursement and Information System  
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LINE 17 DETAIL: ACTIVITIES TO CONSIDER IN DETERMINING THE AMOUNT TO ENTER ON LINE 17

Report returned no data.

LINE 18 DETAIL: ACTIVITIES TO CONSIDER IN DETERMINING THE AMOUNT TO ENTER ON LINE 18

Plan Year	IDIS Project	IDIS Activity	Activity Name	Matrix Code	National Objective	Drawn Amount
2015	23	6270	RHDA - GOVALLE TERRACE - CESAR CHAVEZ FOUNDATION	01	LMH	\$3,417.50
				01	Matrix Code	\$3,417.50
Total						\$3,417.50

LINE 19 DETAIL: ACTIVITIES INCLUDED IN THE COMPUTATION OF LINE 19

Plan Year	IDIS Project	IDIS Activity	Voucher Number	Activity Name	Matrix Code	National Objective	Drawn Amount
2012	1	5933	6218025	A&D -- HABITAT -- MEADOW LAKE 14.6 ACRE ACQUISITION	01	LMH	\$50.10
2012	1	5933	6222688	A&D -- HABITAT -- MEADOW LAKE 14.6 ACRE ACQUISITION	01	LMH	\$1,422.53
2012	1	5933	6225952	A&D -- HABITAT -- MEADOW LAKE 14.6 ACRE ACQUISITION	01	LMH	\$2,646.81
2012	1	5933	6239188	A&D -- HABITAT -- MEADOW LAKE 14.6 ACRE ACQUISITION	01	LMH	\$1,072.60
2012	1	5933	6254254	A&D -- HABITAT -- MEADOW LAKE 14.6 ACRE ACQUISITION	01	LMH	\$2,332.86
2012	1	5933	6256443	A&D -- HABITAT -- MEADOW LAKE 14.6 ACRE ACQUISITION	01	LMH	\$5,782.25
2012	1	5933	6268343	A&D -- HABITAT -- MEADOW LAKE 14.6 ACRE ACQUISITION	01	LMH	\$54.94
2012	1	5933	6285731	A&D -- HABITAT -- MEADOW LAKE 14.6 ACRE ACQUISITION	01	LMH	\$6,543.61
2012	1	5933	6297952	A&D -- HABITAT -- MEADOW LAKE 14.6 ACRE ACQUISITION	01	LMH	\$4,283.92
2012	1	5933	6305555	A&D -- HABITAT -- MEADOW LAKE 14.6 ACRE ACQUISITION	01	LMH	\$8,138.92
					01	Matrix Code	\$32,328.54
2016	21	6294	6225952	A&D -AUSTIN HABITAT FOR HUMANITY - SCENIC POINT PHASE II	03K	LMA	\$131,271.64
2016	21	6294	6239188	A&D -AUSTIN HABITAT FOR HUMANITY - SCENIC POINT PHASE II	03K	LMA	\$24,775.20
2016	21	6294	6254254	A&D -AUSTIN HABITAT FOR HUMANITY - SCENIC POINT PHASE II	03K	LMA	\$1,269.89
2016	21	6294	6268343	A&D -AUSTIN HABITAT FOR HUMANITY - SCENIC POINT PHASE II	03K	LMA	\$14,517.00
2016	21	6294	6280172	A&D -AUSTIN HABITAT FOR HUMANITY - SCENIC POINT PHASE II	03K	LMA	\$5,030.45
2016	21	6294	6285731	A&D -AUSTIN HABITAT FOR HUMANITY - SCENIC POINT PHASE II	03K	LMA	\$832.64
					03K	Matrix Code	\$177,696.82
2018	2	6398	6256443	FY 18-19 SENIOR SERVICES	05A	LMC	\$59,848.38
2018	2	6398	6280172	FY 18-19 SENIOR SERVICES	05A	LMC	\$12,768.03
2018	2	6398	6297952	FY 18-19 SENIOR SERVICES	05A	LMC	\$16,334.75
2018	2	6398	6305555	FY 18-19 SENIOR SERVICES	05A	LMC	\$11,209.20
					05A	Matrix Code	\$100,160.36
2018	3	6400	6254254	FY 18-19 YOUTH SERVICES	05D	LMC	\$19,479.99
2018	3	6400	6256443	FY 18-19 YOUTH SERVICES	05D	LMC	\$78,397.62
2018	3	6400	6268343	FY 18-19 YOUTH SERVICES	05D	LMC	\$6,373.12
2018	3	6400	6280172	FY 18-19 YOUTH SERVICES	05D	LMC	\$24,898.75
2018	3	6400	6297952	FY 18-19 YOUTH SERVICES	05D	LMC	\$60,187.30
					05D	Matrix Code	\$189,336.78



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Plan Year	IDIS Project	IDIS Activity	Voucher Number	Activity Name	Matrix Code	National Objective	Drawn Amount
2018	14	6397	6254254	FY 18-19 TENANT'S RIGHTS ASSISTANCE	05J	LMC	\$95,899.72
2018	14	6397	6268343	FY 18-19 TENANT'S RIGHTS ASSISTANCE	05J	LMC	\$12,762.50
2018	14	6397	6280172	FY 18-19 TENANT'S RIGHTS ASSISTANCE	05J	LMC	\$19,491.99
2018	14	6397	6285731	FY 18-19 TENANT'S RIGHTS ASSISTANCE	05J	LMC	\$20,903.10
2018	14	6397	6297952	FY 18-19 TENANT'S RIGHTS ASSISTANCE	05J	LMC	\$23,680.50
2018	14	6397	6305555	FY 18-19 TENANT'S RIGHTS ASSISTANCE	05J	LMC	\$49,875.20
					05J	Matrix Code	\$222,613.01
2018	1	6395	6239091	FY 18-19 CHILD CARE SERVICES	05L	LMC	\$32,698.57
2018	1	6395	6254254	FY 18-19 CHILD CARE SERVICES	05L	LMC	\$72,693.89
2018	1	6395	6256443	FY 18-19 CHILD CARE SERVICES	05L	LMC	\$50,291.63
2018	1	6395	6268343	FY 18-19 CHILD CARE SERVICES	05L	LMC	\$96,636.85
2018	1	6395	6280172	FY 18-19 CHILD CARE SERVICES	05L	LMC	\$43,869.34
2018	1	6395	6285731	FY 18-19 CHILD CARE SERVICES	05L	LMC	\$20,975.98
2018	1	6395	6297952	FY 18-19 CHILD CARE SERVICES	05L	LMC	\$59,756.84
2018	1	6395	6305555	FY 18-19 CHILD CARE SERVICES	05L	LMC	\$28,453.75
					05L	Matrix Code	\$405,376.85
2016	18	6269	6218025	HRLP-7502 HARDY DRIVE	14A	LMH	\$795.16
2016	18	6269	6222688	HRLP-7502 HARDY DRIVE	14A	LMH	\$1,707.27
2016	18	6269	6225952	HRLP-7502 HARDY DRIVE	14A	LMH	\$324.72
2016	18	6269	6239091	HRLP-7502 HARDY DRIVE	14A	LMH	\$2,642.68
2016	18	6269	6254254	HRLP-7502 HARDY DRIVE	14A	LMH	\$2,892.73
2016	18	6269	6256443	HRLP-7502 HARDY DRIVE	14A	LMH	\$4,532.48
2016	18	6269	6268343	HRLP-7502 HARDY DRIVE	14A	LMH	\$4,626.79
2016	18	6269	6280172	HRLP-7502 HARDY DRIVE	14A	LMH	\$27,403.41
2016	18	6269	6285731	HRLP-7502 HARDY DRIVE	14A	LMH	\$1,003.77
2016	18	6269	6297952	HRLP-7502 HARDY DRIVE	14A	LMH	\$5,171.16
2016	18	6269	6305555	HRLP-7502 HARDY DRIVE	14A	LMH	\$1,244.57
2016	18	6271	6225952	HRLP-4305 MOUNT VERNON DR	14A	LMH	\$2,017.44
2016	18	6273	6239091	HRLP-1605 CRIPPLE CREEK	14A	LMH	\$994.86
2016	18	6273	6254254	HRLP-1605 CRIPPLE CREEK	14A	LMH	\$1,912.54
2016	18	6273	6256443	HRLP-1605 CRIPPLE CREEK	14A	LMH	\$16,763.08
2016	18	6273	6268343	HRLP-1605 CRIPPLE CREEK	14A	LMH	\$1,864.32
2016	18	6273	6280172	HRLP-1605 CRIPPLE CREEK	14A	LMH	\$2,301.86
2016	18	6273	6285731	HRLP-1605 CRIPPLE CREEK	14A	LMH	\$4,143.27
2016	18	6273	6297952	HRLP-1605 CRIPPLE CREEK	14A	LMH	\$5,644.56
2016	18	6273	6305555	HRLP-1605 CRIPPLE CREEK	14A	LMH	\$1,126.86
2017	18	6322	6218025	HRLP-5901 EUREKA DRIVE	14A	LMH	\$711.59
2017	18	6322	6222688	HRLP-5901 EUREKA DRIVE	14A	LMH	\$236.49
2017	18	6322	6239091	HRLP-5901 EUREKA DRIVE	14A	LMH	\$106.57
2017	18	6322	6254254	HRLP-5901 EUREKA DRIVE	14A	LMH	\$7,522.19
2017	18	6322	6256443	HRLP-5901 EUREKA DRIVE	14A	LMH	\$567.53
2017	18	6322	6268343	HRLP-5901 EUREKA DRIVE	14A	LMH	\$351.09
2017	18	6322	6285731	HRLP-5901 EUREKA DRIVE	14A	LMH	\$1,999.20
2017	18	6334	6218025	HRLP-5603 TURA LN	14A	LMH	\$14,028.37
2017	18	6334	6222688	HRLP-5603 TURA LN	14A	LMH	\$351.94
2017	18	6334	6225952	HRLP-5603 TURA LN	14A	LMH	\$16,191.50
2017	18	6334	6239091	HRLP-5603 TURA LN	14A	LMH	\$724.60
2017	18	6334	6254254	HRLP-5603 TURA LN	14A	LMH	\$15,197.34
2017	18	6334	6285731	HRLP-5603 TURA LN	14A	LMH	\$1,999.20
2017	18	6334	6305555	HRLP-5603 TURA LN	14A	LMH	\$2,235.00
2018	15	6384	6218025	ABR-RENTAL-FY 18-19	14A	LMH	\$2,501.36
2018	15	6384	6225952	ABR-RENTAL-FY 18-19	14A	LMH	\$2,017.44
2018	15	6384	6239091	ABR-RENTAL-FY 18-19	14A	LMH	\$15,524.04
2018	15	6384	6254254	ABR-RENTAL-FY 18-19	14A	LMH	\$3,143.80
2018	15	6384	6280172	ABR-RENTAL-FY 18-19	14A	LMH	\$754.57
2018	15	6384	6285731	ABR-RENTAL-FY 18-19	14A	LMH	\$2,255.80



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2018	15	6384	6297952	ABR-RENTAL-FY 18-19	14A	LMH	\$837.39
2018	15	6384	6305555	ABR-RENTAL-FY 18-19	14A	LMH	\$1,814.07
2018	17	6383	6218025	ABR-OWNER-FY 18-19	14A	LMH	\$5,634.33
2018	17	6383	6222688	ABR-OWNER-FY 18-19	14A	LMH	\$60,778.97
2018	17	6383	6225952	ABR-OWNER-FY 18-19	14A	LMH	\$42,193.38
2018	17	6383	6239188	ABR-OWNER-FY 18-19	14A	LMH	\$96,761.00
2018	17	6383	6254254	ABR-OWNER-FY 18-19	14A	LMH	\$68,900.11
2018	17	6383	6256443	ABR-OWNER-FY 18-19	14A	LMH	\$108,001.70
2018	17	6383	6268343	ABR-OWNER-FY 18-19	14A	LMH	\$214,783.67
2018	17	6383	6280172	ABR-OWNER-FY 18-19	14A	LMH	\$123,310.34
2018	17	6383	6285731	ABR-OWNER-FY 18-19	14A	LMH	\$140,826.98
2018	17	6383	6297952	ABR-OWNER-FY 18-19	14A	LMH	\$159,559.84
2018	17	6383	6305555	ABR-OWNER-FY 18-19	14A	LMH	\$116,640.46
2018	18	6424	6280172	HRLP-5205 Meadow Creek Drive	14A	LMH	\$2,005.89
2018	18	6424	6285731	HRLP-5205 Meadow Creek Drive	14A	LMH	\$123.80
2018	18	6424	6297952	HRLP-5205 Meadow Creek Drive	14A	LMH	\$3,859.13
2018	18	6424	6305555	HRLP-5205 Meadow Creek Drive	14A	LMH	\$69,517.50
2018	18	6425	6280172	HRLP-8514 MAINE DRIVE	14A	LMH	\$1,928.57
2018	18	6425	6285731	HRLP-8514 MAINE DRIVE	14A	LMH	\$920.64
2018	18	6425	6297952	HRLP-8514 MAINE DRIVE	14A	LMH	\$3,578.00
2018	18	6425	6305555	HRLP-8514 MAINE DRIVE	14A	LMH	\$72,598.16
2018	18	6425	6305557	HRLP-8514 MAINE DRIVE	14A	LMH	\$2,169.47
2018	18	6427	6285731	HRLP-6103 GARDEN VIEW DR	14A	LMH	\$1,609.38
2018	18	6427	6297952	HRLP-6103 GARDEN VIEW DR	14A	LMH	\$287.67
2018	18	6427	6305555	HRLP-6103 GARDEN VIEW DR	14A	LMH	\$73,395.47
2018	18	6428	6285731	HRLP-6600 CIRCULO DE AMISTAD	14A	LMH	\$1,129.18
2018	18	6428	6297952	HRLP-6600 CIRCULO DE AMISTAD	14A	LMH	\$180.23
2018	18	6428	6305555	HRLP-6600 CIRCULO DE AMISTAD	14A	LMH	\$26,074.12
2018	18	6429	6297952	HRLP- 3400 THOMAS KINCHEON STREET	14A	LMH	\$1,495.80
2018	18	6429	6305555	HRLP- 3400 THOMAS KINCHEON STREET	14A	LMH	\$41,176.04
2018	18	6431	6297952	HRLP- 912 KOERNER LANE	14A	LMH	\$1,357.18
2018	18	6431	6305555	HRLP- 912 KOERNER LANE	14A	LMH	\$3,371.49
2018	18	6432	6305555	HRLP- 2100 WAGON CROSSING PATH	14A	LMH	\$35,009.62
2018	18	6433	6305555	HRLP- 307 SWANEE DRIVE	14A	LMH	\$3,743.66
2018	19	6401	6218025	FY 18-19 MINOR HOME REPAIR	14A	LMH	\$4,816.65
2018	19	6401	6222688	FY 18-19 MINOR HOME REPAIR	14A	LMH	\$9,220.95
2018	19	6401	6239091	FY 18-19 MINOR HOME REPAIR	14A	LMH	\$93,801.03
2018	19	6401	6256443	FY 18-19 MINOR HOME REPAIR	14A	LMH	\$58,597.15
2018	19	6401	6268343	FY 18-19 MINOR HOME REPAIR	14A	LMH	\$66,720.87
2018	19	6401	6280172	FY 18-19 MINOR HOME REPAIR	14A	LMH	\$31,076.32
2018	19	6401	6285731	FY 18-19 MINOR HOME REPAIR	14A	LMH	\$91,748.56
2018	19	6401	6297952	FY 18-19 MINOR HOME REPAIR	14A	LMH	\$74,247.90
2018	19	6401	6305555	FY 18-19 MINOR HOME REPAIR	14A	LMH	\$148,603.56
2018	20	6402	6256443	RHDA HERITAGE HEIGHTS REHAB - 1619	14A	LMH	\$27,125.00
2018	20	6402	6268343	RHDA HERITAGE HEIGHTS REHAB - 1619	14A	LMH	\$37,398.50
2018	20	6402	6285731	RHDA HERITAGE HEIGHTS REHAB - 1619	14A	LMH	\$1,553.33
2018	20	6404	6256443	RHDA - HERITAGE HEIGHTS REHAB - 1622 E 10TH ST	14A	LMH	\$31,948.00
2018	20	6404	6268343	RHDA - HERITAGE HEIGHTS REHAB - 1622 E 10TH ST	14A	LMH	\$39,640.46
2018	20	6404	6285731	RHDA - HERITAGE HEIGHTS REHAB - 1622 E 10TH ST	14A	LMH	\$1,553.33
2018	20	6405	6256443	RHDA - HERITAGE HEIGHTS REHAB - 1623 E 11TH ST	14A	LMH	\$31,610.00
2018	20	6405	6268343	RHDA - HERITAGE HEIGHTS REHAB - 1623 E 11TH ST	14A	LMH	\$36,623.78
2018	20	6405	6285731	RHDA - HERITAGE HEIGHTS REHAB - 1623 E 11TH ST	14A	LMH	\$1,553.33
2018	20	6406	6256443	RHDA - HERITAGE HEIGHTS REHAB - 1621 E 11TH ST	14A	LMH	\$25,870.00
2018	20	6406	6268343	RHDA - HERITAGE HEIGHTS REHAB - 1621 E 11TH ST	14A	LMH	\$37,346.08
2018	20	6406	6285731	RHDA - HERITAGE HEIGHTS REHAB - 1621 E 11TH ST	14A	LMH	\$1,553.33
2018	20	6407	6256443	RHDA - HERITAGE HEIGHTS REHAB - 1625 E 10TH STREET	14A	LMH	\$38,249.00
2018	20	6407	6268343	RHDA - HERITAGE HEIGHTS REHAB - 1625 E 10TH STREET	14A	LMH	\$38,219.68



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2018	20	6407	6285731	RHDA - HERITAGE HEIGHTS REHAB - 1625 E 10TH STREET	14A	LMH	\$1,553.31
					14A	Matrix Code	\$2,593,736.51
2016	34	6261	6122475	Bouldin Creek Coffeehouse and Cafe	18A	LMJ	\$220,050.00
2016	34	6262	6122474	Artisanal Imports, Inc. and Mort Subite, LLC	18A	LMJ	\$154,200.00
2016	34	6263	6122472	Jose Luis Salon Domain, LLC	18A	LMJ	\$477,760.00
2016	34	6264	6122471	Garbo Salon, Inc.	18A	LMJ	\$139,000.00
2016	34	6336	6122443	Asazu, LLC	18A	LMJ	\$200,000.00
2016	34	6337	6122467	CYKL Services ATX, LLC	18A	LMJ	\$35,000.00
2016	34	6373	6195507	The Renaissance Project, LLC	18A	LMJ	\$550,000.00
2016	34	6374	6195507	GH2M Harvest, LLC	18A	LMJ	\$82,000.00
2018	24	6399	6285731	FY 18-19 PEOPLEFUND	18A	LMJ	\$150,000.00
					18A	Matrix Code	\$2,008,010.00
Total							\$5,729,258.87

LINE 27 DETAIL: ACTIVITIES INCLUDED IN THE COMPUTATION OF LINE 27

Plan Year	IDIS Project	IDIS Activity	Voucher Number	Activity Name	Matrix Code	National Objective	Drawn Amount
2018	2	6398	6256443	FY 18-19 SENIOR SERVICES	05A	LMC	\$59,848.38
2018	2	6398	6280172	FY 18-19 SENIOR SERVICES	05A	LMC	\$12,768.03
2018	2	6398	6297952	FY 18-19 SENIOR SERVICES	05A	LMC	\$16,334.75
2018	2	6398	6305555	FY 18-19 SENIOR SERVICES	05A	LMC	\$11,209.20
					05A	Matrix Code	\$100,160.36
2018	3	6400	6254254	FY 18-19 YOUTH SERVICES	05D	LMC	\$19,479.99
2018	3	6400	6256443	FY 18-19 YOUTH SERVICES	05D	LMC	\$78,397.62
2018	3	6400	6268343	FY 18-19 YOUTH SERVICES	05D	LMC	\$6,373.12
2018	3	6400	6280172	FY 18-19 YOUTH SERVICES	05D	LMC	\$24,898.75
2018	3	6400	6297952	FY 18-19 YOUTH SERVICES	05D	LMC	\$60,187.30
					05D	Matrix Code	\$189,336.78
2018	14	6397	6254254	FY 18-19 TENANT'S RIGHTS ASSISTANCE	05J	LMC	\$95,899.72
2018	14	6397	6268343	FY 18-19 TENANT'S RIGHTS ASSISTANCE	05J	LMC	\$12,762.50
2018	14	6397	6280172	FY 18-19 TENANT'S RIGHTS ASSISTANCE	05J	LMC	\$19,491.99
2018	14	6397	6285731	FY 18-19 TENANT'S RIGHTS ASSISTANCE	05J	LMC	\$20,903.10
2018	14	6397	6297952	FY 18-19 TENANT'S RIGHTS ASSISTANCE	05J	LMC	\$23,680.50
2018	14	6397	6305555	FY 18-19 TENANT'S RIGHTS ASSISTANCE	05J	LMC	\$49,875.20
					05J	Matrix Code	\$222,613.01
2018	1	6395	6239091	FY 18-19 CHILD CARE SERVICES	05L	LMC	\$32,698.57
2018	1	6395	6254254	FY 18-19 CHILD CARE SERVICES	05L	LMC	\$72,693.89
2018	1	6395	6256443	FY 18-19 CHILD CARE SERVICES	05L	LMC	\$50,291.63
2018	1	6395	6268343	FY 18-19 CHILD CARE SERVICES	05L	LMC	\$96,636.85
2018	1	6395	6280172	FY 18-19 CHILD CARE SERVICES	05L	LMC	\$43,869.34
2018	1	6395	6285731	FY 18-19 CHILD CARE SERVICES	05L	LMC	\$20,975.98
2018	1	6395	6297952	FY 18-19 CHILD CARE SERVICES	05L	LMC	\$59,756.84
2018	1	6395	6305555	FY 18-19 CHILD CARE SERVICES	05L	LMC	\$28,453.75
					05L	Matrix Code	\$405,376.85
Total							\$917,487.00

LINE 37 DETAIL: ACTIVITIES INCLUDED IN THE COMPUTATION OF LINE 37

Plan Year	IDIS Project	IDIS Activity	Voucher Number	Activity Name	Matrix Code	National Objective	Drawn Amount
2018	29	6408	6218025	FY 18-19 CDBG ADMIN	21A		\$45,019.16
2018	29	6408	6222688	FY 18-19 CDBG ADMIN	21A		\$122,139.72
2018	29	6408	6225952	FY 18-19 CDBG ADMIN	21A		\$98,190.83
2018	29	6408	6239091	FY 18-19 CDBG ADMIN	21A		\$82,326.80



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Plan Year	IDIS Project	IDIS Activity	Voucher Number	Activity Name	Matrix Code	National Objective	Drawn Amount
2018	29	6408	6254254	FY 18-19 CDBG ADMIN	21A		\$109,290.25
2018	29	6408	6256443	FY 18-19 CDBG ADMIN	21A		\$84,193.80
2018	29	6408	6268343	FY 18-19 CDBG ADMIN	21A		\$81,661.50
2018	29	6408	6280172	FY 18-19 CDBG ADMIN	21A		\$140,514.46
2018	29	6408	6285731	FY 18-19 CDBG ADMIN	21A		\$121,486.14
2018	29	6408	6297952	FY 18-19 CDBG ADMIN	21A		\$94,993.49
2018	29	6408	6305555	FY 18-19 CDBG ADMIN	21A		\$110,801.20
Total					21A	Matrix Code	\$1,090,617.35
							\$1,090,617.35



U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT  
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Program	Fund Type	Grantee Name	Grantee State Code	Grant Number	Authorized Amount	Suballocated Amount	Amount Committed to Activities	Net Drawn Amount	FY YTD Net Draw Amount	Available to Commit	Available to Draw	Recapture Amount
CDBG	EN	AUSTIN	TX	B88MC480500	\$4,738,000.00	\$0.00	\$4,738,000.00	\$4,738,000.00	\$0.00	\$0.00	\$0.00	\$0.00
				B89MC480500	\$4,927,000.00	\$0.00	\$4,927,000.00	\$4,927,000.00	\$0.00	\$0.00	\$0.00	\$0.00
				B90MC480500	\$4,676,000.00	\$0.00	\$4,676,000.00	\$4,676,000.00	\$0.00	\$0.00	\$0.00	\$0.00
				B91MC480500	\$5,225,000.00	\$0.00	\$5,225,000.00	\$5,225,000.00	\$0.00	\$0.00	\$0.00	\$0.00
				B92MC480500	\$5,501,000.00	\$0.00	\$5,501,000.00	\$5,501,000.00	\$0.00	\$0.00	\$0.00	\$0.00
				B93MC480500	\$7,254,000.00	\$0.00	\$7,254,000.00	\$7,254,000.00	\$0.00	\$0.00	\$0.00	\$0.00
				B94MC480500	\$7,889,000.00	\$0.00	\$7,889,000.00	\$7,889,000.00	\$0.00	\$0.00	\$0.00	\$0.00
				B95MC480500	\$8,563,000.00	\$0.00	\$8,563,000.00	\$8,563,000.00	\$0.00	\$0.00	\$0.00	\$0.00
				B96MC480500	\$8,381,000.00	\$0.00	\$8,381,000.00	\$8,381,000.00	\$0.00	\$0.00	\$0.00	\$0.00
				B97MC480500	\$8,259,000.00	\$0.00	\$8,259,000.00	\$8,259,000.00	\$0.00	\$0.00	\$0.00	\$0.00
				B98MC480500	\$8,057,000.00	\$0.00	\$8,057,000.00	\$8,057,000.00	\$0.00	\$0.00	\$0.00	\$0.00
				B99MC480500	\$8,105,000.00	\$0.00	\$8,105,000.00	\$8,105,000.00	\$0.00	\$0.00	\$0.00	\$0.00
				B00MC480500	\$8,093,000.00	\$0.00	\$8,093,000.00	\$8,093,000.00	\$0.00	\$0.00	\$0.00	\$0.00
				B01MC480500	\$8,508,000.00	\$0.00	\$8,508,000.00	\$8,508,000.00	\$0.00	\$0.00	\$0.00	\$0.00
				B02MC480500	\$8,500,000.00	\$0.00	\$8,500,000.00	\$8,500,000.00	\$0.00	\$0.00	\$0.00	\$0.00
				B03MC480500	\$9,176,000.00	\$0.00	\$9,176,000.00	\$9,176,000.00	\$0.00	\$0.00	\$0.00	\$0.00
				B04MC480500	\$8,967,000.00	\$0.00	\$8,967,000.00	\$8,967,000.00	\$0.00	\$0.00	\$0.00	\$0.00
				B05MC480500	\$8,476,947.00	\$0.00	\$8,476,947.00	\$8,476,947.00	\$0.00	\$0.00	\$0.00	\$0.00
				B06MC480500	\$7,631,041.00	\$0.00	\$7,631,041.00	\$7,631,041.00	\$0.00	\$0.00	\$0.00	\$0.00
				B07MC480500	\$7,618,132.00	\$0.00	\$7,618,132.00	\$7,618,132.00	\$0.00	\$0.00	\$0.00	\$0.00
				B08MC480500	\$7,374,683.00	\$0.00	\$7,374,683.00	\$7,374,683.00	\$0.00	\$0.00	\$0.00	\$0.00
				B09MC480500	\$7,522,791.00	\$0.00	\$7,522,791.00	\$7,522,791.00	\$0.00	\$0.00	\$0.00	\$0.00
				B10MC480500	\$8,157,148.00	\$0.00	\$8,157,148.00	\$8,157,148.00	\$0.00	\$0.00	\$0.00	\$0.00
				B11MC480500	\$6,877,946.00	\$0.00	\$6,877,946.00	\$6,877,946.00	\$0.00	\$0.00	\$0.00	\$0.00
				B12MC480500	\$6,692,838.00	\$0.00	\$6,692,838.00	\$6,692,838.00	\$0.00	\$0.00	\$0.00	\$0.00
				B13MC480500	\$7,185,072.00	\$0.00	\$7,185,072.00	\$7,185,072.00	\$0.00	\$0.00	\$0.00	\$0.00
				B14MC480500	\$6,983,366.00	\$0.00	\$6,983,366.00	\$6,983,366.00	\$0.00	\$0.00	\$0.00	\$0.00
				B15MC480500	\$7,078,382.00	\$0.00	\$7,078,382.00	\$7,078,382.00	\$0.00	\$0.00	\$0.00	\$0.00
				B16MC480500	\$7,115,474.00	\$0.00	\$5,093,159.83	\$5,065,374.50	\$406,941.21	\$2,022,314.17	\$2,050,099.50	\$0.00
				B17MC480500	\$7,195,728.00	\$0.00	\$4,969,229.88	\$4,792,359.10	\$6,938.87	\$2,226,498.12	\$2,403,368.90	\$0.00
				B18MC480500	\$7,895,853.00	\$0.00	\$5,133,815.67	\$3,883,691.62	\$569,225.11	\$2,762,037.33	\$4,012,161.38	\$0.00
				B19MC480500	\$7,772,037.00	\$0.00	\$0.00	\$0.00	\$0.00	\$7,772,037.00	\$7,772,037.00	\$0.00
				<b>AUSTIN Subtotal:</b>	<b>\$236,396,438.00</b>	<b>\$0.00</b>	<b>\$221,613,551.38</b>	<b>\$220,158,771.22</b>	<b>\$983,105.19</b>	<b>\$14,782,886.62</b>	<b>\$16,237,666.78</b>	<b>\$0.00</b>
				<b>EN Subtotal:</b>	<b>\$236,396,438.00</b>	<b>\$0.00</b>	<b>\$221,613,551.38</b>	<b>\$220,158,771.22</b>	<b>\$983,105.19</b>	<b>\$14,782,886.62</b>	<b>\$16,237,666.78</b>	<b>\$0.00</b>
SL	AUSTIN	TX	B94MC480500	\$955,000.00	\$0.00	\$0.00	\$0.00	\$0.00	\$955,000.00	\$955,000.00	\$0.00	\$0.00
			B94MC480500-A	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
			B94MC480500-A-OLD	\$9,035,000.00	\$0.00	\$0.00	\$0.00	\$0.00	\$9,035,000.00	\$9,035,000.00	\$0.00	\$0.00
			B94MC480500-OLD	\$7,830,000.00	\$0.00	\$0.00	\$0.00	\$0.00	\$7,830,000.00	\$7,830,000.00	\$0.00	\$0.00
			B01MC480500	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
			B01MC480500-A	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
			B01MC480500-A-OLD	\$2,000,000.00	\$0.00	\$0.00	\$0.00	\$0.00	\$2,000,000.00	\$2,000,000.00	\$0.00	\$0.00
			B01MC480500-OLD	\$6,030,000.00	\$0.00	\$0.00	\$0.00	\$0.00	\$6,030,000.00	\$6,030,000.00	\$0.00	\$0.00
			B05MC480500	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
			B05MC480500-OLD	\$3,000,000.00	\$0.00	\$3,000,000.00	\$2,898,556.00	\$0.00	\$0.00	\$101,444.00	\$0.00	\$0.00
			B10MC480500	\$8,000,000.00	\$0.00	\$1,858,010.00	\$1,858,010.00	\$0.00	\$6,141,990.00	\$6,141,990.00	\$0.00	\$0.00
			<b>AUSTIN Subtotal:</b>	<b>\$36,850,000.00</b>	<b>\$0.00</b>	<b>\$4,858,010.00</b>	<b>\$4,756,566.00</b>	<b>\$0.00</b>	<b>\$31,991,990.00</b>	<b>\$32,093,434.00</b>	<b>\$0.00</b>	<b>\$0.00</b>
SI	AUSTIN	TX	<b>SL Subtotal:</b>	<b>\$36,850,000.00</b>	<b>\$0.00</b>	<b>\$4,858,010.00</b>	<b>\$4,756,566.00</b>	<b>\$0.00</b>	<b>\$31,991,990.00</b>	<b>\$32,093,434.00</b>	<b>\$0.00</b>	<b>\$0.00</b>
			B10MC480500	\$14,601.95	\$0.00	\$0.00	\$0.00	\$0.00	\$14,601.95	\$14,601.95	\$0.00	\$0.00
			<b>AUSTIN Subtotal:</b>	<b>\$14,601.95</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$14,601.95</b>	<b>\$14,601.95</b>	<b>\$0.00</b>	<b>\$0.00</b>
RL	AUSTIN	TX	<b>SI Subtotal:</b>	<b>\$14,601.95</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$14,601.95</b>	<b>\$14,601.95</b>	<b>\$0.00</b>	<b>\$0.00</b>
			B01MC480500	\$181,135.07	\$0.00	\$181,135.07	\$181,135.07	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
			B02MC480500	\$260,402.57	\$0.00	\$260,402.57	\$260,402.57	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
			B03MC480500	\$634,674.16	\$0.00	\$634,674.16	\$634,674.16	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
			B04MC480500	\$426,008.56	\$0.00	\$426,008.56	\$426,008.56	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00

U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT  
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Program	Fund Type	Grantee Name	Grantee State Code	Grant Number	Authorized Amount	Suballocated Amount	Amount Committed to Activities	Net Drawn Amount	FY YTD Net Draw Amount	Available to Commit	Available to Draw	Recapture Amount
CDBG	RL	AUSTIN	TX	B05MC480500	\$530,135.25	\$0.00	\$530,135.25	\$530,135.25	\$0.00	\$0.00	\$0.00	\$0.00
				B06MC480500	\$785,559.42	\$0.00	\$785,559.42	\$785,559.42	\$0.00	\$0.00	\$0.00	\$0.00
				B07MC480500	\$538,155.08	\$0.00	\$538,155.08	\$538,155.08	\$0.00	\$0.00	\$0.00	\$0.00
				B08MC480500	\$416,622.94	\$0.00	\$416,622.94	\$416,622.94	\$0.00	\$0.00	\$0.00	\$0.00
				B09MC480500	\$341,827.11	\$0.00	\$341,827.11	\$341,827.11	\$0.00	\$0.00	\$0.00	\$0.00
				B10MC480500	\$124,838.10	\$0.00	\$124,838.10	\$124,838.10	\$0.00	\$0.00	\$0.00	\$0.00
				B11MC480500	\$268,144.27	\$0.00	\$268,144.27	\$268,144.27	\$0.00	\$0.00	\$0.00	\$0.00
				B12MC480500	\$409,497.24	\$0.00	\$409,497.24	\$409,497.24	\$0.00	\$0.00	\$0.00	\$0.00
				B13MC480500	\$222,311.27	\$0.00	\$222,311.27	\$222,311.27	\$0.00	\$0.00	\$0.00	\$0.00
				B14MC480500	\$215,383.64	\$0.00	\$215,383.64	\$215,383.64	\$0.00	\$0.00	\$0.00	\$0.00
				B15MC480500	\$86,677.95	\$0.00	\$86,677.95	\$86,677.95	\$0.00	\$0.00	\$0.00	\$0.00
				B16MC480500	\$135,617.30	\$0.00	\$135,617.30	\$135,617.30	\$0.00	\$0.00	\$0.00	\$0.00
				B17MC480500	\$97,506.08	\$0.00	\$97,506.08	\$97,506.08	\$0.00	\$0.00	\$0.00	\$0.00
				B18MC480500	\$297,093.24	\$0.00	\$183,027.25	\$183,027.25	\$0.00	\$114,065.99	\$114,065.99	\$0.00
				B19MC480500	\$41,308.20	\$0.00	\$0.00	\$0.00	\$0.00	\$41,308.20	\$41,308.20	\$0.00
				<b>AUSTIN Subtotal:</b>	<b>\$6,012,897.45</b>	<b>\$0.00</b>	<b>\$5,857,523.26</b>	<b>\$5,857,523.26</b>	<b>\$0.00</b>	<b>\$155,374.19</b>	<b>\$155,374.19</b>	<b>\$0.00</b>
		<b>RL Subtotal:</b>			<b>\$6,012,897.45</b>	<b>\$0.00</b>	<b>\$5,857,523.26</b>	<b>\$5,857,523.26</b>	<b>\$0.00</b>	<b>\$155,374.19</b>	<b>\$155,374.19</b>	<b>\$0.00</b>
PI		AUSTIN	TX	B99MC480500	\$567,388.00	\$0.00	\$567,388.00	\$567,388.00	\$0.00	\$0.00	\$0.00	\$0.00
				B00MC480500	\$629,881.00	\$0.00	\$629,881.00	\$629,881.00	\$0.00	\$0.00	\$0.00	\$0.00
				B01MC480500	\$7,210,814.10	\$0.00	\$7,210,814.10	\$7,210,814.10	\$0.00	\$0.00	\$0.00	\$0.00
				B02MC480500	\$1,258,950.10	\$0.00	\$1,258,950.10	\$1,258,950.10	\$0.00	\$0.00	\$0.00	\$0.00
				B03MC480500	\$1,174,010.18	\$0.00	\$1,174,010.18	\$1,174,010.18	\$0.00	\$0.00	\$0.00	\$0.00
				B04MC480500	\$266,971.84	\$0.00	\$266,971.84	\$266,971.84	\$0.00	\$0.00	\$0.00	\$0.00
				B05MC480500	\$162,340.86	\$0.00	\$162,340.86	\$162,340.86	\$0.00	\$0.00	\$0.00	\$0.00
				B06MC480500	\$484,343.66	\$0.00	\$484,343.66	\$484,343.66	\$0.00	\$0.00	\$0.00	\$0.00
				B07MC480500	\$75,833.29	\$0.00	\$75,833.29	\$75,833.29	\$0.00	\$0.00	\$0.00	\$0.00
				B08MC480500	\$84,138.71	\$0.00	\$84,138.71	\$84,138.71	\$0.00	\$0.00	\$0.00	\$0.00
				B09MC480500	\$70,166.63	\$0.00	\$70,166.63	\$70,166.63	\$0.00	\$0.00	\$0.00	\$0.00
				B10MC480500	\$85,321.72	\$0.00	\$85,321.72	\$85,321.72	\$0.00	\$0.00	\$0.00	\$0.00
				B11MC480500	\$64,206.63	\$0.00	\$64,206.63	\$64,206.63	\$0.00	\$0.00	\$0.00	\$0.00
				B12MC480500	\$323,847.69	\$0.00	\$323,847.69	\$323,847.69	\$0.00	\$0.00	\$0.00	\$0.00
				B13MC480500	\$69,999.96	\$0.00	\$69,999.96	\$69,999.96	\$0.00	\$0.00	\$0.00	\$0.00
				B14MC480500	\$69,999.96	\$0.00	\$69,999.96	\$69,999.96	\$0.00	\$0.00	\$0.00	\$0.00
				B15MC480500	\$175,142.57	\$0.00	\$175,142.57	\$175,142.57	\$0.00	\$0.00	\$0.00	\$0.00
				B16MC480500	\$76,580.63	\$0.00	\$76,580.63	\$76,580.63	\$0.00	\$0.00	\$0.00	\$0.00
				B17MC480500	\$69,999.96	\$0.00	\$69,999.96	\$69,999.96	\$0.00	\$0.00	\$0.00	\$0.00
				B18MC480500	\$41,999.98	\$0.00	\$41,999.98	\$41,999.98	\$0.00	\$0.00	\$0.00	\$0.00
				<b>AUSTIN Subtotal:</b>	<b>\$12,961,937.47</b>	<b>\$0.00</b>	<b>\$12,961,937.47</b>	<b>\$12,961,937.47</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>
		<b>PI Subtotal:</b>			<b>\$12,961,937.47</b>	<b>\$0.00</b>	<b>\$12,961,937.47</b>	<b>\$12,961,937.47</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>
LA		AUSTIN	TX	B14MC480500	\$290.00	\$0.00	\$290.00	\$290.00	\$0.00	\$0.00	\$0.00	\$0.00
				B15MC480500	\$397.00	\$0.00	\$397.00	\$397.00	\$0.00	\$0.00	\$0.00	\$0.00
				B16MC480500	\$6,350.08	\$0.00	\$6,350.08	\$6,350.08	\$0.00	\$0.00	\$0.00	\$0.00
				B17MC480500	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
				<b>AUSTIN Subtotal:</b>	<b>\$7,037.08</b>	<b>\$0.00</b>	<b>\$7,037.08</b>	<b>\$7,037.08</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>
		<b>LA Subtotal:</b>			<b>\$7,037.08</b>	<b>\$0.00</b>	<b>\$7,037.08</b>	<b>\$7,037.08</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>
CDBG-R	EN	AUSTIN	TX	B09MY480500	\$2,003,003.00	\$0.00	\$2,003,003.00	\$2,003,003.00	\$0.00	\$0.00	\$0.00	\$0.00
				<b>AUSTIN Subtotal:</b>	<b>\$2,003,003.00</b>	<b>\$0.00</b>	<b>\$2,003,003.00</b>	<b>\$2,003,003.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>
		<b>EN Subtotal:</b>			<b>\$2,003,003.00</b>	<b>\$0.00</b>	<b>\$2,003,003.00</b>	<b>\$2,003,003.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>
ESG	EN	AUSTIN	TX	S89MC480500	\$78,000.00	\$0.00	\$78,000.00	\$78,000.00	\$0.00	\$0.00	\$0.00	\$0.00
				S90MC480500	\$123,000.00	\$0.00	\$123,000.00	\$123,000.00	\$0.00	\$0.00	\$0.00	\$0.00
				S91MC480500	\$120,000.00	\$0.00	\$120,000.00	\$120,000.00	\$0.00	\$0.00	\$0.00	\$0.00
				S92MC480500	\$120,000.00	\$0.00	\$120,000.00	\$120,000.00	\$0.00	\$0.00	\$0.00	\$0.00
				S93MC480500	\$81,000.00	\$0.00	\$81,000.00	\$81,000.00	\$0.00	\$0.00	\$0.00	\$0.00
				S94MC480500	\$211,623.00	\$0.00	\$211,623.00	\$211,623.00	\$0.00	\$0.00	\$0.00	\$0.00

U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT  
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Program	Fund Type	Grantee Name	Grantee State Code	Grant Number	Authorized Amount	Suballocated Amount	Amount Committed to Activities	Net Drawn Amount	FY YTD Net Draw Amount	Available to Commit	Available to Draw	Recapture Amount
ESG	EN	AUSTIN	TX	S95MC480500	\$283,102.63	\$0.00	\$283,102.63	\$283,102.63	\$0.00	\$0.00	\$0.00	\$0.00
				S96MC480500	\$217,000.00	\$0.00	\$217,000.00	\$217,000.00	\$0.00	\$0.00	\$0.00	\$0.00
				S97MC480500	\$218,000.00	\$0.00	\$218,000.00	\$218,000.00	\$0.00	\$0.00	\$0.00	\$0.00
				S98MC480500	\$316,000.00	\$0.00	\$316,000.00	\$316,000.00	\$0.00	\$0.00	\$0.00	\$0.00
				S99MC480500	\$288,000.00	\$0.00	\$288,000.00	\$288,000.00	\$0.00	\$0.00	\$0.00	\$0.00
				S00MC480500	\$287,000.00	\$0.00	\$287,000.00	\$287,000.00	\$0.00	\$0.00	\$0.00	\$0.00
				S01MC480500	\$285,000.00	\$0.00	\$285,000.00	\$285,000.00	\$0.00	\$0.00	\$0.00	\$0.00
				S02MC480500	\$290,000.00	\$0.00	\$290,000.00	\$290,000.00	\$0.00	\$0.00	\$0.00	\$0.00
				S03MC480500	\$291,000.00	\$0.00	\$291,000.00	\$291,000.00	\$0.00	\$0.00	\$0.00	\$0.00
				S04MC480500	\$335,653.00	\$0.00	\$335,653.00	\$335,653.00	\$0.00	\$0.00	\$0.00	\$0.00
				S05MC480500	\$327,973.00	\$0.00	\$327,973.00	\$327,973.00	\$0.00	\$0.00	\$0.00	\$0.00
				S06MC480500	\$326,062.00	\$0.00	\$326,062.00	\$326,062.00	\$0.00	\$0.00	\$0.00	\$0.00
				S07MC480500	\$329,116.00	\$0.00	\$329,116.00	\$329,116.00	\$0.00	\$0.00	\$0.00	\$0.00
				S08MC480500	\$328,238.00	\$0.00	\$328,238.00	\$328,238.00	\$0.00	\$0.00	\$0.00	\$0.00
				S09MC480500	\$328,346.00	\$0.00	\$328,346.00	\$328,346.00	\$0.00	\$0.00	\$0.00	\$0.00
				S10MC480500	\$330,444.00	\$0.00	\$330,444.00	\$330,444.00	\$0.00	\$0.00	\$0.00	\$0.00
				<b>AUSTIN Subtotal:</b>	<b>\$5,514,557.63</b>	<b>\$0.00</b>	<b>\$5,514,557.63</b>	<b>\$5,514,557.63</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>
HOME	EN	AUSTIN	TX	<b>EN Subtotal:</b>	<b>\$5,514,557.63</b>	<b>\$0.00</b>	<b>\$5,514,557.63</b>	<b>\$5,514,557.63</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>
				M92MC480500	\$2,868,000.00	\$717,000.00	\$2,151,000.00	\$2,151,000.00	\$0.00	\$0.00	\$0.00	\$0.00
				M93MC480500	\$1,865,000.00	\$1,506,250.00	\$358,750.00	\$358,750.00	\$0.00	\$0.00	\$0.00	\$0.00
				M94MC480500	\$2,409,000.00	\$1,478,250.00	\$930,750.00	\$930,750.00	\$0.00	\$0.00	\$0.00	\$0.00
				M95MC480500	\$2,588,000.00	\$1,701,000.00	\$887,000.00	\$887,000.00	\$0.00	\$0.00	\$0.00	\$0.00
				M96MC480500	\$2,781,000.00	\$994,590.00	\$1,786,410.00	\$1,786,410.00	\$0.00	\$0.00	\$0.00	\$0.00
				M97MC480500	\$2,723,000.00	\$1,445,191.62	\$1,277,808.38	\$1,277,808.38	\$0.00	\$0.00	\$0.00	\$0.00
				M98MC480500	\$2,918,000.00	\$1,234,172.90	\$1,683,827.10	\$1,683,827.10	\$0.00	\$0.00	\$0.00	\$0.00
				M99MC480500	\$3,137,000.00	\$3,137,000.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
				M00MC480500	\$3,146,000.00	\$3,146,000.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
				M01MC480500	\$3,508,000.00	\$3,508,000.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
				M02MC480500	\$3,501,000.00	\$3,292,154.20	\$208,845.80	\$208,845.80	\$0.00	\$0.00	\$0.00	\$0.00
				M03MC480500	\$4,700,178.00	\$4,632,915.36	\$67,262.64	\$67,262.64	\$0.00	\$0.00	\$0.00	\$0.00
				M04MC480500	\$5,341,048.00	\$5,341,048.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
				M05MC480500	\$4,731,100.00	\$4,731,100.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
				M06MC480500	\$4,358,773.00	\$4,358,668.45	\$104.55	\$104.55	\$0.00	\$0.00	\$0.00	\$0.00
				M07MC480500	\$4,327,459.00	\$4,205,326.14	\$122,132.86	\$122,132.86	\$0.00	\$0.00	\$0.00	\$0.00
				M08MC480500	\$4,140,778.00	\$4,135,107.01	\$5,670.99	\$5,670.99	\$0.00	\$0.00	\$0.00	\$0.00
				M09MC480500	\$4,553,167.00	\$4,550,355.23	\$2,811.77	\$2,811.77	\$0.00	\$0.00	\$0.00	\$0.00
				M10MC480500	\$4,531,817.00	\$4,246,235.94	\$285,581.06	\$285,581.06	\$0.00	\$0.00	\$0.00	\$0.00
PI	EN	AUSTIN	TX	M11MC480500	\$4,017,139.00	\$3,459,142.64	\$557,996.36	\$557,996.36	\$0.00	\$0.00	\$0.00	\$0.00
				M12MC480500	\$2,429,177.00	\$2,261,379.37	\$167,797.63	\$167,797.63	\$0.00	\$0.00	\$0.00	\$0.00
				M13MC480500	\$2,527,120.00	\$2,375,791.17	\$151,328.83	\$151,328.83	\$0.00	\$0.00	\$0.00	\$0.00
				M14MC480500	\$2,686,764.00	\$2,622,213.04	\$64,550.96	\$64,550.96	\$0.00	\$0.00	\$0.00	\$0.00
				M15MC480500	\$2,433,108.00	\$2,433,108.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
				M16MC480500	\$2,612,058.00	\$2,470,150.07	\$0.00	\$0.00	\$0.00	\$141,907.93	\$141,907.93	\$0.00
				M17MC480500	\$2,546,781.00	\$2,546,781.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
				M18MC480500	\$3,428,034.00	\$3,428,034.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
				M19MC480500	\$3,031,606.00	\$757,901.50	\$0.00	\$0.00	\$0.00	\$2,273,704.50	\$2,273,704.50	\$0.00
				<b>AUSTIN Subtotal:</b>	<b>\$93,840,107.00</b>	<b>\$80,714,865.64</b>	<b>\$10,709,628.93</b>	<b>\$10,709,628.93</b>	<b>\$0.00</b>	<b>\$2,415,612.43</b>	<b>\$2,415,612.43</b>	<b>\$0.00</b>
				<b>EN Subtotal:</b>	<b>\$93,840,107.00</b>	<b>\$80,714,865.64</b>	<b>\$10,709,628.93</b>	<b>\$10,709,628.93</b>	<b>\$0.00</b>	<b>\$2,415,612.43</b>	<b>\$2,415,612.43</b>	<b>\$0.00</b>
				M96MC480500	\$67,231.00	\$0.00	\$67,231.00	\$67,231.00	\$0.00	\$0.00	\$0.00	\$0.00
				M97MC480500	\$41,213.70	\$0.00	\$41,213.70	\$41,213.70	\$0.00	\$0.00	\$0.00	\$0.00
				M98MC480500	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
				M99MC480500	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
				M01MC480500	\$5,080,583.73	\$0.00	\$5,080,583.73	\$5,080,583.73	\$0.00	\$0.00	\$0.00	\$0.00
				M02MC480500	\$1,805,081.80	\$0.00	\$1,805,081.80	\$1,805,081.80	\$0.00	\$0.00	\$0.00	\$0.00
				M03MC480500	\$990,148.77	\$0.00	\$990,148.77	\$990,148.77	\$0.00	\$0.00	\$0.00	\$0.00

U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT  
OFFICE OF COMMUNITY PLANNING AND DEVELOPMENT  
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Program	Fund Type	Grantee Name	Grantee State Code	Grant Number	Authorized Amount	Suballocated Amount	Amount Committed to Activities	Net Drawn Amount	FY YTD Net Draw Amount	Available to Commit	Available to Draw	Recapture Amount
HOME	PI	AUSTIN	TX	M04MC480500	\$977,873.84	\$0.00	\$977,873.84	\$977,873.84	\$0.00	\$0.00	\$0.00	\$0.00
				M05MC480500	\$1,014,075.82	\$0.00	\$1,014,075.82	\$1,014,075.82	\$0.00	\$0.00	\$0.00	\$0.00
				M06MC480500	\$974,354.61	\$0.00	\$974,354.61	\$974,354.61	\$0.00	\$0.00	\$0.00	\$0.00
				M07MC480500	\$1,070,469.91	\$0.00	\$1,070,469.91	\$1,070,469.91	\$0.00	\$0.00	\$0.00	\$0.00
				M08MC480500	\$722,395.80	\$0.00	\$722,395.80	\$722,395.80	\$0.00	\$0.00	\$0.00	\$0.00
				M09MC480500	\$632,109.98	\$0.00	\$632,109.98	\$632,109.98	\$0.00	\$0.00	\$0.00	\$0.00
				M10MC480500	\$460,172.29	\$221.69	\$459,950.60	\$459,950.60	\$0.00	\$0.00	\$0.00	\$0.00
				M11MC480500	\$368,708.67	\$43.72	\$368,664.95	\$368,664.95	\$0.00	\$0.00	\$0.00	\$0.00
				M12MC480500	\$665,336.41	\$57,886.02	\$607,450.39	\$607,450.39	\$0.00	\$0.00	\$0.00	\$0.00
				M13MC480500	\$946,583.68	\$46,900.57	\$899,683.11	\$899,683.11	\$0.00	\$0.00	\$0.00	\$0.00
				M14MC480500	\$1,080,827.02	\$42,617.23	\$1,038,209.79	\$1,038,209.79	\$0.00	\$0.00	\$0.00	\$0.00
				M15MC480500	\$1,773,313.00	\$166,272.57	\$1,607,040.43	\$1,607,040.43	\$0.00	\$0.00	\$0.00	\$0.00
				M16MC480500	\$695,584.31	\$67,440.75	\$628,143.56	\$628,143.56	\$0.00	\$0.00	\$0.00	\$0.00
				M17MC480500	\$796,122.10	\$79,612.21	\$716,509.89	\$716,509.89	\$0.00	\$0.00	\$0.00	\$0.00
				M18MC480500	\$527,289.49	\$50,619.77	\$289,028.88	\$289,028.88	\$0.00	\$187,640.84	\$187,640.84	\$0.00
				M19MC480500	\$96,049.83	\$0.00	\$0.00	\$0.00	\$0.00	\$96,049.83	\$96,049.83	\$0.00
				<b>AUSTIN Subtotal:</b>	<b>\$20,785,525.76</b>	<b>\$511,614.53</b>	<b>\$19,990,220.56</b>	<b>\$19,990,220.56</b>	<b>\$0.00</b>	<b>\$283,690.67</b>	<b>\$283,690.67</b>	<b>\$0.00</b>
PA	PA	AUSTIN	TX	<b>PI Subtotal:</b>	<b>\$20,785,525.76</b>	<b>\$511,614.53</b>	<b>\$19,990,220.56</b>	<b>\$19,990,220.56</b>	<b>\$0.00</b>	<b>\$283,690.67</b>	<b>\$283,690.67</b>	<b>\$0.00</b>
				M10MC480500	\$221.69	\$0.00	\$221.69	\$221.69	\$0.00	\$0.00	\$0.00	\$0.00
				M11MC480500	\$43.72	\$0.00	\$43.72	\$43.72	\$0.00	\$0.00	\$0.00	\$0.00
				M12MC480500	\$57,886.02	\$0.00	\$57,886.02	\$57,886.02	\$0.00	\$0.00	\$0.00	\$0.00
				M13MC480500	\$46,900.57	\$0.00	\$46,900.57	\$46,900.57	\$0.00	\$0.00	\$0.00	\$0.00
				M14MC480500	\$42,617.23	\$0.00	\$42,617.23	\$42,617.23	\$0.00	\$0.00	\$0.00	\$0.00
				M15MC480500	\$166,272.57	\$0.00	\$166,272.57	\$166,272.57	\$0.00	\$0.00	\$0.00	\$0.00
				M16MC480500	\$67,440.75	\$0.00	\$67,440.75	\$67,440.75	\$0.00	\$0.00	\$0.00	\$0.00
				M17MC480500	\$79,612.21	\$0.00	\$79,612.21	\$79,612.21	\$0.00	\$0.00	\$0.00	\$0.00
				M18MC480500	\$50,619.77	\$0.00	\$50,619.77	\$50,619.77	\$20.00	\$0.00	\$0.00	\$0.00
IU	IU	AUSTIN	TX	<b>AUSTIN Subtotal:</b>	<b>\$511,614.53</b>	<b>\$0.00</b>	<b>\$511,614.53</b>	<b>\$511,614.53</b>	<b>\$20.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>
				<b>PA Subtotal:</b>	<b>\$511,614.53</b>	<b>\$0.00</b>	<b>\$511,614.53</b>	<b>\$511,614.53</b>	<b>\$20.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>
				M16MC480500	\$5,012.31	\$0.00	\$5,012.31	\$5,012.31	\$0.00	\$0.00	\$0.00	\$0.00
				M17MC480500	\$105,955.64	\$0.00	\$105,955.64	\$105,955.64	\$0.00	\$0.00	\$0.00	\$0.00
				M18MC480500	\$1,123.00	\$0.00	\$1,123.00	\$1,123.00	\$0.00	\$0.00	\$0.00	\$0.00
HP	HP	AUSTIN	TX	<b>AUSTIN Subtotal:</b>	<b>\$112,090.95</b>	<b>\$0.00</b>	<b>\$112,090.95</b>	<b>\$112,090.95</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>
				<b>IU Subtotal:</b>	<b>\$112,090.95</b>	<b>\$0.00</b>	<b>\$112,090.95</b>	<b>\$112,090.95</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>
				M15MC480500	\$652,182.90	\$0.00	\$652,182.90	\$652,182.90	\$0.00	\$0.00	\$0.00	\$0.00
				M16MC480500	\$715,927.24	\$0.00	\$715,927.24	\$715,927.24	\$0.00	\$0.00	\$0.00	\$0.00
				M17MC480500	\$432,511.52	\$0.00	\$432,511.52	\$432,511.52	\$0.00	\$0.00	\$0.00	\$0.00
				M18MC480500	\$466,530.70	\$0.00	\$157,989.09	\$157,989.09	\$0.00	\$308,541.61	\$308,541.61	\$0.00
				M19MC480500	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
				<b>AUSTIN Subtotal:</b>	<b>\$2,267,152.36</b>	<b>\$0.00</b>	<b>\$1,958,610.75</b>	<b>\$1,958,610.75</b>	<b>\$0.00</b>	<b>\$308,541.61</b>	<b>\$308,541.61</b>	<b>\$0.00</b>
				<b>HP Subtotal:</b>	<b>\$2,267,152.36</b>	<b>\$0.00</b>	<b>\$1,958,610.75</b>	<b>\$1,958,610.75</b>	<b>\$0.00</b>	<b>\$308,541.61</b>	<b>\$308,541.61</b>	<b>\$0.00</b>
HOPWA	EN	AUSTIN	TX	TX59H95F049	\$965,000.00	\$0.00	\$965,000.00	\$965,000.00	\$0.00	\$0.00	\$0.00	\$0.00
				TX59H96F057	\$623,000.00	\$0.00	\$623,000.00	\$623,000.00	\$0.00	\$0.00	\$0.00	\$0.00
				TX59H97F061	\$704,000.00	\$0.00	\$704,000.00	\$704,000.00	\$0.00	\$0.00	\$0.00	\$0.00
				TX59H98F004	\$711,000.00	\$0.00	\$711,000.00	\$711,000.00	\$0.00	\$0.00	\$0.00	\$0.00
				TX59H99F004	\$767,000.00	\$0.00	\$767,000.00	\$767,000.00	\$0.00	\$0.00	\$0.00	\$0.00
				TXH00F004	\$787,000.00	\$0.00	\$787,000.00	\$787,000.00	\$0.00	\$0.00	\$0.00	\$0.00
				TXH01F004	\$1,202,000.00	\$0.00	\$1,202,000.00	\$1,202,000.00	\$0.00	\$0.00	\$0.00	\$0.00
				TXH02F004	\$948,000.00	\$0.00	\$948,000.00	\$948,000.00	\$0.00	\$0.00	\$0.00	\$0.00
				TXH03F004	\$988,000.00	\$0.00	\$988,000.00	\$988,000.00	\$0.00	\$0.00	\$0.00	\$0.00
				TXH04F004	\$988,000.00	\$0.00	\$988,000.00	\$988,000.00	\$0.00	\$0.00	\$0.00	\$0.00
				TXH05F004	\$931,000.00	\$0.00	\$931,000.00	\$931,000.00	\$0.00	\$0.00	\$0.00	\$0.00
				TXH06F004	\$940,000.00	\$0.00	\$940,000.00	\$940,000.00	\$0.00	\$0.00	\$0.00	\$0.00
				TXH07F004	\$947,000.00	\$0.00	\$947,000.00	\$947,000.00	\$0.00	\$0.00	\$0.00	\$0.00

U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT  
OFFICE OF COMMUNITY PLANNING AND DEVELOPMENT  
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Program	Fund Type	Grantee Name	Grantee State Code	Grant Number	Authorized Amount	Suballocated Amount	Amount Committed to Activities	Net Drawn Amount	FY YTD Net Draw Amount	Available to Commit	Available to Draw	Recapture Amount
HOPWA	EN	AUSTIN	TX	TXH08F004	\$987,000.00	\$0.00	\$987,000.00	\$987,000.00	\$0.00	\$0.00	\$0.00	\$0.00
				TXH09F004	\$1,029,086.00	\$0.00	\$1,029,086.00	\$1,029,086.00	\$0.00	\$0.00	\$0.00	\$0.00
				TXH10F004	\$1,103,927.00	\$0.00	\$1,103,927.00	\$1,103,927.00	\$0.00	\$0.00	\$0.00	\$0.00
				TXH11F004	\$1,096,976.00	\$0.00	\$1,096,976.00	\$1,096,976.00	\$0.00	\$0.00	\$0.00	\$0.00
				TXH12F004	\$1,100,219.00	\$0.00	\$1,100,219.00	\$1,100,219.00	\$0.00	\$0.00	\$0.00	\$0.00
				TXH13F004	\$1,048,348.00	\$0.00	\$1,048,348.00	\$1,048,348.00	\$0.00	\$0.00	\$0.00	\$0.00
				TXH14F004	\$1,112,389.99	\$0.00	\$1,112,389.99	\$1,112,389.99	\$0.00	\$0.00	\$0.00	\$0.00
				TXH15F004	\$1,117,794.00	\$0.00	\$1,117,794.00	\$1,117,794.00	\$0.00	\$0.00	\$0.00	\$0.00
				TXH16F004	\$1,138,204.00	\$0.00	\$1,138,204.00	\$1,138,204.00	\$0.00	\$0.00	\$0.00	\$0.00
				TXH17F004	\$1,296,948.00	\$0.00	\$1,296,948.00	\$1,296,948.00	\$0.00	\$0.00	\$0.00	\$0.00
				TXH18F004	\$1,469,160.00	\$0.00	\$1,469,160.00	\$1,161,963.33	\$0.00	\$0.00	\$307,196.67	\$0.00
				TXH19F004	\$1,659,729.00	\$0.00	\$0.00	\$0.00	\$0.00	\$1,659,729.00	\$1,659,729.00	\$0.00
				AUSTIN Subtotal:	\$25,660,780.99	\$0.00	\$24,001,051.99	\$23,693,855.32	\$0.00	\$1,659,729.00	\$1,966,925.67	\$0.00
		EN Subtotal:	\$25,660,780.99	\$0.00	\$24,001,051.99	\$23,693,855.32	\$0.00	\$1,659,729.00	\$1,966,925.67	\$0.00		
	PI	AUSTIN	TX	TXH15F004	\$453.34	\$0.00	\$453.34	\$453.34	\$0.00	\$0.00	\$0.00	\$0.00
				AUSTIN Subtotal:	\$453.34	\$0.00	\$453.34	\$453.34	\$0.00	\$0.00	\$0.00	\$0.00
		PI Subtotal:	\$453.34	\$0.00	\$453.34	\$453.34	\$0.00	\$0.00	\$0.00	\$0.00		
HPRP	EN	AUSTIN	TX	S09MY480500	\$3,062,820.00	\$0.00	\$3,062,820.00	\$3,062,820.00	\$0.00	\$0.00	\$0.00	\$0.00
				AUSTIN Subtotal:	\$3,062,820.00	\$0.00	\$3,062,820.00	\$3,062,820.00	\$0.00	\$0.00	\$0.00	\$0.00
		EN Subtotal:	\$3,062,820.00	\$0.00	\$3,062,820.00	\$3,062,820.00	\$0.00	\$0.00	\$0.00	\$0.00		
GRANTEE					\$446,001,017.51	\$81,226,480.17	\$313,162,110.87	\$311,298,690.04	\$983,125.19	\$51,612,426.47	\$53,475,847.30	\$0.00

**Attachment 4:** Citizen Participation Plan and Public Notices



**CITY OF AUSTIN**  
**NEIGHBORHOOD HOUSING AND COMMUNITY DEVELOPMENT (NHCD) DEPARTMENT**  
**CITIZEN PARTICIPATION PLAN**

**A. PURPOSE**

Participating Jurisdictions (PJs) that receive U.S. Department of Housing and Urban Development (HUD) entitlement grant funds must develop a Citizen Participation Plan (CPP). The CPP describes efforts that will be undertaken to encourage community members to participate in the development of the City's federal reports: Assessment of Fair Housing (AFH), Consolidated Plan, annual Action Plan, and the Consolidated Annual Performance and Evaluation Report (CAPER).

The CPP is designed to encourage the participation of city residents in the development of the federal reports listed above, particularly those residents who are predominantly low- and moderate-income. The CPP also encourages local and regional institutions and other organizations (including businesses, developers, and community and faith-based organizations) in the process of developing and implementing the Consolidated Plan and related reports. The City takes appropriate actions to encourage the participation of persons of minority backgrounds, persons with limited-English proficiency, and persons with disabilities.

The City of Austin is committed to compliance with the Americans with Disabilities Act (ADA) and Section 504 of the Rehabilitation Act of 1973, as amended. Reasonable modifications and equal access to communications will be provided upon request. The City of Austin does not discriminate on the basis of disability in the admission or access to, or treatment or employment in, its programs and activities.

The City of Austin considers it the right of all Austin's residents to have the opportunity to provide input and comment on the use of public funds and the community's needs related to affordable housing and community and economic development.

The CPP applies to six areas of planning for the use of affordable housing, community and economic development made possible through HUD funding:

- 1) Assessment of Fair Housing (AFH);
- 2) The Consolidated Plan;
- 3) The annual Action Plan;
- 4) The Consolidated Annual Performance and Evaluation Report (CAPER);
- 5) Substantial amendments to a Consolidated Plan and/or annual Action Plan; and
- 6) Amendments to the CPP, itself.

The City of Austin's program/fiscal year begins October 1 and ends September 30. In order to receive entitlement grant funding, the U. S. Department of Housing and Urban Development (HUD) requires jurisdictions to submit a Consolidated Plan every five years. This plan is a comprehensive strategic plan for community planning and development activities. The annual Action Plan serves as the City's

application for these HUD grant programs. Federal law also requires community members have opportunities to review and comment on the local jurisdiction's plans to allocate these funds.

The purpose of programs covered by this CPP is to improve the Austin community by providing: decent housing, a suitable living environment, and growing economic opportunities – all principally for low- and moderate- income households.

This document outlines how members of the Austin community may participate in the six planning areas listed above. General requirements for all or most activities are described in detail in Section E of the Citizen Participation Plan (CPP).

## **B. HUD PROGRAMS**

The City of Austin receives four entitlement grants from the U.S. Department of Housing and Urban Development (HUD), to help address the City's affordable housing, community and economic development needs. The four grant programs are described below:

1. ***Community Development Block Grant Program (CDBG):*** Title I of the Housing and Community Development Act of 1974 (PL 93-383) created the CDBG program. It was re-authorized in 1990 as part of the Cranston-Gonzalez National Affordable Housing Act. The primary objective of the CDBG program is to develop viable urban communities by providing decent housing and a suitable living environment and by expanding economic development opportunities for persons of low and moderate income. The City develops locally defined programs and funding priorities for CDBG, but activities must address one or more of the national objectives of the CDBG program. The three national objectives are: (1) to benefit low- and moderate- income persons; (2) to aid in the prevention or elimination of slums or blight; and/or (3) to meet other urgent community development needs. The City of Austin's CDBG program emphasizes activities that directly benefit low and moderate-income persons.
2. ***HOME Investment Partnerships Program (HOME):*** HOME was introduced in the Cranston-Gonzalez National Affordable Housing Act of 1990 and provides funding for housing rehabilitation, new housing construction, acquisition of affordable housing, and tenant-based rental assistance. A portion of the funds (15 percent) must be set aside for community housing development organizations (CHDOs) certified by the City of Austin.
3. ***Emergency Shelter/Solutions Grant (ESG):*** The ESG Program is authorized by the Steward B. McKinney Homeless Assistance Act of 1987 and was amended by the Homeless Emergency Assistance and Rapid Transition to Housing (HEARTH) Act of 2009. ESG has four primary objectives: (1) to improve the quality of existing emergency shelters for the homeless; (2) to provide additional emergency shelters; (3) to help meet the cost of operating emergency shelters; and (4) to provide certain essential social services to homeless individuals. The program is also intended to help reduce the number of people at risk of becoming homeless.
4. ***Housing Opportunities for Persons with AIDS (HOPWA):*** HOPWA funds may be used to assist housing designed to meet the needs of persons with HIV/AIDS, including the prevention of homelessness. Supportive services may also be included. HOPWA grants are allocated to Eligible Metropolitan Statistical Areas (EMSAs) with a high incidence of HIV/AIDS. The City of Austin receives a HOPWA grant on behalf of a five-county EMSA (Bastrop, Hays, Travis, Williamson, and Caldwell Counties).

## **C. LEAD AGENCY**

The Neighborhood Housing and Community Development (NHCD) Office is designated by the Austin City Council as the lead agency for the administration of the CDBG, HOME, HOPWA, and ESG grant programs. Through the U.S. Department of Housing and Urban Development (HUD) NHCD directly administers the CDBG



and HOME programs. The City Council designates the Austin Public Health (APH) to administer the HOPWA and ESG programs.

As the lead agency for HUD, NHCD is responsible for developing the Consolidated, annual Action Plans, and the Consolidated Annual Performance and Evaluation Report (CAPER). NHCD coordinates with APH, boards and commissions and other community agencies to develop these documents. Needs and priorities for funding for the ESG and HOPWA grants are developed by APH in consultation with community agencies.

#### **D. PLANNING ACTIVITIES SUBJECT TO CITIZEN PARTICIPATION PLAN**

**ACTIVITY 1 – ASSESSMENT OF FAIR HOUSING.** The Assessment of Fair Housing (AFH) is a planning document prepared in accordance with HUD regulations at 24 CFR 91.105 and 24 CFR 5.150 through 5.166, which became effective June 30, 2015. This AFH includes an analysis of fair housing data, assesses fair housing issues and contributing factors, and identifies the City's fair housing priorities and goals for affirmatively furthering fair housing.

1. **Stakeholder Consultation and Outreach.** In the development of the AFH, the City will consult with other public and private agencies including, but not limited to, the following:
  - Local public housing authorities
  - Other assisted housing providers
  - Social service providers including those focusing on services to minorities, families with children, the elderly, persons with disabilities, persons with HIV/AIDS and their families, homeless persons, and other protected classes
  - Community-based and regionally-based organizations that represent protected class members and organizations that enforce fair housing laws
  - Regional government agencies involved in metropolitan-wide planning and transportation responsibilities
  - Financial and lending sector partners

A variety of mechanisms may be utilized to solicit input from these entities. These could include telephone or personal interviews, mail surveys, internet-based feedback and surveys, focus groups, and/or consultation workshops.

2. **Publishing Data.** City staff shall make any proposed analysis and the relevant documents, including the HUD-provided data and any other data to be included in the AFH, available to the public in a manner that affords diverse residents and others the opportunity to examine the content.
3. **Public Hearing.** To obtain the views of the general public on AFH-related data and affirmatively furthering fair housing in the City's housing and community development programs, the City will conduct at least one public hearing before the Community Development Commission (CDC) during the development of the AFH.

4. **Public Display and Comment Period.** The draft AFH will be placed on display in physical and online form for a period of no less than 30 calendar days to encourage public review and comment. The public notice shall include a brief summary of the content and purpose of the draft AFH, the dates of the public display and comment period, the locations where copies of the proposed document can be examined, how comments will be accepted, and

the anticipated submission date to HUD. The Draft AFH will be made available at public libraries, public housing authorities, neighborhood centers, at NHCD's Office, and on the NHCD's web site ([www.austintexas.gov/housing](http://www.austintexas.gov/housing)). In addition, upon request, federal reports will be provided in a form accessible to persons with disabilities.

5. **Comments Received on the Draft Assessment of Fair Housing.** Comments will be accepted by the City Contact Person, or a designee, during the 30-day public display and comment period. The City will consider any comments or views received in writing, or orally at the public hearing, in preparing the final AFH. A summary of these comments or views, and a summary of any comments or views not accepted and the reasons why, will be attached to the final AFH for submission to HUD.
6. **Submission to HUD.** All written or oral testimony provided will be considered in preparing the final AFH. The AFH will be submitted to HUD 270 days before the Consolidated Plan is due.
7. **Revisions to AFH.** A HUD accepted AFH must be revised and submitted to HUD for review when either of the following situations occurs:
  - a. A material change occurs. A material change is one that both;
    - i) impacts the circumstances in the City which may include natural disasters, significant demographic changes, new significant contributing factors, and civil rights findings and
    - ii) causes alterations to the AFH's analyses, contributing factors, priorities, and/or goals.
  - b. The City receives a written notification from HUD specifying a material change.

Whenever a Revision to the Assessment of Fair Housing is proposed, it will be available for public comment for a period of thirty (30) days before submission to HUD. A Revision to the Assessment of Fair Housing will not be implemented until the conclusion of the 30-day public comment period. A summary of all comments or views received in writing, or orally, during the comment period, will be attached to the Revision upon submission to HUD.

**ACTIVITY 2 –CONSOLIDATED PLAN.** The City of Austin's Consolidated Plan is developed through a collaborative process whereby the community establishes a unified vision for Austin's affordable housing, and community and economic development initiatives.

Community members' participation is an essential component in developing the Consolidated Plan, including amending the plan as well as reporting on program performance. Consultations, public hearings, community meetings, surveys and opportunities to provide written comment are all a part of the strategy to obtain community members' input. The City will make special efforts to solicit the views of community members who reside in the designated CDBG-priority neighborhoods of Austin, and to encourage the participation of all community members including minorities, the non-English speaking population, and persons with disabilities. Actions for public participation in the Consolidated Plan follow:

1. **Consultations with Other Community Institutions.** In developing the Consolidated Plan, the City will consult with other public and private agencies, both for-profit and non-profits that either provide or have direct impact on the broad range of housing, health, and social services needed by Austin residents. Consultations may take place through meetings, task forces or committees, or other means with which to coordinate information and facilitate communication. The purpose of these meetings is to gather information and data on the community and economic development needs of the community. The City will seek specific input to identify the needs of persons experiencing homelessness, persons living with HIV/AIDS and their families, persons with disabilities and other special populations.

2. **Utilize Quantitative and Qualitative Data on Community Needs.** City staff shall review relevant data and conduct necessary evaluation and analysis to provide an accurate assessment of community needs and priorities on which to base strategic recommendations.
3. **Initial Public Hearings.** There will be a minimum of two public hearings at the beginning stages of the development of the Consolidated Plan before the Community Development Commission (CDC), policy advisers to NHCD appointed by the City Council, to gather information on community needs from community members. There will be two more hearings sponsored by organizations working with low- and moderate-income populations. An additional hearing will be held before City Council. Based on public testimony received, the CDC will make recommendations to City Council on the community needs.
4. **Written Comments.** Based on public input and quantitative analysis, NHCD staff will prepare a draft Consolidated Plan, which also includes proposed allocation of first-year funding. A period of 30 calendar days will be provided to receive written comments on the draft Consolidated Plan. The draft plan will be made available at public libraries, public housing authorities, neighborhood centers, at NHCD's Office, and on the NHCD's web site ([www.austintexas.gov/housing/publications](http://www.austintexas.gov/housing/publications).) In addition, upon request, federal reports will be provided in a form accessible to persons with disabilities.
5. **Draft Consolidated Plan Public Hearings.** There will be a public hearing held before the City Council to receive oral public comments on the draft. An additional hearing will be held before the Community Development Commission (CDC). These hearings will be scheduled during the 30-day written comment period on the draft plan. The CDC will be given the opportunity to make recommendations to Council on the draft Consolidated Plan/ Action Plan.
6. **Final Action on the Consolidated Plan.** All written or oral testimony provided will be considered in preparing the final Consolidated Plan. A summary of testimony received and the City's reasons for accepting or not accepting the comments must be included in the final document. The City Council will consider these comments, CDC recommendations, and the recommendations of the City Manager before taking final action on the Consolidated Plan. Final action by the City Council will occur no sooner than fifteen calendar days next following the second City Council public hearing on the draft plan. When approved by City Council, the Consolidated Plan will be submitted to HUD, no later than August 15 each year.

**ACTIVITY 3 – ONE-YEAR ACTION PLAN.** Each year the City must submit an annual Action Plan to HUD, reporting on how that year's funding allocation for the four HUD entitlement grants will be used to achieve the goals outlined in the Consolidated Plan.

1. NHCD staff will gather input from community members and consultations to prepare the draft Action Plan and report progress on Fair Housing Action Plan. There shall be two public hearings: one before the Community Development Commission (CDC) and one before the City Council to receive community member input on the community needs, including funding allocations.
2. NHCD staff will gather public input and statistical data to prepare the draft Action Plan. A draft Action Plan will be available for 30 days for public comment after reasonable notice to the public is given.
3. During this comment period, the CDC and the City Council shall conduct two additional public hearings to receive public comments on the draft Action Plan and Consolidated Plan, if it is during a Consolidated Planning year.
4. The CDC will be given the opportunity to make recommendations to the City Council prior to its final action.
5. Final action by the City Council will occur no sooner than fifteen calendar days following the second Council public hearing on the draft Action Plan.
6. When approved by City Council, the Action Plan will be submitted to HUD.

**ACTIVITY 4– SUBSTANTIAL AMENDMENTS TO CONSOLIDATED/ACTION PLAN.** Recognizing that changes during the year may be necessary to the Consolidated Plan and annual Action Plan after approval, the Citizen Participation Plan allows for “substantial amendments” to plans. These “substantial amendments” apply only to changes in CDBG funding allocations. Changes in funding allocation for other HUD grant programs received by the City of Austin -- HOME, ESG, and HOPWA - are not required to secure public review and comment. The CPP defines a substantial amendment as:

- a) A proposed use of CDBG funds that does not address a need identified in the governing Consolidated Plan or annual Action Plan; or
- b) A change in the use of CDBG funds from one eligible program to another. The eligible programs defined in the City of Austin’s Business Plan are “Housing” or “Community Development.”
- c) A cumulative change in the use of CDBG funds from an eligible activity to another eligible activity that decreases an activity’s funding by 10% or more OR increases an activity’s funding by 10% or more during fiscal year. An activity is defined as a high priority need identified in the Consolidated Plan that is eligible for funding in the Action Plan (see Attachment #1 – NHCD’s Investment Plan).

In the event that there are substantial amendments to the governing the Consolidated Plan or annual Action Plan,

- 1. The City will draft the amendment and publish a brief summary of the proposed substantial amendment(s) and identify where the amendment(s) may be viewed
- 2. After reasonable notice, there will be a 30-day written public comment period
- 3. During the 30-day comment period, the City Council shall receive oral comments in public hearings.
- 4. The CDC will be given the opportunity to make recommendations to City Council prior to its final action.
- 5. Upon approval by Council, the substantial amendment will be posted in the official City Council minutes and available online and in the City Clerk’s office. Final action by the City Council will occur no sooner than fifteen calendar days next following the second Council public hearing on the draft plan.

**ACTIVITY 5 – CONSOLIDATED ANNUAL PERFORMANCE AND EVALUATION REPORT (CAPER).**

The City is required to submit annually by December 30 a CAPER to HUD that describes the City’s progress in meeting the goals in the Consolidated Plan.

- 1. NHCD staff prepares the draft CAPER.
- 2. After reasonable notice is provided, the CAPER is available for 15 days for written public comment.
- 3. The final CAPER and public comments will then be submitted to HUD.
- 4. The CAPER and public comments will be presented at a CDC meeting.

**ACTIVITY 6 – AMENDMENTS TO CITIZEN PARTICIPATION PLAN.** In the event that changes to this Citizen Participation Plan (CPP) are necessary, the NHCD staff shall draft them.

- 1. After reasonable notice, these will be available to the public for 15 days for written comment.
- 2. The CDC and City Council shall each hold a public hearing to receive oral public comments on the proposed change.
- 3. The CDC will be given the opportunity to make recommendations to City Council prior to its final action.
- 4. Upon approval by City Council, the substantial amendment will be posted in the official City Council minutes and available online and in the City Clerk’s office.

The City will review the CPP at a minimum of every 5 years for potential enhancement or modification; this review will occur as a component of the Consolidated Planning process.

#### **E. GENERAL REQUIREMENTS**

The City of Austin is committed to compliance with the Americans with Disabilities Act (ADA) and Section 504 of the Rehabilitation Act of 1973, as amended. Reasonable modifications and equal access to communications will be provided upon request. The City of Austin does not discriminate on the basis of disability in the admission or access to, or treatment or employment in, its programs and activities.

1. **Public Hearings.** Public hearings before the Austin City Council, the Community Development Commission (CDC), and other appropriate community organizations will be advertised in accordance with the guidelines outlined in the notification section below. The purpose of public hearings is to provide an opportunity for community members, public agencies, and other interested parties to provide input on the City of Austin's affordable housing, community and economic development needs. Public hearings will be held in locations accessible to low- and moderate- income residents and persons with disabilities. Spanish translation and translation for individuals with hearing impairments will be provided upon request.
2. **Public Meetings.** Public meetings of the Austin City Council, Community Development Commission (CDC), and other boards and commissions overseeing HUD programs provide opportunities for community members' participation and comment on a continuous basis. Public meeting notices are posted at the Office of the City Clerk at least three days (72 hours), prior to the meeting date, in accordance with the Texas Open Meetings Act. Public meetings are held in locations accessible to persons with disabilities. Spanish translation and translation for individuals with hearing impairments will be provided upon request.

**Notification.** The Neighborhood Housing and Community Development (NHCD) Office will provide the community advance notice of public hearings and/or public comment periods. The notice will be provided at least two weeks prior to the public hearing date and the start date of comment periods.

Related to the CPP specified federal documents, NHCD will provide public notifications by utilizing City of Austin publications and media (television, print, electronic) that will maximize use of City resources and reach an increased number of Austin residents by direct mail. Related to federal publications referenced above, NHCD will notify the public about public hearings, comment periods, public meetings, and additional opportunities for public feedback through communications outlets that are designed to increase public participation and generate quantifiable feedback/results. NHCD will utilize the following notifications mechanisms as available: City of Austin utility bill inserts (distribution to approximately 410,000 households, 2011); City of Austin web site; and Channel 6, the municipally-owned cable channel. In addition, NHCD will use other available media (print, electronic, television) to promote public feedback opportunities. Notifications will be published in English and Spanish.

NHCD will coordinate with the Community Development Commission, Urban Renewal Agency, other governmental agencies, public housing authorities, key stakeholders, and the general public during the development of the Assessment of Fair Housing, Consolidated Plan and annual Action Plan.

3. **Document Access.** Copies of all planning documents, including the following federal reports: City's Citizen Participation Plan (CPP), Assessment of Fair Housing, Consolidated Plan, annual Action Plan, and the Consolidated Annual Performance and Evaluation Report (CAPER), will be available to the public upon request. Community members will have the opportunity to review and comment on applicable federal reports in draft form prior to final adoption by the Austin City Council. These documents will be made available at public libraries, public housing authorities, certain

neighborhood centers, at NHCD's Office, and on the NHCD's web site ([www.austintexas.gov/housing/publications](http://www.austintexas.gov/housing/publications).) In addition, upon request, federal reports will be provided in a form accessible to persons with disabilities.

4. **Access to Records.** The City will provide community members, public agencies, and other interested parties reasonable and timely access to information and records relating to the Citizen Participation Plan (CPP), Assessment of Fair Housing, Consolidated Plan, annual Action Plan, and CAPER, and the City's use of assistance under the four entitlement grant programs, as stated in the Texas Public Information Act and the Freedom of Information Act.
5. **Technical Assistance.** The City will provide technical assistance upon request and to the extent resources are available to groups or individuals that need assistance in preparing funding proposals, provided that the level of technical assistance does not constitute a violation of federal or local rules or regulations. The provision of technical assistance does not involve re-assignment of City staff to the proposed project or group, or the use of City equipment, nor does technical assistance guarantee an award of funds.

#### **F. COMMUNITY MEMBERS' COMPLAINTS**

Written complaints related to NHCD's programs and activities funded through entitlement grant funding may be directed to the Neighborhood Housing and Community Development (NHCD) Office. A timely, written, and substantive response to the complainant will be prepared with 15 working days of receipt of the complaint by NHCD. If a response cannot be prepared within the 15-day period, the complainant will be notified of the approximate date a response will be provided. Written complaints must include complainant's name, address, and zip code. A daytime telephone number should also be included in the event further information or clarification is needed. Complaints should be addressed as follows:

Neighborhood Housing and Community Development Department  
Attn: Director  
City of Austin  
P.O. Box 1088  
Austin, Texas 78767

If the response is not sufficient, an appeal may be directed to the City Manager, and a written response will be provided within 30 days. An appeal should be addressed as follows:

City Manager's Office  
Attn: City Manager  
P.O. Box 1088  
Austin, Texas 78767

#### **G. CITY OF AUSTIN'S RESIDENTIAL ANTI-DISPLACEMENT AND RELOCATION ASSISTANCE PLAN**

The City of Austin does not anticipate any displacement to occur as a result of any HUD funded activities. All programs will be carried out in such a manner as to safeguard that no displacement occurs. However, in the event that a project involving displacement is mandated in order to address a concern for the general public's health and welfare, the City of Austin will take the following steps:

1. A public hearing will be held to allow interested community members an opportunity to comment on the proposed project and voice any concerns regarding possible relocation. Notice of the public hearing/meeting will be made as per the procedure noted in Section E - General Requirements section of the Citizen Participation Plan.
2. In the event that a project involving displacement is pursued, the City of Austin will contact each person/household/business in the project area and/or hold public meetings, depending on the project size; inform persons of the project and their rights under the Uniform Relocation

Assistance and Real Property Acquisition Policies Act of 1970, as amended, and respond to any questions or concerns.

3. Relocation assistance will be provided in adherence with the City's Project Relocation Plan and the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended.

The City's Anti-Displacement and Relocation Assistance Plan may be viewed in NHCD's Action Plan submitted annually to HUD. The document is available online at [www.austintexas.gov/housing](http://www.austintexas.gov/housing); NHCD, 1000 E. 11<sup>th</sup> Street, Austin, Texas 78702.

*The City of Austin is committed to compliance with the Americans with Disabilities Act. Reasonable modifications and equal access to communications will be provided upon request. For assistance please call 974-2210 OR 974-2445 TDD.*



**City of Austin**  
**Neighborhood Housing and Community Development Department**  
**Notice of 15-Day Public Comment Period**

The City of Austin Neighborhood Housing and Community Development (NHCD) Department announces a 15-day public comment period to receive public comments on its Draft FY 2018-19 Consolidated Performance and Evaluation Report (CAPER). NHCD receives the following grant entitlement funds annually from the U.S. Department of Housing and Urban Development (HUD) for affordable housing, community development, economic development and public services: Community Development Block Grant (CDBG), HOME Investment Partnership Program, Emergency Solutions Grant (ESG), and Housing Opportunities for Persons with AIDS (HOPWA).

Participating cities are required to develop a CAPER annually. The CAPER assesses the city's progress and the effectiveness of its performance related to its federally funded activities during FY 2018-19, and the achievement of its overall strategies, objectives, and priorities outlined in the FY 2014-19 Consolidated Plan. The Draft FY 2018-19 CAPER will be available for review during the public comment period. The city will submit this report to HUD prior to December 29, 2019.

#### **Public Comment Period**

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The public is invited to review the Draft FY 2018-19 CAPER during the public comment period, November 20 through December 6, 2019. The document will be available on NHCD's web site [www.austintexas.gov/caper](http://www.austintexas.gov/caper) and at the following community locations:

- Austin Central Public Library, 710 W. Cesar Chavez Street (Central)
- Austin Resource Center for the Homeless (ARCH), 500 East 7<sup>th</sup> Street (Central)
- East Austin Neighborhood Center, 211 Comal Street (East)
- Neighborhood Housing and Community Development, 1000 East 11<sup>th</sup> Street, Suite 200 (East)
- Rosewood-Zaragosa Neighborhood Center, 2800 Webberville Road (East)
- St. John's Neighborhood Center, 7500 Blessing Avenue (North East)
- AIDS Services of Austin, 7215 Cameron Road (North)
- Housing Authority of the City of Austin, 1124 S IH 35 (South)
- South Austin Neighborhood Center, 2508 Durwood Street (South)
- Pleasant Hill Library Branch, 211 East William Cannon Drive (South)

#### **Written Comments**

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Written comments may be submitted until 5 PM on December 6, 2019. Please include a name, address, and phone number.

**Mail to:**

Neighborhood Housing and Community Development Department

Attn: FY 2018-19 CAPER

P.O. Box 1088

Austin, Texas 78767

Email: [NHCD@austintexas.gov](mailto:NHCD@austintexas.gov)

For additional information, call the NHCD Office at 512-974-3100 (voice).

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*The City of Austin is committed to compliance with the Americans with Disabilities Act. Reasonable modifications and equal access to communications will be provided upon request. For assistance please call 512-974-3100; TTY users route through Relay Texas at 711.*





**Ciudad de Austin**  
**Departamento de Vivienda y Desarrollo Comunitario**  
**Aviso de un Período de 15 Días de Comentario Público**

El Departamento de Vivienda y Desarrollo Comunitario de la Ciudad de Austin (NHCD por sus siglas en inglés) anuncia la apertura de un período de 15 días para recibir comentarios públicos sobre el borrador de su Reporte Anual Consolidado sobre la Ejecución y Evaluación (CAPER por sus siglas en inglés) en relación al año fiscal 2018-2019. NHCD recibe los siguientes fondos anualmente del Departamento de Vivienda y Desarrollo Urbano de los E.E.U.U. (HUD por sus siglas en inglés) para proveer viviendas económicas, desarrollo comunitario, desarrollo económico y servicios públicos: Subsidio Definido de Desarrollo Comunitario (CDBG por sus siglas en inglés), Programa de Inversión Asociada (HOME), Fondos para Soluciones de Emergencia (ESG por sus siglas en inglés), y Programa de Vivienda para Personas con Sida (HOPWA por sus siglas en inglés).

Las ciudades participantes deben desarrollar el CAPER cada año para evaluar el progreso y la efectividad de la ciudad en relación a las operaciones financiadas con fondos federales durante el año fiscal 2018-2019. Además, el CAPER debe reportar el cumplimiento de los objetivos, estrategias y prioridades establecidas en el Plan Consolidado de los años fiscales 2014-2019. El borrador del CAPER para el año fiscal 2018-2019 estará disponible para revisión durante el periodo de comentarios públicos. La ciudad deberá de someter este reporte a HUD antes del 29 de diciembre del 2019.

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**Periodo de comentarios públicos**

Se invita al público a revisar el borrador del CAPER para el año fiscal 2018-2019 durante el periodo de comentarios públicos, a llevarse a cabo del 20 de noviembre al 6 de diciembre del 2019. El documento estará disponible en el sitio web de NHCD [www.austintexas.gov/caper](http://www.austintexas.gov/caper) y en los siguientes lugares:

- Austin Central Public Library, 710 W. Cesar Chavez St. (Central)
- Austin Resource Center for the Homeless (ARCH), 500 East 7th Street (Central)
- East Austin Neighborhood Center, 211 Comal Street (Este)
- Neighborhood Housing and Community Development Department, 1000 East 11th Street, Suite 200 (Este)
- Rosewood-Zaragoza Neighborhood Center, 2800 Webberville Road (Este)
- St. John's Neighborhood Center, 7500 Blessing Avenue (Noreste)
- AIDS Services of Austin, 7215 Cameron Road (Norte)
- Housing Authority of the City of Austin, 1124 S IH 35 (Sur)
- South Austin Neighborhood Center, 2508 Durwood Street (Sur)
- Pleasant Hill Library Branch, 211 East William Cannon Drive (Sur)

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**Comentarios escritos**

Los comentarios escritos pueden enviarse hasta las 5 PM del 6 de diciembre del 2019. Por favor incluye tu nombre, dirección, y número de teléfono.

**Enviar por correo a:**

Neighborhood Housing and Community Development Department

Attn: FY 2018-19 CAPER

PO Box 1088

Austin, Texas 78767

Email: [NHCD@austintexas.gov](mailto:NHCD@austintexas.gov)

Para información adicional, llama a la oficina de NHCD al 512-974-3100 (voz).

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*La Ciudad de Austin se compromete a cumplir con el Acta de Americanos con Discapacidades. Bajo petición, se podrán realizar modificaciones razonables y obtener igual acceso a las comunicaciones disponibles. Para asistencia, por favor llama al 512-974-3100; usuarios de TTY se redirigen a través de Relay Texas en el 711.*





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## CITY OF AUSTIN

### FOR IMMEDIATE RELEASE

Release Date: Oct. 19, 2019

Contact: Jeff Patterson 5129743172 [Email](#)

## NHCD ANNOUNCES 15-DAY PUBLIC COMMENT PERIOD ON DRAFT FY 2018-19 CAPER

*La información en Español sigue.*

The City of Austin Neighborhood Housing and Community Development (NHCD) Department announces a 15-day public comment period to receive public comments on its Draft FY 2018-19 Consolidated Performance and Evaluation Report (CAPER). NHCD receives the following grant entitlement funds annually from the U.S. Department of Housing and Urban Development (HUD) for affordable housing, community development, economic development and public services: Community Development Block Grant (CDBG), HOME Investment Partnership Program, Emergency Solutions Grant (ESG), and Housing Opportunities for Persons with AIDS (HOPWA).

Participating cities are required to develop a CAPER annually. The CAPER assesses the city's progress and the effectiveness of its performance related to its federally funded activities during FY 2018-19, and the achievement of its overall strategies, objectives, and priorities outlined in the FY 2014-19 Consolidated Plan. The Draft FY 2018-19 CAPER will be available for review during the public comment period. The city will submit this report to HUD prior to December 29, 2019.

### Public Comment Period

The public is invited to review the Draft FY 2018-19 CAPER during the public comment period, November 20 through December 6, 2019. The document will be available on NHCD's web site [www.austintexas.gov/caper](http://www.austintexas.gov/caper) and at the following community locations:

- Austin Central Public Library, 710 W. Cesar Chavez Street (Central)
- Austin Resource Center for the Homeless (ARCH), 500 East 7th Street (Central)
- East Austin Neighborhood Center, 211 Comal Street (East)
- Neighborhood Housing and Community Development, 1000 East 11th Street, Suite 200 (East)
- Rosewood-Zaragosa Neighborhood Center, 2800 Webberville Road (East)
- St. John's Neighborhood Center, 7500 Blessing Avenue (North East)
- AIDS Services of Austin, 7215 Cameron Road (North)
- Housing Authority of the City of Austin, 1124 S IH 35 (South)
- South Austin Neighborhood Center, 2508 Durwood Street (South)
- Pleasant Hill Library Branch, 211 East William Cannon Drive (South)

### Written Comments

Written comments may be submitted until 5 PM on December 6, 2019. Please include a name, address, and phone number.

### Mail to:

Neighborhood Housing and Community Development Department  
Attn: FY 2018-19 CAPER  
P.O. Box 1088  
Austin, Texas 78767

Or Email comments to: [NHCD@austintexas.gov](mailto:NHCD@austintexas.gov)

For additional information, NHCD staff may be reached at (512) 974-3100.

### Borrador de CAPER Disponible Para Comentarios Hasta el 20 de Noviembre

El Departamento de Vivienda y Desarrollo Comunitario de la Ciudad de Austin (NHCD por sus siglas en inglés) anuncia la apertura de un período de 15 días para recibir comentarios públicos sobre el borrador de su Reporte Anual Consolidado sobre la Ejecución y Evaluación (CAPER por sus siglas en inglés) en relación al año fiscal 2018-19. NHCD recibe los siguientes fondos anualmente del Departamento de Vivienda y Desarrollo Urbano de los E.E.U.U. (HUD por sus siglas en inglés) para proveer viviendas económicas, desarrollo comunitario, desarrollo económico y servicios públicos: Subsidio Definido de Desarrollo Comunitario (CDBG por sus siglas en inglés), Programa de Inversión Asociada (HOME), Fondos para Soluciones de Emergencia (ESG por sus siglas en inglés), y Programa de Vivienda para Personas con Sida (HOPWA por sus siglas en inglés).

HUD requiere que las ciudades que reciben fondos preparen un CAPER donde evalúen el progreso de la ciudad y la efectividad de sus operaciones durante el año fiscal 2018-19 en la realización de sus estrategias, objetivos y prioridades establecidas en el Plan Consolidado de los años fiscales 2014-19. El borrador del CAPER para el año fiscal 2018-2019 estará disponible para revisión durante el periodo de comentarios públicos. La ciudad deberá de someter este reporte a HUD antes del 29 de diciembre del 2019.

### Periodo de comentarios públicos

Se invita al público a revisar el borrador del CAPER para el año fiscal 2018-2019 durante el periodo de comentarios públicos, a llevarse a cabo del 20 de noviembre al 6 de diciembre del 2019. El documento estará disponible en el sitio web de NHCD [www.austintexas.gov/caper](http://www.austintexas.gov/caper) y en los siguientes lugares:

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### Comentarios Escritos

Comentarios escritos puede ser enviado hasta las 5 pm el 6 de diciembre del 2019. Por favor incluya su nombre, dirección, y número de teléfono.

Neighborhood Housing and Community Development Department  
Attn: FY 2018-19 CAPER  
PO Box 1088  
Austin, Texas 78767

Email: [NHCD@austintexas.gov](mailto:NHCD@austintexas.gov)

Para información adicional, llama a la oficina de NHCD al 512-974-3100 (voz).

*La Ciudad de Austin se compromete a cumplir con el Acta de Americanos con Discapacidades. Bajo petición, se podrán realizar modificaciones razonables y obtener igual acceso a las comunicaciones disponibles. Para asistencia, por favor llama al 512-974-3100 O 711 TTY.*

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## CITY OF AUSTIN

FOR IMMEDIATE RELEASE  
Release Date: Nov. 07, 2019  
Contact: Jeff Patterson 5129743172 [Email](#)

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Email: [NHCD@austintexas.gov](mailto:NHCD@austintexas.gov)

For additional information, call the NHCD Office at 512-974-3100 (voice).

*The City of Austin is committed to compliance with the Americans with Disabilities Act. Reasonable modifications and equal access to communications will be provided upon request. For assistance please call 512-974-3100; TTY users route through Relay Texas at 711.*

### Borrador de CAPER Disponible Para Comentarios Hasta el 20 de Noviembre

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**Attachment 5a: City of Austin Monitoring Plan**

## MONITORING PLAN

The goal of the City of Austin's monitoring process is to assess subrecipient/contractor performance in the areas of program, financial and administrative compliance with applicable federal, state and municipal regulations and current program guidelines. Under this plan, select programs and project activities are monitored through one or more of the following components. The City of Austin's monitoring plan consists of active contract monitoring and long-term monitoring for closed projects.

### **Active Contract Monitoring**

Prior to executing any agreement or obligation, monitoring takes the form of a compliance review. Verification is obtained to ensure that the proposed activity to be funded has received the proper authorization through venues such as the annual Action Plan, environmental review and fund release, and identification in the Integrated Disbursement & Information System (IDIS). A contract begins with written program guidelines, documentation and tracking mechanisms that will be used to demonstrate compliance with applicable federal, state and local requirements.

For activities implemented through external programs or third-party contracts with non-profit, for-profit and community-based organizations, a solicitation may be required in the form of a comprehensive Notice of Fund Availability (NOFA) or Request for Proposals (RFP) which details performance, financial and regulatory responsibilities.

**1. Compliance Review prior to obligation of funds.** Prior to entering into any agreement or to the obligation of entitlement funds, the City conducts a compliance review to verify that the program activity has been duly authorized. The compliance review consists of verifying and documenting:

- The program activity has been approved as part of the Action Plan for the specified funding source and year;
- The availability of applicable funds for the specific activity;
- The activity has received environmental review and determination and fund release, as applicable;
- The service provider is not listed in the System for Award Management (SAM);
- The activity has been set up and identified in IDIS;
- The scope of work defined in the contract has adequately addressed performance, financial and tracking responsibilities necessary to report and document accomplishments; and
- The service provider has the required insurance in place.

After this information has been verified and documented, staff may proceed in obtaining authorization and utilization of entitlement funds for the activity.

**2. Desk Review.** Before processing an invoice for payment, staff reviews the invoice to verify that the item or service is an eligible expense and it is part of the contract budget. Staff also reviews performance reports and supporting documentation submitted with the invoice to ensure that the contractor is performing in accordance with the terms of the contract and the scope of work. This level of monitoring is performed on an ongoing basis throughout the duration of the contract.

- 3. Records Audit.** A records audit includes a review of all file documents as needed. A file checklist is used to determine if the required documents are present. Through the review of performance reports and other documentation submitted by the contractor, staff is able to identify areas of concern and facilitate corrections and/or improvements. Should problems be identified, a contractor or recipient of funds may then be provided technical assistance as necessary to reach a resolution.
- 4. Selected On-Site Monitoring.** A risk assessment is conducted internally and is used to determine the priority of site reviews to be conducted. Based on the results of the risk assessment, a selected number of projects may be subject to an on-site review. The performance of contractors is reviewed for compliance with the program guidelines and the terms and conditions of the contract. In particular, staff verifies program administration and regulatory compliance in the following areas:
  - Performance (*e.g.* meeting a national objective, conducting eligible activities, achieving contract objectives, performing scope of work activities, maintaining contract schedule, abiding by the contract budget);
  - Record keeping;
  - Reporting practices; and
  - Compliance with applicable anti-discrimination regulations.

There will be follow-up, as necessary, to verify regulatory and program administration compliance has been achieved.

- 5. Failure to resolve identified problems.** If no resolution of identified problems occurs or the contractor fails to perform in accordance with the terms and conditions of the contract, the City of Austin has the authority to suspend further payments to the contractor or recipient of funds until such time that issues have been satisfactorily resolved.
- 6. Contract Closeout.** Once a project activity has been completed and all eligible project funds expended, the staff will require the contractor to submit a project closeout package. The contract closeout will provide documentation to confirm whether the contractor was successful in completing all performance and financial objectives of the contract. Staff will review and ask the contractor, if necessary, to reconcile any conflicting information previously submitted. The project closeout will constitute the final report for the project. Successful completion of a project means that all project activities, requirements, and responsibilities of the contractor have been adequately addressed and completed.

### **Long-term Monitoring**

Acceptance of funds from Neighborhood Housing and Community Development (NHCD) Office of the City of Austin, or its sub-recipient Austin Housing Finance Corporation (AHFC) obligates beneficiaries/borrowers to adhere to conditions for the term of the affordability period. NHCD is responsible for the compliance oversight and enforcement of long- or extended-term projects and financial obligations created through City- sponsored or -funded housing and community development projects. In this capacity, NHCD performs the following long-term monitoring duties:

- Performs compliance monitoring in accordance with regulatory requirements specified in the agreement;
- Reviews and verifies required information and documentation submitted by borrowers for compliance with applicable legal obligations and/or regulatory requirements;
- Enforces and takes corrective action with nonperforming loans and/or projects deemed to be out of compliance in accordance with legal and/or regulatory terms and conditions; and
- If the beneficiary has been uncooperative, non-responsive, or unwilling to cure the existing default by all reasonable means, staff will discuss with management and will refer the loan to the City Attorney for review, with a recommendation for judgment and/or foreclosure.

The first step in the monitoring process includes the development of a risk assessment that is essential in guiding the monitoring efforts of the department. Based on the results of the risk assessment, additional projects may be monitored. Monitoring may be in the form of a desk review, on-site visit, or Uniform Physical Conditions Standards (UPCS) inspection. Technical assistance is available to assist beneficiaries/ borrowers in understanding any aspect of the contractual obligation so that performance goals are met with minimal deficiencies.



**Attachment 5b:** HOME Inspection Summary by Project

Monitoring Completed	Physical Inspection Completed	Project Name	# Units Total	# Units to Inspect	# Units Passed To Date	# Files Reviewed	Project Address
✓	✓	Garden Terrace Expansion (aka Garden Terrace, Phase II)	15	2	2	2	1015 W William Cannon Drive
✓	✓	Cornerstone	30	3	3	3	1322 Lamar Square Drive
✓	✓	The Willows	64	6	6	6	1330 Lamar Square Drive
✓	✓	Arbor Terrace	120	12	12	12	2501 S IH-35
✓	✓	904 Lydia	1	1	1	NA	904 Lydia Street
✓	✓	303 San Saba Street	1	1	1	NA	303 San Saba Street
✓	✓	Garden Terrace Phase I	85	8	8	8	1015 W William Cannon Drive
✓	✓	702 Plumpton Drive	3	1	1	NA	702 Plumpton Drive
✓	✓	2505 Village Trail Circle	7	1	1	NA	2505 Village Trail Circle
✓	✓	5611 Teri Road	3	1	1	NA	5611 Teri Road
✓	✓	904 Neal Street	2	1	1	1	904 Neal Street
✓	✓	Pecan Springs Commons	46	5	5	5	5800 Sweeney Circle
✓	✓	Pecan Springs Commons	4	1	1	1	5809 Sweeney Circle
✓	✓	Pecan Springs Commons	4	1	1	1	5802 Sweeney Circle
✓	✓	Pecan Springs Commons	4	1	1	1	5811 Sweeney Circle

Monitoring Completed	Physical Inspection Completed	Project Name	# Units Total	# Units to Inspect	# Units Passed To Date	# Files Reviewed	Project Address
✓	✓	Treaty Oaks	47	5	5	5	3700 Manchaca Road
✓	✓	Pecan Springs Commons	4	1	1	1	2907 Sweeney Lane
✓	✓	Pecan Springs Commons	4	4	4	4	5801 Sweeney Circle
✓	✓	The Super Co-op	50	5	5	5	1905 Nueces Street
✓	✓	Franklin Gardens	22	2	2	2	3522 E Martin Luther King Jr Boulevard
✓	✓	2412 Bryan Street	1	1	1	NA	2412 Bryan Street
✓	✓	2102 Chicon Street	1	1	1	NA	2102 Chicon Street
✓	✓	2009 Salina Street	6	1	1	1	2009 Salina Street
✓	✓	1803 E 20th Street	8	1	1	1	1803 E 20th Street
✓	✓	2106 Chestnut Avenue	1	1	1	NA	2106 Chestnut Avenue
✓	✓	Austin Children's Shelter	28	3	3	3	4800 Manor Road
✓	✓	Carol's House	1	1	1	NA	1805 Heatherglen Lane
✓	✓	Palms at North Lamar	476	22	22	22	8600 N Lamar Boulevard
✓	✓	Legacy Apartments	40	4	4	4	1340 Lamar Square Drive
✓	✓	Manor House	11	1	1	1	5905 Manor Road

Monitoring Completed	Physical Inspection Completed	Project Name	# Units Total	# Units to Inspect	# Units Passed To Date	# Files Reviewed	Project Address
✓	✓	Oak Springs Villas	56	6	6	6	3001 Oak Springs Drive
✓	✓	Spring Terrace Apartments	140	14	14	14	7101 N IH-35
✓	✓	1100 E 10th Street	1	1	1	NA	1100 E 10th Street
✓	✓	1112 E 10th Street	1	1	1	NA	1112 E 10th Street
✓	✓	1007 Waller Street	1	1	1	NA	1007 Waller Street
✓	✓	1002 Navasota	1	1	1	NA	1002 Navasota
✓	✓	110 Chicon Street	2	2	2	NA	110 Chicon Street
✓	✓	La Vista de Guadalupe	22	2	2	2	813 E 8th Street
✓	✓	SOL	2	1	1	1	1133 Altum Street
✓	✓	7314 Meador Avenue	2	2	2	2	7314 Meador Avenue
✓	✓	2106 Chicon Street	1	1	1	NA	2106 Chicon Street
✓	✓	2004 Chicon Street	2	2	2	2	2004 Chicon Street
✓	✓	4904 West Wind Trail	4	3	3	3	4904 West Wind Trail
✓	✓	4810 West Wind Trail	4	1	1	1	4810 West Wind Trail
✓	✓	4902 West Wind Trail	4	1	1	1	4902 West Wind Trail

Monitoring Completed	Physical Inspection Completed	Project Name	# Units Total	# Units to Inspect	# Units Passed To Date	# Files Reviewed	Project Address
✓	✓	The Works at Pleasant Valley	45	4	4	4	2800 Lyons Road
✓	✓	Lucero Apartments (formerly Oak Creek Village)	173	17	17	17	2324 Wilson Street
✓	✓	10th Street Alley Flat	1	1	1	1	1817 W 10th Street
✓	✓	LaMadrid Apartments	95	8	8	8	11320 Manchaca Road
✓	✓	Anderson Village	24	2	2	2	3101 E 12th Street
✓	✓	Guadalupe Neighborhood Development Corporation - SF	1	1	1	NA	809 E 9th Street
✓	✓	2203 Salina Street Rehab	1	1	1	NA	2203 Salina Street
✓	✓	5006 West Wind Trail	4	3	3	3	5006 West Wind Trail
✓	✓	SafePlace 14 Unit Expansion	14	1	1	1	1515 Grove Blvd
✓	✓	Jeremiah House	35	5	5	5	1200 Paul Theresa Saldana Street
✓	✓	4910 West Wind Trail	4	3	3	3	4910 W Wind Trail
✓	✓	Accessible Housing Austin! - SF	2	2	2	NA	9407 Kempler Drive
✓	✓	Accessible Housing Austin! - SF	1	1	1	NA	7624 Cayenne Lane
✓	✓	Accessible Housing Austin! - SF	1	1	1	NA	7009 Thannas Way
✓	✓	Accessible Housing Austin! - SF	1	1	1	NA	3705 Tamil Street
			<b>1,734</b>	<b>188</b>	<b>188</b>	<b>165</b>	

**Attachment 5c: HOME Match Report**

## HOME Match Report

U.S. Department of Housing and Urban Development  
Office of Community Planning and DevelopmentOMB Approval No. 2506-0171  
(exp. 12/31/2012)

<b>Part I Participant Identification</b>			<b>Match Contributions for Federal Fiscal Year Ending 09/30/2019</b>	
1. Participant No. (assigned by HUD) 480264		2. Name of the Participating Jurisdiction City of Austin		3. Name of Contact (person completing this report) Nora Richardson
5. Street Address of the Participating Jurisdiction 1000 East 11th Street, Suite 300				4. Contact's Phone Number (include area code) 512-974-3138
6. City Austin		7. State TX	8. Zip Code 78702	

**Part II Fiscal Year Summary**

1. Excess match from prior Federal fiscal year	\$ 49,144,650.03	
2. Match contributed during current Federal fiscal year (see Part III.9.)	\$ 4,542,124.84	
3. Total match available for current Federal fiscal year (line 1 + line 2)		\$ 53,686,774.87
4. Match liability for current Federal fiscal year		\$ 1,068,868.26
5. Excess match carried over to next Federal fiscal year (line 3 minus line 4)		\$ 52,617,906.61

**Part III Match Contribution for the Federal Fiscal Year**

1. Project No. or Other ID	2. Date of Contribution (mm/dd/yyyy)	3. Cash (non-Federal sources)	4. Foregone Taxes, Fees, Charges	5. Appraised Land/ Real Property	6. Required Infrastructure	7. Site Preparation, Construction Materials, Donated labor	8. Bond Financing	9. Total Match
Prior Year MF Bonds	10/30/2014						\$ 3,824,586.16	\$ 267,217.07
NON-2019-01 Housing First Oak Springs	10/30/2018	205,050.92						\$ 205,050.92
NON-2019-02 RBJ Center	1/22/2019	1,489,844.85						\$ 1,489,844.85
NON-2019-03 Works at Pleasant Vally, Phase II	12/26/2018	416,600.00						\$ 416,600.00
NON-2019-04 Cambrian East Riverside	9/12/2019	1,035,000.00						\$ 1,035,000.00
NON-2019-05 Travis Flats	9/16/2019	128,412.00						\$ 128,412.00
NON-2019-06 Burnet Place PA/2018	9/26/2019	1,000,000.00						\$ 1,000,000.00

**Attachment 5d: Annual Section 3 Report**





## Section 3 Summary Report

Economic Opportunities for Low- and Very Low-Income Persons

**U.S. Department of Housing and Urban Development**

Office of Fair Housing and Equal Opportunity

OMB Approval No. 2529-0043  
(exp. 11/30/2018)

Disbursement Agency
CITY OF AUSTIN HUMAN RES EEFHO
PO BOX 1088, AUSTIN, TX 78767
74-6000085

Reporting Entity
CITY OF AUSTIN HUMAN RES EEFHO
PO BOX 1088, AUSTIN, TX 78767

Dollar Amount	\$5,243,296.59
Contact Person	Jenny Wang
Date Report Submitted	10/10/2019

Reporting Period		Program Area Code	Program Area Name
From	To		
10/1/18	9/30/19	CDB1	Community Devel Block Grants

## Part I: Employment and Training

Job Category	Number of New Hires	Number of New Hires that Are Sec. 3 Residents	Aggregate Number of Staff Hours Worked	Total Staff Hours for Section 3 Employees	Number of Section 3 Trainees
Carpentry	0	0	0	0	33
Electrical	0	0	0	0	3
Plumbing	0	0	0	0	2
Laborer	0	0	0	0	10
Professional	0	0	0	0	3
Office /Clerical	0	0	0	0	2
Apprentice	0	0	0	0	1

<b>Total New Hires</b>	0
<b>Section 3 New Hires</b>	0
<b>Percent Section 3 New Hires</b>	N/A
Total Section 3 Trainees	54
The minimum numerical goal for Section 3 new hires is 30%.	

## Part II: Contracts Awarded

Construction Contracts	
Total dollar amount of construction contracts awarded	\$1,929,593.85
Total dollar amount of contracts awarded to Section 3 businesses	\$1,663,880.49
Percentage of the total dollar amount that was awarded to Section 3 businesses	86.22%
Total number of Section 3 businesses receiving construction contracts	16
The minimum numerical goal for Section 3 construction opportunities is 10%.	

Non-Construction Contracts	
Total dollar amount of all non-construction contracts awarded	\$0.00
Total dollar amount of non-construction contracts awarded to Section 3 businesses	\$0.00
Percentage of the total dollar amount that was awarded to Section 3 businesses	N/A
Total number of Section 3 businesses receiving non-construction contracts	0
The minimum numerical goal for Section 3 non-construction opportunities is 3%.	

### Part III: Summary

Indicate the efforts made to direct the employment and other economic opportunities generated by HUD financial assistance for housing and community development programs, to the greatest extent feasible, toward low- and very low-income persons, particularly those who are recipients of government assistance for housing.

Yes	Attempted to recruit low-income residents through: local advertising media, signs prominently displayed at the project site, contacts with community organizations and public or private agencies operating within the metropolitan area (or nonmetropolitan county) in which the Section 3 covered program or project is located, or similar methods.
No	Participated in a HUD program or other program which promotes the training or employment of Section 3 residents.
No	Participated in a HUD program or other program which promotes the award of contracts to business concerns which meet the definition of Section 3 business concerns.
No	Coordinated with Youthbuild Programs administered in the metropolitan area in which the Section 3 covered project is located.
No	Other; describe below.



## Section 3 Summary Report

Economic Opportunities for Low- and Very Low-Income Persons

**U.S. Department of Housing and Urban Development**

Office of Fair Housing and Equal Opportunity

OMB Approval No. 2529-0043  
(exp. 11/30/2018)

Disbursement Agency
CITY OF AUSTIN HUMAN RES EEFHO
PO BOX 1088, AUSTIN, TX 78767
74-6000085

Reporting Entity
CITY OF AUSTIN HUMAN RES EEFHO
PO BOX 1088, AUSTIN, TX 78767

Dollar Amount:	\$270,986.67
Contact Person:	Jenny Wang
Date Report Submitted:	10/30/2019

Reporting Period		Program Area Code	Program Area Name
From	To		
10/1/18	9/30/19	EMRG	Emergency Shelter Grants

**The expenditure of these funds did not result in any covered contracts or new hires during the 12-month period selected.**



## Section 3 Summary Report

Economic Opportunities for Low- and Very Low-Income Persons

**U.S. Department of Housing and Urban Development**

Office of Fair Housing and Equal Opportunity

OMB Approval No. 2529-0043  
(exp. 11/30/2018)

### Disbursement Agency

CITY OF AUSTIN HUMAN RES EEFHO

PO BOX 1088, AUSTIN, TX 78767

74-6000085

### Reporting Entity

CITY OF AUSTIN HUMAN RES EEFHO

PO BOX 1088, AUSTIN, TX 78767

Dollar Amount:	\$2,864,990.55
Contact Person:	Jenny Wang
Date Report Submitted:	10/30/2019

Reporting Period		Program Area Code	Program Area Name
From	To		
10/1/18	9/30/19	HOME	HOME Program

**The expenditure of these funds did not result in any covered contracts or new hires during the 12-month period selected.**



## Section 3 Summary Report

Economic Opportunities for Low- and Very Low-Income Persons

**U.S. Department of Housing and Urban Development**

Office of Fair Housing and Equal Opportunity

OMB Approval No. 2529-0043  
(exp. 11/30/2018)

Disbursement Agency
CITY OF AUSTIN HUMAN RES EEFHO
PO BOX 1088, AUSTIN, TX 78767
74-6000085

Reporting Entity
CITY OF AUSTIN HUMAN RES EEFHO
PO BOX 1088, AUSTIN, TX 78767

Dollar Amount:	\$1,322,502.74
Contact Person:	Jenny Wang
Date Report Submitted:	10/30/2019

Reporting Period		Program Area Code	Program Area Name
From	To		
10/1/18	9/30/19	HPWA	Hsg Opport for Persons with AIDS

**The expenditure of these funds did not result in any covered contracts or new hires during the 12-month period selected.**

**Attachment 5e:** Section 108 Project Level Data



Activity Fiscal Year	Grantee Name	Project Name	Address	ZipCode	108 Loan Amount	FTE Jobs Est. in 108 Appl.	FTE Jobs Created or Retained in FY18-19
12-13	Rosa Santis	Shady Lane Enterprises	1103 East 6th Street	78702	\$ 150,000.00	12	0.5
13-14	Eastern Diner, LLC, Stephen and Lauren Shallcross	Sawyer and Co.	4827 E Cesar Chavez	78702	\$ 519,000.00	19	16.5
13-14	Sweet Victoria LLC, Tram Le	Eat Ban Mi	1007 S Congress Ave.	78704	\$ 35,000.00	4	0
13-14	11E5 LLC, Richard Kooris and Denise McDaniel	Fair Market	1100 East 5th Street	78702	\$ 333,829.00	14	5.5
13-14	Eastside Music School LLC, Alex Ballentine	Eastside Music School	501 N IH 35	78702	\$ 30,000.00	2	0
14-15	1707 Airport Commerce LLC- Amit Patel, Nick Bhakta	Home 2 Suites	1707 Airport Commerce Drive	78741	\$ 402,000.00	15	0
14-15	Jose Luis Salon Inc.- Jose Buitron	Jose Luis Salon	1100 South Lamar	78704	\$ 523,727.00	16	4.5
14-15	Rosa Santis	Austin Fit Centro	4901 East Cesar Chavez	78702	\$ 800,000.00	24	0
15-16	Hip Haven, Inc	Hip Haven	3910-C Warehouse Row	78704	\$ 35,000.00	1	0
15-16	Bee Sweet	Bee Sweet	900 Juanita St.	78704	\$ 35,000.00	1	1
15-16	IK Osorio Investment	Lima Criolla	6406 N Interstate 35 Frontage Rd #1550	78752	\$ 35,000.00	2	3
15-16	Caffine Dealer, LLC	Bouldin Creek Café	1900 S 1st St	78704	\$ 220,050.00	8	16.5
15-16	Mort Subite, LLC	Mort Subite	308 Congress Ave	78704	\$ 154,200.00	15	0
15-16	Garbo Salon, Inc.	Garbo Salon	1330 Shore District Dr. Suite 300	78741	\$ 139,000.00	5	3
16-17	Jose Luis Domain	Jose Luis Domain	3100 Esperanza Crossing	78758	\$477,760	17	3
17-18	Asazu LLC- Kome Sushi.	Asazu LLC- Kome	5301 Airport Blvd	78751	\$ 200,000.00	8	2
17-18	Cykl Services ATX	CKYL Services ATX, LLC	6609 Backbay	78739	\$ 35,000.00	2	0.5
17-18	Medici Coffee	The Rensissance Project, Inc.	1023 Springdale Road Bld 1	78721	\$ 550,000.00	30	0
17-18	GH2M	GH2M LLC	1110 Old Walsh Tarlton	78746	\$ 82,000.00	3	0.5
					<b>\$ 4,756,566.00</b>	<b>43</b>	<b>56.5</b>

**Attachment 5f:** Equal Employment/Fair Housing Office Supplement

City of Austin Equal Employment/Fair Housing Office  
Consolidated Annual Performance and Evaluation Report (CAPER)

The City of Austin Equal Employment & Fair Housing Office is the enforcement arm within the city limits of Austin. As a Fair Housing Assistance Program EEFO is funded annually on a noncompetitive basis to enforce fair housing laws that HUD has determined to be substantially equivalent to the Federal Fair Housing Act. The following is the housing team's performance for FY 19 under the City of Austin Ordinance Chapter 5-1 Housing Discrimination. Fifty two housing complaints were processed during **FY 19** (October 1, 2018-September 30, 2019).

No Cause to believe discrimination occurred	27
Conciliations with benefit to the Charging Party including relief in the public interest	21
Complaints withdrawn by complainant after resolution	04
Complaints withdraw without resolution	none
No jurisdiction	none
FHAP Judicial Consent Order	none
Complainant Failed to Cooperate	none
Charges filed/issued against Respondent	none
Reactivated by HUD	none

The City of Austin Equal Employment Fair Housing Office (EEFO) has participated in a number of outreach and training opportunities to affirmatively further fair housing in FY 18-19. However our biggest outreach event this year was creating new Know Your Fair Housing Rights brochures and flyers. We also launched a housing rights radio campaign as well as print media campaign. Radio spots and print Ads ran from June through August on several of the local radio stations and Fair Housing Ads ran in several of the local newspapers both campaigns targeting the Asian, Hispanic and African American communities. The media campaign reach was estimated at over 150,000 listeners at the time these ads were slated to run. Our HUD Region 6 Office was very supportive in this effort reviewing draft brochures and providing feedback as well as funding for this effort.

Fair Housing Complaints can be filed with the City of Austin Equal Employment/Fair Housing Office via our online complaint filing system at <https://www.austintexas.gov/departments/housing-discrimination> . The Equal Employment & Fair Housing Office website also provide information about the city's Fair Housing Ordinance, Employment Ordinance, Fair Chance Hiring Ordinance, as well as relevant federal fair housing and employment laws.

**Attachment 5g: Summary Funding and Production Table**

City of Austin  
Neighborhood and Community Development Office  
FY 2018-19 Summary Funding and Production Table

AP-20 Item # - Program / AP-35 Item # - Activity		Funding	Action Plan		CAPER	
			New Funding	Estimated Services	Actual Expenditures	Services Provided
<b>1</b>	<b>Homeless and Special Needs</b>					
<u>1</u>	<u>Child Care Services</u>	CDBG	604,618	224	482,609	201
		GF	45,382	17	63,540	27
	Child Care Services		650,000	241	546,149	228
<u>2</u>	<u>Senior Services</u>	CDBG	124,645	255	124,645	119
		GF	9,355	20	11,605	11
	Senior Services		134,000	275	136,250	130
<u>3</u>	<u>Youth Support Services</u>	CDBG	189,478	148	189,478	145
		GF	14,222	11	18,672	14
	Youth Support Services		203,700	159	208,150	159
	Public Service activities other than Low/Mod Income Housing Benefits		987,700	675	890,549	517
<u>4</u>	<u>ARCH - ESG Shelter Operating and Maintenance</u>	ESG	313,922	2,000	279,625	1,407
	<u>Homeless Management Information Systems</u>	ESG	29,484	-	49,149	-
	<u>Rapid Re-Housing Programs</u>	ESG	255,791	128	436,429	107
	<u>ESG - Adm</u>	ESG	48,580		29,235	
<u>4</u>	<u>Total ESG</u>		647,777	2,128	794,438	1,514
<u>5</u>	<u>AIDS Services Austin</u>	HOPWA	1,024,069	235	943,305	239
<u>6</u>	<u>Project Transitions</u>	HOPWA	401,017	65	401,016	43
<u>7</u>						
<u>8</u>						
<u>9</u>						
<u>10</u>						
<u>11</u>						
<u>12</u>	<u>HOPWA - Adm</u>	HOPWA	44,074		45,966	
	Total HOPWA		1,469,160	300	1,390,287	282
	Total Homeless and Special Needs		3,104,637	3,103	3,075,274	2,313
<b>2</b>	<b>Renter Assistance</b>					
<u>13</u>	<u>Tenant-Based Rental Assistance</u>	HOME	510,300	75	265,034	50
		HOME-PI	-	-	71,648	13
	<u>Tenant-Based Rental Assistance - Administration</u>	GF	-	-	41,301	-
			510,300	75	377,983	63
	<u>PSH Continuum of Care</u>	HTF	-	-	40,000	27
<u>15</u>	<u>Architectural Barrier Program - Rental</u>	CDBG	185,000	7	30,437	1
	<u>Tenant Eviction Prevention Assistance</u>	GF	-	-	-	-
<u>14</u>	<u>Tenants' Rights Assistance</u>	CDBG	265,636	539	263,306	434

AP-20 Item # - Program / AP-35 Item # - Activity		Funding	Action Plan		CAPER	
			New Funding	Estimated Services	Actual Expenditures	Services Provided
Tenants' Rights Assistance		HTF	-	-	-	-
		GF	23,093	47	-	-
			288,729	586	263,306	434
<u>Local Rental Assistance Program</u> <u>Rent Availability Program</u>		CIP	-	-	-	-
		HTF	-	-	77,087	43
Total Renter Assistance			984,029	668	788,814	568
3	Homebuyer Assistance					
<u>Down Payment Assistance</u>		HOME	625,000	15	222,555	6
		HOME-PI	220,000	5	347,725	9
16	Total Homebuyer Assistance		845,000	20	570,280	15
4	Homeowner Assistance					
17	<u>Architectural Barrier Removal - Owner</u>	CDBG	1,325,000	81	1,319,928	82
18	<u>Minor Home Repair</u>	CDBG	1,000,000	320	873,306	178
19	<u>Homeowner Rehabilitation Loan Program (HRLP)</u>	CDBG	716,346	7	329,129	4
		CDBG-PI	-	-	7,000	-
		CDBG-RL	75,000	1	214,091	3
	HRLP - Subtotal CDBG		791,346	8	550,220	7
		HOME	-	-	-	-
		HOME-PI	50,000	1	-	-
	HRLP - Subtotal HOME		50,000	1	-	-
	HRLP - General Fund	GF	-	-	99,239	-
	HRLP - Total		841,346	9	649,459	7
		HTF	-	-	-	-
	<u>Pre-Contract Expense Fund</u>	GF	-	-	176,454	-
	<u>Home Repair Program (Holly Good Neighbor)</u>	CIP	-	-	-	6
	<u>GO Repair! Program</u>	GO Bonds	2,000,000	111	3,044,177	150
	Total GO Repair! Program		2,000,000	111	3,044,177	150
	Homeowner Assistance		5,166,346	521	6,063,323	423
5	Housing Development Assistance					
20	<u>Rental Housing Development Assistance (RHDA)</u>	CDBG	997,827	20	345,187	4
		CDBG-PI	-	-	-	-
		CDBG-RL	-	-	10,413	-
	RHDA - Subtotal CDBG		997,827	20	355,600	4
		HOME	740,238	14	3,267,947	7
		HOME-CHDO	257,102	5	-	-
		HOME-PI	50,000	1	18,385	-
	RHDA - Subtotal HOME		1,047,340	20	3,286,333	7
		GO Bonds	-	-	2,199,028	4
		CIP	-	-	-	-
		UNO	-	-	-	-

City of Austin  
Neighborhood and Community Development Office  
FY 2018-19 Summary Funding and Production Table

AP-20 Item # - Program / AP-35 Item # - Activity	Funding	Action Plan		CAPER	
		New Funding	Estimated Services	Actual Expenditures	Services Provided
Total RHDA	CDBG-DR	-	-	1,371,588	-
	GF	-	-	275,704	-
	HTF	1,710,445	34	3,153,601	5
		3,755,612	74	10,641,854	20
<b>21 Acquisition and Development (A&amp;D)</b>	CDBG	614,132	9	476,750	-
A&D - CDBG	CDBG-PI	-	-	-	-
	CDBG-RL	100,000	1	132,344	-
		714,132	10	609,094	-
A&D - HOME	HOME	645,488	8	234,478	4
	HOME-CHDO	257,103	3	-	-
	HOME-PI	-	-	9,264	-
		902,591	11	243,742	4
Total A&D	GO Bonds	-	-	-	5
	GF	-	-	236,006	-
	CIP	-	-	-	-
	HTF	-	-	395,363	3
		1,616,723	21	1,484,205	12
<b>22 CHDO Operating Expense Grants</b>	HOME-CO	50,000	2	25,000	-
<b>S.M.A.R.T. Housing™</b>	GF	-	-	-	-
Housing Developer Assistance		5,422,335	97	12,151,058	32
<b>6 Small Business Assistance</b>					
<b>23 Microenterprise Technical Assistance</b>	CDBG	200,000	31	-	-
	GF	-	-	-	-
<b>24 Community Development Bank</b>	CDBG	94,000	6	94,000	6
	CDBG-PI	56,000		28,000	
	CDBG-RL	-		28,000	
<b>25 Family Business Loan Program (Econ Devel Dept-EDD)</b>	Section 108 - PI	95,000	77	90,586	57
<b>26 Neighborhood Commercial Management</b>	CDBG-RL	40,000	-	-	-
Small Business Assistance		485,000	114	240,586	63
<b>7 Neighborhood and Community Revitalization</b>					
<b>Historic Preservation</b>	CDBG	-	-	-	-
	CDBG-RL	-	-	-	-
	CDBG-PI	-	-	-	-
<b>Connelly-Yerwood</b>	GF	-	-	1,638	-
<b>East 11th/12th</b>	GF	-	-	21,983	-
<b>Urban Renewal</b>	GF	-	-	8,286	-
<b>Neighborhood Opportunity Improvement Program</b>	CDBG	-	-	-	-
<b>27 Choice neighborhoods Implementation Grant</b>	CDBG	-	-	-	-
Neighborhood and Community Revitalization		-	-	31,907	-
<b>8 Financial Empowerment</b>					
<b>31</b>	IDA	-	-	-	-
	HTF	-	-	-	-
Individual Development Account Program		-	-	-	-
<b>Housing Smarts - In-House</b>	GF	-	-	42,394	207
<b>Housing Smarts - Contract</b>	GF	-	-	-	-
Housing Smarts		-	-	42,394	207

City of Austin  
Neighborhood and Community Development Office  
FY 2018-19 Summary Funding and Production Table

AP-20 Item # - Program / AP-35 Item # - Activity	Funding	Action Plan		CAPER	
		New Funding	Estimated Services	Actual Expenditures	Services Provided
Financial Empowerment		-	-	42,394	207
<b>Debt Service</b>					
28 East 11th & 12th St Revital, Debt Service	CDBG	-		-	
	CDBG-PI	-		-	
	Section 108 - PI	-		-	
Neighborhood Commercial Mgmt, Debt Service	Section 108 - PI	168,357		168,357	
Debt Service		168,357		168,357	
<b>8 Administration</b>					
30 CDBG Admin	CDBG	1,579,171		1,193,039	
	CDBG-PI	14,000		7,000	
29 HOME - Admin	HOME	342,803		297,448	
	HOME-PI	27,000		50,620	
Sub-total Federal Funds		1,962,974		1,548,106	
HTF - Admin	HTF	286,533		14,850	
GF - Admin	GF	-		5,624,381	
Administration		2,249,507		7,187,337	
Total Programs, Debt Services, and Admin Costs		18,425,211	4,523	30,319,330	3,621
FUND SUMMARIES:		AMOUNT	SERVICES	AMOUNT	SERVICES
CDBG		7,895,853	1,647	5,721,813	1,174
CDBG-PI		70,000	-	42,000	-
CDBG-RL		215,000	2	384,848	3
Total CDBG		8,180,853	1,649	6,148,661	1,177
HOME		2,863,829	112	4,287,462	67
HOME-CHDO		514,205	8	-	-
HOME-CO		50,000	2	25,000	-
HOME-PI		347,000	7	497,642	22
Total HOME		3,775,034	129	4,810,103	89
ESG		647,777	2,128	794,438	1,514
HOPWA		1,469,160	300	1,390,287	282
Total CDBG, HOME, ESG, and HOPWA		14,072,824	4,206	13,143,490	3,062
Other Funds					
CDBG-DR		-	-	1,371,588	-
IDA		-	-	-	-
Section 108 - PI		263,357	77	258,943	57
Section 108		-	-	-	-
GO Bonds		2,000,000	111	5,243,205	159
CIP		-	-	-	6
HTF		1,996,978	34	3,680,901	78
GF		92,052	95	6,621,203	259
UNO		-	-	-	-



City of Austin  
 Neighborhood and Community Development Office  
 FY 2018-19 Summary Funding and Production Table

AP-20 Item # - Program / AP-35 Item # - Activity	Funding	Action Plan		CAPER	
		New	Estimated	Actual	Services
		Funding	Services	Expenditures	Provided
Fund Summary Totals		18,425,211	4,523	30,319,330	3,621
Balance Check		-	-	-	-



*The City of Austin is committed to compliance with the Americans with Disabilities Action (ADA). Reasonable modifications and equal access to communications will be provided upon request. For assistance, please call 512-974-3100; TTY users route through Relay Texas at 711.*