

Chapter 2

Financial Reports

City of Austin Fiscal Year 2011-12 CAPER

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The following chapter outlines funding sources the City of Austin utilized in FY 2011-12.

FEDERAL FUNDING SOURCES

Community Development Block Grant (CDBG)

The Community Development Block Grant (CDBG) Program is authorized under Title I of the Housing and Community Development Act of 1974 as amended. The primary objective of CDBG is the development of viable communities by:

- Providing decent housing.
- Providing a suitable living environment.
- Expanding economic opportunities.

To achieve these goals, any activity funded with CDBG must meet one of three national objectives:

- Benefit low- and moderate-income persons.
- Aid in the prevention of slums or blight.
- Meet a particular urgent need.

CDBG Program Income

CDBG Program Income (CDBG PI) is the gross income received by the City of Austin directly generated by the use of CDBG funds. Program income is available to activities that do not have established revolving loan funds.

CDBG Revolving Loan Fund

A Revolving Loan Fund (CDBG – Rev. Loan) is a dedicated source of funds to a particular activity from which loans are made for housing or small business development projects. Once loans are repaid to the City, the funds revolve back into the original activity as available new funding.

HOME Investment Partnerships Program (HOME)

The HOME Program was created by the National Affordable Housing Act of 1990 (NAHA), and has been amended several times by subsequent legislation. The three objectives of the HOME Program are:

- Expand the supply of decent, safe, sanitary, and affordable housing to very low- and low-income individuals.
- Mobilize and strengthen the ability of state and local governments to provide decent, safe, sanitary, and affordable housing to very low- and low-income individuals.
- Leverage private sector participation and expand the capacity of non-profit housing providers.

HOME CHDO

Community Housing Development Organizations (CHDOs) are non-profit housing providers whose organizational mission includes the development of affordable housing for low- and moderate-income households. The City of Austin must set aside a minimum of 15 percent of its annual HOME allocation for housing development activities in which qualified CHDOs are the owners, developers, and/or sponsors of the housing.

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HOME CHDO Operating Expenses Grants

HOME CHDO Operating (HOME CO) funds are provided to support the CHDOs organization's operations. The funds are provided as grants based on need and are used for reasonable and necessary operational costs of a CHDO. These funds are not part of the 15 percent set aside for CHDOs.

HOME Program Income

HOME Program Income (HOME PI) is the gross income received by the City of Austin directly generated by the use of HOME funds.

Emergency Solutions Grant (ESG)

The Homeless Emergency Assistance and Rapid Transition to Housing Act of 2009 (HEARTH Act) became law on May 20, 2009, and consolidated several homeless assistance programs administered by the U.S. Department of Housing and Urban Development (HUD). The Emergency Shelter Grants program was also renamed the Emergency Solutions Grants (ESG), in which the first grants were made to the City of Austin in FY2011-12. The ESG program is designed to be the first step in a continuum of assistance to help clients quickly regain stability in permanent housing after experiencing a housing crisis and/or homelessness.

Housing Opportunity for Persons with AIDS (HOPWA)

The Housing Opportunity for Persons with AIDS (HOPWA) Program was established by the U.S. Department of Housing and Urban Development (HUD) to address the specific needs of low-income persons living with HIV/AIDS and their families. HOPWA makes grants to local communities, states, and non-profit organizations. HOPWA funds provide housing assistance and related supportive services in partnership with communities and neighborhoods.

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ADDITIONAL FUNDING SOURCES UTILIZED IN FY 2011-12

Section 108

The Section 108 Loan Guarantee Program offers local governments a source of financing for economic development, large-scale public facility projects, and public infrastructure for CDBG eligible activities. HUD sells bonds on the private market and uses the proceeds to fund Section 108 loans to local governments. The local government's future CDBG allocations and other resources must be pledged as security for repayment of the loan to HUD.

Family Business Loan Program

The Family Business Loan Program's (FBLP) purpose is to make low-interest loans to qualified Austin small business owners who are ready to expand their business and create jobs. The FBLP is a public-private partnership between the City of Austin, U.S. Small Business Administration (SBA) certified community lenders and private banks. The City's portion of the funding is provided by a U.S. Department of Housing and Urban Development (HUD) Section 108 loan guarantee.

In FY2011-12, the Austin City Council and Texas Attorney General's Office approved acceptance of a \$3 million Section 108 HUD loan guarantee to provide funding for the FBLP. In addition, the Economic Growth and Redevelopment Services Office (EGRSO) developed the FBLP program guidelines, which were approved by HUD, the Texas Attorney General's Office, and the Austin City Council.

EGRSO made extensive efforts to inform businesses about the FBLP during FY2011-12. EGRSO staff met with individual business owners and presentations were made to the Greater Austin Hispanic Chamber of Commerce, the Capital City African American Chamber of Commerce, and the Austin Gay and Lesbian Chamber of Commerce. FBLP presentations were also made to the City of Austin's Community Development Commission, the African American Resource Commission, and to eleven neighborhood and community associations that represent the East Austin area.

As a result of the outreach efforts, EGRSO has so far identified five potential FBLP loans. EGRSO expects to receive Council approval for the first FBLP loan in December 2012, which will create 33 new low- to moderate-income jobs within five years in the Saltillo neighborhood of East Austin.

Economic Development Initiative II and III

HUD funds several Economic Development Initiative (EDI) Grants. The City receives competitive EDI funds to provide façade improvements grants to local businesses in East Austin.

Lead Hazard Control Grant

HUD, under the Healthy Homes and Lead Hazard Control Program, awards funds to local governments to develop lead-based paint awareness and inspection programs for homes built prior to 1978. Even though lead-based paint was banned for residential use in 1978, HUD estimates that 24 million homes across the United States still have significant lead-based paint hazards.

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The City of Austin applied for an additional 3-year Lead Hazard Control Grant under the Healthy Homes and Lead Hazard Control Program in FY 2010-11 and HUD awarded the City a \$2.5 million grant to evaluate 180 homes for the presence of lead. The money directs critical funds to cities, counties and states to eliminate dangerous lead paint hazards in thousands of privately-owned, low-income housing units. Grant funds will also be used to conduct outreach and education.

Federal Stimulus Funds

The City received \$7.6 million in stimulus funds in FY 2008-09 in response to applications submitted to the U.S. Department of Housing and Urban Development (HUD) and the Texas Department of Housing and Community Affairs (TDHCA). Funding related to the American Recovery and Reinvestment Act (ARRA) released by HUD is in the form of one-time grants for the purpose of stimulating the economy. The Housing and Economic Recovery Act (HERA) Neighborhood Stabilization Program (NSP) offers funding to address home foreclosures at the local level.

Although it is not federally required for the ARRA funds or HERA funds to be reported in a participating jurisdiction's Consolidated Annual Performance and Evaluation Reports (CAPER) and Action Plans, the City of Austin is committed to reporting all sources of funds in its federal and local funding report. However, with stimulus funded programs nearing a close, the City anticipates most of these funds will not be included in future reports. For an update on Stimulus activities, visit Chapter 6. The stimulus funds awarded in FY 2008-09 include:

Community Development Block Grant Recovery (CDBG-R)

Under the American Recovery and Reinvestment Act (ARRA), Community Development Block Grant Recovery (CDBG-R) program enables local governments to undertake a wide range of activities intended to create suitable living environments, provide decent affordable housing and create economic opportunities, primarily for persons of low and moderate income.

Homeless Prevention and Rapid Re-Housing (HPRP) Program

The Homeless Prevention and Rapid Re-housing Program, which is administered by the Austin/Travis County Health and Human Services Department (HHSD), has three primary goals: 1) Prevention: Prevent people from becoming homeless whenever possible; 2) Diversion: Intervene when people enter shelters and divert them into housing; and 3) Rapid Re-Housing: Move people who become homeless into permanent housing as quickly as possible.

Neighborhood Stabilization Program (NSP) Funding

Neighborhood Stabilization Program (NSP) funds are established by the Housing and Economic Recovery Act (HERA) of 2008 with the goal of creating permanent affordability rental opportunities. These federal funds are distributed by the Texas Department of Housing and Community Affairs (TDHCA).

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ADDITIONAL FUNDING SOURCES SECURED IN FY 2011-12

Texas Department of Housing and Community Affairs (TDHCA) Reservation Funds

The City has applied for several reservation systems to increase its resources to help meet its goals and objectives. Below are several systems made available through TDHCA. However, due to the nature of the reservation systems, the resources are time limited and can be accessed only as funding continues to be awarded.

Amy Young Barrier Removal Program

In August 2011, the Texas Department of Housing and Community Affairs released \$4 million in funding through its Texas Housing Trust Fund (HTF) for the Amy Young Barrier Removal Program. The program provides one-time grants of up to \$20,000 to persons with disabilities, for home modifications necessary for accessibility and the elimination of hazardous conditions. Program beneficiaries may be tenants or homeowners and their household members with disabilities. However, due to the nature of the reservation systems, the resources are time limited and can be accessed only as funding continues to be awarded.

HOME Investment Partnership Program for Persons with Disabilities Program

The HOME Investment Partnerships Program provides one-time reimbursements for qualified projects. AHFC intends to utilize the reservation fund for its Homeowner Rehabilitation Assistance program. The funding available to AHFC is specific to projects for persons with disabilities. TDHCA uses an online reservation system, in which grant recipients reserve and draw Project Costs and Administration Fees and track the status of the Program activities. Funds are available on a first come, first serve basis. The Program funds are replenished on an annual basis dependent on State budget availability. However, due to the nature of the reservation systems, the resources are time limited and can be accessed only as funding continues to be awarded.

HUD Sustainable Communities Community Challenge Grant

The City of Austin received \$3,000,000 in grant funds from HUD to be used to conduct a master plan for the Colony Park tract. The Colony Park Sustainable Communities Initiative is a proposed Master Planned, 208-acre development that will incorporate best practice strategies for energy-efficient building design, water conservation and zero-waste technology and standards to create a model sustainable and livable mixed-use, mixed-income community. The project will also provide a platform for collaboration between the City of Austin and other local entities with national reputations for excellence in sustainable initiatives.

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National Assets for Independence Demonstration Grant for Individual Development Accounts

The City of Austin received notification on April 27, 2012, that the City was awarded \$300,000 in grant funds from the U.S. Department of Health and Human Services, Administration for Children and Families, for a National Assets for Independence demonstration Grant for Individual Development Accounts (IDA). IDAs are special savings accounts designed to assist low-income individuals and families move toward asset ownership through matched savings and financial education. An IDA can be used to buy a first home, pay for college or other training, and start or expand a small business. NHCD will use these funds to administer projects that provide IDAs and related services to low-income individuals and families. Because the participants' deposits are matched, the account can grow substantially in proportion to the amount deposited on a regular basis.

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CITY OF AUSTIN LOCAL FUNDING SOURCES

Sustainability Fund

The Sustainability Fund was created in FY 2000-01 to provide resources for projects that will help the City of Austin build a sustainable economic, environmental, and equitable infrastructure. The Sustainability Fund is generated from transfers from the City's major enterprise operating funds, including Solid Waste Services (SWS), Drainage Utility, Transportation, and the Austin Water Utility (AWU) funds.

General Obligation (G.O.) Bonds

City of Austin voters approved a \$55 million bond package for affordable housing in November 2006. The bonds were apportioned into \$33 million for rental and \$22 million for homeownership programs over seven years. The City obligated all remaining funds in FY2011-12.

Austin Energy - Holly Good Neighbor Program

The Holly Good Neighbor program provides repairs to properties surrounding the former Holly Power Plant area. Austin Energy funds the program, and it is administered and facilitated by Austin Housing Finance Corporation (AHFC).

University Neighborhood Overlay (UNO) Housing Trust Fund

The University Neighborhood Overlay (UNO) Housing Trust Fund generates funding for the development of new affordable housing in a targeted near the University of Texas. The City Council adopted the University Neighborhood Overlay (UNO) District Housing Trust Fund in FY 2004-05. The fund was established as part of the UNO zoning district, which was created to implement some of the goals, including affordable housing, in the Combined Central Austin Neighborhood Plan.

General Fund - Capital Improvement Program

General Fund - Capital Improvement Program (GF-CIP) funds are City of Austin funds used to construct affordable housing.

Housing Trust Fund

The Austin City Council dedicates 40 percent of all City property tax revenues from developments built on City owned lands to affordable housing. In FY 1999-2000, the Austin City Council pledged \$1 million annually for three years to establish a Housing Trust Fund (HTF).

Housing Assistance Fund

The Austin Housing Finance Corporation (AHFC) issued \$10 million in Residual Value Revenue Bonds in 1988 from its 1980 Single-Family Bond Issue. The purpose of the issue was to create an income stream that can be used to support activities providing housing assistance to persons and families of low- and moderate-income.

CAPER Budget – Federal Sources

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FY 2011-12 CAPER BUDGET - FEDERAL FUNDING SOURCES							
	Funding Source	FY 2011-12 Actual Beginning Balance	FY 201-12 Appropriation	FY 2011-12 Total Amount Available	Expenditures	Encumbrance	FY 2012-13 Estimated Beginning Balance
HOMELESS/SPECIAL NEEDS ASSISTANCE							
<i>Homeless Services/Shelter</i>							
Shelter Operation and Maintenance	HESG	-	261,726	261,726	261,726	-	-
Homeless Essential Services:	HESG	-	52,233	52,233	52,233	-	-
Homeless Management Information System	HESG	-	31,472	31,472	-	-	31,472
Housing Relocation & Stabilization	HESG	-	132,218	132,218	-	-	132,218
<i>Subtotal, HESG</i>			477,649	477,649	313,959	-	163,690
<i>Housing Opportunities for Persons for AIDS</i>							
Rent, Mortgage, and Utility Assistance	HOPWA	-	81,458	81,458	82,215	-	(757)
Tenant-Based Rental Assistance	HOPWA	-	566,337	566,337	495,773	-	70,564
Permanent Housing Placement	HOPWA	-	24,446	24,446	26,889	-	(2,443)
Short-Term Housing Assistance	HOPWA	-	46,853	46,853	65,421	-	(18,568)
Transitional Housing	HOPWA	-	202,708	202,708	202,708	-	-
Supportive Services	HOPWA	-	67,570	67,570	67,570	-	-
<i>Subtotal, HOPWA</i>			989,372	989,372	940,575	-	48,797
Child Care Services	CDBG	65,935	594,120	660,055	627,980	10,398	21,677
	CDBG-PI	-	-	-	-	-	-
Senior Services	CDBG	-	20,130	20,130	20,130	-	-
Youth Support Services	CDBG	-	186,188	186,188	186,188	-	-
Subtotal, Homeless/Special Needs Assistance		65,935	2,267,459	2,333,394	2,088,832	10,398	234,164
RENTER ASSISTANCE							
Tenant-Based Rental Assistance	HOME	319,528	510,300	829,828	621,615	116	208,097
Tenants' Rights Assistance	CDBG	-	231,254	231,254	231,254	-	-
Subtotal, Renter Assistance		319,528	741,554	1,061,082	852,869	116	208,097
HOMEBUYER ASSISTANCE							
Down Payment Assistance	CDBG	11,500	-	11,500	-	-	11,500
	HOME	776,114	871,933	1,648,047	321,549	3,536	1,322,962
	HOME-PI	-	187,993	187,993	187,993	-	-
Subtotal, Homebuyer Assistance		787,614	1,059,926	1,847,540	509,542	3,536	1,334,462
HOMEOWNER ASSISTANCE							
Architectural Barrier Removal Program	CDBG	60,283	1,509,653	1,569,936	678,790	369,212	521,934
Emergency Home Repair Program	CDBG	197,162	900,000	1,097,162	995,323	4,677	97,162
Homeowner Rehabilitation Loan Program	CDBG	41,720	-	41,720	-	-	41,720
	CDBG-RL	219,160	127,231	346,391	60,369	3,783	282,239
	HOME	1,120,230	649,817	1,770,047	793,426	93,219	883,402
	HOME-PI	-	45,379	45,379	45,379	-	-
<i>Subtotal, HRLP</i>		1,381,110	822,427	2,203,537	899,174	97,002	1,207,361
Lead Hazard Control Grant - Healthy Homes	LEAD	-	2,500,000	2,500,000	384,064	429,406	1,686,530
Subtotal, Homeowner Assistance		1,638,555	5,732,080	7,370,635	2,957,351	900,297	3,512,987
HOUSING DEVELOPER ASSISTANCE							
Rental Housing Development Assistance	CDBG	1,284,954	292,953	1,577,907	1,141,046	3,079	433,782
	CDBG-PI	-	40	40	40	-	-
	HOME	1,610,176	590,000	2,200,176	803,392	176,880	1,219,904
	HOME-PI	-	3,748	3,748	3,748	-	-
<i>Subtotal, RHDA</i>	HOME (CHDO)	991,554	301,286	1,292,840	491,327	801,513	-
Acquisition and Development	CDBG	3,886,684	1,188,027	5,074,711	2,439,553	981,472	1,653,686
	CDBG-RL	2,735,073	25,368	2,760,441	731,915	292,175	1,736,351
	HOME	73,648	61,055	134,703	134,703	-	-
	HOME-PI	2,322,385	189,947	2,512,332	31,917	96,037	2,384,378
	HOME (CHDO)	-	107,003	107,003	107,003	-	-
<i>Subtotal, Acquisition and Development</i>		240,846	301,285	542,131	498,262	43,869	-
CHDO Operating Expenses Grants	HOME (CO)	5,371,952	684,658	6,056,610	1,503,800	432,081	4,120,729
		32,641	200,857	233,498	107,644	33,355	92,499
Subtotal, Housing Developer Assistance		9,291,277	2,073,542	11,364,819	4,050,997	1,446,908	5,866,914
COMMERCIAL REVITALIZATION							
East 11th and 12th Streets Revitalization	CDBG	67,711	-	67,711	3,508	-	64,203
Acquisition and Development	EDIII	147,000	-	147,000	-	-	147,000
<i>Subtotal, Acquisition and Development</i>		214,711	-	214,711	3,508	-	211,203
Historic Preservation	CDBG	325,150	-	325,150	-	3,570	321,580
Public Facilities	CDBG	2,307,038	131,737	2,438,775	1,684,737	12,988	741,050
	EDI II	136,717	-	136,717	136,717	-	-
<i>Subtotal, Public Facilities</i>		2,443,755	131,737	2,575,492	1,821,454	12,988	741,050
Parking Facilities	CDBG	264,696	172,578	437,274	10,877	4,704	421,693
Subtotal, Commercial Revitalization		3,248,312	304,315	3,552,627	1,835,839	21,262	1,695,526
SMALL BUSINESS ASSISTANCE							
Community Development Bank	CDBG	-	150,000	150,000	150,000	-	-
Microenterprise Technical Assistance	CDBG	-	200,000	200,000	200,000	-	-
Neighborhood Commercial Management	CDBG	235,308	-	235,308	-	-	235,308
	CDBG-RL	441,457	79,857	521,314	-	-	521,314
	Section 108	594,846	-	594,846	-	-	594,846
<i>Subtotal, Neighborhood Commercial Mgmt</i>		1,271,611	79,857	1,351,468	-	-	1,351,468
Subtotal, Small Business Assistance		1,271,611	429,857	1,701,468	350,000	-	1,351,468

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FY 2011-12 CAPER BUDGET - FEDERAL FUNDING SOURCES							
	Funding Source	FY 2011-12 Actual Beginning Balance	FY 201-12 Appropriation	FY 2011-12 Total Amount Available	Expenditures	Encumbrance	FY 2012-13 Estimated Beginning Balance
DEBT SERVICE							
ARCH Homeless Shelter, Debt	CDBG	67,389	-	67,389	-	-	67,389
<i>Subtotal, ARCH Homeless Shelter, Debt</i>		67,389	-	67,389	-	-	67,389
NCMP, Debt	CDBG	213,499	165,863	379,362	27,227	-	352,135
<i>Subtotal, NCMP, Debt</i>	Section 108	31,527	165,159	196,686	138,636	-	58,050
<i>Subtotal, NCMP, Debt</i>		245,026	331,022	576,048	165,863	-	410,185
East 11th and 12th Streets Revital., Debt	CDBG	299,021	922,513	1,221,534	663,913	-	557,621
CDBG-PI		-	51,333	51,333	-	-	-
CDBG-PI	Section 108	-	186,684	186,684	138,729	-	47,955
<i>Subtotal, East 11th and 12th Revital., Debt</i>		299,021	1,160,530	1,459,551	853,975	-	605,576
Millennium Youth Center, Debt	CDBG	164,320	-	164,320	-	-	164,320
Subtotal, Debt Service		775,756	1,491,552	2,267,308	1,019,838	-	1,247,470
STIMULUS FUNDS							
<i>Homeless Prevention and Rapid-Rehousing Program</i>							
Financial Assistance	HPRP	21,821	-	21,821	21,821	-	-
Housing Relocation and Stabilization	HPRP	45,866	-	45,866	45,866	-	-
Data Collection and Evaluation	HPRP	10,303	-	10,303	10,303	-	-
Administration	HPRP	500	-	500	500	-	-
<i>Subtotal, HPRP</i>		78,490	-	78,490	78,490	-	-
<i>Community Development Block Grant - Recovery</i>							
East Austin Youth and Family Center	CDBG-R	-	-	-	-	-	-
Center for Economic Opportunity	CDBG-R	-	-	-	-	-	-
Afr. Amer. Cultural & Heritage Facility	CDBG-R	302,962	-	302,962	302,962	-	-
East Austin Sidewalks	CDBG-R	-	-	-	-	-	-
Administration	CDBG-R	-	-	-	-	-	-
<i>Subtotal, CDBG-R</i>		302,962	-	302,962	302,962	-	-
Neighborhood Stabilization Program	NSP	275,127	-	275,127	145,563	9,588	119,976
Subtotal, Stimulus		656,579	-	656,579	527,015	9,588	119,976
SUSTAINABLE COMMUNITIES INITIATIVE							
Colony Park Sustainable Community Initiative	CCG	-	3,000,000	3,000,000	76,604	-	2,923,396
Subtotal, Sustainable Communities Initiative		-	3,000,000	3,000,000	76,604	-	2,923,396
ADMINISTRATION							
	CDBG	74,631	1,375,589	1,450,220	1,374,219	1,370	74,631
	CDBG-PI	-	12,833	12,833	12,833	-	-
	HOME	25,757	401,714	427,471	401,714	-	25,757
	HOME-PI	-	24,586	24,586	24,586	-	-
	ESG	-	16,522	16,522	16,522	-	-
	ESG	-	22,206	22,206	-	-	22,206
	HOPWA-3%	-	32,909	32,909	32,909	-	-
	HOPWA-7%	-	74,695	74,695	70,485	-	4,210
Subtotal, Administration		100,388	1,961,054	2,061,442	1,933,268	1,370	126,804
TOTAL, Programs, Debt Service, and Admin Cost		18,155,555	19,061,339	37,216,894	16,202,155	2,393,475	18,621,264

CAPER Budget – All Sources

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FY 2011-12 CAPER BUDGET & ACCOMPLISHMENTS - ALL FUNDING SOURCES								
	Funding Source	FY 2011-12 Actual Beginning Balance	FY 2011-12 Appropriation	FY 2011-12 Total Amount Available	Expenditures	Encumbrance	FY 2012-13 Estimated Beginning Balance	FY 2011-12 Accomplishments
HOMELESS/SPECIAL NEEDS ASSISTANCE								
<i>Homeless Services/Shelter</i>								
Shelter Operation and Maintenance	HESG	-	261,726	261,726	261,726	-	-	9,181
Homeless Essential Services	HESG	-	52,233	52,233	52,233	-	-	52
Homeless Management Information System	HESG	-	31,472	31,472	-	-	31,472	-
Housing Relocation & Stabilization	HESG	-	132,218	132,218	-	-	132,218	-
<i>Subtotal, Homeless Services/Shelter</i>			477,649	477,649	313,959	-	163,690	9,233
<i>Housing Opportunities for Persons for AIDS</i>								
Short-Term Rent, Mortgage, and Utility	HOPWA	-	81,458	81,458	82,215	-	(757)	118
Tenant-Based Rental Assistance	HOPWA	-	566,337	566,337	495,773	-	70,564	98
Permanent Housing Placement	HOPWA	-	24,446	24,446	26,889	-	(2,443)	46
Short-Term Housing Assistance	HOPWA	-	46,853	46,853	65,421	-	(18,568)	66
Transitional Housing	HOPWA	-	202,708	202,708	202,708	-	-	59
Supportive Services	HOPWA	-	67,570	67,570	67,570	-	-	59
<i>Subtotal, HOPWA</i>			989,372	989,372	940,575	-	48,797	446
Child Care Services	CDBG	65,935	594,120	660,055	627,980	10,398	21,677	374
	CDBG-PI	-	-	-	-	-	-	-
	SF	-	94,130	94,130	68,039	26,091	-	-
<i>Subtotal, Child Care Services</i>		65,935	688,250	754,185	696,019	36,489	21,677	374
Senior Services	CDBG	-	20,130	20,130	20,130	-	-	211
	SF	-	116,341	116,341	116,341	-	-	-
<i>Subtotal, Senior Services</i>		-	136,471	136,471	136,471	-	-	211
Youth Support Services	CDBG	-	186,188	186,188	186,188	-	-	161
	SF	-	22,012	22,012	22,012	-	-	-
<i>Subtotal, Youth Support Services</i>		-	208,200	208,200	208,200	-	-	161
Subtotal, Homeless/Special Needs Assistance		65,935	2,499,942	2,565,877	2,295,224	36,489	234,164	10,425
RENTER ASSISTANCE								
Tenant-Based Rental Assistance	HOME	319,528	510,300	829,828	621,615	116	208,097	115
	SF	-	56,700	56,700	52,445	4,255	-	-
<i>Subtotal, TBRA</i>		319,528	567,000	886,528	674,060	4,371	208,097	115
Architectural Barrier Removal - Renter	GO Bonds	71,099	250,000	321,099	119,700	44,114	157,285	16
Tenants' Rights Assistance	CDBG	-	231,254	231,254	231,254	-	-	760
	SF	-	57,475	57,475	50,194	7,281	-	-
<i>Subtotal, Tenants' Rights Assistance</i>		-	288,729	288,729	281,448	7,281	-	760
Subtotal, Renter Assistance		390,627	1,105,729	1,496,356	1,075,208	55,766	365,382	891
HOMEBUYER ASSISTANCE								
Housing Smarts - Housing Counseling	SF	-	99,233	99,233	91,049	764	7,420	273
Foreclosure Prevention Counseling	HTF	56,652	-	56,652	56,652	-	-	40
Spanish Homebuyer Counseling	HTF	26,920	-	26,920	26,920	-	-	68
<i>Subtotal, Housing Smarts</i>		83,572	99,233	182,805	174,621	764	7,420	381
Down Payment Assistance	CDBG	11,500	-	11,500	-	-	11,500	16
	HOME	776,114	871,933	1,648,047	321,549	3,536	1,322,962	-
	HOME-PI	-	187,993	187,993	187,993	-	-	-
<i>Subtotal, Down Payment Assistance</i>		787,614	1,059,926	1,847,540	509,542	3,536	1,334,462	16
Subtotal, Homebuyer Assistance		871,186	1,159,159	2,030,345	684,163	4,300	1,341,882	397
HOMEOWNER ASSISTANCE								
Architectural Barrier Removal - Owner	CDBG	60,283	1,509,653	1,569,936	678,790	369,212	521,934	57
Emergency Home Repair Program	CDBG	197,162	900,000	1,097,162	995,323	4,677	97,162	489
Homeowner Rehabilitation Loan Program	CDBG	41,720	-	41,720	-	-	41,720	8
	CDBG-RL	219,160	127,231	346,391	60,369	3,783	282,239	-
	HOME	1,120,230	649,817	1,770,047	793,426	93,219	883,402	-
	HOME-PI	-	45,379	45,379	45,379	-	-	-
	HTF	-	-	-	(75,168)	-	75,168	-
	HAF	-	-	-	-	-	-	-
<i>Subtotal, HRLP</i>		1,381,110	822,427	2,203,537	824,006	97,002	1,282,529	8
LeadSmart Program	Lead	-	2,500,000	2,500,000	384,064	429,406	1,686,530	39
GO Repair! Program	GO Bonds	742,511	1,135,000	1,877,511	1,705,406	119,241	52,864	107
Holly Good Neighbor	AE	1,698,929	550,000	2,248,929	196,637	55,395	1,996,897	8
Subtotal, Homeowner Assistance		4,079,995	7,417,080	11,497,075	4,784,226	1,074,933	5,637,916	708
HOUSING DEVELOPER ASSISTANCE								
Rental Housing Development Assistance	CDBG	1,284,954	292,953	1,577,907	1,141,046	3,079	433,782	14
	CDBG-PI	-	40	40	40	-	-	-
	HOME	1,610,176	590,000	2,200,176	803,392	176,880	1,219,904	-
	HOME-PI	-	3,748	3,748	-	-	3,748	-
	HOME (CHDO)	991,554	301,286	1,292,840	491,327	801,513	-	-
	UNO	253,860	-	253,860	6,189	-	247,671	-
	GF-CIP	-	-	-	-	-	-	-
	GO Bonds	1,196,525	4,000,000	5,196,525	972,172	569,889	3,654,464	503
	HTF	851,150	365,717	1,216,867	517,754	-	699,113	-
	HAF	-	-	-	-	-	-	-
<i>Subtotal, RHDA</i>		6,188,219	5,553,744	11,741,963	3,935,668	1,551,361	6,254,934	517
Acquisition and Development	CDBG	2,735,073	25,368	2,760,441	731,915	292,175	1,736,351	11
	CDBG-RL	73,648	61,055	134,703	134,703	-	-	-
	HOME	2,322,385	189,947	2,512,332	31,917	96,037	2,384,378	-
	HOME-PI	-	107,003	107,003	-	-	-	-
	HOME (CHDO)	240,846	301,285	542,131	498,262	43,869	-	-
	GF-CIP	1,017,608	85,607	1,103,215	112,759	104,571	885,885	-
	GO Bonds	1,213,546	1,264,505	2,478,051	1,167,321	1,004,920	305,810	23
	HTF	565,218	-	565,218	4,633	-	560,585	-
	HAF	21,602	637,607	659,209	659,209	-	-	-
<i>Subtotal, Acquisition and Development</i>		8,189,926	2,672,377	10,862,303	3,447,722	1,541,572	5,873,009	34
CHDO Operating Expenses Grants	HOME (CO)	32,641	200,857	233,498	107,644	33,355	92,499	5
Developer Incentive-Based Programs	SF	-	70,937	70,937	70,937	-	-	953
S.M.A.R.T. Housing™	GF-CIP	13,956	-	13,956	5,150	8,806	-	-
		13,956	70,937	84,893	76,087	8,806	-	953
<i>Subtotal, Developer Incentive-Based Programs</i>		13,956	70,937	84,893	76,087	8,806	-	953
Subtotal, Housing Developer Assistance		14,424,742	8,497,915	22,922,657	7,567,121	3,135,094	12,220,442	1,509

Chapter 2: Financial Reports

FY 2011-12 CAPER BUDGET & ACCOMPLISHMENTS - ALL FUNDING SOURCES								
	Funding Source	FY 2011-12 Actual Beginning Balance	FY 2011-12 Appropriation	FY 2011-12 Total Amount Available	Expenditures	Encumbrance	FY 2012-13 Estimated Beginning Balance	FY 2011-12 Accomplishments
COMMERCIAL REVITALIZATION								
East 11th and 12th Streets Revitalization Acquisition and Development (Previously: Façade Improvement Prg)	CDBG EDI III	67,711 147,000	- -	67,711 147,000	3,508 -	- -	64,203 147,000	- -
<i>Subtotal, Acquisition and Development</i>		214,711	-	214,711	3,508	-	211,203	-
Historic Preservation	CDBG SF	325,150 -	- -	325,150 -	- -	3,570 -	321,580 -	- -
<i>Subtotal, Historic Preservation</i>		325,150	-	325,150	-	3,570	321,580	-
Public Facilities	CDBG SF EDI II	2,307,038 20,943 136,717	131,737 59,968 -	2,438,775 80,911 136,717	1,684,737 11,258 136,717	12,988 20,755 -	741,050 48,898 -	- - -
<i>Subtotal, Public Facilities</i>		2,464,698	191,705	2,656,403	1,832,712	33,743	789,948	-
Parking Facilities	CDBG SF	264,696 10,000	172,578 205,000	437,274 215,000	10,877 178,563	4,704 8,331	421,693 28,106	2,170 -
<i>Subtotal, Parking Facilities</i>		274,696	377,578	652,274	189,440	13,035	449,799	2,170
Subtotal, Commercial Revitalization		3,279,255	569,283	3,848,538	2,025,660	50,348	1,772,530	2,170
SMALL BUSINESS ASSISTANCE								
Community Development Bank	CDBG	-	150,000	150,000	150,000	-	-	6
Microenterprise Technical Assistance	CDBG	-	200,000	200,000	200,000	-	-	33
Neighborhood Commercial Mgmt	CDBG	235,308	-	235,308	-	-	235,308	-
	CDBG-RL	441,457	79,857	521,314	-	-	521,314	-
	Section 108	594,846	-	594,846	-	-	594,846	-
<i>Subtotal, NCMP</i>		1,271,611	79,857	1,351,468	-	-	1,351,468	-
Community Preservation & Revitalization	SF	75,000	-	75,000	-	-	75,000	-
Subtotal, Small Business Assistance		1,346,611	429,857	1,776,468	350,000	-	1,426,468	39
DEBT SERVICE								
ARCH Homeless Shelter, Debt Service	CDBG Local Funds**	67,389 -	- -	67,389 -	- -	- -	67,389 -	- -
<i>Subtotal, ARCH Homeless Shelter</i>		67,389	-	67,389	-	-	67,389	-
NCMP, Debt Service	CDBG Section 108	213,499 31,527	165,863 165,159	379,362 196,686	27,227 138,636	- -	352,135 58,050	- -
<i>Subtotal, NCMP</i>		245,026	331,022	576,048	165,863	-	410,185	-
East 11th and 12th Streets, Debt Service	CDBG CDBG-PI Section 108	299,021 - -	922,513 51,333 186,684	1,221,534 51,333 186,684	663,913 51,333 138,729	- -	557,621 -	- -
<i>Subtotal, East 11th and 12th Streets</i>		299,021	1,160,530	1,459,551	853,975	-	605,576	-
Millennium Youth Center, Debt Service	CDBG Local Funds***	164,320 -	- -	164,320 -	- -	- -	164,320 -	- -
Subtotal, Debt Service		775,756	1,491,552	2,267,308	1,019,838	-	1,247,470	-
STIMULUS FUNDS								
<i>Homeless Prevention and Rapid-Rehousing Program</i>								
Financial Assistance	HPRP	21,821	-	21,821	21,821	-	-	-
Housing Relocation and Stabilization	HPRP	45,866	-	45,866	45,866	-	-	-
Data Collection and Evaluation	HPRP	10,303	-	10,303	10,303	-	-	-
Administration	HPRP	500	-	500	500	-	-	-
<i>Subtotal, HPRP</i>		78,490	-	78,490	78,490	-	-	-
<i>Community Development Block Grant - Recovery</i>								
East Austin Youth and Family Center	CDBG-R	-	-	-	-	-	-	-
Center for Economic Opportunity	CDBG-R	-	-	-	-	-	-	-
Afr. Amer. Cultural and Heritage Facility	CDBG-R	302,962	-	302,962	302,962	-	-	-
East Austin Sidewalks	CDBG-R	-	-	-	-	-	-	-
Administration	CDBG-R	-	-	-	-	-	-	-
<i>Subtotal, CDBG-R</i>		302,962	-	302,962	302,962	-	-	-
<i>Neighborhood Stabilization Program</i>	NSP	275,127	-	275,127	145,563	9,588	119,976	-
Subtotal, Stimulus		656,579	-	656,579	527,015	9,588	119,976	-
SUSTAINABLE COMMUNITIES INITIATIVE								
Colony Park Sustainable Community Initiative	CCG	-	3,000,000	3,000,000	76,604	-	2,923,396	-
Subtotal, Sustainable Communities Initiative		-	3,000,000	3,000,000	76,604	-	2,923,396	-
ADMINISTRATION								
	CDBG	74,631	1,375,589	1,450,220	1,374,219	1,370	74,631	-
	CDBG-PI	-	12,833	12,833	12,833	-	-	-
	HOME	25,757	401,714	427,471	401,714	-	25,757	-
	HOME-PI	-	24,586	24,586	24,586	-	-	-
	HESG	-	16,522	16,522	16,522	-	-	-
	HESG*	-	22,206	22,206	-	-	22,206	-
	HOPWA-3%	-	32,909	32,909	32,909	-	-	-
	HOPWA-7%	-	74,695	74,695	70,485	-	4,210	-
	SF	138,877	2,204,041	2,342,918	2,296,660	8,570	37,688	-
Subtotal, Administration		239,265	4,165,095	4,404,360	4,229,928	9,940	164,492	-
TOTAL, Programs, Debt Service, and Admin Cost		26,129,951	30,335,612	56,465,563	24,634,987	4,376,458	27,454,118	16,139

*A Substantial Amendment to the FY2011-12 Action Plan was approved by HUD on July 27, 2012 for the Phase 2 Emergency Solutions Grant funding

** The FY 2011-12 Action Plan allocated \$526,541 in local funds for this activity, which was fulfilled through the City of Austin Debt Service Fund in FY 2011-12. The CDBG funding remaining in this activity will be reprogrammed, as indicated in the FY 2012-13 Action Plan. For more information on the funds reprogrammed in the FY 2012-13 Action Plan, see Exhibit on page 2-26.

***The FY 2011-12 Action Plan allocated \$633,738 in local funds for this activity, which was fulfilled through the City of Austin Debt Service Fund in FY 2011-12. The CDBG funding remaining in this activity will be reprogrammed, as indicated in the FY 2012-13 Action Plan. For more information on the funds reprogrammed in the FY 2012-13 Action Plan, see Exhibit on page 2.26.

CAPER Budget – Local Sources

Chapter 2: Financial Reports

FY 2011-12 CAPER BUDGET - LOCAL FUNDING SOURCES							
	Funding Source	FY 2011-12 Actual Beginning Balance	FY 2011-12 Appropriation	FY 2011-12 Total Amount Available	Expenditures	Encumbrance	FY 2012-13 Estimated Beginning Balance
HOMELESS/SPECIAL NEEDS ASSISTANCE							
Child Care Services	SF	-	94,130	94,130	68,039	26,091	-
Senior Services	SF	-	116,341	116,341	116,341	-	-
Youth Support Services	SF	-	22,012	22,012	22,012	-	-
Subtotal, Homeless/Special Needs Assistance		-	232,483	232,483	206,392	26,091	-
RENTER ASSISTANCE							
Tenant-Based Rental Assistance	SF	-	56,700	56,700	52,445	4,255	-
Architectural Barrier Removal Program	GO Bonds	71,099	250,000	321,099	119,700	44,114	157,285
Tenants' Rights Assistance	SF	-	57,475	57,475	50,194	7,281	-
Subtotal, Renter Assistance		71,099	364,175	435,274	222,339	55,650	157,285
HOMEBUYER ASSISTANCE							
Housing Smarts - Housing Counseling	SF	-	99,233	99,233	91,049	764	7,420
	HTF	83,572	-	83,572	83,572	-	-
Subtotal, Homebuyer Assistance		83,572	99,233	182,805	174,621	764	7,420
HOMEOWNER ASSISTANCE							
Homeowner Rehabilitation Loan Program	HTF	-	-	-	(75,168)	-	75,168
	HAF	-	-	-	-	-	-
<i>Subtotal, HRLP</i>		-	-	-	(75,168)	-	75,168
G.O. Repair! Program	GO Bonds	742,511	1,135,000	1,877,511	1,705,406	119,241	52,864
Holly Good Neighbor	AE	1,698,929	550,000	2,248,929	196,637	55,395	1,996,897
Subtotal, Homeowner Assistance		2,441,440	1,685,000	4,126,440	1,826,875	174,636	2,124,929
HOUSING DEVELOPER ASSISTANCE							
Rental Housing Development Assistance	UNO	253,860	-	253,860	6,189	-	247,671
	GF-CIP	-	-	-	-	-	-
	GO Bonds	1,196,525	4,000,000	5,196,525	972,172	569,889	3,654,464
	HTF	851,150	365,717	1,216,867	517,754	-	699,113
	HAF	-	-	-	-	-	-
Subtotal, RHDA		2,301,535	4,365,717	6,667,252	1,496,115	569,889	4,601,248
Acquisition and Development	GF-CIP	1,017,608	85,607	1,103,215	112,759	104,571	885,885
	GO Bonds	1,213,546	1,264,505	2,478,051	1,167,321	1,004,920	305,810
	HTF	565,218	-	565,218	4,633	-	560,585
	HAF	21,602	637,607	659,209	659,209	-	(0)
Subtotal, Acquisition and Development		2,817,974	1,987,719	4,805,693	1,943,922	1,109,491	1,752,280
Developer Incentive-Based Programs							
S.M.A.R.T. Housing	SF	-	70,937	70,937	70,937	-	-
	GF-CIP	13,956	-	13,956	5,150	8,806	-
Subtotal, Developer Incentive-Based Programs		13,956	70,937	84,893	76,087	8,806	-
Subtotal, Housing Developer Assistance		5,133,465	6,424,373	11,557,838	3,516,124	1,688,186	6,353,528
COMMERCIAL REVITALIZATION							
East 11th and 12th Streets Revitalization							
Public Facilities	SF	20,943	59,968	80,911	11,258	20,755	48,898
Parking Facilities	SF	10,000	205,000	215,000	178,563	8,331	28,106
Subtotal, Commercial Revitalization		30,943	264,968	295,911	189,821	29,086	77,004
SMALL BUSINESS ASSISTANCE							
Community Preservation & Revitalization	SF	75,000	-	75,000	-	-	75,000
Subtotal, Small Business Assistance		75,000	-	75,000	-	-	75,000
ADMINISTRATION							
	SF	138,877	2,204,041	2,342,918	2,296,660	8,570	37,688
Subtotal, Administration		138,877	2,204,041	2,342,918	2,296,660	8,570	37,688
TOTAL, Programs, Debt Service, and Admin Cost		7,974,396	11,274,273	19,248,669	8,432,832	1,982,983	8,832,854

CAPER Budget – By Funding Sources

City of Austin Fiscal Year 2011-12 CAPER
Chapter 2: Financial Reports
CDBG

FY 2011-12 CAPER BUDGET - BY FUNDING SOURCES							
	Funding Source	FY 2011-12 Actual Beginning Balance	FY 2011-12 Appropriation	FY 2011-12 Total Amount Available	Expenditures*	Encumbrance	FY 2012-13 Estimated Beginning Balance
Community Development Block Grant*							
HOMELESS/SPECIAL NEEDS ASSISTANCE							
Child Care Services	CDBG	65,935	594,120	660,055	627,980	10,398	21,677
Senior Services		-	20,130	20,130	20,130	-	-
Youth Support Services	CDBG	-	186,188	186,188	186,188	-	-
<i>Subtotal Homeless/Special Needs Assistance</i>		65,935	800,438	866,373	834,298	10,398	21,677
RENTER ASSISTANCE							
Tenants' Rights Assistance	CDBG	-	231,254	231,254	231,254	-	-
<i>Subtotal, Renters Assistance</i>		-	231,254	231,254	231,254	-	-
HOMEBUYER ASSISTANCE							
Down Payment Assistance	CDBG	11,500	-	11,500	-	-	11,500
<i>Subtotal, Homebuyer Assistance</i>		11,500	-	11,500	-	-	11,500
HOMEOWNER ASSISTANCE							
Architectural Barrier Removal Program	CDBG	60,283	1,509,653	1,569,936	678,790	369,212	521,934
Emergency Home Repair Program	CDBG	197,162	900,000	1,097,162	995,323	4,677	97,162
Homeowner Rehabilitation Loan Program	CDBG	41,720	-	41,720	-	-	41,720
<i>Subtotal, Homeowner Assistance</i>		299,165	2,409,653	2,708,818	1,674,113	373,889	660,816
<small>*Reconciling project expenditures between federal and local systems identified on page 2-13.</small>							
HOUSING DEVELOPER ASSISTANCE							
Rental Housing Development Assistance	CDBG	1,284,954	292,953	1,577,907	1,141,046	3,079	433,782
Acquisition and Development	CDBG	2,735,073	25,368	2,760,441	731,915	292,175	1,736,351
<i>Subtotal, Housing Developer Assistance</i>		4,020,027	318,321	4,338,348	1,872,961	295,254	2,170,133
COMMERCIAL REVITALIZATION							
East 11th and 12th Streets Revitalization							
Acquisition and Development	CDBG	67,711	-	67,711	3,508	-	64,203
Historic Preservation	CDBG	325,150	-	325,150	-	3,570	321,580
Public Facilities	CDBG	2,307,038	131,737	2,438,775	1,684,737	12,988	741,050
Parking Facilities	CDBG	264,696	172,578	437,274	10,877	4,704	421,693
<i>Subtotal, Commercial Revitalization</i>		2,964,595	304,315	3,268,910	1,699,122	21,262	1,548,526
SMALL BUSINESS ASSISTANCE							
Community Development Bank	CDBG	-	150,000	150,000	150,000	-	-
Microenterprise Technical Assistance	CDBG	-	200,000	200,000	200,000	-	-
Neighborhood Commercial Mgmt	CDBG	235,308	-	235,308	-	-	235,308
<i>Subtotal, Small Business Assistance</i>		235,308	350,000	585,308	350,000	-	235,308
DEBT SERVICE							
ARCH, Homeless Shelter, Debt Service	CDBG	67,389	-	67,389	-	-	67,389
NCMP, Debt Service	CDBG	213,499	165,863	379,362	27,227	-	352,135
East 11th and 12th Streets Revital., Debt Ser	CDBG	299,021	922,513	1,221,534	663,913	-	557,621
Millennium Youth Center, Debt Service	CDBG	164,320	-	164,320	-	-	164,320
<i>Subtotal, Debt Service</i>		744,229	1,088,376	1,832,605	691,140	-	1,141,465
ADMINISTRATION							
	CDBG	74,631	1,375,589	1,450,220	1,374,219	1,370	74,631
TOTAL, CDBG Funding		8,415,390	6,877,946	15,293,336	8,727,107	702,173	5,864,056

*Reconciling project expenditures between federal and local systems identified below.

The City recorded entries that is unreimbursed expenses in FY 2011-12.	(390.23)
A&D cancelled projects. The City will record entries that were repaid to HUD in FY 2011-12.	(255,963.77)
A&D cancelled projects. The City will record entries to move cost to Sustainability Fund in FY 2011-12.	(48,761.00)
The City drawn the fund for 12th properites and not recorded entries. It will be reimbursed from sales of 12th properites	3,600.96
costs. The City recorded entries in November	(8,256.75)
	<u>(309,770.79)</u>

Chapter 2: Financial Reports CDBG PI and CDBG-RL

FY 2011-12 CAPER BUDGET - BY FUNDING SOURCE							
	Funding Source	FY 2011-12 Actual Beginning Balance	FY 2011-12 Appropriation	FY 2011-12 Total Amount Available	Expenditures*	Encumbrance	FY 2012-13 Estimated Beginning Balance
Community Development Block Grant - Program Income							
HOUSING DEVELOPER ASSISTANCE							
Rental Housing Development Assistan	CDBG-PI	-	40	40	40	-	-
DEBT SERVICE							
East 11th and 12th Streets, Debt Srv.	CDBG-PI	-	51,333	51,333	51,333	-	-
ADMINISTRATION							
	CDBG-PI	-	12,833	12,833	12,833	-	-
TOTAL, CDBG - Program Income		-	64,206	64,206	64,206	-	-
Funding Source: Community Development Block Grant - Revolving Loan Fund							
HOMEOWNER ASSISTANCE							
Homeowner Rehabilitation Loan Program	CDBG-RL	219,160	127,231	346,391	60,369	3,783	282,239
HOUSING DEVELOPER ASSISTANCE							
Acquisition and Development	CDBG-RL	73,648	61,055	134,703	134,703	-	-
SMALL BUSINESS ASSISTANCE							
Neighborhood Commercial Mgmt	CDBG-RL	441,457	79,857	521,314	-	-	521,314
TOTAL, CDBG - RL Funding		734,265	268,143	1,002,408	195,072	3,783	803,553

*Reconciling project expenditures between federal and local systems identified on page 2-13.

Chapter 2: Financial Reports HOME, HOME CO, and HOME CHDO

FY 2011-12 CAPER BUDGET - BY FUNDING SOURCE							
	Funding Source	FY 2011-12 Actual Beginning Balance	FY 2011-12 Appropriation	FY 2011-12 Total Amount Available	Expenditures*	Encumbrance	FY 2012-13 Estimated Beginning Balance
HOME Investment Partnership Grants							
RENTER ASSISTANCE							
Tenant-Based Rental Assistance	HOME	319,528	510,300	829,828	621,615	116	208,097
HOMEBUYER ASSISTANCE							
Down Payment Assistance	HOME	776,114	871,933	1,648,047	321,549	3,536	1,322,962
HOMEOWNER ASSISTANCE							
Homeowner Rehabilitation Loan Program	HOME	1,120,230	649,817	1,770,047	793,426	93,219	883,402
HOUSING DEVELOPER ASSISTANCE							
Rental Housing Development Assistance	HOME	1,610,176	590,000	2,200,176	803,392	176,880	1,219,904
	HOME (CHDO)	<u>991,554</u>	<u>301,286</u>	<u>1,292,840</u>	<u>491,327</u>	<u>801,513</u>	-
<i>Subtotal, RHDA</i>		2,601,730	891,286	3,493,016	1,294,719	978,393	1,219,904
Acquisition and Development	HOME	2,322,385	189,947	2,512,332	31,917	96,037	2,384,378
	HOME (CHDO)	<u>240,846</u>	<u>301,285</u>	<u>542,131</u>	<u>498,262</u>	<u>43,869</u>	-
<i>Subtotal, Acquisition and Development</i>		2,563,231	491,232	3,054,463	530,179	139,906	2,384,378
CHDO Operating Expenses Grants	HOME (CO)	<u>32,641</u>	<u>200,857</u>	<u>233,498</u>	<u>107,644</u>	<u>33,355</u>	<u>92,499</u>
<i>Subtotal, Housing Developer Assistance</i>		<u>5,197,602</u>	<u>1,583,375</u>	<u>6,780,977</u>	<u>1,932,542</u>	<u>1,151,654</u>	<u>3,696,781</u>
ADM *Reconciling project expenditures between federal and local systems identified below.							
	HOME	25,757	401,714	427,471	401,714	-	25,757
TOTAL, HOME Programs		<u>7,439,231</u>	<u>4,017,139</u>	<u>11,456,370</u>	<u>4,070,846</u>	<u>1,248,525</u>	<u>6,136,999</u>

*Reconciling project expenditures between federal and local systems identified below.

	The City recorded entries that is unreimbursed expenses in FY 2011-12.	(20.12)
	The City recorded entries that is unreimbursed Easter Seal, closing cost for title company, but the close date was set the date later.	(624,898.00)
	The City drawn the fund for 12th properties and not recorded entries. It will be reimbursed from sales of 12th properties.	3,047.11
	Repaid to HUD (credit) for costs associated with the HOME grant as reflected in the federal report, PR07.	(9,627.33)
		<u>(631,498.34)</u>

Available Balance from PR27 Report on 11/1/12

Chapter 2: Financial Reports

HOME PI

FY 2011-12 CAPER BUDGET - BY FUNDING SOURCE							
	Funding Source	FY 2011-12 Actual Beginning Balance	FY 2011-12 Appropriation	FY 2011-12 Total Amount Available	Expenditures*	Encumbrance	FY 2012-13 Estimated Beginning Balance
HOME Investment Partnership Grant - Program Income							
RENTER ASSISTANCE							
HOMEBUYER ASSISTANCE							
Down Payment Assistance	HOME-PI	-	187,993	187,993	187,993	-	-
Subtotal, Homebuyer Assistance		-	187,993	187,993	187,993	-	-
HOMEOWNER ASSISTANCE							
Homeowner Rehabilitation Loan Program	HOME-PI	-	45,379	45,379	45,379	-	-
Subtotal, Homeowner Assistance		-	45,379	45,379	45,379	-	-
HOUSING DEVELOPER ASSISTANCE							
Rental Housing Development Assistance	HOME-PI	-	3,748	3,748	3,748	-	-
<i>Subtotal, RHDA</i>		-	3,748	3,748	3,748	-	-
Acquisition and Development	HOME-PI	-	107,003	107,003	107,003	-	-
<i>Subtotal, Acquisition and Development</i>		-	107,003	107,003	107,003	-	-
Subtotal, Housing Developer Assistance		-	110,751	110,751	110,751	-	-
ADMINISTRATION							
Subtotal local systems identified on page 2-13.	HOME-PI	-	24,586	24,586	24,586	-	-
Subtotal		-	24,586	24,586	24,586	-	-
TOTAL, HOME Programs - Program Income		-	368,709	368,709	368,709	-	-

*Reconciling project expenditures between federal and local systems identified on page 2-13.

Available Balance from PR27 Report on 11/1/11

Chapter 2: Financial Reports ESG and HOPWA

FY 2011-12 CAPER BUDGET - BY FUNDING SOURCE							
	Funding Source	FY 2011-12 Actual Beginning Balance	FY 2011-12 Appropriation	FY 2011-12 Total Amount Available	Expenditures	Encumbrance	FY 2012-13 Estimated Beginning Balance
Emergency Solutions Grant							
HOMELESS/SPECIAL NEEDS ASSISTANCE							
<i>Homeless Services/Shelter</i>							
Shelter Operation and Maintenance	HESG	-	261,726	261,726	261,726	-	-
Homeless Essential Services	HESG	-	52,233	52,233	52,233	-	-
Homeless Management Information System	HESG*	-	31,472	31,472	-	-	31,472
Housing Relocation & Stabilization	HESG*	-	132,218	132,218	-	-	132,218
<i>Subtotal, HESG</i>			<u>477,649</u>	<u>477,649</u>	<u>313,959</u>	-	<u>163,690</u>
HOMELESS/SPECIAL NEEDS ASSISTANCE							
Administration	HESG	-	16,522	16,522	16,522	-	-
Administration-Amendment	HESG*	-	22,206	22,206	-	-	22,206
<i>Subtotal, Administration</i>			<u>38,728</u>	<u>38,728</u>	<u>16,522</u>	-	<u>22,206</u>
TOTAL, ESG Funding		#REF!	516,377	516,377	330,481	-	185,896
Housing Opportunities for Persons with AIDS							
HOMELESS/SPECIAL NEEDS ASSISTANCE							
Rent, Mortgage, and Utility Assistance	HOPWA**	-	81,458	81,458	82,215	-	(757)
Tenant-Based Rental Assistance	HOPWA**	-	566,337	566,337	495,773	-	70,564
Permanent Housing Placement	HOPWA**	-	24,446	24,446	26,889	-	(2,443)
Short Term Supportive Housing	HOPWA**	-	46,853	46,853	65,421	-	(18,568)
Transitional Housing Assistance	HOPWA	-	202,708	202,708	202,708	-	-
Supportive Services	HOPWA	-	67,570	67,570	67,570	-	-
<i>Subtotal, Homeless Special Needs Assistance</i>			<u>989,372</u>	<u>989,372</u>	<u>940,575</u>	-	<u>48,797</u>
HOMELESS/SPECIAL NEEDS ASSISTANCE							
Administration - Grantee 3%	HOPWA	-	32,909	32,909	32,909	-	-
Administration - Project Sponsor 7%	HOPWA	-	74,695	74,695	70,485	-	4,210
<i>Subtotal, HOPWA Administration</i>			<u>107,604</u>	<u>107,604</u>	<u>103,394</u>	-	<u>4,210</u>
TOTAL, HOPWA Funding		-	1,096,976	1,096,976	1,043,969	-	53,007
*A Substantial Amendment to the FY2011-12 Action Plan was approved by HUD on July 27, 2012 for the Phase 2 Emergency Solutions Grant							
** \$776,445 in total was allocated for STRMU, PHP, STSH and TBRA programs in FY2011-12. The funds were internally transferred within those programs to adjust to community need, therefore showing differences between the expenditures and appropriations by program, however the total amount of funding allocated and spent for all Rent, Mortgage and Utility Assistance programs remains unchanged.							

Chapter 2: Financial Reports
Section 108, EDI, Lead, CCG

FY 2011-12 CAPER BUDGET - BY FUNDING SOURCE							
	Funding Source	FY 2011-12 Actual Beginning Balance	FY 2011-12 Appropriation	FY 2011-12 Total Amount Available	Expenditures	Encumbrance	FY 2012-13 Estimated Beginning Balance
Section 108							
DEBT SERVICE							
	Section 108	626,373	165,159	791,532	138,636	-	652,896
	Section 108	-	186,684	186,684	138,729	-	47,955
TOTAL, Section 108 Funding		626,373	351,843	978,216	277,365	-	700,851
Economic Development Initiative (EDI III)							
COMMERCIAL REVITALIZATION							
	EDI III	147,000	-	147,000	-	-	147,000
	EDI II	136,717	-	136,717	136,717	-	-
TOTAL, EDI Funding		283,717	-	283,717	136,717	-	147,000
Lead Hazard Control Grant - Healthy Homes							
HOMEOWNER ASSISTANCE							
	Lead	-	2,500,000	2,500,000	384,064	429,406	1,686,530
TOTAL, Lead Funding		-	2,500,000	2,500,000	384,064	429,406	1,686,530
Community Challenge Grant							
SUSTAINABLE COMMUNITIES INITIATIVE							
	CCG	-	3,000,000	3,000,000	76,604	-	2,923,396
TOTAL, Lead Funding		-	3,000,000	3,000,000	76,604	-	2,923,396

*Reconciling project expenditures between federal and local systems identified on page 2-13.

Chapter 2: Financial Reports SF and GO Bonds

FY 2011-12 CAPER BUDGET - BY FUNDING SOURCE							
	Funding Source	FY 2011-12 Actual Beginning Balance	FY 2011-12 Appropriation	FY 2011-12 Total Amount Available	Expenditures	Encumbrance	FY 2012-13 Estimated Beginning Balance
Sustainability Fund							
HOMELESS/SPECIAL NEEDS ASSISTANCE							
Child Care Services	SF	-	94,130	94,130	68,039	26,091	-
Senior Services	SF	-	116,341	116,341	116,341	-	-
Youth Support Services	SF	-	22,012	22,012	22,012	-	-
<i>Subtotal Homeless/Special Needs Assistance</i>		<u>-</u>	<u>232,483</u>	<u>232,483</u>	<u>206,392</u>	<u>26,091</u>	<u>-</u>
RENTER ASSISTANCE							
Tenant-Based Rental Assistance	SF	-	56,700	56,700	52,445	4,255	-
Tenants' Rights Assistance	SF	-	57,475	57,475	50,194	7,281	-
<i>Subtotal, Renters Assistance</i>		<u>-</u>	<u>114,175</u>	<u>114,175</u>	<u>102,639</u>	<u>11,536</u>	<u>-</u>
HOMEBUYER ASSISTANCE							
Housing Smarts - Housing Counseling	SF	-	99,233	99,233	91,049	764	7,420
HOUSING DEVELOPER ASSISTANCE							
Developer Incentive-Based Programs S.M.A.R.T. Housing™	SF	-	70,937	70,937	70,937	-	-
COMMERCIAL REVITALIZATION							
Ea *Reconciling project expenditures between federal and local systems identified on page 2-13.							
Historic Preservation	SF	-	-	-	-	-	-
Public Facilities	SF	20,943	59,968	80,911	11,258	20,755	48,898
Parking Facilities	SF	10,000	205,000	215,000	178,563	8,331	28,106
<i>Subtotal, Commercial Revitalization</i>		<u>30,943</u>	<u>264,968</u>	<u>295,911</u>	<u>189,821</u>	<u>29,086</u>	<u>77,004</u>
SMALL BUSINESS ASSISTANCE							
Community Preservation & Revitalization	SF	75,000	-	75,000	-	-	75,000
ADMINISTRATION							
	SF	138,877	2,204,041	2,342,918	2,296,660	8,570	37,688
TOTAL, Sustainability Fund		244,820	2,985,837	3,230,657	2,957,498	76,047	197,112
General Obligation (GO) Bonds							
RENTER ASSISTANCE							
Architectural Barrier Removal Program	GO Bonds	71,099	250,000	321,099	119,700	44,114	157,285
HOMEOWNER ASSISTANCE							
GO Repair! Program	GO Bonds	742,511	1,135,000	1,877,511	1,705,406	119,241	52,864
HOUSING DEVELOPER ASSISTANCE							
Rental Housing Development Assistance	GO Bonds	1,196,525	4,000,000	5,196,525	972,172	569,889	3,654,464
Acquisition and Development	GO Bonds	1,213,546	1,264,505	2,478,051	1,167,321	1,004,920	305,810
<i>Subtotal, Housing Developer Assistance</i>		<u>2,410,071</u>	<u>5,264,505</u>	<u>7,674,576</u>	<u>2,139,493</u>	<u>1,574,809</u>	<u>3,960,274</u>
TOTAL, GO Bonds		3,223,681	6,649,505	9,873,186	3,964,599	1,738,164	4,170,423

**Chapter 2: Financial Reports
AE, GF-CIP, UNO, HTF, and HAF**

FY 2011-12 ACTION PLAN BUDGET - BY FUNDING SOURCE								
	Funding Source	FY 2011-12 Actual Beginning Balance	FY 2011-12 Appropriation	FY 2011-12 Total Amount Available	Expenditures	Encumbrance	FY 2012-13 Estimated Beginning Balance	
Austin Energy								
HOMEOWNER ASSISTANCE								
	Holly Good Neighbor Program	AE	1,698,929	550,000	2,248,929	196,637	55,395	1,996,897
TOTAL, Austin Energy			1,698,929	550,000	2,248,929	196,637	55,395	1,996,897
University Neighborhood Overlay (UNO) Housing Trust Fund								
HOUSING DEVELOPER ASSISTANCE								
	Rental Housing Development Assistance	UNO	253,860	-	253,860	6,189	-	247,671
TOTAL, UNO			253,860	-	253,860	6,189	-	247,671
Housing Trust Fund								
HOMEBUYER ASSISTANCE								
	Housing Smarts	HTF	83,572	-	83,572	83,572	-	-
HOMEOWNER ASSISTANCE								
	Homeowner Rehabilitation Loan Program	HTF	-	-	-	(75,168)	-	75,168
HOUSING DEVELOPER ASSISTANCE								
	Rental Housing Development Assistance	HTF	851,150	365,717	1,216,867	517,754	-	699,113
	Ac *Reconciling project expenditures bet	HTF	565,218	-	565,218	4,633	-	560,585
TOTAL, Housing Trust Fund			1,499,940	365,717	1,865,657	530,791	-	1,334,866
Housing Assistance Fund								
HOUSING DEVELOPER ASSISTANCE								
	Rental Housing Development Assistance	HAF	-	-	-	-	-	-
	Acquisition and Development	HAF	21,602	637,607	659,209	659,209	-	-
TOTAL, Housing Assistance Fund			21,602	637,607	659,209	659,209	-	-
General Fund - Capital Improvement Program (GF-CIP)								
HOUSING DEVELOPER ASSISTANCE								
	Rental Housing Development Assistance	GF-CIP	-	-	-	-	-	-
	Acquisition and Development	GF-CIP	1,017,608	85,607	1,103,215	112,759	104,571	885,885
	S.M.A.R.T. Housing™	GF-CIP	13,956	-	13,956	5,150	8,806	-
TOTAL, GF-CIP			1,031,564	85,607	1,117,171	117,909	113,377	885,885

Chapter 2: Financial Reports Stimulus

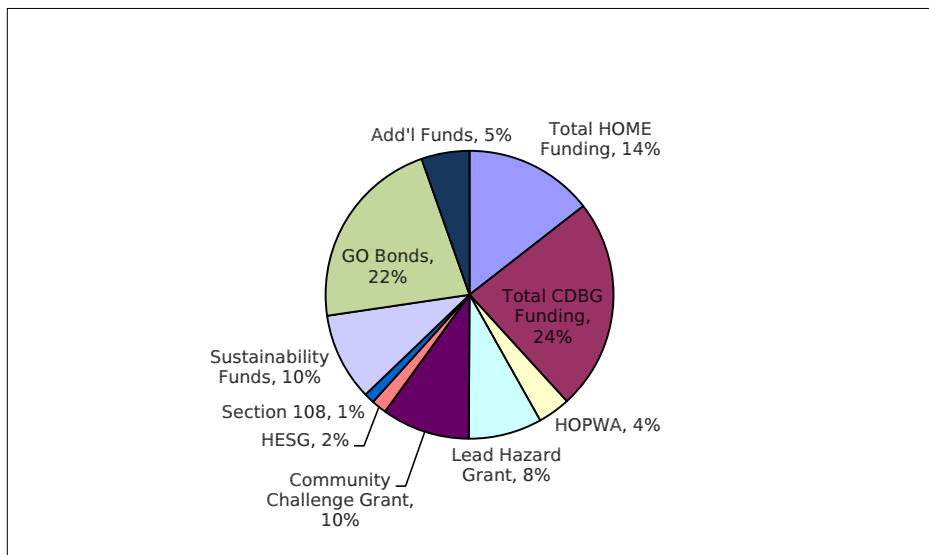
FY 2011-12 ACTION PLAN BUDGET - BY FUNDING SOURCE							
	Funding Source	FY 2011-12 Actual Beginning Balance	FY 2011-12 Appropriation	FY 2011-12 Total Amount Available	Expenditures	Encumbrance	FY 2012-13 Estimated Beginning Balance
Stimulus Funds							
<i>Homeless Prevention and Rapid-Rehousing Program</i>							
Financial Assistance	HPRP	21,821	-	21,821	21,821	-	-
Housing Relocation and Stabilization	HPRP	45,866	-	45,866	45,866	-	-
Data Collection and Evaluation	HPRP	10,303	-	10,303	10,303	-	-
Administration	HPRP	500	-	500	500	-	-
<i>Subtotal, HPRP</i>		78,490	-	78,490	78,490	-	-
<i>Community Development Block Grant - Recovery</i>							
East Austin Youth and Family Center	CDBG-R	-	-	-	-	-	-
Center for Economic Opportunity	CDBG-R	-	-	-	-	-	-
African-American Cultural Facility	CDBG-R	302,962	-	302,962	302,962	-	-
East Austin Sidewalks	CDBG-R	-	-	-	-	-	-
Administration	CDBG-R	-	-	-	-	-	-
<i>Subtotal, CDBG-R</i>		302,962	-	302,962	302,962	-	-
<i>Neighborhood Stabilization Program</i>	NSP	275,127	-	275,127	145,563	9,588	119,976
TOTAL, Stimulus		656,579	-	656,579	527,015	9,588	119,976

City of Austin Fiscal Year 2011-12 CAPER
Chapter 2: Financial Reports

FY 2011-12 Total New Funds

	Funding Source	New Funds
Federal Funding	CDBG	\$6,877,946
	CDBG Line of Credit	-
	CDBG PI	\$64,206
	CDBG Rev. Loan	\$268,143
	CDBG-R	-
	HOME	\$3,213,711
	HOME PI	368,709
	HOME (CHDO)	\$602,571
	HOME (CO)	\$200,857
	HOPWA	\$1,096,976
	HESG	\$516,377
	Section 108	351,843
	EDI II	-
	EDI III	-
	Lead	2,500,000
	CCG	3,000,000
	NSP	-
HPRP	-	
Local Funding	Sustainability Funds	\$2,985,837
	GO Bonds	\$6,649,505
	Austin Energy	\$550,000
	UNO Housing Trust Fund	\$0
	GF-CIP	85,607
	HTF	\$365,717
	HAF	\$637,607
Total New Funds		\$30,335,612

FY 2011-12 Total New Funds By Funding Source



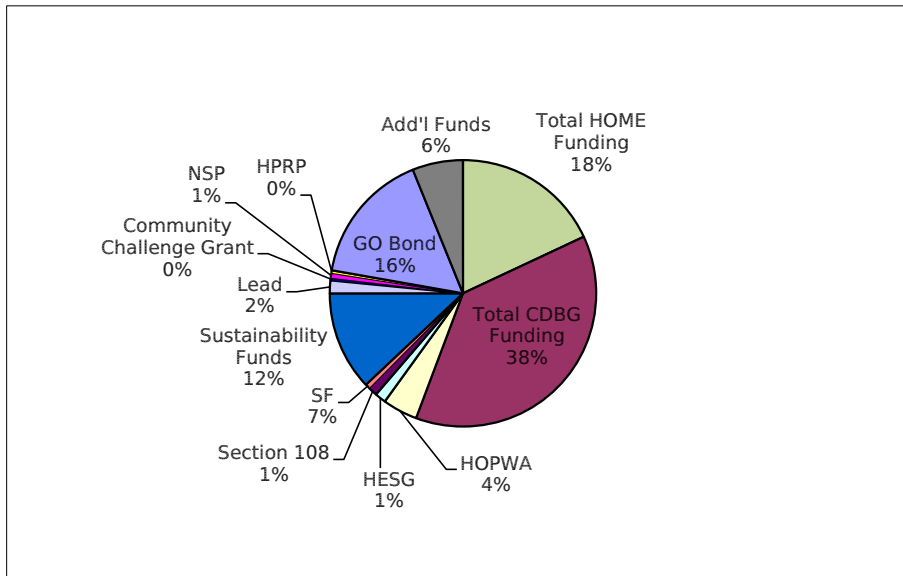
Note: The following funding sources are included in Add'l Funds: Austin Energy, UNO Housing Trust Fund, HTF, and HAF.

Chapter 2: Financial Reports

FY 2011-12 Total Expenditures

	Funding Source	Expenditures
Federal Funding	CDBG	\$8,727,107
	CDBG Line of Credit	-
	CDBG PI	\$64,206
	CDBG Rev. Loan	\$195,072
	CDBG-R	\$302,962
	HOME	\$2,973,613
	HOME PI	368,709
	HOME (CO)	107,644
	HOME (CHDO)	989,589
	HOPWA	\$1,043,969
	HESG	\$330,481
	Section 108	277,365
	EDI II	136,717
	EDI III	-
	Lead	\$384,064
	CCG	\$76,604
	NSP	\$145,563
HPRP	\$78,490	
Local Funding	Sustainability Funds	\$2,957,498
	GO Bonds	\$3,964,599
	Austin Energy	\$196,637
	UNO Housing Trust Fund	\$6,189
	GF-CIP	\$117,909
	HTF	\$530,791
	HAF	\$659,209
Total Expenditures		\$24,634,987

FY 2011-12 Total Expenditures By Funding Source



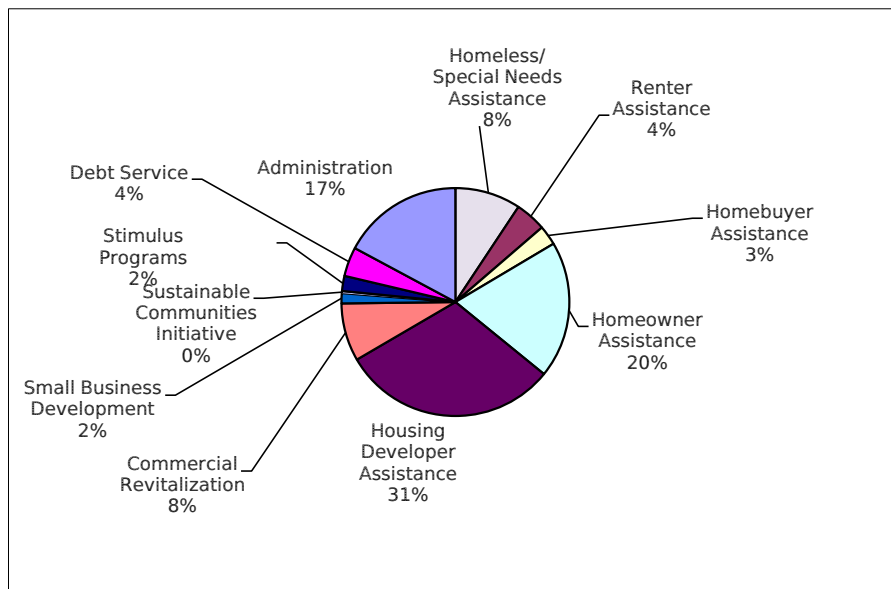
Note: The following funding sources are included in Add'l Funds: Austin Energy, UNO Housing Trust Fund, GF-CIP, HTF, and

City of Austin Fiscal Year 2011-12 CAPER
Chapter 2: Financial Reports

FY 2011-12 Expenditures By Program

	Uses of Funds	Dollars Expended	Percent of Total
Programs	Homeless/Special Needs Assistance	\$2,295,224	79%
	Renter Assistance	\$1,075,208	
	Homebuyer Assistance	\$684,163	
	Homeowner Assistance	\$4,784,226	
	Housing Developer Assistance	\$7,567,121	
	Commercial Revitalization	\$2,025,660	
	Small Business Development	\$350,000	
	Sustainable Communities Initiative	\$76,604	
	Stimulus Programs	\$527,015	
Debt Service	Debt Service	\$1,019,838	4%
Adminis- tration	Administration	\$4,229,928	17%
Total Expenditures		\$24,634,987	100%

FY 2011-12 Expenditures By Program



City of Austin Fiscal Year 2011-12 CAPER
Chapter 2: Financial Reports
Section 108

Version 2.0

				FINANCIAL DATA					
				Expenditures FY 2011-12					
Section 108 Project Number	Project Name	EDI or BEDI Grant Number (if applicable)	Have EDI or BEDI funds been drawn (Y/N)	108 Total Loan Amount	108 Amount Advanced Up To 9/30/12	108 Amount Expended FY 11-12	EDI or BEDI Grant Expended FY 11-12	CDBG Expended FY 11-12	Total Expended FY 11-12 (108+EDI+CDBG)
B-01-MC-48-0500-A	Neighborhood Commercial Management Program	N/A	N	2,000,000	1,472,657	0	0	0	0
B-01-MC-48-0500	Homeless Shelter	N/A	N	6,030,000	6,030,000	0	0	0	0
B-94-MC-48-0500	Millennium Youth Center	N/A	N	7,830,000	7,830,000	0	0	0	0
B-94-MC-48-0500-A	11th and 12th Streets Revitalization - Job Creation and Acquisition	N/A	N	9,035,000	9,035,000	0	0	0	0
B-05-MC-48-0500	Family Business Loan Program	N/A	N	3,000,000	0	70	0	0	70

ELIGIBILITY AND NATIONAL OBJECTIVE							JOBS			HOUSING	
Section 108 Project Number	Project Name	National Objective Code	IDIS Matrix Code	Is Activity Complete? (Y/N)	Has N.O. Been Met? (Y/N)	Presumed Low/ Mod Benefit (P) or Rev. Strategy Area (RSA)	FTE Jobs Est. in 108 Appl.	Total Actual FTE Jobs Created or Retained	Number of FTE Jobs Held by/ Made Avail. to Low/ Mod	Total Housing Units Assisted	Number of Units Occpd. by Low/ Mod Households
B-01-MC-48-0500-A	Neighborhood Commercial Management Program	LMJ	18A	Y	Y	P	57	53	53	0	0
B-01-MC-48-0500	Homeless Shelter	LMC	03C	Y	Y	P	N/A	0	0	0	N/A
B-94-MC-48-0500	Millennium Youth Center	LMA	03D	Y	Y	P	N/A	0	0	0	N/A
B-94-MC-48-0500-A	11th and 12th Streets Revitalization - Job Creation	LMJ	18A	Y	Y	P	0	2	2	0	0
B-94-MC-48-0500-A	11th and 12th Streets Revitalization - Acquisition	SBA	01	Y	Y	P	0	0	0	0	0
B-05-MC-48-0500	Family Business Loan Program	LMJ	18A	N	N	P	86	0	0	0	0

City of Austin Fiscal Year 2011-12 CAPER
Chapter 2: Financial Reports

NOTICE OF REPROGRAMMING OF FUNDS (from the FY2012-13 Action Plan)

NHCD proposes to reprogram the following CDBG and Section 108 funds through the FY12-13 Action Plan process according to the guidelines established in the City of Austin Citizen Participation Plan. Exhibits 2.1 and 2.2 outlines the reprogramming of CDBG and Section 108 funds.

Exhibit 2.1 CDBG

Amount	From	To
\$74,631	Administration	Housing
\$1,619,892	Community Development	Housing
\$744,229	Debt Service	Housing

Exhibit 2.2 Section 108

Amount	From	To
\$594,846	Community Development	Debt Service