

Chapter 3

Federal Reports

Chapter 3: Federal Objectives

Federal Guideline - Identify progress in obtaining “other” public and private resources to address needs; how federal resources from HUD leveraged other public and private resources; and how matching requirements were satisfied.

Exhibit 3.1 displays the leveraging generated in FY 2011-12. Matching requirements are shown the HOME Match report and ESG Match report, found later in this Chapter.

Exhibit 3.1: FY 2011-12 Leveraging

Program	Fund Source	Units	Unit Funding	Leveraged
Units Leveraged That Received Federal Funds				
Acquisition and Development (A&D)	HOME/CDBG/NSP	31	\$2,154,501*	\$3,206,978
Down Payment Assistance (DPA)	HOME	16	\$404,109	\$1,839,318
Rental Housing Dev. Assist. (RHDA)	HOME/CDBG	43	\$1,304,690	\$4,567,479
Total-Federal Funded		90	\$3,863,300	\$9,613,775
Units Leveraged That Received Local Funds				
Rental Housing Dev. Assist. (RHDA)	GO Bond/UNO/HTF	474	\$10,583,189	\$61,924,654
Down Payment Assistance (DPA)	HOME	N/A	N/A	N/A
Acquisition and Development (A&D)	GO Bond	3	\$122,448	\$315,000
Total-Non-Federal		477	\$10,705,637	\$62,239,654

* Amount includes \$994,729 of Neighborhood Stabilization Program (NSP) funding for 8 homes sold during FY 2011-12.

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Federal Guideline - Describe Other Actions in Strategic or Action Plan Taken to: Address obstacles to meet underserved needs.

City of Austin's Investment Plan

The City of Austin's Neighborhood Housing and Community Development (NHCD) Office launched the Investment Plan in FY 2009-10. This framework offers an array of housing, small business development programs and public services under seven priority categories: Homeless/Special Needs Assistance, Renter Assistance, Homebuyer Assistance, Homeowner Assistance, Housing Developer Assistance, Commercial Revitalization, and Small Business Assistance. All of these activities in these categories propose to serve very-low, low-, and moderate-income households, meeting the definition of addressing obstacles to meet underserved needs.

In FY 2011-12, NHCD worked diligently in expanding its services by securing funding, planning, and developing a program design for a new Investment Plan category, Financial Empowerment. NHCD will utilize new funding to provide Individual Development Accounts (IDA) designed to assist low-income individuals and families to move towards asset ownership or education goals. The IDA Program will be an activity under the Financial Empowerment priority category. The following Investment Plan activities are specifically focused on addressing obstacles to meet underserved needs:

Tenants' Rights Assistance

The City continued to support the Austin Tenants' Council (ATC) through the Tenants' Rights Assistance program in FY 2011-12. Leveraged with HUD's Fair Housing Initiatives Program (FHIP) funds, ATC is able to provide an array of services to Austin's renters. Located in Central East Austin, ATC focuses efforts on educating the public about fair housing, and participates in over 30 outreach events/efforts annually. The ATC partnered with other community organizations to hold a Housing Fair on April 10, 2012 which showcased housing rights educational booths with information about fair housing protections, tenant-landlord laws, affordable housing resources, and homeowner guides. At the event NHCD staff also conducted a prioritization activity, "Take Action: Help the City of Austin Prioritize Resources for Low-Income Residents." The prioritization activity provided an opportunity for the participants to prioritize FY 2012-13 funding for affordable housing, community development, economic development, and public services. ATC provided services to 711 persons in FY 2011-12.

Tenant-Based Rental Assistance (TBRA)

The Tenant-Based Rental Assistance (TBRA) Program provides rental housing subsidies and security deposits to eligible households who might otherwise be homeless. AHFC oversees the contracts with the TBRA sub recipients: Housing Authority of the City of Austin and the Salvation Army. The Comprehensive Housing Market Study, commissioned by the City of Austin in 2009, identified very low-income renters as one of the most underserved populations. The report found that there is a tremendous need for rental

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housing affordable to those earning 0-30 percent of the area's MFI - just one in six renters earning less than \$20,000 can find affordable housing in Austin. In FY 2011-12, 147 individuals were assisted through TBRA.

Architectural Barrier Removal (ABR) Program

The Architectural Barrier Removal (ABR) Program modifies the homes of seniors and persons with disabilities who have limited income and need their homes to be more accessible. These accessibility modifications help persons with disabilities remain in their homes longer and live with a greater degree of independence. All services are free to eligible persons. ABR Program services include: wheel chair ramps, handrails, door widening, buzzing or flashing devices (for people with visual/hearing impairment), accessible door and faucets handles, shower grab bars and shower wands, and accessible showers, toilets and sinks. In FY 2011-12, 73 households received accessibility modifications through the ABR Program – Renter/Owner.

Permanent Supportive Housing (PSH)

The Austin City Council passed Resolution No. 20100325-053 on March 25, 2010, directing the City Manager to give priority to federal and local funding to permanent supportive housing (PSH), and to develop a comprehensive strategy for the construction and operation of 350 permanent supportive housing (PSH) units over the next four years. The City's PSH efforts will primarily focus on chronic homelessness. To date, 269 units have been at least partially funded, and 113 units are occupied. City Council Resolution No. 20110310-025 directed the City Manager to create a Leadership Committee on PSH Finance to create a funding recommendation for the remainder of the 350 unit goal. The Committee convened twice in FY 2011-12 and produced a recommendations report to the City Council in April 2012.

East 11th and 12th Streets Revitalization

The City, in partnership with the Urban Renewal Agency (URA), carries out the East 11th and 12th Streets Urban Renewal Project. The East 11th and 12th Streets Plan defines specific redevelopment projects and associated regulatory controls intended to assure quality, compatible, mixed-use development along the East 11th and 12th Street Corridors. East 11th Street is envisioned as a visitor-oriented destination with three to five story buildings that provide entertainment and office space, attracting local residents and customers from the Austin metropolitan area. East 12th Street is envisioned as a mixed-use area with a variety of small-scale, live-work environments with combined office, retail and residential uses that serve the immediate area.

In FY 2011-12, the City of Austin commissioned a consultant to provide an East 11th and 12th Streets Development Strategy, to conduct a study of the area and evaluate what type of development the area can absorb and infrastructure needs in order to assist with future planning activities. The East 11th and 12th Streets Development Strategy was finalized in March 2012. The consultant team provided a multi-phase stakeholder process in order to

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educate interested parties, facilitate community engagement and ensure the study path was proactively influenced by community input. This process was achieved by holding three community meetings, conducting over 20 interviews, and hardcopy and online surveys that generated over 100 responses. The East 11th and 12th Street Development Strategy was finalized in March 2012. A summary of all questions and their respective responses are included as attachments to the Development Strategy available at www.austintexas.gov/department/east-11th-12th-streets.

This development strategy provides a framework for the development of a mixture of commercial, retail and residential components in central east Austin. The assessment of both the current market demand and projections of future demand are critical to developing short-term and long-term strategies. The development strategy team suggested initiatives that would, in their opinion, catalyze development within the corridors. The initiatives included areas related to Regulatory, Infrastructure, Business, Housing and Land Disposition issues. The development strategy reviewed the multiple planning documents and development regulations for the area and identified areas where the regulations need to be clarified and/or modestly amended to further enhance the viability of development in the study area without compromising the integrity of the community's vision.

City staff, in partnership with community stakeholders, the Urban Renewal Agency (URA) and the City's Planning Commission have started the initial work based on the recommendation. The consultant team conducted an assessment of the conditions and capacity of various infrastructure elements in the study area and recommended the City seek funding for streetscape improvements, utility undergrounding and wastewater infrastructure upgrades for East 12th Street. As a result of the stakeholder feedback and from a market perspective, the need for goods and services used regularly by local residents was identified. The consultant recommended the City explore incentives to attract a mid-sized grocery store to the study area and encourage local business inclusion in new development on publicly held parcels at reasonable rents.

The Development Strategy identifies housing development having the most near-term potential to catalyze change in the study area. It was recommended that the City dispose of publicly held land as soon as possible encouraging developers to reserve at least 10 percent of the units for affordable housing, allowing developers to produce infill development which will include housing (for-sale or rental), encourage mixed-income and senior housing. The development strategy evaluated vacant and/or underutilized parcels within the study area and made recommendations for each of six development sites held by the public sector in the study area. The City, in partnership with the Urban Renewal Agency, have made steps in implementing some of these recommendations by placing two of the development sites along east 12th streets out to bid and to remove federal funding barriers from two other development sites along east 12th street.

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The two development sites along East 12th Street were awarded and transferred to the successful bidder in October 2012 with plans to construct townhomes and single family residential with a minimum of one affordable unit per development site. Solicitations for the disposal of URA properties within the area are planned for the upcoming fiscal year.

In addition, a consultant was hired to assist the City in its efforts to streamline and consolidate planning documents to ensure development opportunities can be more easily navigated and identified by the development community.

In FY 2011-12, 2,170 households were served through revitalization efforts. During the fiscal year resources were committed and expended on leasing the community facility that provided parking to the area businesses patrons.

Federal Guideline - Describe Other Actions in Strategic or Action Plan Taken to: Foster and maintain affordable housing.

Expand Alternate Funding Sources

The City of Austin continued to seek opportunities in FY 2011-12 that could potentially increase and expand funding sources in an effort to foster and maintain affordable housing. NHCD's programs and services rely on local and federal funding sources to deliver many of the activities responsive to community priorities. NHCD applied for several competitive grant opportunities this fiscal year in an effort to increase its financial capacity. In FY 2011-12, NHCD identified the "Demand for Services & Limited Funding" as a horizon issue. To address this horizon issue NHCD developed a Grant Team that strategically seeks and applies for competitive and non-competitive, federal and state grant opportunities in an effort to increase its financial capacity to better address the needs of Austin's low-income residents. For a list and descriptions of grant funding sources that NHCD/AHFC was awarded in FY 2011-12, see Chapter 2.

Create and Retain Affordable Housing

Through the Acquisition and Development (A&D) and Rental Housing Development Assistance (RHDA) programs, NHCD partnered with various lenders, non-profit and for-profit developers to increase the supply of affordable rental and homeownership opportunities that will benefit low- and moderate-income households. Both programs together created 551 housing opportunities in FY 2011-12. For more detailed information on program accomplishments, see the Housing Activity tables in Chapter 6.

Community Housing Development Organizations (CHDOs)

Community Housing Development Organizations (CHDOs) are non-profit housing providers whose organizational mission includes the development of affordable housing for low- and moderate-income households. The City is able to work closely with CHDOs to help them meet their housing development goals by coordinating with the CHDO Roundtable, an organization comprised

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of local, non-profit affordable housing providers. The City met with the CHDO Roundtable regularly to discuss policy and programmatic matters in FY 2011-12. NHCD provided 6 CHDO Operating Expenses Grants in FY 2011-12 to help increase organizational capacity. NHCD continues to work closely and support CHDOs to increase opportunities that will foster and maintain affordable housing.

Home Repair Activities

Home Repair activities are a high priority and are considered critical for the City of Austin. These activities make units more accessible by alleviating life-threatening living conditions and health and safety hazards for low- and moderate-income homeowners. In FY 2011-12 and as a component of the City's FY 2012-13 Action Plan community needs assessment, NHCD hosted a community meeting focusing on healthy homes and home repair. The meeting brought together Austin residents, stakeholders, and City leaders to share ideas on how the City can move forward in enhancing partnerships and its program design.

NHCD administers the following home repair programs: Architectural Barrier Removal (ABR) Program – Renter/Owner, Emergency Home Repair (EHR), Homeowner Rehabilitation Loan Program (HRLP), G.O. Repair! Program and Holly Good Neighbor Program.

NHCD collaborated with Austin Energy (AE), Austin Water Utility (AWU) and the City's Code Compliance department to offer comprehensive services promoting healthier homes. As part of the Austin Clean Water Program, the Austin Water Utility (AWU) tests for deficient sewer lines including private laterals. Property owners are required to repair deficient sewer lines on private property. In FY 2011-12, NHCD and AWU began a partnership in the administration of the private lateral repair program to assist income-eligible households with these repairs as a component of NHCD's other home repair programs.

Federal Guideline - Describe Other Actions in Strategic or Action Plan Taken to: Eliminate barriers to affordable housing.

Tenants' Rights Assistance/Fair Housing

The City continued to support the Austin Tenants' Council (ATC) through the Tenants' Rights Assistance program. Leveraged with HUD's Fair Housing Initiatives Program (FHIP) funds, ATC is able to provide an array of services to Austin's renters. The City's Fair Housing Office also provides assistance to residents who believe they have been discriminated against as it relates to housing. Austin's Fair Housing Office seeks the elimination of racial/ethnic discrimination, including the present effects of past discrimination, and the elimination of de facto racial/ethnic residential segregation.

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Housing Smarts – Housing Counseling

The Housing Smarts program offers housing counseling class to residents who earn at or below of 80 percent of MFI. The classes are offered in English and Spanish. Housing Smarts has classroom curricula followed by one-on-one counseling sessions. The first is pre-purchase one-on-one counseling which provide counseling to potential homebuyers to assist in the home buying process. Post-purchase counseling which assists current homeowners with maintaining homeownership, including necessary education regarding foreclosure prevention. The last component of Housing Smarts is the train-the-trainer scholarship offered to community non-profits that want to address housing financial literacy issues in their individual communities. Those completing all nine hours of instruction will receive a certificate of completion that satisfies the homebuyer education component for many local mortgage lenders. In FY 2011-12, the Housing Smarts exceeded its annual goal by serving 381 households.

Down Payment Assistance (DPA)

The Down Payment Assistance (DPA) program provides deferred payment and forgivable, zero-interest loans to low- and moderate-income first-time homebuyers to assist them with the down payment and closing costs of their home purchase. The program is offered to households earning at or below 80 percent MFI and is available in two options:

Option 1) The amount of assistance per household will not exceed \$10,000 per household. Loans are secured by a subordinate lien on the property that is non-assumable. The loan will be recaptured by the City if the household sells or rents the home, requests an equity loan, or transfers the property title before the end of the ten-year affordability period.

Option 2) DPA funds up to \$40,000, as a deferred payment, 30-year, zero-interest loan, with a shared-equity provision and a City of Austin “right of first refusal” provision. This DPA option is not forgivable. Loans are secured by a subordinate lien on the property that is non-assumable. The loan will be recaptured by the City if the household sells or rents the home, requests an equity loan, or transfers the property title before the end of the 30-year affordability period. Eligible income for DPA is at or below 80 percent of MFI.

S.M.A.R.T. Housing™

The City Council adopted the S.M.A.R.T. Housing™ Ordinance No. 000420-77 in April 2000 to encourage the development of reasonably priced, mixed-income housing units that meet accessibility standards. The S.M.A.R.T. Housing™ Ordinance ensures that new homes are **S**afe, **M**ixed-Income, **A**ccessible, **R**easonably Priced, and **T**ransit-Oriented and meet the City’s Green Building minimum-energy efficiency rating.

The policy stipulates that all S.M.A.R.T. Housing™ certified single-family ownership units meet visitability standards reflected in the City’s Visitability Ordinance. All multi-family developments must comply with federal, state and

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local accessibility standards, and at least 10 percent of the multi-family housing units must be accessible. Developments that meet these and other prerequisites are given expedited review under the City's development process and reductions waivers for certain development and construction fees. S.M.A.R.T. Housing™ provides a vehicle to achieve neighborhood support for housing that serves low- and moderate-income residents by requiring applicants to meet with neighborhood organizations prior to filing an application for a zoning change. In addition, downtown developments may receive a density bonus without a zoning change by providing a percentage of affordable housing units or pay a fee-in-lieu to fund affordable housing in or near the downtown area.

Affordability Impact Statements (AIS)

Chapter 25-1, Article 15 of the City of Austin's S.M.A.R.T. Housing™ Ordinance requires an Affordability Impact Statement (AIS) on proposed ordinances, rules or processes that could impact housing affordability. As part of the S.M.A.R.T. Housing™ Ordinance, staff prepares an Affordability Impact Statement (AIS) for all proposed city code amendments and other changes to identify any potential impacts on housing affordability. This analysis ensures that the City Council and the community are informed on an amendment's potential impact on housing affordability. To date, the City of Austin has issued more than 150 Affordability Impact Statements (AIS). For more information on Austin's Affordability Impact Statements (AIS), visit www.austintexas.gov/ahfc/smart_afford.htm.

Geographic Dispersion

The City supports providing affordable housing in areas outside of low-income neighborhoods; thereby reducing racial and ethnic segregation, deconcentrating poverty, and providing for more economic opportunities for low-income households. NHCD currently provides funding preference through a scoring matrix system to projects that assist in the dispersion of affordable housing stock throughout the community, to focus on areas in Austin where there is a shortage of affordable housing.

As a result of this focus, NHCD has achieved greater geographic dispersion in the units it has funded in recent years. The City of Austin has achieved significant geographic dispersion in the units funded through the City's General Obligation (G.O.) Bond funding. At the time of this report, 2,566 total units have been created or are in progress. This total includes home rehabilitation. Out of the 2,566 units, 882 are located east of Interstate Highway (IH) 35 and 992 are located west of IH-35 – these figures do not include home rehabilitation units.

The Austin City Council passed Resolution No. 20111215-058 on December 15, 2011, directed the City Manager to work with stakeholders to make recommendations regarding the siting of affordable housing throughout the city. An 11 member Affordable Housing Siting Policy Working Group was formed in March 2012, convened 20 times through October 2012 and

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developed an Affordable Housing Siting Policy recommendation to present to the City of Austin Community Development Commission (CDC).

NHCD staff worked with the Community Development Commission (CDC) Working Group and participated in the Affordable Housing Siting Policy Working Group whose charge was to review and recommend siting policy approaches that can promote geographic dispersion of affordable housing for the City of Austin. See Appendix XI to read more about the Working Group's accomplishments.

NHCD Web Site

NHCD staff worked closely with the City's web development team in FY 2011-12 to complete its departmental web site overhaul that will improve its online architect, build on its resources and provide user-friendly navigation. NHCD/AHFC's Application Center web site was launched in FY 2010-11 to offer potential clients and housing developers seeking funding a more efficient and simplified application process. The effort was also intended to increase transparency for the funding awarded to RHDA and A&D applications. All RHDA and A&D applications submissions are posted online. To view projects awarded funding, see www.austintexas.gov/housing/applications.

In addition, NHCD's Policy, Planning & Outreach (PPO) division launched a departmental blog, "Community Matters," featuring news, events, and information on data-driven decision making and planning processes for the Austin community. To view NHCD's blog, visit www.austintexas.gov/blogs/Housing/CommunityMatters/Blog

Federal Guideline - Describe Other Actions in Strategic or Action Plan Taken to: Evaluate and reduce lead-based paint hazards.

The Lead Hazard Control Grant for the City of Austin expired in February 2011; therefore, NHCD did not propose accomplishments for the LeadSmart Program in the City's FY 2011-12 Action Plan. NHCD received notification in September 2011 that the City of Austin was awarded \$2.5 million through HUD's Lead Hazard Reduction Demonstration Grant. The funding will be used to identify and evaluate 180 homes for the presence of lead based paint hazards in eligible low-income rental and owner-occupied housing during the three-year grant period. The City's Lead Smart Program serves homes built prior to 1978 where children under 6 years of age live or spend a significant amount of time and who have tested positive for lead poisoning.

The grant targets funding to communities with the greatest need, specifically to households with a high incidence of lead poisoning and older rental housing. The Lead Hazard Reduction Demonstration Grant for the City of Austin will expire in October 2014. With the new allocation of funding, the LeadSmart Program served 40 households in FY 2011-12.

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NHCD hired a marketing and outreach coordinator in FY 2011-12 to work closely with City of Austin marketing experts and NHCD staff to market its housing repair and financial empowerment programs in an effort to identify eligible clients and increase program participation. The coordinator develops and evaluates short-term and long-range market planning/strategies to increase awareness of programs and develop department identity. In addition, the coordinator works with neighborhood associations, community leaders, and other interested groups with on-going projects.

A new program marketing brochure was created to highlight lead and other programs. To view a copy in English or Spanish, see www.austintexas.gov/department/all-home-repair-and-financial-empowerment-programs.

Federal Guideline - Describe Other Actions in Strategic or Action Plan Taken to: Ensure compliance with program and comprehensive planning requirements.

NHCD's Organizational Framework

NHCD is committed to ensuring compliance with program and comprehensive requirements, federally and locally. During FY 2011-12, NHCD identified "Investment in Workforce" as a horizon issue and developed new and creative approaches to increase professional development for its employees (Webinars, "train-the-trainer" approach, accessing organizational expertise for formal training sessions), including access to training opportunities by HUD through regional or local events.

NHCD's Planning, Policy and Outreach (PPO) Division is responsible for overseeing the comprehensive planning and development of federal (5-Year Consolidated Plan, annual Action Plan, and CAPER) and local plans and reports. Program Divisions ensure compliance with all program and planning requirements, which includes an ongoing review of current program guidelines with the activities undertaken through these programs.

NHCD enhanced its departmental Regulatory Office in FY 2011-12 for additional coordination of monitoring and compliance for federally and locally funded activities. The PPO Division and the Regulatory Office work closely on issues relating to federal planning and reporting. All NHCD staff are required to be trained on federal and local requirements. All NHCD divisions are dedicated to technical assistance for compliance with HUD regulations.

Resale and Recapture

Cities receiving HOME funds are required to comply with a designated affordability period. The affordability period of any project is contingent upon the amount per unit subsidy received and may be five, ten, or fifteen years long. Participating Jurisdictions are required to utilize the recapture or resale provisions to ensure continued affordability and the wise stewardship of federal funds. The following NHCD programs use HOME funds to assist

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homebuyers, developers, or homeowners; thus, recapture and resale provisions are incorporated in its program guidelines: Down Payment Assistance (DPA), Homeowner Rehabilitation Loan Program (HRLP), and the Acquisition and Development (A&D). For more information on how each program utilizes these methods, please see specific project descriptions in Chapter 6: Housing Activity Tables.

Resale

In cases where HOME funding was provided to the developer but not directly to the homebuyer, the resale method is used. The affordability period is enforced through a Restrictive Covenant signed by the homebuyer at closing and is recorded in the County Clerk's Official Public Records. The Restrictive Covenant spells out the length of the affordability period and the specific resale requirements that would have to be satisfied should the owner wish to sell the property prior to the end of the affordability period.

Recapture

Under a recapture provision, the HOME financial assistance generally must be repaid. This option allows the seller to sell to any willing buyer at any price; PJs can decide what proportion of net proceeds from the sale, if any, will go to the homebuyer and what proceeds will go to the PJ. Once the HOME funds are repaid, the property is no longer subject to any HOME restrictions. The funds may then be used for any other HOME-eligible activity.

Federal Guideline - Describe Other Actions in Strategic or Action Plan Taken to: Reduce the number of persons living below the poverty level.

All programs administered by the City of Austin aim to provide housing, community development, and small business development services to benefit eligible residents so they can have access to livable neighborhoods and increase their opportunities for self-sufficiency, which includes persons in poverty. HOPWA, ESG, CDBG, and HOME activities assist households that fall under the special populations category outlined in the FY 2009-14 Consolidated Plan.

Housing Opportunities for People with AIDS (HOPWA) Activities

The Austin/Travis County Health and Human Services Department (HHSD) administers all HOPWA activities for the City of Austin. These programs provide housing assistance for income-eligible persons living with HIV/AIDS and their families. The goals of these programs are to prevent homelessness and to support independent, self-sufficient living among persons living with HIV/AIDS. The services ensure clients have improved access to primary medical care and other supportive services. In FY 2011-12, HOPWA-funded programs provided services to a total of 446 individuals and their families.

Emergency Solutions Grant (ESG) Activities

The Austin/Travis County Health and Human Services Department (HHSD) administers all ESG activities for the City of Austin. These programs are

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designed to be the first step in a continuum of assistance to help clients quickly regain stability in permanent housing after experiencing a housing crisis and/or homelessness. ESG can also assist with the operational costs of the shelter facility, and for the administration of the grant. In FY 2011-12, ESG-funded programs provided services to a total of 9,233 individuals and their families.

Public Service Activities

The Austin/Travis County Health and Human Services Department (HHSD) and NHCD administer the public services contracts funded with CDBG funds. Public services offer supportive services to households with gross incomes less than 200 percent of Federal Poverty Guidelines. Childcare Services provides child care vouchers for homeless and near-homeless families and direct child care services for teen parents who are attending school. Youth Services provides access to holistic, wraparound services and support to youth designated as at-risk and their families. The program's three components provide different levels of intervention: school-based intensive services, community-based services, and summer camps. Senior Services offers services that prevent and protect seniors in becoming victims of financial exploitation.

The Tenants' Rights Assistance program is also a public service. This program provides an array of services to Austin's renters including, telephone counseling; face-to-face and in-house counseling; mediation services; intake testing; and referral of housing discrimination complaints.

A total of 1,472 received assistance through the public service activities in FY 2011-12.

Federal Guideline - Describe Other Actions in Strategic or Action Plan Taken to: Overcome gaps in institutional structures and enhance coordination.

City of Austin – Interdepartmental Coordination

NHCD administers housing, community and economic development, as well as public facility programs which require interdepartmental coordination. Several City of Austin departments and entities coordinate efforts to provide program services and projects.

The City of Austin contracts with the Austin Housing Finance Corporation (AHFC) to develop affordable rental and homeownership opportunities and housing rehabilitation of owner-occupied homes. HHSD provides support to Austin residents living with HIV/AIDS and their families through the use of HOPWA grant funds. HHSD also provides assistance to help clients quickly regain stability in permanent housing after experiencing a housing crisis and/or homelessness using Emergency Solutions Grant (ESG) funds. HHSD and NHCD jointly administer several public service programs. The Economic Growth and Redevelopment Services Office (EGRSO) will foster small

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business expansions in low- and moderate-income neighborhoods to stimulate job creation through the Family Business Loan Program (FBLP).

Numerous non-federally funded housing programs and activities offered by NHCD rely on the coordination of other City departments including: Austin Energy, Austin Water Utility, Budget Office, City Manager's Office, Code Compliance Department, Contract and Land Management Department, Government Relations, HHSD, Law Department, Office of Sustainability, Parks and Recreation Department, Planning and Development Review Department, Public Works, Solid Waste Services, and Watershed Protection Review. Below are initiatives and programs that require interdepartmental coordination:

Development Incentive Programs

The following regulating activities provide incentives for the inclusion of affordable housing or community/economic development.

- East 11th & 12th Streets Revitalization,
- Coordination with Planning and Development Review (PDR) on the East Riverside Corridor Regulating Plan,
- Coordination with other City Departments on the Airport Boulevard redevelopment efforts, and
- Coordination with PDR on Imagine Austin Comprehensive Plan, Housing and Neighborhoods Priority Program and implementation.

Redevelopment of Publicly-Owned Land

- Colony Park Sustainable Communities Initiative,
- Coordination with EGRSO on the redevelopment of Rebekah Baines Johnson (RBJ) Center, and
- Municipal Utility Districts (MUDs) developer agreements among other agreements noting affordable housing goals.

Home Repair and Other Initiatives

- Coordination with Austin Energy (AE) to administer the Holly Good Neighbor Program,
- Coordination with Austin Water Utility (AWU) to administer the private lateral program,
- Collaboration with Code Compliance utilizing a referral system to identify and address homes needing substantial and costly repairs, and
- Coordination with Austin/Travis County Health and Human Services Department (HHSD), Ending Community Homelessness Coalition (ECHO) and other key agencies for the Permanent Supportive Housing (PSH) strategy.

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Additional Coordination

NHCD continued to work closely with the following organizations to overcome gaps and enhance coordination efforts. For a comprehensive list of organizations and Boards and Commission NHCD coordinated efforts with in FY 2011-12, see page 4-17.

Federal Guideline - Describe Other Actions in Strategic or Action Plan Taken to: Enhance coordination between public and private housing and social services agencies.

Community Action Network (CAN)

CAN is a public/private partnership between 15 major community organizations that work to achieve sustainable social, health, educational, and economic outcomes for Austin and Travis County. CAN supports 12 Issue Area Groups (IAGs) that range from housing, health, and elderly services to workforce development. The Issue Area Groups bring together stakeholders from throughout the community to collaborate with organizations that provide social services. CAN maintains a listserv of more than 3,500 community contacts, which is a key resource for NHCD's outreach efforts to provide information to the public, community non-profits and small businesses.

The Community Action Network (CAN) convened a Regional Housing Stakeholder Group comprised of several local agencies and regional municipalities to collaborate educate and explore opportunities to enhance local affordable housing planning efforts and incorporate this planning initiative into the CAN framework. NHCD supports CAN's efforts to increase interagency partnership with the intent of improving housing affordability across the housing continuum and enhancing coordination and communication across governmental jurisdictions.

NHCD attended CAN Regional Housing Stakeholder meetings and collaborated with CAN and several agencies in FY 2011-12 exploring opportunities to enhance local affordable housing planning efforts. Agencies included: the City of Austin, Travis County, the Travis County Housing Authority, and the Housing Authority of the City of Austin. CAN has determined that they will discontinue the regular meetings of this group, however will reconvene if need arises.

Ending Community Homelessness Coalition (ECHO)

The Ending Community Homelessness Coalition (ECHO) is charged with providing dynamic proactive leadership that engages policy makers and the community in ending homelessness. ECHO serves as the lead planning entity on homeless issues in Austin/Travis County. As part of this responsibility, ECHO coordinates and completes Austin's Continuum of Care (CoC) application and Community Plan to End Homelessness. ECHO also administers Austin's homeless count and survey, which is a HUD prerequisite for CoC funding. NHCD reviews the CoC application through the Certificate of

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Consistency process. NHCD and the Austin/Travis County Health and Human Services Department (HHS) serve as representatives on the Continuum of Care (CoC) Independent Review Team. NHCD staff was actively engaged with ECHO throughout FY 2011-12. Staff attended ECHO's monthly meetings and provided briefings on activities achieving common goals, Permanent Supportive Housing (PSH) efforts, the Affordable Housing Siting Policy, and the FY 2012-13 Action Plan process.

Leadership Committee on Supportive Housing Finance

The Leadership Committee on Supportive Housing Finance includes, designated representatives from: the City of Austin, Ending Community Homelessness Coalition (ECHO), Travis County, Seton Hospital Network, the St. David's Foundation, Central Health, Austin-Travis County Integral Care, the Housing Authority of the City of Austin (HACA), Housing Authority for Travis County (HATC), and the U.S. Department of Veterans Affairs. The Committee convened twice in FY 2011-12 and produced a recommendations report to the City Council in April 2012.

Public Housing Authorities (PHA)

Regular contact and collaboration with local PHA officials ensures that the City housing programs are linked to the needs of public housing residents. NHCD contracts with the Housing Authority of the City of Austin (HACA) for tenant-based rental assistance through Passages Program referrals. For more information about local PHAs, see page 3-16.

Public – Private Partnerships

The City of Austin partners with for-profit and non-profit developers to preserve and create long-term affordability throughout the community. For more information about projects accomplished in FY 2011-12, see page 4-9.

Federal Guideline - Describe Other Actions in Strategic or Action Plan Taken to: Improve public housing and resident initiatives.

The City of Austin boundaries are served by two Public Housing Authorities (PHAs), the Housing Authority of the City of Austin (HACA) and the Housing Authority of Travis County (HATC). The agencies are not departments of the City or County, respectively, but work independently of the City of Austin and Travis County. The City of Austin is committed to continuing the support of partnership and efforts that will improve public housing and resident initiatives and will continue coordinating with both HACA and HATC.

Housing Authority of the City of Austin (HACA)

Created in 1937, the Housing Authority of the City of Austin (HACA) is a major provider of affordable housing for low-income families, persons with disabilities and seniors in the Austin area. HACA owns, operates and maintains 1,929 public housing units at 19 properties throughout Austin and

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administers more than 5,725 Housing Choice Vouchers through its regular voucher (5,253), Tenant Protection Voucher (3), Veterans Affairs Supportive Housing (270), Family Unification (85), Non-Elderly with Disabilities (36), Mainstream (59) and Hurricane Ike – Conversion programs. HACA’s Housing Choice Voucher department also administers three additional special voucher programs for homeless individuals and families. The Tenant Based Rental Assistance (TBRA), Single Room Occupancy (SRO) and Shelter Plus Care programs serve approximately 220 homeless individuals and families with disabilities with housing and supportive services. HACA continually seeks opportunities to participate in specialized voucher programs through the U.S. Department of Housing and Urban Development.

HACA continues to be successful in moving families toward self-sufficiency with 80 families who have become homeowners through its down payment assistance program. The program provides qualified families participating in the Public Housing or Housing Choice Voucher programs with a \$10,000 forgivable loan to be applied towards the down payment on a new or existing home. If the applicant meets all program criteria for the first five years, then the loan is forgiven. Through its subsidiary, Austin Affordable Housing Corporation (AAHC), HACA’s Six Star program provides another alternative for those who are ready to move out of public housing, but have not met all the criteria to purchase a home. The Six-Star program allows residents to reside at one of AAHC’s apartment homes at a rate lower than the fair market rental rate. The rent amount increases slightly over the course of the three year program, until the fair market rent is reached. The Six Star program allows participants to continue to learn financial management and work toward goals that will support sustainable economic and housing self-sufficiency.

HACA implements two programs designed to assist residents in achieving self-sufficiency. The Public Housing and Housing Choice Voucher Family Self-Sufficiency (FSS) programs provide service coordination to residents and maintain and grow community partnerships that link individuals and families to necessary supports. Services that are coordinated include: life skills training; adult basic education and literacy services; workforce development/job training; job counseling and placement; financial literacy; housing counseling and homeownership preparation; parenting classes; health and wellness classes; youth educational success programs; childcare; transportation; and connection to public benefit programs that temporarily help families as they move toward self-sufficiency. Similar to an Individual Development Account (IDA), residents in the FSS program maintain an escrow account that accrues when the resident has an increase in earned income. At the end of the FSS participants’ five-year contract of participation,

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the funds are disbursed and can be used toward education, homeownership, debt repayment or other approved items supporting self-sufficiency goals. HACA's Public Housing Resident Opportunity and Self-Sufficiency (ROSS) program is a stepping stone for the FSS program that provides connection to similar services and allows participants to complete individual goals through modules that build upon one another. Modules include: Adult Basic Education, GED Attainment, Employment, Post-Secondary Education, Financial Management, Parent Leadership, Homeownership and Youth Leadership. The ROSS program also coordinates Youth Educational Success services for school aged children. The FSS and ROSS programs allow participants to design individual training and service plans that meet their unique needs.

HACA continuously seeks HUD grants and other grants to support social service programming and capital improvements and to grow the range of services it can offer to residents. In May 2012, HACA partnered with the City of Austin to submit a Choice Neighborhoods Initiative Planning Grant application to HUD. The Austin Housing Finance Corporation (AHFC) supported the application as a co-applicant. Camiros Ltd. was selected by HACA as the planning coordinator in the event the funding is awarded. On October 15, 2012, HACA was notified that they were among 17 communities nationwide that have been awarded a \$300,000 planning grant through this initiative. The two-year grant will help transform East Austin's Rosewood Neighborhood into a viable and sustainable mixed-income neighborhood, providing a wider variety of public services to community members – including schools, public transit and employment opportunities.

NHCD contributed \$75,000 toward the planning initiative that kicked off in December 2012 with a community event. The funding was made available through sustainability funds previously programmed for revitalization and preservation efforts.

Housing Authority of Travis County (HATC)

The Housing Authority of Travis County (HATC) was created in 1975 as an administrator of two HUD housing programs, the Housing Choice Voucher Program and Public Housing. HATC administers 8 housing services programs, the largest of which is 566 units of Housing Choice Vouchers, with approximately 800 individuals and families on a waiting list to receive a voucher. HATC receives a Shelter Plus Care grant for 95 units to provide assistance for homeless individuals and families in the Austin Travis County Metropolitan area, as well as inter-local agreements with two other counties that allow for services in those areas. HATC owns and manages 105 units of public housing in Austin, 33 units of non-HUD affordable housing for seniors in

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Manor, and 16 affordable duplex units for families in Del Valle, TX. An affiliate of HATC, the Strategic Housing Finance Corporation, operates three tax credit/bond financed multifamily affordable housing developments in Austin: two elderly developments providing 278 units and a multi-family development with 192 units. HATC is a partner in the Permanent Supportive Housing (PSH) Leadership Council to assist in developing a financial model for a multi-jurisdictional solution to fund PSH in Austin.

PERFORMANCE OUTCOME MEASUREMENT

Citizen Survey 2011

The City's Budget Office contracted with the ETC Institute in 2011 to administer a statistically valid community survey as an opportunity for Austin residents to provide input on satisfaction of City's services. The input gathered assists City leadership in setting budget priorities and making policy recommendations.

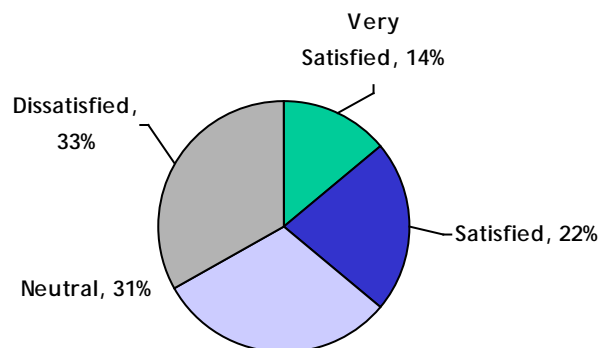
The survey asked respondents to rank satisfaction on services provided by the Neighborhood Housing and Community Development (NHCD) Office. Ranking categories included: very satisfied, satisfied, neutral, and dissatisfied.

Areas related to NHCD in which citizens were asked to rank:

- City efforts to offer financial literacy and homebuyer education.
- Availability of affordable housing.

Exhibits 3.2 and 3.3 provide the results from the survey.

Exhibit 3.2: Citizen's satisfaction with availability of affordable housing

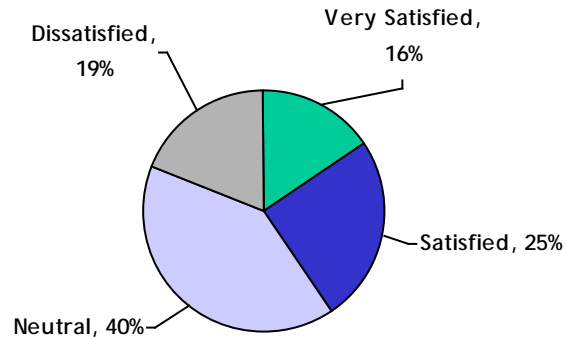


The above exhibit reflects 64% of the respondents are either in need of further education or have signaled further efforts are needed to ensure affordability in Austin is prioritized.

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Exhibit 3.3: Citizen's satisfaction with City's efforts to offer financial literacy and homebuyer education



The above exhibit reflects that more than half of the respondents are either in need of additional services and/or require more information on this issue.

NHCD is exploring additional approaches to increase awareness of its programs and services. In FY 2011-12 NHCD hired a marketing and outreach coordinator to work closely with City of Austin marketing experts and NHCD staff to market its housing repair and financial empowerment programs in an effort to identify eligible clients and increase program participation. The coordinator develops and evaluates long-range market planning/strategies to increase awareness of programs and develop department identity. As a result, a client-focused program guide marketing NHCD's programs was developed. In addition, the coordinator has worked with neighborhood associations, community leaders, political action groups, and other interested groups with on-going projects.

FY 2012-13 Action Plan Process

During the FY 2012-13 Action Plan process NHCD coordinated a prioritization activity as a part of its community needs assessment process, "Take Action: Help the City of Austin Prioritize Resources for Low-Income Residents." This activity was administered at Board and Commission meetings, Community Conversations events, public hearings, at enhanced outreach opportunities, and in an online survey format at: www.neighborhoodhousing.austintexas.icanmakeitbetter.com/ideas.

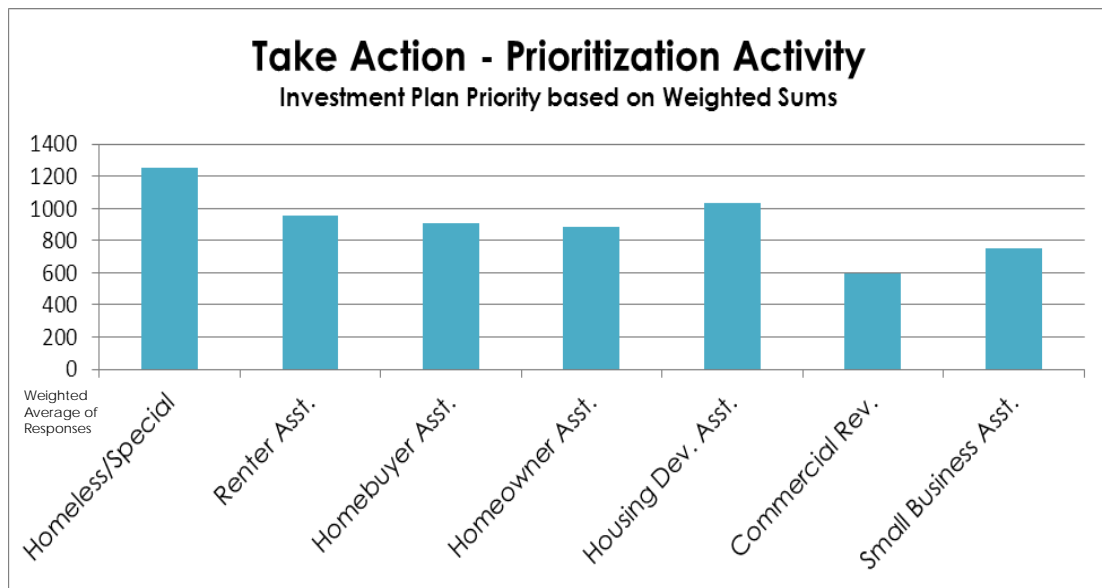
The prioritization activity provided an opportunity for participants to prioritize FY 2012-13 funding for affordable housing, community development, economic development, and public services. Each participant was asked to rank NHCD's Investment Plan categories in priority order, with "1" being most important. The Investment Plan outlines affordable housing, community development, economic development, and public service activities under seven categories:

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- Homeless/Special Needs,
- Renter Assistance,
- Homebuyer Assistance,
- Homeowner Assistance,
- Housing Developer Assistance,
- Commercial Revitalization, and
- Small Business Assistance.

Exhibit 3.4 illustrates the results from the prioritization activity provided to participants. Staff calculated the results based on weighted sums in order to provide a snapshot of the results. The activity results were allotted fairly equally across all Investment Plan categories, with Homeless/Special Needs Assistance and Housing Developer Assistance as the top ranking priority areas. There were 227 individuals who participated and completed the Take Action prioritization activity.

Exhibit 3.4: Take Action – Prioritization Activity



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Federal Requirement: The annual report will include a comparison of the proposed versus actual outcomes for each outcome measure submitted with the consolidated plan and explain, if applicable, why progress was not made toward meeting goals and objectives.

Exhibit 3.5 is a summary of the FY 2011-12 accomplishments according to each outcome performance measure. For more detailed information about these programs, including an explanation about progress towards meeting goals and objectives, see Chapter 6.

Outcome Statement: Accessibility for the purpose of creating suitable living environments.

Program	FY	FY 2011-12 Proposed Goal	FY 2011-12 Accomplishment	Percent Completed
Shelter Operation and Maintenance (ARCH)	FY 2011-12	8,500	9,181	108%
Homeless Essential Services (ATHHSD CDU)	FY 2011-12	35	52	149%
Child Care Services	FY 2011-12	344	374	109%
Senior Services	FY 2011-12	208	211	101%
Youth Support Services	FY 2011-12	159	161	101%
Tenant's Rights Assistance	FY 2011-12	549	760	138%
Architectural Barrier Removal Program - Rental	FY 2011-12	25	16	64%
Architectural Barrier Removal Program - Owner	FY 2011-12	111	57	51%
Housing Smarts - Housing Counseling	FY 2011-12	320	381	119%

Outcome Statement: Accessibility for the purpose of providing decent housing.

Program	FY	FY 2011-12 Proposed Goal	FY 2011-12 Accomplishment	Percent Completed
Permanent Housing Placement Services	FY 2011-12	102	46	45%
Tenant-Based Rental Assistance	FY 2011-12	115	115	100%
Down Payment Assistance	FY 2011-12	33	16	48%
Rental Housing Development Assistance	FY 2011-12	275	517	188%
Acquisition and Development	FY 2011-12	20	34	170%

Outcome Statement: Accessibility for the purpose of creating economic opportunities.

Program	FY	FY 2011-12 Proposed Goal	FY 2011-12 Accomplishment	Percent Completed
Community Development Bank	FY 2011-12	6	6	100%
Neighborhood Commercial Management Program	FY 2011-12	11	-	0%
Community Preservation & Revitalization	FY 2011-12	2	-	0%

Outcome Statement: Affordability for purpose of creating suitable living environments.

Program	FY	FY 2011-12 Proposed Goal	FY 2011-12 Accomplishment	Percent Completed
<i>None</i>				

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Outcome Statement: Affordability for the purpose of providing decent housing.

Program	FY	FY 2011-12 Proposed Goal	FY 2011-12 Accomplishment	Percent Completed
Short-Term Rent, Mortgage, and Utility Assistance	FY 2011-12	220	118	54%
HOPWA - Tenant-Based Rental Assistance	FY 2011-12	122	98	80%
Short-Term Supportive Housing	FY 2011-12	44	66	150%
Transitional Housing	FY 2011-12	49	59	120%
Supportive Services	FY 2011-12	49	59	120%
CHDO Operating Expenses Grants	FY 2011-12	7	5	71%
Developer Incentive-Based Program	FY 2011-12	650	953	147%

Outcome Statement: Affordability for the purpose of creating economic opportunities.

Program	FY	FY 2011-12 Proposed Goal	FY 2011-12 Accomplishment	Percent Completed
<i>None</i>				

Outcome Statement: Sustainability for the purpose of creating suitable living environments.

Program	FY	FY 2011-12 Proposed Goal	FY 2011-12 Accomplishment	Percent Completed
Homeowner Rehabilitation Loan Program	FY 2011-12	15	8	53%
G.O. Repair! Program	FY 2011-12	75	107	143%
Lead Hazard Control Grant	FY 2011-12	38	39	103%
Holly Good Neighbor Program	FY 2011-12	20	8	40%

Outcome Statement: Sustainability for the purpose of providing decent housing.

Program	FY	FY 2011-12 Proposed Goal	FY 2011-12 Accomplishment	Percent Completed
Emergency Home Repair	FY 2011-12	475	489	103%

Outcome Statement: Sustainability for the purpose of creating economic opportunities.

Program	FY	FY 2011-12 Proposed Goal	FY 2011-12 Accomplishment	Percent Completed
Microenterprise Technical Assistance	FY 2011-12	33	33	100%
East 11th and 12th Streets Revitalization - Acquisition and Development*	FY 2011-12	-	-	-
East 11th and 12th Streets Revitalization - Historic Preservation*	FY 2011-12	1	-	-
East 11th and 12th Streets Revitalization - Public Facilities*	FY 2011-12	1	-	-
East 11th and 12th Streets Revitalization - Parking Facilities	FY 2011-12	2170	2170	100%

*There were no activities scheduled for these programs in FY 2011-12.

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Current Affordable Rental Housing

The affordable housing the City of Austin creates today brings years of affordable housing into the community. Each funding source, whether local or federal, has its own affordable guidelines. Each project targets different levels of affordability, serving households at or below 80 percent MFI. Affordable rental projects vary in scope and size. Community Housing Development Organizations (CHDOs) develop rental housing in neighborhoods throughout Austin. Private developers also create rental housing in Austin, with the help of city funds. Projects funded by the City often receive other financing, such as state funds, other federal funds, bond financing, and low-income housing tax credits. Exhibit 3.6 lists all of the affordable rental projects that have received city funds or S.M.A.R.T. Housing™ certifications and are currently affordable.

Note: Projects are listed in ascending order by affordability start date.

Project Number	Project Name	Address	EDI or BEDI Grant Expended FY 11-12	CDBG Expended FY 11-12	Total Expended FY 11-12 (EDI+BEDI+CDBG)	# Units Affordable	Affordability Start	Affordability End**
1	Guadalupe Neighborhood Development Corp. (GNDC)	1202 E. 7th St.	\$61,250	CDBG/HOME	1	1	1997	2014
2	Woodland Heights Apts	8312 N. IH-35	\$7,795,000	COA Bond	288	288	1997	2017
3	Rutland Place	1647-1711 Rutland Pl.	\$12,590,000	COA Bond	294	294	1998	2018
4	Cobblestone Court	2101 Davis Ln.	\$1,000,000	HOME/HTF	68	68	1998	2018
5	Stony Creek/Princeton	4911 Manchaca Rd.	\$9,660,000	COA Bond	222	222	1999	2019
6	GNDC	907 Spence St. (various)	\$100,000	CDBG	3	3	1999	2023
7	Village Green Apts	7224 N. East Dr.	\$6,500,000	COA Bond	200	200	2000	2020
8	Fairway Village Apts	6118 Fairway	\$3,523,000	COA Bond	128	128	2000	2020
9	Santa Maria Village Apts	8071 N. Lamar	\$3,315,000	COA Bond	176	176	2000	2020
10	South Congress Apts	2703 S. Congress Ave.	\$6,300,000	COA Bond	172	172	2000	2020
11	Springdale Apts	6415 Springdale	\$3,600,000	COA Bond	98	98	2000	2020
12	Southwest Trails	6300 Fletcher Ln.	\$7,500,000	HOME/COA Bond/HTF/LIHTC/SMART Housing	160	160	2000	2030
13	Fort Branch Landing	43201/2 Ed Bluestein	\$500,000	HOME/LIHTC	250	250	2000	2030
14	GNDC	1100 E. 10th (various)	\$100,000	HOME	4	4	2001	2021
15	VOA - Manor House	5905 Manor	\$260,960	HOME/SMART Housing	11	11	2001	2021
16	SafePlace	1515 Grove Blvd.	\$765,000	CDBG/HTF	40	40	2001	2021
17	Primrose of Shadow Creek	1022 Clayton Ln.	\$8,600,000	COA Bond/SMART Housing/LIHTC	176	176	2001	2031
18	Riverside Meadows	1601 Montopolis Dr.	\$11,700,000	COA Bond/SMART Housing/LIHTC	248	248	2001	2031
19	Blunn Creek Apts	607 Woodward St.	\$15,000,000	COA Bond/SMART Housing/LIHTC	280	280	2001	2031
20	Spring Valley Apts	2303 E. William Cannon Dr.		LIHTC/SMART Housing	230	173	2001	2031
21	Rosemont at Oak Valley	2600 Pleasant Valley	\$15,000,000	Travis County Bond/SMART Housing	248	248	2002	2022
22	Mary Lee Community - Cornerstone Apts	1322 Lamar Square Dr.	\$1,009,502	HOME/SMART Housing	30	30	2002	2022
23	Garden Terrace	1015 W. William Cannon Dr.	\$1,775,750	CDBG/HOME/SMART Housing	100	100	2002	2032
24	Villas on Cordoba	5300 Jimmy Clay		LIHTC/SMART Housing	156	93	2002	2032
25	Circle S. Apts	7201 S. Congress Ave.		LIHTC/SMART Housing	200	200	2002	2032
26	Oak Springs Villas	3001 Oak Springs Dr.	\$466,715	HTF/SMART Housing	56	56	2003	2023
27	Lyons Gardens	2720 Lyons Rd.	\$800,000	HOME/SMART Housing/HTF	54	54	2003	2025
28	Parker Springs	4601 E. St. Elmo Rd.		LIHTC/SMART Housing	35	35	2003	2033
29	Town Vista Multi-family Apts	2201 Montopolis Dr.		LIHTC/SMART Housing	280	280	2003	2033
30	Woodway Village	4600 Nuckols Crossing Rd.		LIHTC/SMART Housing	160	160	2003	2033
31	Woodway Square	1700 Teri Rd.		LIHTC/SMART Housing/Travis County Bond	240	240	2003	2033
32	Texan West Campus	2616 Salado		SMART Housing (UNO)	62	10	2004	2019
33	Grove Place Apts	1881 Grove Blvd.		LIHTC/SMART Housing	184	184	2004	2034
34	Heritage Point Senior Apts	1950 Webberville Rd.		LIHTC/Travis County Bond/SMART Housing	240	192	2004	2034
35	Rosemont at Williamson Creek	4509 E. St. Elmo Rd.		LIHTC/SMART Housing	163	130	2004	2034
36	Century Park Apts	2900 Century Park Blvd.		LIHTC/Travis County Bond/SMART Housing	240	240	2004	2034
37	Stassney Woods Apts	1800 E. Stassney	\$13,300,000	COA Bond	288	288	2004	2024
38	The Boulevard	1201 Grove Blvd.	\$181,717	CDBG/SMART Housing	120	6	2005	2015
39	Quarters at Cameron	2707 Rio Grande St.		SMART Housing (UNO)	64	6	2005	2020
40	Quarters at Montgomery	2700 Nueces		SMART Housing (UNO)	88	9	2005	2020
41	Quarters at Sterling	709 W. 22nd St.		SMART Housing (UNO)	100	10	2005	2020
42	Sterling University Student Housing	2704 Rio Grande St.		SMART Housing (UNO)	76	8	2005	2020

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Project Number	Project Name	Address	EDI or BEI Grant Expended FY 11-12	CDBG Expended FY 11-12	Total Expended FY 11-12 (LOI+EDI+CDBG)	# Units Affordable	Affordability Start	Affordability End**
43	Blackland CDC	1700 MLK (various locations)	\$330,000	HOME	9	9	2005	2025
44	GNDC. (loan includes all addresses below)	809-B San Marcos St.	\$395,000	HOME CHDO	1	1	2005	2025
45		907-B Spence St.			1	1	2005	2025
46		1002 Wheelless			2	2	2005	2025
47		1009-B E. 10th St.			1	1	2005	2025
48		2320 Santa Rita St.			1	1	2005	2025
49		303 San Saba St.			1	1	2005	2025
50	Rosemont at Hidden Creek	9345 E. US Hwy 290		LIHTC/SMART Housing	250	250	2005	2035
51	Villas on Sixth	2011 E 6th		LIHTC/HTF/ SMART Housing	160	136	2005	2035
52	Riverchase Apts	2239 Cromwell Cir.	\$8,600,000	COA Bond	284	284	2005	2025
53	NMF VI	6607 & 7102 Guadalupe St.	\$180,000	CDBG	15	15	2006	2016
54	NMF VII	1507 W. 39 1/2 St.	\$250,000	CDBG	20	20	2006	2016
55	Spring Terrace SRO	7101 N. I-H 35	\$2,000,000	HOME/SMART Housing/HTF	140	140	2006	2105
56	Blackland CDC (loan includes address below)	2008 Chicon St.	\$152,180	HOME CHDO/ CDBG	4	4	2007	2017
57		2201 Salina St.			1	1	2007	2017
58	Community Partnerships for the Homeless (loan includes addresses below)	2505 Village Trail Cir.	\$123,163	HOME	7	7	2007	2027
59		2407 S. 4th St.			3	3	2007	2027
60		7605 Elderberry			4	4	2007	2027
61	Community Partnerships for the Homeless (loan includes address below)	2014 Covered Wagon Pass	\$100,000	HTF	3	3	2007	2027
62		5611 Teri Rd.			4	4	2007	2027
63	CityView at the Park	2000 Woodward	\$299,000	HTF/SMART Housing/LIHTC/ HOME	70	68	2007	2047
64	GNDC- La Vista de Guadalupe Apts.	813 E. 8th St.	\$2,138,239	HOME CHDO	22	22	2007	2049
65	Skyline Terrace SRO	1212 W. Ben White Blvd.	\$3,516,850	HOME/HTF/GO Bond/SMART	100	100	2007	2106
66	Lifeworks Transitional Housing Project	3710 S. 2nd	\$300,000	HTF	6	6	2008	2028
67	Community Partnership for the Homeless	904, 908, 912, 916 Neal St.	\$500,000	HTF	6	6	2008	2049
68	United Cerebral Palsy Texas-Allandale Condos	7685 Northcross Dr.	\$500,000	HTF	10	10	2008	2049
69	AHA Carol's House	6607 & 7102 Guadalupe St.	\$140,810	GO Bond/HOME CHDO	1	1	2008	2107
70	Saint Louise House #1 (formerly Vincare Services of Austin)	address not disclosed	\$1,765,294	GO Bond	24	22	2008	2107
71	Austin Travis County MHMR Crisis Respite Center	6222 N. Lamar Blvd.	\$2,300,000	GO Bond	37	37	2009	2108
72	Crossroads Apts - Children's Home Initiative	8801 McCann Dr.	\$900,000	GO Bond	14	14	2009	2108
73	Pecan Springs Commons, Phase I	5802, 5804, 5805, 5807, 5809 and 5811 Sweeney Cir.	\$1,300,000	GO Bond/HOME	24	24	2009	2108
74	Saint Louise House #2	address not disclosed	\$1,500,000	GO Bond	24	24	2009	2108
75	Palms on Lamar (formerly Malibu Apts)	8600 N. Lamar Blvd.	\$3,000,000	LIHTC/GO Bond	476	215	2009	2108
76	Lydia Street Alley Flat	904-B Lydia St.	\$60,000	GO Bond/SMART Housing	1	1	2009	2108
77	Franklin Gardens	E.M. Franklin & MLK	\$1,000,000	GO Bond/SMART Housing	22	22	2009	2049
78	Elm Ridge Apts	1121 Harvey St.	\$2,500,000	GO Bond/LIHTC/COA Bond	130	130	2010	2109
79	East 15th Street Transitional Facility	403 E. 15th St.	\$2,013,175	CIP/GO Bond	24	24	2010	2050
80	Sierra Vista (formerly Shady Oaks Apts)	4320 S. Congress Ave.	\$3,000,000	LIHTC/GO Bond	238	143	2010	2109
81	The Ivy Condominiums	3400 Manchaca Rd.	\$494,740	GO Bond	8	8	2011	2051
82	Treaty Oaks Apts	3700 Manchaca Rd.	\$1,350,000	GO Bond/GO Bond PSH/HTF	47	47	2011	2110
83	1903 E. 20th St. Apts	1903 E. 20th St.	\$491,919	HOME CHDO	8	8	2011	2110
84	M Station	2096 E. MLK	\$2,000,000	LIHTC/GO Bond	150	89	2011	2110
85	Marshall Apts	1402 E. 12th St. & 1157 Salina St.	\$2,500,000	GO Bond/GO Bond PSH	100	100	2011	2110
86	The Willows	1330 Lamar Square Dr.	\$2,475,000	GO Bond	64	60	2011	2051
87	Retreat at North Bluff (formerly Village on Little Texas)	6204 Crow Ln.	\$2,940,000	GO Bond	240	50	2011	2110
88	Arbor Terrace (formerly Suburban Lodge)	2501 S. IH-35	\$2,000,000	CDBG/GO Bond PSH	120	120	2012	2111
89	Wildflower Terrace	RMMA Development	\$2,000,000	GO Bond/LIHTC	201	86	2012	2111
90	Blackshear Scattered Infill Rental Development	2412 Bryan; 1616 Harvard; 1705 A & B Rosewood Ave.; 1905 and 2503 E. 9th St.	\$572,500	GO Bond/HOME	6	6	2009	2108

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Project Number	Project Name	Address	EDI or BEI Grant Expended FY 11-12	CDBG Expended FY 11-12	Total Expended FY 11-12 (LOI+EDI+CDBG)	# Units Affordable	Affordability Start	Affordability End**
91	Super Co-Op (College Houses)	1905 Nueces St.	\$837,500	SMART Housing (UNO)	50	50	2009	2039
92	The Ivy Condominiums, Phase II	3204 Manchaca Rd.	\$624,898	HOME	10	10	2012	2111
Totals			\$188,554,162		9,377	7,961		
Released from Monitoring Requirements								
1	Manor Circle Apts	2500-2502 Manor Cir.	\$36,900	HOME	8	8	1996	2001
2	Ebenezer Senior Village	1015 E 10th	N/A	N/A	12	12	1999	2004
3	Sandshof	6701-03 Sandsof Dr.	\$10,930	CDBG	2	2	1996	2006
4	Blackland CDC	2009 Salina (various)	\$99,000	HOME	11	11	2001	2006
5	Roosevelt Gardens	5606 Roosevelt Ave.	\$75,000	CDBG	24	24	1997	2007
6	Stonecreek Ranch Apts	333 Slaughter Ln.		SMART Housing	198	80	2002	2007
7	East 20th St. Apts	1803 E. 20th St.		SMART Housing	8	1	2002	2007
8	Villas on Guadalupe	2810 Hemphill Park		SMART Housing	150	15	2002	2007
9	Corporation for Affordable Housing	7315 Blessing Ave.	\$220,000	HOME	16	16	1997	2008
10	GNDC	914 E. 7th St.	N/A	N/A	6	6	2003	2008
11	Fountains of Austin	6300 S. Congress Ave.		SMART Housing	122	49	2003	2008
12	GNDC	1005 Lydia (various)	\$20,000	HOME	10	10	2003	2008
13	GNDC	1902 Willow St.	\$34,500	HOME	1	1	1998	2010
14	Eden Park	3129-3131 E. 12th St.	\$711,865	CDBG, RRP, HIP	10	10	1996	2011
15	Meadow Glen Apts	7418 Cameron Rd.	\$342,915	HOME, RRP, CDBG	40	40	1996	2011
16	St. Louise Transitional Housing & Supportive Services	700 Nelray	\$169,810	CIP	11	11	2003	2028 ⁺

* Funding Source: Projects may have additional funding sources.

**Affordability End: Affordability period requirements vary by funding source and/or individual project requirements.

+ Property was sold in 2009 and funds were repaid.

Chapter 3: Federal Objectives

Federal Guideline - Assessment of Relationship of CDBG Funds to Goals and Objectives. Assess use of CDBG funds in relation to the priorities, needs, goals, and specific objectives in the Consolidated Plan, particularly the highest priority activities.

See Five-Year Assessment of Progress in Chapter 1.

Federal Guideline - Evaluate progress made toward meeting goals for providing affordable housing using CDBG funds, including the number and types of households served.

See CDBG funding source table in Chapter 2 and the Housing and Community Development Activity Tables in Chapter 6.

Federal Guideline - Changes in Program Objectives. Identify nature of and reasons for any changes in program objectives and how the jurisdiction would change its program as a result of its experiences.

The City of Austin did not change objectives outlined in the FY 2011-12 Action Plan.

Federal Guideline - Assessment of Efforts in Carrying Out Planned Actions. Indicate how you pursued all resources indicated in the Consolidated Plan.

See Five-Year Assessment of Progress in Chapter 1 for the assessment of efforts to carry out outlined objectives from the FY 2009-14 Consolidated Plan. In addition to the formula grants received by HUD, NHCD utilized additional federal and local funds to assist with priorities outlined in the FY 2011-12 Action Plan. Additional funds included: Section 108 Loans and Stimulus Funds, Lead Hazard Control Grant, local Housing Trust Funds, Sustainability Funds, University Neighborhood Overlay (UNO) Funds, and General Obligation (G.O.) Bond funds for affordable housing initiatives. Please see the Chapter 2 for detailed definitions of all funding sources.

Federal Guideline - Indicate how you provided certifications of consistency in a fair and impartial manner.

NHCD staff provided certificates of consistency to seven organizations in FY 2011-12. Each request was reviewed and assessed to determine consistency with the priorities outlined in the FY 2011-12 Action Plan and the City's overall FY 2009-14 Consolidated Plan. Recommendations are submitted to departmental leadership who make final determination on consistency. See Appendix III for list of certifications processed in FY 2011-12.

Chapter 3: Federal Objectives

Federal Guideline - Indicate how you did not hinder Consolidated Plan implementation by action or willful inaction.

The City of Austin's FY 2011-12 CAPER identifies progress made on its affordable housing, community development, economic development and public services priorities outlined in the FY 2009-14 Consolidated Plan. This report reflects that the City did not hinder the implementation of its FY 2009-14 Consolidated Plan either by action or willful inaction.

NHCD provides the Community Development Commission (CDC) and the general public a summary of its monthly and quarterly production which showcases progress of all programs and services outlined on the NHCD Investment Plan. Detailed information related to demographics on clients served is also available quarterly.

All NHCD/AHFC staff meet monthly to share production goals, accomplishments, outreach and marketing ideas for promoting programs. Summaries of NHCD's ongoing production results are available on NHCD's website www.austintexas.gov/housing.

Federal Guideline - Use of CDBG Funds for National Objectives -- If CDBG funds were not used exclusively for activities benefiting low/moderate persons, for slum/ blight activities, or to meet urgent community needs, explain why? If you did not comply with certification to expend no less than 70 percent of your CDBG funding during the specified period on activities that benefit low/moderate persons, explain why?

The use of CDBG funds must meet one of three national objectives: benefiting low- and moderate- income persons; preventing or eliminating slum or blight; and meeting urgent needs. All CDBG funds allocated by the City of Austin were used to benefit low- and moderate-income persons or to eliminate slum or blight in FY 2011-12. No funds were used to serve a limited clientele not under the categories of presumed limited clientele low and moderate income. NHCD complied with the overall area benefit certification.

Federal Guideline - Anti-displacement and Relocation -- for activities that involve acquisition, rehabilitation or demolition of occupied real property: Steps actually taken to minimize the amount of displacement resulting from the CDBG-assisted activities.

NHCD administered programs that involved the acquisition, rehabilitation, or demolition of real property. In FY 2011-12, there were no displacements or relocations generated from its programs. All programs adhered to the certifications submitted in the FY 2011-12 Action Plan and were in accordance to applicable state and federal regulations related to displacement and relocation.

Chapter 3: Federal Objectives

Federal Guideline - Steps taken to identify households, businesses, farms or nonprofit organizations who occupied properties subject to the Uniform Relocation Act or Section 104(d) of the Housing and Community Development Act of 1974, as amended, and whether or not they were displaced, and the nature of their needs and preferences.

There were no displacements or relocations in FY 2011-12. All programs adhered to the certifications submitted in the FY 2011-12 Action Plan and were in accordance to applicable state and federal regulations dealing with displacement and relocation.

Federal Guideline - Steps taken to ensure the timely issuance of information notices to displaced households, businesses, farms, or nonprofit organizations.

There were no displacements or relocations in FY 2011-12. All housing programs adhered to the certifications submitted in the City's in the FY 2011-12 Action Plan and are administered in accordance to applicable state and federal regulations connected to displacement and relocation.

Federal Guideline - Low/Moderate Job Activities -- for economic development activities undertaken where jobs were made available but not taken by low/moderate-income individuals: Actions taken by grantee and businesses to ensure first consideration was or will be given to low/moderate-income individuals. List by job title of all the permanent jobs created/retained and those that were made available to low/moderate individuals. If any jobs claimed as being available to low/moderate-income individuals require special skill, work experience, or education, a description of steps being taken or that will be taken to provide such skills, experience, or education.

The City of Austin utilized CDBG funds to help increase job opportunities to low- to moderate-income individuals in FY 2011-12. As a condition to receive financial assistance, businesses agree to provide a specific number of jobs to low/moderate-income individuals.

During FY 2011-12, job opportunities offered by the Community Development Bank program were available to low- to moderate-income persons. Six jobs were created during the program year, two office clerical and four operatives. These positions did not require special skills, work experience or education.

Chapter 3: Federal Objectives

CDBG PROGRAM INCOME

Federal Guideline - Program income received. Amount of program income reported that was returned to each individual revolving fund, e.g., housing rehabilitation, economic development, or other type of revolving fund. Amount repaid on each float-funded activity. All other loan repayments broken down by the categories of housing rehabilitation, economic development, or other amount of income received from the sale of property by parcel.

The amount of program income from each property is determined by the source that funds the unit. Projects that received both CDBG and HOME will have program income realized by both sources.

Exhibit 3.7: CDBG Loan Repayment FY 2011-12

Neighborhood Commercial Management Program	79,857.79
Shared Equity A&D Loan	13,216.74
Rental Housing Development Assistance	40.00
Homeowner Rehabilitation Loan Program	127,231.02
Administration	12,833.37
Subtotal	233,178.92

Exhibit 3.8: CDBG Repayment FY 2011-12

A&D Loan	33,438.72
Former Anderson – A&D	14,400.00
Subtotal	47,838.72

Exhibit 3.9: CDBG Rental FY 2011-12

Public Facilities	51,333.26
Subtotal	51,333.26

Total	332,350.90
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Chapter 3: Federal Objectives

CDBG PRIOR PERIOD ADJUSTMENTS

Federal Guideline - Where reimbursement was made this reporting period for expenditures (made in previous reporting periods) that have been disallowed, provide the following information: Activity name and number as shown in IDIS.

Program year(s) in which the expenditure(s) for the disallowed activity(ies) was reported. Amount returned to line-of-credit or program account. Total amount to be reimbursed and the time period over which the reimbursement is to be made, if the reimbursement is made with multi-year payments.

Exhibit 3.10: CDBG Prior Period Adjustments

Activity	IDIS#	Program Year(s)	Line of Credit	Reimbursed	Time Period
A&D 0 Henninger St	2486	2000	\$6,123.66	\$6,123.66	2001
A&D 1127 Tillery St	2488	2000	\$159,586.89	\$159,586.89	2001-06
A&D 1129 Tillery St	4021	2000	\$87,276.32	\$87,276.32	2002-06
A&D 1202 Henninger St	4257	2000	\$51,730.89	\$51,730.89	2003-05
A&D 1204 Henninger	4272	2000	\$56,319.09	\$56,319.09	2004-05
A&D 3111 E 12 th	1717	2010	\$20,415.50	\$14,008.61	2008-11
A&D 1129 ½ Tillery St	2332	2010	\$35,517.20	\$34,314.29	2008-11
A&D 1131 Tillery St	2333	2010	\$44,231.18	\$44,321.18	2008-11
A&D 1120 E 12th St	3105	2008	\$40,829.56	\$25,080.81	2008-11
A&D 1320 E 12th St	3109	2008	\$58,376.40	\$48,965.96	2008-11
A&D 1322 E 12th St	3110	2008	\$60,898.44	\$49,408.44	2008-11
A&D 1328 E 12th St	3111	2008	\$44,366.49	\$32,254.68	2008-11
A&D 6914 Villita Avenida	5576	2009	\$8,196.93	\$7,978.35	2009-11
A&D 6902 Villita Avenida	5612	2009	\$7,303.61	\$7,233.17	2009-11

Chapter 3: Federal Objectives

LOANS AND OTHER RECEIVABLES

Federal Guideline - Principal balance for each float-funded activity outstanding as of the end of the reporting period and the date(s) by which the funds are expected to be received.

The City of Austin has no float-funded activities.

Federal Guideline - Total number of other loans outstanding and the principal balance owed as of the end of the reporting period.

As of September 30, 2012, the City of Austin, NHCD, has a total of 2,114 loans outstanding with a principal balance of \$120,555,785.02. These loans were the result of NHCD programs, including Down Payment Assistance, Home Rehabilitation Loan Program, East 11th and 12th Streets, Acquisition and Development, Neighborhood Commercial Management Program, and Rental Housing Development Assistance Program.

Federal Guideline - List separately the total number of outstanding loans that are deferred or forgivable, the principal balance owed as of the end of the reporting period, and the terms of the deferral or forgiveness.

Exhibit 3.11: Loans

Type	Number of Loans	Total Loan Amount
Amortized	109	\$16,755,209.17
Deferred	1,637	\$25,311,167.97
Forgivable	368	\$78,489,407.88
<i>Total</i>	<i>2114</i>	
<i>Principle Outstanding</i>		<i>\$120,555,785.02</i>

Terms of the deferral or forgiveness vary depending on the negotiated loan agreement. Typically, borrowers with deferred loans begin payment upon maturity of the loan. Forgiveness occurs upon maturity of the loan as long as all the terms of the agreement have been met. Loan terms range from five to thirty years.

Federal Guideline - Total number and amount of loans made with CDBG funds that have gone into default and for which the balance was forgiven or written off during the reporting period.

\$0.00 in CDBG funds became delinquent during FY 2011-12. No delinquent CDBG loans have been written off or forgiven this fiscal year.

Chapter 3: Federal Objectives

Federal Guideline - A list of the parcels of property owned by the grantee or its subrecipients that have been acquired or improved using CDBG funds and that are available for sale as of the end of the reporting period.

Austin Habitat for Humanity

Austin Habitat for Humanity is building in Devonshire Village, a new single-family residential subdivision in the University Hills and Windsor Park neighborhoods in northeast Austin. This new community is situated on a 10-acre wooded parcel and will provide 43 home for low-income households. At the end of FY 2011-12 the following 14 homes sites were for sale in this development:

2414 Towbridge Circle
2508 Devonshire Drive
2506 Devonshire Drive
2502 Devonshire Drive
2412 Devonshire Drive
6313 North Hampton
6313-E North Hampton
6313-D North Hampton
6313-C North Hampton
2410-B Towbridge Circle
2410-A Towbridge Circle
2409 Towbridge Circle
6107 Devonshire Cove
2510 Devonshire Drive

Austin Housing Finance Corporation

In FY 2011-12, the Austin Housing Finance Corporation (AHFC) completed renovations on a single-family house located in the St. John's Neighborhood. The house will be sold to a low- to moderate-income buyer. The address is:
7212 Providence

Frontier at Montana

The Frontier at Montana single-family ownership subdivision is in the Montopolis neighborhood. In Frontier at Montana, the City has partnered with several non-profit housing builders to construct 81 homes that provide homeownership opportunities for households earning at or below 80 percent of MFI. Austin Habitat for Humanity completed 30 single-family homes in Frontier at Montana, and American YouthWorks has completed seven single-family homes, and will complete 2 more in 2013. AHFC completed the construction on 42 homes. Thirty homes completed by AHFC were secured using a shared equity model with a "right of first refusal" to preserve long-term affordability. AHFC completed the construction of 12 of the 42 homes using Neighborhood Stabilization Program (NSP) funds obtained from the Texas Department of Housing and Community Affairs (TDHCA). 6 out of the 12 NSP homes have closed and the remaining homes are under contract and waiting for a scheduled date from TDHCA to close. TDHCA offered 0 percent mortgages to qualified buyers with incomes of 50 percent MFI or below.

Chapter 3: Federal Objectives

LUMP SUM AGREEMENTS

Federal Guideline -

- a. Name of the financial institution.
- b. Date the funds were deposited.
- c. Date the use of funds commenced.
- d. Percentage of funds disbursed within 180 days of deposit in the institution.

The City of Austin has no lump sum agreements.

NEIGHBORHOOD REVITALIZATION STRATEGIES

Federal Guideline - Neighborhood Revitalization Strategies – for grantees that have HUD-approved neighborhood revitalization strategies:

- o Progress against benchmarks, i.e. outputs and outcomes for the program year.
- o For grantees with Federally-designated Enterprise Zones (EZ) or Enterprise Communities (EC) that received HUD approval for a neighborhood revitalization strategy, reports that are required as part of the EZ/EC process shall suffice for purposes of reporting progress.

The City of Austin has no HUD-approved neighborhood revitalization strategies.

Federal Guideline - Assess the use of HOME funds in relation to the priorities, needs, goals, and specific objectives in the consolidated plan, particularly the highest priority needs.

See Five-Year Assessment of Progress in Chapter 1.

Federal Guideline - Evaluate progress made toward meeting goals for providing affordable housing using HOME funds, including the number and types of households served.

See Housing and Community Development Activity Tables in Chapter 6.

HOME Match Report

Match Contributions for
Federal Fiscal Year (yyyy)

2012

Part I Participant Identification

1. Participant No. (assigned by HUD) 480264		2. Name of the Participating Jurisdiction City of Austin		3. Name of Contact (person completing this report) Nora Richardson	
5. Street Address of the Participating Jurisdiction 1000 East 11th Street, Suite 300				4. Contact's Phone Number (include area code) 512-974-3138	
6. City Austin		7. State TX	8. Zip Code 78702		

Part II Fiscal Year Summary

1. Excess match from prior Federal fiscal year	\$ 1,899,487.81	
2. Match contributed during current Federal fiscal year (see Part III.9.)	\$ 1,313,915.65	
3. Total match available for current Federal fiscal year (line 1 + line 2)		\$ 3,213,403.46
4. Match liability for current Federal fiscal year		\$ 364,862.61
5. Excess match carried over to next Federal fiscal year (line 3 minus line 4)		\$ 2,848,540.85

Part III Match Contribution for the Federal Fiscal Year

1. Project No. or Other ID	2. Date of Contribution (mm/dd/yyyy)	3. Cash (non-Federal sources)	4. Foregone Taxes, Fees, Charges	5. Appraised Land/ Real Property	6. Required Infrastructure	7. Site Preparation, Construction Materials, Donated labor	8. Bond Financing	9. Total Match
Prior Year MF Bonds	10/1/2007						\$ 4,692,488.69	\$ 91,215.65
6800 ALI CV	9/30/2012	40,816.32	\$ 225.00					\$ 41,041.32
6802 ALI CV	9/30/2012	40,816.32	\$ 225.00					\$ 41,041.32
6804 ALI CV	9/30/2012	40,816.32	\$ 225.00					\$ 41,041.32
6805 ALI CV	9/30/2012	40,816.32	\$ 200.00					\$ 41,016.32
6806 ALI CV	9/30/2012	40,816.32	\$ 245.00					\$ 41,061.32
5525 ALOMAR CV	9/30/2012		\$ 305.00					\$ 305.00
1121 ALTUM ST	9/30/2012		\$ 33.00					\$ 33.00
1908 ANTONE ST	9/30/2012		\$ 345.00					\$ 345.00
3820 AUTUMN BAY DR	9/30/2012		\$ 345.00					\$ 345.00
11321 BARNS TRL	9/30/2012		\$ 305.00					\$ 305.00
11200 BARNS TRL	9/30/2012		\$ 345.00					\$ 345.00

HOME Match Report

U.S. Department of Housing and Urban Development
Office of Community Planning and Development

OMB Approval No. 2506-0171
(exp. 8/31/2009)

2. Name of the Participating Jurisdiction

Federal Fiscal Year (yyyy)

City of Austin

2012

1. Project No. or Other ID	2. Date of Contribution (mm/dd/yyyy)	3. Cash (non-Federal sources)	4. Foregone Taxes, Fees, Charges	5. Appraised Land/ Real Property	6. Required Infrastructure	7. Site Preparation, Construction Materials, Donated labor	8. Bond Financing	9. Total Match
11201 BARNS TRL	9/30/2012		\$ 305.00					\$ 305.00
11204 BARNS TRL	9/30/2012		\$ 285.00					\$ 285.00
11205 BARNS TRL	9/30/2012		\$ 345.00					\$ 345.00
11208 BARNS TRL	9/30/2012		\$ 305.00					\$ 305.00
11209 BARNS TRL	9/30/2012		\$ 345.00					\$ 345.00
11212 BARNS TRL	9/30/2012		\$ 345.00					\$ 345.00
11213 BARNS TRL	9/30/2012		\$ 305.00					\$ 305.00
11216 BARNS TRL	9/30/2012		\$ 345.00					\$ 345.00
11221 BARNS TRL	9/30/2012		\$ 390.00					\$ 390.00
11305 BARNS TRL	9/30/2012		\$ 345.00					\$ 345.00
11312 BARNS TRL	9/30/2012		\$ 345.00					\$ 345.00
11313 BARNS TRL	9/30/2012		\$ 265.00					\$ 265.00
11316 BARNS TRL	9/30/2012		\$ 345.00					\$ 345.00
11317 BARNS TRL	9/30/2012		\$ 245.00					\$ 245.00
11320 BARNS TRL	9/30/2012		\$ 305.00					\$ 305.00
11401 BARNS TRL	9/30/2012		\$ 285.00					\$ 285.00
11408 BARNS TRL	9/30/2012		\$ 265.00					\$ 265.00
11409 BARNS TRL	9/30/2012		\$ 305.00					\$ 305.00
11417 BARNS TRL	9/30/2012		\$ 345.00					\$ 345.00
6800 BAYTHORNE DR	9/30/2012		\$ 305.00					\$ 305.00
6812 BAYTHORNE DR	9/30/2012		\$ 245.00					\$ 245.00
6816 BAYTHORNE DR	9/30/2012		\$ 305.00					\$ 305.00
6824 BAYTHORNE DR	9/30/2012		\$ 245.00					\$ 245.00

HOME Match Report

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Office of Community Planning and Development

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2. Name of the Participating Jurisdiction								Federal Fiscal Year (yyyy)
City of Austin								2012
1. Project No. or Other ID	2. Date of Contribution (mm/dd/yyyy)	3. Cash (non-Federal sources)	4. Foregone Taxes, Fees, Charges	5. Appraised Land/ Real Property	6. Required Infrastructure	7. Site Preparation, Construction Materials, Donated labor	8. Bond Financing	9. Total Match
4052 BERKMAN DR	9/30/2012		\$ 128.00					\$ 128.00
4056 BERKMAN DR	9/30/2012		\$ 128.00					\$ 128.00
4300 BERKMAN DR	9/30/2012		\$ 1,049.00					\$ 1,049.00
4306 BERKMAN DR	9/30/2012		\$ 1,049.00					\$ 1,049.00
3801 BERKMAN DR BLDG 1	9/30/2012		\$ 11,672.00					\$ 11,672.00
3801 BERKMAN DR BLDG 2	9/30/2012		\$ 4,387.00					\$ 4,387.00
3801 BERKMAN DR BLDG 3	9/30/2012		\$ 11,400.00					\$ 11,400.00
3801 BERKMAN DR BLDG 4	9/30/2012		\$ 6,824.00					\$ 6,824.00
3801 BERKMAN DR BLDG 5	9/30/2012		\$ 3,042.00					\$ 3,042.00
3801 BERKMAN DR BLDG 6	9/30/2012		\$ 7,054.00					\$ 7,054.00
9001 BERRYLINE CV	9/30/2012		\$ 305.00					\$ 305.00
5701 BERRYLINE WAY	9/30/2012		\$ 305.00					\$ 305.00
5705 BERRYLINE WAY	9/30/2012		\$ 345.00					\$ 345.00
4233 CAMACHO ST	9/30/2012		\$ 294.00					\$ 294.00
4309 CAMACHO ST	9/30/2012		\$ 294.00					\$ 294.00
4321 CAMACHO ST	9/30/2012		\$ 191.00					\$ 191.00
6704 CANAL ST	9/30/2012		\$ 288.00					\$ 288.00
8704 CAPITOL VIEW DR	9/30/2012		\$ 305.00					\$ 305.00
9008 CHINA ROSE DR	9/30/2012		\$ 265.00					\$ 265.00
9012 CHINA ROSE DR	9/30/2012		\$ 305.00					\$ 305.00
9020 CHINA ROSE DR	9/30/2012		\$ 390.00					\$ 390.00
9100 CHINA ROSE DR	9/30/2012		\$ 265.00					\$ 265.00
1401 COMETA ST	9/30/2012		\$ 244.00					\$ 244.00

HOME Match Report

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2. Name of the Participating Jurisdiction								Federal Fiscal Year (yyyy)
City of Austin								2012
1. Project No. or Other ID	2. Date of Contribution (mm/dd/yyyy)	3. Cash (non-Federal sources)	4. Foregone Taxes, Fees, Charges	5. Appraised Land/ Real Property	6. Required Infrastructure	7. Site Preparation, Construction Materials, Donated labor	8. Bond Financing	9. Total Match
4320 S CONGRESS AVE	9/30/2012		\$ 1,250.00					\$ 1,250.00
4320 S CONGRESS AVE UNIT	9/30/2012		\$ 1,185.00					\$ 1,185.00
4320 S CONGRESS AVE UNIT	9/30/2012		\$ 3,490.00					\$ 3,490.00
4320 S CONGRESS AVE UNIT	9/30/2012		\$ 3,490.00					\$ 3,490.00
4320 S CONGRESS AVE UNIT	9/30/2012		\$ 2,921.00					\$ 2,921.00
4320 S CONGRESS AVE UNIT	9/30/2012		\$ 3,490.00					\$ 3,490.00
4320 S CONGRESS AVE UNIT	9/30/2012		\$ 4,065.00					\$ 4,065.00
10108 COPPER RIDGE CV	9/30/2012		\$ 345.00					\$ 345.00
10112 COPPER RIDGE CV	9/30/2012		\$ 265.00					\$ 265.00
10100 DEER CHASE TRL	9/30/2012		\$ 285.00					\$ 285.00
10104 DEER CHASE TRL	9/30/2012		\$ 245.00					\$ 245.00
10108 DEER CHASE TRL	9/30/2012		\$ 245.00					\$ 245.00
2504 DEVONSHIRE DR	9/30/2012		\$ 225.00					\$ 225.00
10201 DOLCE VISTA DR	9/30/2012		\$ 345.00					\$ 345.00
11208 DRUMELLAN ST	9/30/2012		\$ 285.00					\$ 285.00
11212 DRUMELLAN ST	9/30/2012		\$ 305.00					\$ 305.00
11216 DRUMELLAN ST	9/30/2012		\$ 265.00					\$ 265.00
11217 DRUMELLAN ST	9/30/2012		\$ 305.00					\$ 305.00
11220 DRUMELLAN ST	9/30/2012		\$ 285.00					\$ 285.00
11221 DRUMELLAN ST	9/30/2012		\$ 345.00					\$ 345.00
11300 DRUMELLAN ST	9/30/2012		\$ 305.00					\$ 305.00
11305 DRUMELLAN ST	9/30/2012		\$ 285.00					\$ 285.00
11309 DRUMELLAN ST	9/30/2012		\$ 390.00					\$ 390.00

HOME Match Report

U.S. Department of Housing and Urban Development
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2. Name of the Participating Jurisdiction								Federal Fiscal Year (yyyy)
City of Austin								2012
1. Project No. or Other ID	2. Date of Contribution (mm/dd/yyyy)	3. Cash (non-Federal sources)	4. Foregone Taxes, Fees, Charges	5. Appraised Land/ Real Property	6. Required Infrastructure	7. Site Preparation, Construction Materials, Donated labor	8. Bond Financing	9. Total Match
11312 DRUMELLAN ST	9/30/2012		\$ 305.00					\$ 305.00
11315 DRUMELLAN ST	9/30/2012		\$ 265.00					\$ 265.00
11320 DRUMELLAN ST	9/30/2012		\$ 265.00					\$ 265.00
8905 EDMUNDSBURY DR	9/30/2012		\$ 285.00					\$ 285.00
8909 EDMUNDSBURY DR	9/30/2012		\$ 305.00					\$ 305.00
8912 EDMUNDSBURY DR	9/30/2012		\$ 305.00					\$ 305.00
8917 EDMUNDSBURY DR	9/30/2012		\$ 265.00					\$ 265.00
8920 EDMUNDSBURY DR	9/30/2012		\$ 345.00					\$ 345.00
9000 EDMUNDSBURY DR	9/30/2012		\$ 245.00					\$ 245.00
9001 EDMUNDSBURY DR	9/30/2012		\$ 305.00					\$ 305.00
9004 EDMUNDSBURY DR	9/30/2012		\$ 245.00					\$ 245.00
9005 EDMUNDSBURY DR	9/30/2012		\$ 265.00					\$ 265.00
9008 EDMUNDSBURY DR	9/30/2012		\$ 265.00					\$ 265.00
9009 EDMUNDSBURY DR	9/30/2012		\$ 305.00					\$ 305.00
9012 EDMUNDSBURY DR	9/30/2012		\$ 305.00					\$ 305.00
9016 EDMUNDSBURY DR	9/30/2012		\$ 265.00					\$ 265.00
9020 EDMUNDSBURY DR	9/30/2012		\$ 245.00					\$ 245.00
9104 EDMUNDSBURY DR	9/30/2012		\$ 390.00					\$ 390.00
9108 EDMUNDSBURY DR	9/30/2012		\$ 285.00					\$ 285.00
9200 EDMUNDSBURY DR	9/30/2012		\$ 245.00					\$ 245.00
9208 EDMUNDSBURY DR	9/30/2012		\$ 305.00					\$ 305.00
2016 EMMA LONG ST	9/30/2012		\$ 153.00					\$ 153.00
5521 EMMA THOMPSON W	9/30/2012		\$ 245.00					\$ 245.00

HOME Match Report

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City of Austin								2012
1. Project No. or Other ID	2. Date of Contribution (mm/dd/yyyy)	3. Cash (non-Federal sources)	4. Foregone Taxes, Fees, Charges	5. Appraised Land/ Real Property	6. Required Infrastructure	7. Site Preparation, Construction Materials, Donated labor	8. Bond Financing	9. Total Match
5525 EMMA THOMPSON W	9/30/2012		\$ 285.00					\$ 285.00
5529 EMMA THOMPSON W	9/30/2012		\$ 245.00					\$ 245.00
5601 EMMA THOMPSON W	9/30/2012		\$ 265.00					\$ 265.00
5609 EMMA THOMPSON W	9/30/2012		\$ 245.00					\$ 245.00
5613 EMMA THOMPSON W	9/30/2012		\$ 245.00					\$ 245.00
5617 EMMA THOMPSON W	9/30/2012		\$ 345.00					\$ 345.00
5621 EMMA THOMPSON W	9/30/2012		\$ 285.00					\$ 285.00
5629 EMMA THOMPSON W	9/30/2012		\$ 345.00					\$ 345.00
13408 GUARNERE DR	9/30/2012		\$ 245.00					\$ 245.00
13416 GUARNERE DR	9/30/2012		\$ 305.00					\$ 305.00
1116 HARVARD ST	9/30/2012		\$ 225.00					\$ 225.00
1707 HASKELL ST	9/30/2012		\$ 302.00					\$ 302.00
3304 HYCLIMB CIR	9/30/2012		\$ 269.00					\$ 269.00
2501 S IH 35 SVRD NB	9/30/2012		\$ 9,535.00					\$ 9,535.00
4901 IRVINE LN	9/30/2012		\$ 305.00					\$ 305.00
4917 IRVINE LN	9/30/2012		\$ 285.00					\$ 285.00
4921 IRVINE LN	9/30/2012		\$ 305.00					\$ 305.00
5005 IRVINE LN	9/30/2012		\$ 345.00					\$ 345.00
5017 IRVINE LN	9/30/2012		\$ 345.00					\$ 345.00
6424 KIRKWYND DR	9/30/2012		\$ 345.00					\$ 345.00
6500 KIRKWYND DR	9/30/2012		\$ 305.00					\$ 305.00
6504 KIRKWYND DR	9/30/2012		\$ 345.00					\$ 345.00
6508 KIRKWYND DR	9/30/2012		\$ 305.00					\$ 305.00

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City of Austin								2012
1. Project No. or Other ID	2. Date of Contribution (mm/dd/yyyy)	3. Cash (non-Federal sources)	4. Foregone Taxes, Fees, Charges	5. Appraised Land/ Real Property	6. Required Infrastructure	7. Site Preparation, Construction Materials, Donated labor	8. Bond Financing	9. Total Match
6512 KIRKWYND DR	9/30/2012		\$ 345.00					\$ 345.00
6517 KIRKWYND DR	9/30/2012		\$ 345.00					\$ 345.00
6520 KIRKWYND DR	9/30/2012		\$ 390.00					\$ 390.00
6600 KIRKWYND DR	9/30/2012		\$ 390.00					\$ 390.00
6604 KIRKWYND DR	9/30/2012		\$ 345.00					\$ 345.00
6608 KIRKWYND DR	9/30/2012		\$ 265.00					\$ 265.00
6612 KIRKWYND DR	9/30/2012		\$ 345.00					\$ 345.00
6616 KIRKWYND DR	9/30/2012		\$ 265.00					\$ 265.00
6620 KIRKWYND DR	9/30/2012		\$ 265.00					\$ 265.00
6624 KIRKWYND DR	9/30/2012		\$ 305.00					\$ 305.00
5520 KLEBERG TRL	9/30/2012		\$ 345.00					\$ 345.00
4900 LEXINGTON MEADOW	9/30/2012		\$ 305.00					\$ 305.00
4901 LEXINGTON MEADOW	9/30/2012		\$ 390.00					\$ 390.00
4920 LEXINGTON MEADOW	9/30/2012		\$ 345.00					\$ 345.00
4924 LEXINGTON MEADOW	9/30/2012		\$ 430.00					\$ 430.00
2505 LONGVIEW ST BLDG A	9/30/2012		\$ 192.00					\$ 192.00
2505 LONGVIEW ST BLDG A	9/30/2012		\$ 498.00					\$ 498.00
2505 LONGVIEW ST BLDG A	9/30/2012		\$ 320.00					\$ 320.00
2505 LONGVIEW ST BLDG A	9/30/2012		\$ 249.00					\$ 249.00
2505 LONGVIEW ST BLDG B	9/30/2012		\$ 1,060.00					\$ 1,060.00
2505 LONGVIEW ST BLDG B	9/30/2012		\$ 1,028.00					\$ 1,028.00
2505 LONGVIEW ST BLDG B	9/30/2012		\$ 1,043.00					\$ 1,043.00
2505 LONGVIEW ST BLDG B	9/30/2012		\$ 1,028.00					\$ 1,028.00

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2505 LONGVIEW ST BLDG B	9/30/2012		\$ 1,028.00					\$ 1,028.00
2505 LONGVIEW ST BLDG B	9/30/2012		\$ 6,718.00					\$ 6,718.00
2505 LONGVIEW ST BLDG C	9/30/2012		\$ 999.00					\$ 999.00
2505 LONGVIEW ST BLDG C	9/30/2012		\$ 999.00					\$ 999.00
2505 LONGVIEW ST BLDG C	9/30/2012		\$ 999.00					\$ 999.00
2505 LONGVIEW ST BLDG C	9/30/2012		\$ 999.00					\$ 999.00
2505 LONGVIEW ST BLDG C	9/30/2012		\$ 999.00					\$ 999.00
2505 LONGVIEW ST BLDG C	9/30/2012		\$ 6,373.00					\$ 6,373.00
2505 LONGVIEW ST Garage	9/30/2012		\$ 5,243.00					\$ 5,243.00
6800 LUCY CV	9/30/2012	40,816.32	\$ 200.00					\$ 41,016.32
6801 LUCY CV	9/30/2012	40,816.32	\$ 200.00					\$ 41,016.32
6802 LUCY CV	9/30/2012	40,816.32	\$ 245.00					\$ 41,061.32
6805 LUCY CV	9/30/2012	40,816.32	\$ 225.00					\$ 41,041.32
6806 LUCY CV	9/30/2012	40,816.32	\$ 225.00					\$ 41,041.32
6807 LUCY CV	9/30/2012	40,816.32	\$ 225.00					\$ 41,041.32
6808 LUCY CV	9/30/2012	40,816.32	\$ 200.00					\$ 41,016.32
6809 LUCY CV	9/30/2012	40,816.32	\$ 225.00					\$ 41,041.32
6810 LUCY CV	9/30/2012	40,816.32	\$ 245.00					\$ 41,061.32
6814 LUCY CV	9/30/2012	40,816.32	\$ 225.00					\$ 41,041.32
6409 LYNCH LN	9/30/2012		\$ 244.00					\$ 244.00
3700 MANCHACA RD	9/30/2012		\$ 270.00					\$ 270.00
2918 E MARTIN LUTHER KING	9/30/2012		\$ 1,295.00					\$ 1,295.00
4301 MATTIE ST	9/30/2012		\$ 294.00					\$ 294.00

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4305 MATTIE ST	9/30/2012		\$ 294.00					\$ 294.00
1113 MYRTLE ST	9/30/2012		\$ 245.00					\$ 245.00
5513 NELSON OAKS DR	9/30/2012		\$ 265.00					\$ 265.00
5525 NELSON OAKS DR	9/30/2012		\$ 265.00					\$ 265.00
5605 NELSON OAKS DR	9/30/2012		\$ 285.00					\$ 285.00
5613 NELSON OAKS DR	9/30/2012		\$ 265.00					\$ 265.00
5617 NELSON OAKS DR	9/30/2012		\$ 705.00					\$ 705.00
5620 NELSON OAKS DR	9/30/2012		\$ 285.00					\$ 285.00
5701 NELSON OAKS DR	9/30/2012		\$ 390.00					\$ 390.00
5800 NELSON OAKS DR	9/30/2012		\$ 345.00					\$ 345.00
5820 NELSON OAKS DR	9/30/2012		\$ 285.00					\$ 285.00
5928 NELSON OAKS DR	9/30/2012		\$ 285.00					\$ 285.00
12921 NOCHE CLARA DR	9/30/2012		\$ 290.00					\$ 290.00
8912 NORWICH CASTLE DR	9/30/2012		\$ 285.00					\$ 285.00
7113 OUTFITTER DR	9/30/2012		\$ 245.00					\$ 245.00
7116 OUTFITTER DR	9/30/2012		\$ 345.00					\$ 345.00
7117 OUTFITTER DR	9/30/2012		\$ 245.00					\$ 245.00
7120 OUTFITTER DR	9/30/2012		\$ 345.00					\$ 345.00
7121 OUTFITTER DR	9/30/2012		\$ 265.00					\$ 265.00
7124 OUTFITTER DR	9/30/2012		\$ 285.00					\$ 285.00
7125 OUTFITTER DR	9/30/2012		\$ 345.00					\$ 345.00
7128 OUTFITTER DR	9/30/2012		\$ 245.00					\$ 245.00
7129 OUTFITTER DR	9/30/2012		\$ 345.00					\$ 345.00

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City of Austin								2012
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7200 OUTFITTER DR	9/30/2012		\$ 345.00					\$ 345.00
7201 OUTFITTER DR	9/30/2012		\$ 285.00					\$ 285.00
7204 OUTFITTER DR	9/30/2012		\$ 300.00					\$ 300.00
7205 OUTFITTER DR	9/30/2012		\$ 360.00					\$ 360.00
7208 OUTFITTER DR	9/30/2012		\$ 245.00					\$ 245.00
7209 OUTFITTER DR	9/30/2012		\$ 285.00					\$ 285.00
7212 OUTFITTER DR	9/30/2012		\$ 265.00					\$ 265.00
7213 OUTFITTER DR	9/30/2012		\$ 285.00					\$ 285.00
7216 OUTFITTER DR	9/30/2012		\$ 285.00					\$ 285.00
7217 OUTFITTER DR	9/30/2012		\$ 345.00					\$ 345.00
7220 OUTFITTER DR	9/30/2012		\$ 360.00					\$ 360.00
7221 OUTFITTER DR	9/30/2012		\$ 245.00					\$ 245.00
7224 OUTFITTER DR	9/30/2012		\$ 345.00					\$ 345.00
7225 OUTFITTER DR	9/30/2012		\$ 345.00					\$ 345.00
7228 OUTFITTER DR	9/30/2012		\$ 285.00					\$ 285.00
7229 OUTFITTER DR	9/30/2012		\$ 285.00					\$ 285.00
6405 PANADERO CV	9/30/2012		\$ 345.00					\$ 345.00
6417 PANADERO CV	9/30/2012		\$ 305.00					\$ 305.00
6421 PANADERO CV	9/30/2012		\$ 345.00					\$ 345.00
8309 PANADERO DR	9/30/2012		\$ 285.00					\$ 285.00
8313 PANADERO DR	9/30/2012		\$ 390.00					\$ 390.00
8317 PANADERO DR	9/30/2012		\$ 305.00					\$ 305.00
8321 PANADERO DR	9/30/2012		\$ 305.00					\$ 305.00

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City of Austin								2012
1. Project No. or Other ID	2. Date of Contribution (mm/dd/yyyy)	3. Cash (non-Federal sources)	4. Foregone Taxes, Fees, Charges	5. Appraised Land/ Real Property	6. Required Infrastructure	7. Site Preparation, Construction Materials, Donated labor	8. Bond Financing	9. Total Match
8325 PANADERO DR	9/30/2012		\$ 430.00					\$ 430.00
8329 PANADERO DR	9/30/2012		\$ 390.00					\$ 390.00
8401 PANADERO DR	9/30/2012		\$ 390.00					\$ 390.00
8405 PANADERO DR	9/30/2012		\$ 285.00					\$ 285.00
8408 PANADERO DR	9/30/2012		\$ 390.00					\$ 390.00
8409 PANADERO DR	9/30/2012		\$ 265.00					\$ 265.00
8412 PANADERO DR	9/30/2012		\$ 390.00					\$ 390.00
8413 PANADERO DR	9/30/2012		\$ 390.00					\$ 390.00
8416 PANADERO DR	9/30/2012		\$ 265.00					\$ 265.00
8417 PANADERO DR	9/30/2012		\$ 390.00					\$ 390.00
8420 PANADERO DR	9/30/2012		\$ 390.00					\$ 390.00
8500 PANADERO DR	9/30/2012		\$ 430.00					\$ 430.00
8517 PANADERO DR	9/30/2012		\$ 390.00					\$ 390.00
8521 PANADERO DR	9/30/2012		\$ 390.00					\$ 390.00
8525 PANADERO DR	9/30/2012		\$ 430.00					\$ 430.00
8529 PANADERO DR	9/30/2012		\$ 285.00					\$ 285.00
8533 PANADERO DR	9/30/2012		\$ 390.00					\$ 390.00
8537 PANADERO DR	9/30/2012		\$ 345.00					\$ 345.00
8616 PANADERO DR	9/30/2012		\$ 285.00					\$ 285.00
8617 PANADERO DR	9/30/2012		\$ 285.00					\$ 285.00
8705 PANADERO DR	9/30/2012		\$ 390.00					\$ 390.00
8708 PANADERO DR	9/30/2012		\$ 265.00					\$ 265.00
8713 PANADERO DR	9/30/2012		\$ 285.00					\$ 285.00

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8717 PANADERO DR	9/30/2012		\$ 430.00					\$ 430.00
8724 PANADERO DR	9/30/2012		\$ 390.00					\$ 390.00
8801 PANADERO DR	9/30/2012		\$ 390.00					\$ 390.00
3501 PICKLE DR	9/30/2012		\$ 288.00					\$ 288.00
6009 RANDLEMAN DR	9/30/2012		\$ 305.00					\$ 305.00
6013 RANDLEMAN DR	9/30/2012		\$ 245.00					\$ 245.00
6017 RANDLEMAN DR	9/30/2012		\$ 345.00					\$ 345.00
6021 RANDLEMAN DR	9/30/2012		\$ 305.00					\$ 305.00
6025 RANDLEMAN DR	9/30/2012		\$ 245.00					\$ 245.00
6029 RANDLEMAN DR	9/30/2012		\$ 305.00					\$ 305.00
6101 RANDLEMAN DR	9/30/2012		\$ 265.00					\$ 265.00
6109 RANDLEMAN DR	9/30/2012		\$ 305.00					\$ 305.00
6113 RANDLEMAN DR	9/30/2012		\$ 305.00					\$ 305.00
6117 RANDLEMAN DR	9/30/2012		\$ 305.00					\$ 305.00
12800 SAINT THOMAS DR	9/30/2012		\$ 305.00					\$ 305.00
5904 SANDSHOF DR	9/30/2012	40,816.32	\$ 225.00					\$ 41,041.32
6000 SANDSHOF DR	9/30/2012	40,816.32	\$ 400.00					\$ 41,216.32
6001 SANDSHOF DR	9/30/2012	40,816.32	\$ 200.00					\$ 41,016.32
6004 SANDSHOF DR	9/30/2012	40,816.32	\$ 225.00					\$ 41,041.32
6007 SANDSHOF DR	9/30/2012	40,816.32	\$ 225.00					\$ 41,041.32
6301 SANDSHOF DR	9/30/2012	40,816.32	\$ 245.00					\$ 41,061.32
6305 SANDSHOF DR	9/30/2012	40,816.32	\$ 225.00					\$ 41,041.32
6309 SANDSHOF DR	9/30/2012	40,816.32	\$ 225.00					\$ 41,041.32

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6401 SANDSHOF DR	9/30/2012	40,816.32	\$ 225.00					\$ 41,041.32
6403 SANDSHOF DR	9/30/2012	40,816.32	\$ 200.00					\$ 41,016.32
2008 SIMOND AVE	9/30/2012		\$ 765.00					\$ 765.00
2012 SIMOND AVE	9/30/2012		\$ 765.00					\$ 765.00
2013 SIMOND AVE	9/30/2012		\$ 1,276.00					\$ 1,276.00
2016 SIMOND AVE	9/30/2012		\$ 765.00					\$ 765.00
2017 SIMOND AVE	9/30/2012		\$ 765.00					\$ 765.00
2020 SIMOND AVE	9/30/2012		\$ 765.00					\$ 765.00
2021 SIMOND AVE	9/30/2012		\$ 1,265.00					\$ 1,265.00
2024 SIMOND AVE	9/30/2012		\$ 765.00					\$ 765.00
2025 SIMOND AVE	9/30/2012		\$ 1,276.00					\$ 1,276.00
8705 SOUTHWICK DR	9/30/2012		\$ 265.00					\$ 265.00
8717 SOUTHWICK DR	9/30/2012		\$ 245.00					\$ 245.00
8721 SOUTHWICK DR	9/30/2012		\$ 345.00					\$ 345.00
8801 SOUTHWICK DR	9/30/2012		\$ 245.00					\$ 245.00
8805 SOUTHWICK DR	9/30/2012		\$ 245.00					\$ 245.00
8816 SOUTHWICK DR	9/30/2012		\$ 345.00					\$ 345.00
8821 SOUTHWICK DR	9/30/2012		\$ 245.00					\$ 245.00
8901 SOUTHWICK DR	9/30/2012		\$ 245.00					\$ 245.00
8912 SOUTHWICK DR	9/30/2012		\$ 245.00					\$ 245.00
8916 SOUTHWICK DR	9/30/2012		\$ 345.00					\$ 345.00
9000 SOUTHWICK DR	9/30/2012		\$ 245.00					\$ 245.00
9004 SOUTHWICK DR	9/30/2012		\$ 245.00					\$ 245.00

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9005 SOUTHWICK DR	9/30/2012		\$ 245.00					\$ 245.00
9008 SOUTHWICK DR	9/30/2012		\$ 245.00					\$ 245.00
9012 SOUTHWICK DR	9/30/2012		\$ 245.00					\$ 245.00
8812 STAMBOURNE ST	9/30/2012		\$ 265.00					\$ 265.00
8904 STAMBOURNE ST	9/30/2012		\$ 430.00					\$ 430.00
8909 STAMBOURNE ST	9/30/2012		\$ 305.00					\$ 305.00
8912 STAMBOURNE ST	9/30/2012		\$ 265.00					\$ 265.00
8920 STAMBOURNE ST	9/30/2012		\$ 305.00					\$ 305.00
9004 STAMBOURNE ST	9/30/2012		\$ 285.00					\$ 285.00
9005 STAMBOURNE ST	9/30/2012		\$ 305.00					\$ 305.00
9009 STAMBOURNE ST	9/30/2012		\$ 305.00					\$ 305.00
9013 STAMBOURNE ST	9/30/2012		\$ 245.00					\$ 245.00
9016 STAMBOURNE ST	9/30/2012		\$ 305.00					\$ 305.00
9017 STAMBOURNE ST	9/30/2012		\$ 265.00					\$ 265.00
3917 THREADGILL ST	9/30/2012		\$ 191.00					\$ 191.00
3921 THREADGILL ST	9/30/2012		\$ 191.00					\$ 191.00
3925 THREADGILL ST	9/30/2012		\$ 191.00					\$ 191.00
3900 THREADGILL ST UNIT 1	9/30/2012		\$ 228.00					\$ 228.00
3900 THREADGILL ST UNIT 1	9/30/2012		\$ 166.00					\$ 166.00
3900 THREADGILL ST UNIT 1	9/30/2012		\$ 166.00					\$ 166.00
3900 THREADGILL ST UNIT 2	9/30/2012		\$ 179.00					\$ 179.00
3900 THREADGILL ST UNIT 3	9/30/2012		\$ 166.00					\$ 166.00
3900 THREADGILL ST UNIT 4	9/30/2012		\$ 154.00					\$ 154.00

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3900 THREADGILL ST UNIT 5	9/30/2012		\$ 166.00					\$ 166.00
3900 THREADGILL ST UNIT 6	9/30/2012		\$ 166.00					\$ 166.00
3900 THREADGILL ST UNIT 7	9/30/2012		\$ 191.00					\$ 191.00
3900 THREADGILL ST UNIT 8	9/30/2012		\$ 154.00					\$ 154.00
3900 THREADGILL ST UNIT 9	9/30/2012		\$ 179.00					\$ 179.00
2736 TOM MILLER ST	9/30/2012		\$ 154.00					\$ 154.00
2740 TOM MILLER ST	9/30/2012		\$ 431.00					\$ 431.00
2744 TOM MILLER ST	9/30/2012		\$ 166.00					\$ 166.00
2748 TOM MILLER ST	9/30/2012		\$ 179.00					\$ 179.00
6024 TOYE DR	9/30/2012		\$ 245.00					\$ 245.00
6028 TOYE DR	9/30/2012		\$ 265.00					\$ 265.00
6100 TOYE DR	9/30/2012		\$ 245.00					\$ 245.00
5521 TRACE CREEK PASS	9/30/2012		\$ 345.00					\$ 345.00
5525 TRACE CREEK PASS	9/30/2012		\$ 285.00					\$ 285.00
5529 TRACE CREEK PASS	9/30/2012		\$ 585.00					\$ 585.00
5909 VENTUS ST	9/30/2012		\$ 285.00					\$ 285.00
6916 VILLITA AVENIDA	9/30/2012		\$ 225.00					\$ 225.00
1313 WILLOW ST	9/30/2012		\$ 225.00					\$ 225.00
5805 ZACHARY SCOTT ST	9/30/2012		\$ 285.00					\$ 285.00
5809 ZACHARY SCOTT ST	9/30/2012		\$ 285.00					\$ 285.00
5813 ZACHARY SCOTT ST	9/30/2012		\$ 265.00					\$ 265.00
5816 ZACHARY SCOTT ST	9/30/2012		\$ 285.00					\$ 285.00
5817 ZACHARY SCOTT ST	9/30/2012		\$ 285.00					\$ 285.00

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City of Austin								2012
1. Project No. or Other ID	2. Date of Contribution (mm/dd/yyyy)	3. Cash (non-Federal sources)	4. Foregone Taxes, Fees, Charges	5. Appraised Land/ Real Property	6. Required Infrastructure	7. Site Preparation, Construction Materials, Donated labor	8. Bond Financing	9. Total Match
5820 ZACHARY SCOTT ST	9/30/2012		\$ 265.00					\$ 265.00
5824 ZACHARY SCOTT ST	9/30/2012		\$ 305.00					\$ 305.00
5828 ZACHARY SCOTT ST	9/30/2012		\$ 345.00					\$ 345.00
5829 ZACHARY SCOTT ST	9/30/2012		\$ 345.00					\$ 345.00
5832 ZACHARY SCOTT ST	9/30/2012		\$ 285.00					\$ 285.00
5900 ZACHARY SCOTT ST	9/30/2012		\$ 345.00					\$ 345.00
5904 ZACHARY SCOTT ST	9/30/2012		\$ 345.00					\$ 345.00
5908 ZACHARY SCOTT ST	9/30/2012		\$ 305.00					\$ 305.00
5920 ZACHARY SCOTT ST	9/30/2012		\$ 305.00					\$ 305.00
								\$ -
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								\$ -

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HOME PROGRAM INCOME

Federal Requirement - Program Income is income received by a PJ, state recipient, or sub-recipient directly generated from the use of HOME funds. Program income includes, but is not limited to: proceeds from the sale or long-term lease of real property acquired, rehabilitated, or constructed with HOME funds; income from the use or rental of real property; payment of principal and interest on loans made with HOME; and proceeds from the sale of loans or obligations secured by loans made with HOME, interest on program income, and any other interest or return on the investment of HOME funds. Amount of program income reported that was returned to each individual revolving fund, e.g., housing rehabilitation. Amount repaid on each float-funded activity. All other loan repayments broken down by the categories of housing rehabilitation, or other amount of income received from the sale of property by parcel. The amount of program income from each property is determined by the source that funds the unit. Projects that received both CDBG and HOME will have program income realized by both sources.

Exhibit 3.12: HOME Loan Repayment FY 2011-12

Down Payment Assistance (DPA)	166,561.23
Homeowner Rehabilitation Loan Program (HRLP)	45,379.43
Rental Housing Development Assistance (RHDA)	3,747.70
Shared Equity A&D Loan	2,312.93
A&D Loan	104,690.46
Recaptured - DPA	21,431.00
Administration	24,585.64
Total	368,708.39

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HOME PRIOR PERIOD ADJUSTMENTS

Federal Guideline - Where reimbursement was made this reporting period for expenditures (made in previous reporting periods) that have been disallowed, provide the following information: Activity name and number as shown in IDIS.

Program year(s) in which the expenditure(s) for the disallowed activity(ies) was reported. Amount returned to line-of-credit or program account. Total amount to be reimbursed and the time period over which the reimbursement is to be made, if the reimbursement is made with multi-year payments.

Exhibit 3.13: HOME Prior Period Adjustments

Activity	IDIS#	Program Year(s)	Line of Credit	Reimbursed	Time Period
A&D 3111 East 12th	1717	2008	\$9,627.33	\$6,388.46	2008-11
A&D 6914 Villita Avenida	5576	2009	\$4,183.22	\$312.31	2009-11
A&D 6902 Villita Avenida	5612	2009	\$4,097.38	\$226.46	2009-11

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Federal Guideline - Use Part III of HUD Form 40107 to report contracts and subcontracts with Minority Business Enterprises (MBEs) and Women's Business Enterprises (WBEs).

Minority Business Enterprises (MBE) and Women Business Enterprises (WBE)
HUD Form 40107 Part III

Exhibit 3.14: MBE and WBE

Minority Business Enterprises (MBE) and Women Business Enterprises (WBE)

	a. Total	b. Alaskan Native or American Indian	c. Asian or Pacific Islander	d. Black Non-Hispanic	e. Hispanic	f. White Non-Hispanic
A. Contracts:						
1. Number	10	0	0	1	3	6
2. Dollar Amount	\$2,270,288	0	0	\$105,625	\$1,025,374	\$1,139,288
B. Sub-Contracts						
1. Number	2	0	0	1	1	0
2. Dollar Amount	\$55,774	0	0	\$53,074	\$2,700	0

	a. Total	b. Women Business Enterprises (WBE)	c. Male
C. Contracts:			
1. Number	7	3	4
2. Dollar Amount	\$2,135,771	\$721,921	\$1,413,850
D. Sub-Contracts:			
1. Number	2	0	2
2. Dollar Amount	\$55,774	0	\$55,774

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ASSESSMENTS

Federal Guideline - Results of on-site inspections of rental housing.

During FY 2011-12 NHCD completed a total of 278 HQS inspections completed for the Rental Housing Development Assistance (RHDA) Program and Acquisition and Development (A&D) Program. Out of 278 units inspected, 272 units passed inspection. The remaining units are undergoing re-inspection.

Federal Guideline - HOME jurisdiction's affirmative marketing actions.

As a recipient of federal funds, the City of Austin must adopt affirmative marketing procedures and requirements for rental and homebuyer projects containing five or more HOME-assisted housing units. Affirmative marketing steps consist of actions that provide information and otherwise attract eligible persons in the housing market area to the available housing without regard to race, color, national origin, sex, religion, familial status or disability.

NHCD's efforts toward affirmative marketing in its housing programs aim to ensure that eligible households have ample access and opportunity to participate in programs and services that use federal funds. In FY 2011-12, Homebuyer, Renter Assistance, and Homeowner Assistance programs and services were marketed to residents in low- to moderate-income neighborhoods and those with limited English proficiency. NHCD participated in multiple events throughout Austin promoting programs and services.

Marketing efforts in FY 2011-12 were conducted through English and Spanish media outlets. NHCD has two full-time equivalent (FTE) employees that are certified bilingual and offer assistance with clients with limited English proficiency.

In addition, the City's web site continues to play a vital role in targeting information to the public and ensuring program information reaches a broad audience. For more information on NHCD's Affirmative Marketing and Minority Outreach Plan, see Chapter 5.

Federal Guideline - Outreach to minority and women owned businesses.

NHCD works with the City's Small and Minority Business Resources (SMBR) Department for administration of the minority-owned and women-owned business enterprises (MBE/WBE) Procurement Program as established by the City Council in 1987. SMBR is responsible for the implementation of the certification process for the City of Austin and for ensuring that only firms that meet the eligibility criteria are certified as MBEs or WBEs in compliance with City of Austin Code of Ordinances

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The MBE/WBE program encourages minorities and women participation on City contracts by establishing special procurement goals on City contracts above the City Manager's spending authority. Goals for MBE and WBE participation differ from contract to contract, based on the type of contract, the availability of MBEs and WBEs to perform the functions of the contract, and other factors. Minority goals may be either aggregate MBE/WBE goals or race-specific goals depending on the project.

SMBR provides development opportunities and resources so that small and minority businesses enterprises can have affirmative access to city procurement opportunities and succeed in their efforts to grow. SMBR offers monthly workshops, construction plans, surety bonding counseling, and access and assistance to trade association membership so that small businesses are better prepared to bid on contracting opportunities.

Community Involvement and Outreach

In FY 2011-12, NHCD and AHFC provided a significant amount of outreach to the community regarding city programs and services, participated and planned numerous events, and provided valuable services to Austin's communities. The following is a list of NHCD's community involvement and outreach during the fiscal year.

- September 2012, Mass Program Distribution (City of Austin's Community Clinics) – Marketing AHFC housing and service programs.
- September 2012, Holly Good Neighbor Program Information Session – Marketing AHFC housing and service programs.
- September 2012, River City Youth Foundation – Marketing AHFC housing and service programs.
- September 2012, Child, Inc. – Marketing AHFC housing and service programs.
- September 2012, Mail-Out for LeadSmart Program, Marketing LeadSmart program services.
- September 2012, Urban Renewal Agency Meeting – Provided brochures marketing AHFC housing and service program information.
- September 2012, Joslin Elementary *Back to School Bash* – Provided brochures marketing AHFC housing and service program information.
- September 2012, Community Development Commission Meeting – Provided brochures marketing AHFC housing and service program information.
- September 2012, Galindo Elementary *Meeting with Parent Support Specialists* - Provided brochures marketing AHFC housing and service program information.
- September 2012, South Austin Senior Activity Center *Meeting with Recreation Program Supervisors* – Provided brochures marketing AHFC housing and service program information.
- September 2012, Dove Springs Recreation Center *Meeting with Recreation Program Supervisors* – Provided brochures marketing AHFC housing and service program information.

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- September 2012, Dittmar Recreation Center *Meeting with Recreation Program Supervisors* – Provided brochures marketing AHFC housing and service program information.
- August 2012, River City Youth Foundation – Provided brochures marketing AHFC housing and service program information.
- August 2012, Travis County Medical Center – Provided brochures marketing AHFC housing and service program information.
- August 2012, WellMed – Provided brochures marketing AHFC housing and service program information.
- August 2012, Austin Neighborhood Council – Marketed AHFC housing and service programs.
- August 2012, Austin Independent School District Parents Supports Program – Marketed AHFC housing and service programs in Parent Support Program newsletter.
- August 2012, Alamo Recreation Center – Provided brochures marketing AHFC housing and service program information.
- August 2012, Flyer Mail-Out - Marketed AHFC housing and service programs.
- August 2012, Austin Independent School District *Back to School Bash* – Marketed AHFC housing and service programs.
- August 2012, YMCA Afterschool Care – Provided brochures marketing AHFC housing and service program information.
- August 2012, Housing Repair Coalition Meeting – Provided brochures marketing AHFC housing and service program information.
- August 2012, Conley-Guerrero Senior Center – Provided brochures marketing AHFC housing and service program information.
- August 2012, CommUnity Care *Living Well Workshop* – Marketed AHFC housing and service programs.
- August 2012, Southwest Key Programs – Marketed AHFC housing and service programs.
- August 2012, CommUnity Care – Provided brochures marketing AHFC housing and service program information.
- August 2012, Extend-A-Care Kids – Marketed AHFC housing and service programs.
- August 2012, El Buen Samaritano – Marketed AHFC housing and service programs.
- June 2012, YMCA Afterschool Care – Marketed AHFC housing and service programs.
- June 2012, American-GI Forum National Veterans Outreach Program, Inc. – Marketed AHFC housing and service programs.
- June 2012, Virginia L. Brown Recreation Center – Marketed AHFC housing and service programs.
- June 2012, Flyer Mail-Out, Marketed AHFC housing and service programs.
- June 2012, *Get Home Magazine* Article – Promoted AHFC housing and services in over 10,000 magazines.
- June 2012, 12th Annual Winter's Health Fair – Provided brochures marketing AHFC housing and service program information.

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- June 2012, HOPE Program Food Distribution – Provided brochures marketing AHFC housing and service program information.
- June 2012, Community & Senior Centers – Provided brochures marketing AHFC housing and service program information.
- June 2012, 11 Multi-Family Visits – Provided brochures marketing AHFC housing and service program information.
- May 2012, Senior Health Fair – Provided brochures marketing AHFC housing and service program information.
- May 2012, Cunningham Elementary *College Night* - Provided brochures marketing AHFC housing and service program information.
- April 2012, City of Austin's Equal Employment Fair Housing Office's *It's Not Fair Housing, It's the Law* – Marketed AHFC housing and service programs.
- April 2012, Austin Community College *Dia de la Familia* – Marketed AHFC housing and service programs.
- April 2012, Austin Tenants' Council's Housing Fair – Marketed AHFC housing and service programs.
- April 2012, FY 2012-13 Action Plan *Community Conversation* – Provided brochures marketing AHFC housing and service program information.
- April 2012, Texas Comptroller's Office Brown Bag – Marketed AHFC housing and service programs.
- March 2012, Equal Employment Fair Housing Office – Provided brochures marketing AHFC housing and service program information.
- March 2012, Flyer Mail Out – Provided residents AHFC housing and service program information.
- March 2012, Education Fair – Marketed AHFC housing and service programs.
- February 2012, City of Austin's *My Health, My Voice Forum* – Marketed AHFC housing and service programs.
- January 2012, Community Job and Resource Expo – Marketed AHFC housing and service programs.
- January, 2012, Real Estate Agents Training – Provided program requirement training and application distribution.
- January, 2012 – City of Austin's Job Fair – Marketed AHFC housing and service programs.
- January 2012, Two Loan Officers Training – Provided program requirement training and application distribution.
- November 2011, Mail-Out – Marketed all AHFC housing and service programs.
- November 2011, Presentation – Marketed AHFC housing and service programs.
- October 2011, Mail-Out – Marketed all AHFC housing and services programs.

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Federal Requirement - Describe how and the frequency with which you monitored your activities.

Desk reviews are performed on 100 percent of our activities, annually, during the term of affordability. Projects are reviewed for long-term compliance through compliance documentation submitted by the subrecipient/borrower: financial audits, occupancy reports, tenant income certifications, HQS inspection reports, and insurance certificates. In addition to the documentation submitted by the subrecipient/borrower, the following resources are reviewed:

- County tax office records to determine if property taxes are current.
- City code compliance services to determine if there are any active code violations on the property.
- Central appraisal district to determine if there has been a transfer of ownership.
- County, Official Public Record to determine if there has been a transfer of ownership or foreclosure.
- City utility records to verify occupancy.
- Pay request documentation.
- Performance Reports.
- Proof of homeowner's insurance coverage.
- Demographic documentation.

By annually reviewing the above referenced documentation and other resources, staff determines if the project is compliant with monitoring requirements. Based upon risk assessment of each case, staff may conduct a site visit to confirm information provided by the subrecipient/borrower and/or determine the adequacy of on-site records. In addition, technical assistance is provided should necessary improvements be found through the City's monitoring.

Federal Requirement - What is the status of your grant programs? Are any activities or strategies falling behind schedule?

To view a status of NHCD's grant programs, see the Self Evaluation in Chapter 1.

Federal Requirement - Are grant disbursements timely?

Yes, all grant disbursements are timely.

Federal Requirement - Do actual expenditures differ from letter of credit disbursements?

No, actual expenditures do not differ from letter of credit disbursements.

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Federal Requirements - Evaluation of progress in meeting the specific objective of providing affordable housing, including the number of extremely low-income, low-income, and moderate-income renters and owner households assisted in FY 2011-12 and the number of households assisted with housing that meets the Section 215 definition of affordable housing for rental and homeownership. This summary of progress includes a comparison of proposed goals and actual accomplishments in the FY 2011-12 reporting period, efforts to address "worst-case needs," and the accessibility needs of persons with disabilities. Exhibit 3.15 displays the City's progress in meeting specific objectives of providing affordable housing.

Priority		Programs/Projects/Activities	Funding Source	FY 2011-12 Beginning Balance	FY 2011-12 Appropriation	FY 2011-12 Total Amount Available	Total Amount Expended	FY 2011-12 Proposed Goal	FY 2011-12 Accomplishment
Homeless/ Special Needs Assistance	1	Shelter Operation and Maintenance	ESG	-	\$261,726	\$261,726	\$261,726	8,500	9,181
	2	Homeless Essential Services:	ESG	-	\$52,233	\$52,233	\$52,233	35	52
	3	Short-Term Rent, Mortgage, and Utility	HOPWA	-	\$81,458	\$81,458	\$82,215	220	118
	4	Tenant-Based Rental Assistance	HOPWA	-	\$566,337	\$566,337	\$495,773	122	98
	5	Permanent Housing Placement	HOPWA	-	\$24,446	\$24,446	\$26,889	83	46
	6	Short-Term Housing Assistance	HOPWA	-	\$46,853	\$46,853	\$65,421	50	66
	7	Transitional Housing	HOPWA	-	\$202,708	\$202,708	\$202,708	49	59
	8	Supportive Services	HOPWA	-	\$67,570	\$67,570	\$67,570	49	59
	9	Child Care Services	CDBG SF	\$65,935	\$594,120	\$660,055	\$627,980	324	384
					\$94,130	\$94,130	\$68,039		
	10	Senior Services	CDBG SF	-	\$20,130	\$20,130	\$20,130	208	211
				\$116,341	\$116,341	\$116,341			
				\$186,188	\$186,188	\$186,188			
				\$22,012	\$22,012	\$22,012			
Renter Assistance	1	Tenant-Based Rental Assistance	HOME SF	\$319,528	\$510,300	\$829,828	\$621,615	115	115
				\$0	\$6,700	\$6,700	\$52,445		
	2	Architectural Barrier Removal - Renter	GO Bonds	\$1,099	\$250,000	\$321,099	\$119,700	20	16
3	Tenants' Rights Assistance	CDBG SF	-	\$231,254	\$231,254	\$231,254	549	711	
				\$0	\$57,475	\$57,475	\$0,194		
Homebuyer Assistance	1	Housing Smarts	SF HTF	\$0	\$99,233	\$99,233	\$91,049	340	273
				\$83,572	\$0	\$83,572	\$83,572		
2	Down Payment Assistance	CDBG HOME HOME-PI	\$11,500	\$0	\$11,500	\$0	\$321,549	10	16
				\$776,114	\$871,933	\$1,648,047			
				-	\$187,993	\$187,993	\$187,993		
Homeowner Assistance	1	Architectural Barrier Removal Program	CDBG	\$60,283	\$1,509,653	\$1,569,936	\$678,790	110	57
	2	Emergency Home Repair Program	CDBG	\$197,162	\$900,000	\$1,097,162	\$995,323	450	489
			CDBG	\$41,720	\$0	\$41,720	\$0		
			CDBG-RL	\$219,160	\$127,231	\$346,391	\$60,369		
	3	Homeowner Rehabilitation Loan Program	HOME HOME-PI	\$1,120,230	\$649,817	\$1,770,047	\$793,426	15	8
			HTF HAF	\$0	\$45,379	\$45,379	\$45,379		
				\$0	\$0	\$-75,168			
4	Home Repair Program	GO Bonds	\$742,511	\$1,135,000	\$1,877,511	\$1,705,406	222	107	
5	LeadSmart Program	Lead	\$0	\$2,500,000	\$2,500,000	\$384,064	38	39	
6	Holly Good Neighbor	AE	\$1,698,929	\$550,000	\$2,248,929	\$196,637	63	8	
Housing Developer Assistance	1	Rental Housing Development Assistance	CDBG	\$1,284,954	\$292,953	\$1,577,907	\$1,141,046	216	517
			CDBG-PI	\$0	\$0	\$0	\$40		
			HOME	\$1,610,176	\$90,000	\$2,200,176	\$803,392		
			HOME-PI	-	\$3,748	\$3,748	\$3,748		
			HOME (CHDO)	\$991,554	\$301,286	\$1,292,840	\$491,327		
			UNO	\$253,860	\$0	\$253,860	\$6,189		
			GF-CIP	\$0	\$0	\$0	\$0		
			GO Bonds	\$1,196,525	\$4,000,000	\$5,196,525	\$972,172		
			HTF	\$851,150	\$365,717	\$1,216,867	\$517,754		
			HAF	\$0	\$0	\$0	\$0		
	2	Acquisition and Development	CDBG	\$2,735,073	\$25,368	\$2,760,441	\$731,915	35	34
			CDBG-RL	\$73,648	\$61,055	\$134,703	\$134,703		
			HOME	\$2,322,385	\$189,947	\$2,512,332	\$31,917		
			HOME-PI	-	\$107,003	\$107,003	\$107,003		
			HOME (CHDO)	\$240,846	\$301,285	\$542,131	\$498,262		
			GF-CIP	\$1,017,608	\$5,607	\$1,103,215	\$112,759		
			GO Bonds	\$1,213,546	\$1,264,505	\$2,478,051	\$1,167,321		
HTF	\$565,218	\$0	\$565,218	\$4,633					
HAF	21,602	\$37,607	\$59,209	\$59,209					
3	CHDO Operating Expenses Grants	HOME (CO)	\$32,641	\$200,857	\$233,498	\$107,644	7	8	
4	Developer Incentive-Based Programs	SF GF-CIP	-	\$70,937	\$70,937	\$70,937	700	953	
			13,956	0	\$13,956	\$5,150			
TOTAL Households Served: All Housing Programs/ All Funding Sources*				\$19,832,485	\$20,516,135	\$40,348,620	\$16,405,942	12,689	13,786

*These financial number totals do not include Administration costs.

Chapter 3: Federal Objectives

Federal Guideline - Evaluate progress in meeting specific affordable housing objectives, including:

- a) Comparison of proposed numeric goals (from the consolidated plan and annual action plan) with the actual number of extremely low income, low income, and moderate income renter and owner households assisted during the reporting period.

See the demographic information and income levels served in Appendix II.

- b) Report the number of households served meeting the Section 215 requirements of affordable housing (essentially meeting the definitions in 24 CFR 92.252 and 92.254 for renters and owners, respectively).

See Housing Activity Tables in Chapter 6.

- c) Description of efforts to address worst case needs (defined as low-income renters with severe cost burden, in substandard housing, or involuntarily displaced).

NHCD has several programs that serve worst case needs. The Architectural Barrier Removal (ABR) Program – Owner/Renter provides home accessibility modifications for both low-income owners and renters. The Tenant Based Rental Assistance (TBRA) Program provides rental housing subsidies and security deposits to households earning at or below 50 percent of MFI, or \$37,450 a year for a family of four. The Rental Housing Development Assistance (RHDA) Program provides funding for non-profit and for-profit developers to acquire, rehabilitate or construct affordable rental housing for low-income households. In response to the City's commitment to ending homelessness, Permanent Supportive Housing (PSH) is a priority component of the RHDA program.

- d) Description of efforts to address the accessibility needs of persons with disabilities.

Architectural Barrier Removal (ABR) Program

The Architectural Barrier Removal (ABR) Program modifies the homes of seniors and persons with disabilities who have limited income and need their homes to be more accessible. These accessibility modifications help persons with disabilities remain in their homes longer and live with a greater degree of independence. All services are free to eligible persons. ABR Program services include: wheel chair ramps, handrails, door widening, buzzing or flashing devices (for people with visual/hearing impairment), accessible door and faucets handles, shower grab bars and shower wands, and accessible showers, toilets and sinks. For more information on program performance for FY 2011-12, see Chapter 6.

Chapter 3: Federal Objectives

S.M.A.R.T. Housing™

The City Council adopted the S.M.A.R.T. Housing™ Ordinance in April 2000 to encourage the development of reasonably priced, mixed-income housing units that meet accessibility standards. The S.M.A.R.T. Housing™ Ordinance ensures that new homes are Safe, Mixed-Income, Accessible, Reasonably Priced, and Transit-Oriented and meet the City's Green Building minimum-energy efficiency rating.

The policy stipulates that all S.M.A.R.T. Housing™ certified single-family ownership units meet visitability standards reflected in the City's Visitability Ordinance. All multi-family developments must comply with federal, state and local accessibility standards, and at least 10 percent of the multi-family housing units must be accessible. Developments that meet these and other prerequisites are given expedited review under the City's development process and reductions/exemptions for certain development and construction fees. S.M.A.R.T. Housing™ provides a vehicle to achieve neighborhood support for housing that serves low- and moderate-income residents by requiring applicants to meet with neighborhood organizations prior to filing an application for a zoning change.

In 2008, recommendations from the Council-appointed Affordable Housing Incentives Task Force (AHITF), some of which applied to S.M.A.R.T. Housing™, were incorporated into the City of Austin's Land Development Code. The City Council has adopted Land Development Code amendments that allow density bonuses in certain single-family and/or multi-family S.M.A.R.T. Housing™ developments without a zoning change. In addition, downtown developments may receive a density bonus without a zoning change by providing a percentage of affordable housing units or pay a fee-in-lieu to fund affordable housing in or near the downtown area.

Program accomplishments are described in the Chapter 1 Executive Summary and the Chapter 6 Activity Table.

ESG Report

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EMERGENCY SOLUTIONS GRANTS

Federal Guideline - Identify actions taken to prevent homelessness.

The City of Austin does not use Emergency Solutions Grant funding for Homeless prevention activities. The City of Austin funds Basic Needs services (rent and utility assistance) with General Fund dollars.

ESG- Specific Requirements

Federal Guideline - 1. Assessment of Relationship of ESG Funds to Goals and Objectives a. Identify actions to address emergency shelter and transitional housing needs of homeless individuals and families (including significant subpopulations such as those living on the streets).

The Emergency Solutions Grant (ESG) is very important in the overall plan for addressing homelessness in Austin. All ESG funds support the Continuum of Care homeless strategies as well as the Plan to End Community Homelessness. ESG-funded programs for the first allocation in FY 2011-12 include the following:

- 1) Operations and maintenance of the Austin Resource Center for the Homeless (ARCH)
- 2) Essential services of the Communicable Disease Unit for HIV-positive homeless clients

There was a second allocation of Emergency Solutions Grants funds for \$185,896 distributed during FY 2011-12. The following activities were funded and were contracted in October 2012, so there is no performance to report:

- 1) Rapid Rehousing – Downtown Austin Community Court
- 2) Homeless Management Information Systems – Front Steps

For further details see Housing Activity Tables in Chapter 6.

Federal Guideline - b. Evaluate progress made in using ESG funds to address homeless and homeless prevention needs, goals, and specific objectives established in the Consolidated Plan.

See Housing Activity Tables in Chapter 6.

Federal Guideline - c. Detail how ESG projects are related to implementation of comprehensive homeless planning strategy, including the number and types of individuals and persons in households served with ESG funds.

See Housing Activity Tables in Chapter 6.

Federal Guideline - 2. Matching Resources

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a. Provide specific sources and amounts of new funding used to meet match as required by 42 USC 11375(a)(1), including cash resources, grants, and staff salaries, as well as in-kind contributions such as the value of a building or lease, donated materials, or volunteer time.

The Emergency Solutions Grant (ESG) Program requires each local government grantee to match dollar-for-dollar the ESG funding provided by the U.S. Department of Housing and Urban Development (HUD). These matching funds must come from other public or private sources. For first allocation of ESG for fiscal year 2011-12, the City of Austin’s preliminary ESG grant allocation was \$330,481. Therefore, another \$330,481 was needed from the City and the sub recipients/contractors for matching funds. Any of the following may be included in calculating the matching funds requirement: 1) cash, 2) the value or fair rental value of any donated material or building, 3) the value of any lease on a building, 4) any salary paid to staff to carry out the program of the recipient, and 5) the value of the time and services contributed by volunteers to carry out the program of the recipient at a current rate of \$5 per house.

Exhibit 3.16: FY 2011-12 ESG Grant Award

Total First Allocation ESG Grant Award FY 2011-12			ESG Match Expended				
ESG Funded Contractor	Total ESG Grant Award (10/1/11-9/30/12)	Total Match Funds Pledged (10/1/11-9/30/12)	Staff Salaries	City or County Funds	Private/ Corporate Donations	Other Private Grants	Total Match Expended (10/1/10-9/30/11)
HHSD Grant Admin (City of Austin)	\$16,522	\$16,522	\$16,522				\$16,522
Communicable Disease Unit (City of Austin)	\$52,233	\$52,233	\$52,233				\$58,138
Front Steps, Inc. (ARCH)	\$261,726	\$261,726		\$261,726			\$261,726
TOTAL:	\$330,481	\$330,481	\$68,755	\$261,726			\$336,386

For the second allocation of FY 11-12 Emergency Solutions Grant funding, there has been no match recorded because contracts were signed in October 2012 and no expenditures have been completed.

Federal Guideline - 3. State Method of Distribution

a. States must describe their method of distribution and how it rated and selected its local government agencies and private nonprofit organizations acting as sub recipients.

Not Applicable.

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Federal Guideline - 4. Activity and Beneficiary Data

a. Completion of attached Emergency Solutions Grant Program (ESGP) Performance Chart or other reports showing ESGP expenditures by type of activity. Also describe any problems in collecting, reporting, and evaluating the reliability of this information.

See Housing Activity Tables in Chapter 6.

Federal Guideline - 5. Chronic Homelessness

a. Describe actions steps taken to address chronic homelessness.

Working from the 2010 ECHO Plan to End Community Homelessness, ECHO continues to focus the community on prevention, short-term and long-term homelessness and highly effective collaboration to end homelessness.

Prevention

- o With the chronically homeless who eventually get housing, prevention efforts are in place to keep them from falling back into homelessness. Rapid rehousing strategies and integrated support services are used, as well as Representative Payee Program using HHSD General Fund.
- o SSI/SSDI Outreach, Access, and Recovery (SOAR) strategies are reintroduced to the community with hopes of increase benefits and income to the chronically homeless to help them stay housed, and to secure housing

Long-Term Homelessness

- o Permanent Supportive Housing (PSH) is being recognized across the community as an effective strategy to provide stable housing for the chronically homeless: both corrections and healthcare providers are promoting PSH.
- o Travis County Housing Authority dedicated 40 vouchers to project-based PSH.
- o Since 2010, the City has placed 113 chronically homeless persons in PSH and partially funded another 156 units. The City currently has about 25% of the housing stock needed for PSH.
- o Non-profit partnerships, with City investment, continue to drive the PSH strategy. For example, Caritas of Austin signed a contract for a Permanent Supportive Housing Program through the 2011 Permanent Housing Bonus with a focus on ARCH/Front Steps clients. Caritas provides case management, housing at Arbor Terrace or at another Foundation Communities' site. This program secured 25 new units that will be set-aside for the chronically homeless.
- o Front Steps Inc. has a new Housing First, scattered site project from the 2009 Continuum of Care competition. Home Front Samaritan - Permanent Supportive Housing Program which has a 100% Focus on the chronically homeless with 26 units total.

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- Caritas of Austin's permanent supportive housing program for single, chronically homeless individuals at Spring Terrace. The project, funded through the Continuum of Care, is designed to lease 20 efficiency apartments with in-depth, long-term case management.
- Front Steps supports a permanent supportive housing program for single, chronically homeless individuals. The project, funded through the Continuum of Care, is designed to lease 10 efficiency apartments with in-depth, long-term case management.
- The City of Austin Leadership Committee on PSH Finance established last year by the City continues to work across the community to identify funds for PSH.
- Also, the local VASH program has adopted a "housing ready" strategy to work with veterans even before vouchers and they have streamlined the process and requirements to aid veterans in reducing their periods of homelessness.

Federal Guideline: 6. Homeless Discharge Coordination -

As part of the government developing and implementing a homeless discharge coordination policy, ESG homeless prevention funds may be used to assist very-low income individuals and families at risk of becoming homeless after being released from publicly funded institutions such as health care facilities, foster care or other youth facilities, or corrections institutions or programs.

Explain how your government is instituting a homeless discharge coordination policy, and how ESG homeless prevention funds are being used in this effort.

The City of Austin does not use ESG funds for homeless prevention activities. However, the community organization, ECHO, continues to coordinate with providers involved in foster care, healthcare and most significantly this year, jails and prisons.

Corrections Discharge System Coordination

In 2009, ECHO partnered with the Austin/Travis County Re-entry Roundtable to increase its connection to the criminal justice system in hopes of reducing the number of clients released into homelessness. Through the course of this project, which included representatives from local criminal justice agencies as well as the homeless-serving and mental health agencies, it was discovered that over 1,100 individuals fit this description in 2008 and were entering from incarceration back into Travis County. The preliminary recommendations from this group call for 100 new units of permanent supportive housing for people who are homeless, with a disability, and reentering from incarceration into Travis County. Other recommendations call for formal partnerships between ECHO and the local jail, from where the majority of homeless exit, to prevent release into homelessness. Both ECHO and Travis County officials support these recommendations. This project highlighted the scope of the issue and forged new alliances from which to build programming. Reinvigorating this initiative in 2012, ECHO and The Austin/Travis County Re-entry Roundtable will pursue the following action items:

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1. Explore the development of an effective homeless services coordinated information and referral system that will allow the creation of appropriate transition plans and referrals at jail release.
2. Provide resources in jails to ensure transition planning services for persons who have a history of homelessness or substantial risk of homelessness prior to their release
3. Establish more formal working relationships between community-based providers and local jails to ensure sustained relationships and effective coordination as well as set accountability measures and goals.
4. Develop/enhance strategies that will help connect homeless persons to effective services and housing options upon release including supportive housing (permanent, transitional and recovery housing options) as well as reunification with families and utilization of affordable market housing units.

Foster Care System Coordination

The ECHO Housing Committee oversees the success of foster care discharge planning. The state has entered into an agreement with the Housing Authority and LifeWorks to assist youth transitioning out of foster care in obtaining housing, case management and life skills services. The state provides the list of youth aging out to the Housing Authority and the Housing Authority certifies eligibility for vouchers. LifeWorks provides case management and life skills training. For those not eligible for this program, the Ready by 21 (RB21) Youth Aging Out Subcommittee established a Transition Plan checklist used by judges, child placement agencies, and caseworkers to ensure that youth are prepared to leave care. A checklist of safe boarding homes has been created. The youth eligible for Family Unification Program vouchers can choose to live based on availability of apartments that will accept the vouchers. Other youth are referred to boarding homes and a new transitional living program at the Austin Children's Shelter. The Housing Authority of the City of Austin, Texas Department of Family and Protective Services, and LifeWorks, Austin Children's Shelter are included in planning for this population. The RB21 Subcommittee includes members of local foster care- serving agencies, Austin Community College, the Texas Department of Family and Protective Services, the Casey Family Foundation, Travis County Court Appointed Special Advocates and the Travis County Juvenile Probation Department.

Health Care System Coordination

Front Steps, a homeless services provider, provides follow-up services for clients too well for the hospital yet too sick for the streets. This recuperative care program is operated at a nursing home with just 6 beds; clients are turned away every day. ECHO hopes to promote policy to expand recuperative care. ECHO hosted a meeting with the Catholic Diocese to explore a partnership to expand care and talks are on-going.

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Mental Health Discharge System Coordination

The ECHO Prevention Committee is charged with overseeing the prevention of discharge of individuals from mental health institutions into homelessness. The ECHO Housing Work Group reviews the implementation and effectiveness of discharge planning policies and procedures for people exiting mental health institutions. The State and the local mental health authority developed a discharge planning policy protocol to prevent or reduce the number of persons identified as homeless upon entry or exit from the Austin State Hospital, a state-funded psychiatric hospital, from being released into homelessness. Where: At discharge, the individual is offered treatment at the Inn, a 16 bed facility serving adults 18 years of age and older, and provides short-term community-based residential crisis treatment to adults who are residents of Travis County. The Inn is open 24/7 and is co-located with Psychiatric Emergency Services, the Mobile Crisis Outreach Team and the 24/7 Crisis Hotline. Should individuals need more time to recover and stabilize from their challenges, they are offered an opportunity to receive treatment at ATCIC's crisis respite program called Next Step. Next Step, provides short-term psychiatric respite services for adults residing in Travis County who are recovering from a psychiatric crisis. For those who choose not to participate, they are provided with options for boarding homes and transitional living facilities. Who: The State (Texas Department of State Health Services) and the local mental health authority Austin Travis County Integral Care (ATCIC) are responsible for mental health discharge coordination, with oversight from the ECHO Housing work group.

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Exhibit 3.17: State and Local Government ESG Expenditures Form

State and Local Government ESG Expenditures Form

Grantee Government: City of Austin Health and Human Services Department Official: Director of Health and Human Services

	FY 2009-2010		FY 2010-2011		FY 2011-2012	
	PLANNED	ACTUAL	PLANNED	ACTUAL	PLANNED	ACTUAL
Major Rehabilitation/ Renovation/Conversion	N/A	N/A	N/A	N/A	N/A	N/A
Homeless Prevention	N/A	N/A	N/A	N/A	N/A	N/A
Essential Services	\$52,233	\$52,233	\$52,233	\$52,233	\$52,233	\$52,233
Operations	\$260,087	\$260,087	\$261,689	\$261,689	\$261,726	\$261,726
Administration	\$16,026	\$16,026	\$16,522	\$16,522	\$16,522	\$16,522
TOTAL	\$328,346	\$328,346	\$330,444	\$330,444	\$330,481	\$330,481

Federal Guideline: Continuum of Care -

a. Identify actions taken to address the needs of homeless persons and persons with special needs who are not homeless but require supportive housing (including persons with HIV/AIDS). This description must include actions taken to implement a continuum of care strategy for homeless and new Federal resources obtained during the year.

The Ending Community Homelessness Coalition has taken several steps toward addressing the need for supportive housing, including:

1. The ECHO Board Chair also chairs a City-led coalition, the City of Austin Leadership Committee on PSH Finance, who are focused on gaining resources for an identified gap of 1,889 units of permanent supportive housing in Austin/Travis County. The work group’s goal is to fund 350 units in the next four years.
2. ECHO established a Housing Work Group that has inventoried all available supportive housing options and has created a housing guide for case managers.
3. ECHO led a 100 Homes Campaign in the fall of 2011 which has resulted in identifying 100 of the most vulnerable homeless individuals and is attempting to connect them with housing resources.

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4. ECHO's housing work group has established a Veteran's Task Group which will identify and attempt to align all the resources available for homeless veterans who require supportive housing.

5. The City of Austin's Neighborhood Housing and Community Development (NHCD) Office awarded G.O. Bond funding to three applicants whose projects contained supportive housing.

6. ECHO monitors the success of maintaining individuals in supportive housing through tracking in the HMIS system.

7. New resources: The Housing Authority of the City of Austin in 2011 received 100 VASH vouchers and 25 project-based VASH vouchers which are used to house veterans who are homeless. The project-based VASH vouchers are used at Green Doors which has a 12 year history of successfully housing and providing services for veterans.

b. Identify actions taken to prevent homelessness and to help homeless persons make the transition to permanent housing and independent living.

1. The ECHO Prevention work group identifies and creates strategies to decrease the number of individuals entering homelessness.

2. In 2010, based on the high score achieved by the CoC in the SuperNOFA application process, the Salvation Army was awarded one of five HUD funded Veterans Homeless Prevention demonstration projects which provides housing assistance, employment, and supportive services in a 5 county area for veterans. ECHO monitors the progress of this project.

3. The Employment and Income work group of ECHO is creating a list of employment, education, and training opportunities for individuals who are homeless or at-risk of homelessness and sharing this information with case managers.

4. Goodwill is placing job specialists at several sites that assist individuals who are homeless or at-risk for homelessness.

5. Caritas of Austin provides financial literacy classes to all who seek financial assistance.

c. Identify new Federal resources obtained from the Homeless SuperNOFA.

Through the successful SuperNOFA application process, in 2009, Austin/Travis County was awarded funding that resulted in an additional 20 new units of permanent supportive housing focused on the chronically homeless from the permanent housing bonus and 6 new units of permanent supportive housing and a trainer for the local HMIS department from Continuum of Care Final Pro Rata Need (FPRN).

Through the 2010 HUD SuperNOFA application process, Austin/Travis County received another permanent housing bonus which resulted in 20 new units of permanent supportive housing with a 100% Focus on Chronically Homeless, frequent users of the Downtown Community Court with severe and persistent mental illness. Through FPRN, a data analyst was also added for HMIS.

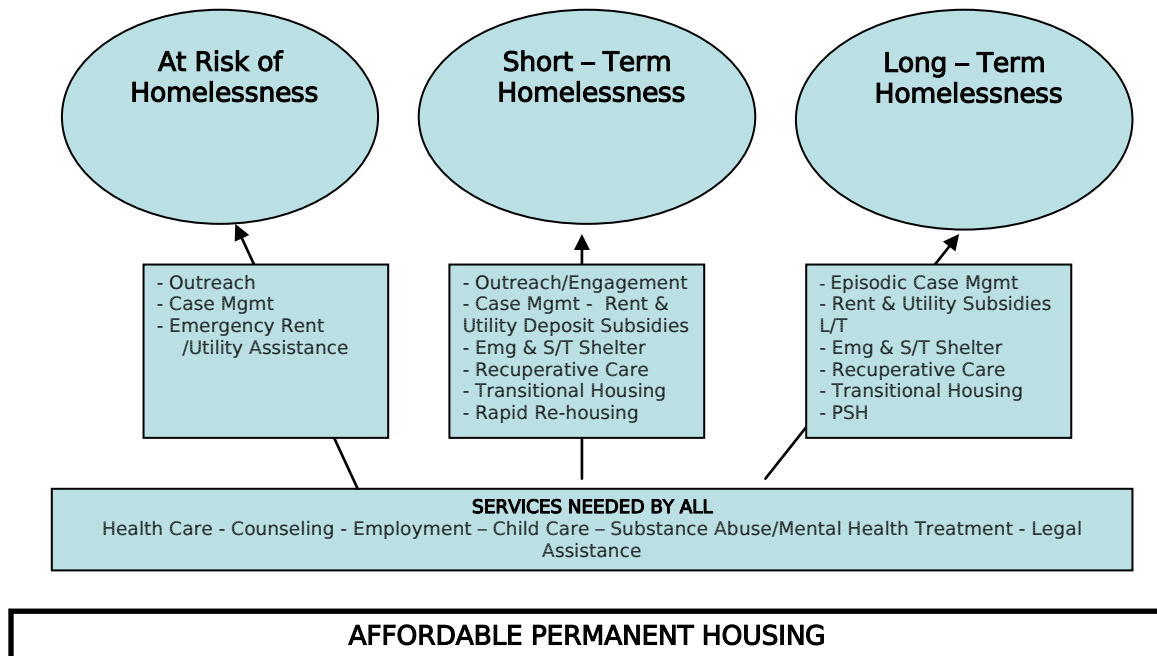
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Homeless Community Planning

A number of city and community programs exist to address homelessness in Austin/Travis County. Together they form a continuum of services that range from preventing homelessness to assisting people who are in homeless situations or nearly homeless with the goal of obtaining permanent housing and achieving independent living.

Exhibit 3.18: Homeless Continuum



ECHO's Vision

A community fiercely focused on ending homelessness.

ECHO's Mission

To provide dynamic, proactive leadership that engages policy makers and the community to end homelessness.

The ECHO Coalition organizational structure is described in the chart in Exhibit 3.19.

ECHO is actively engaged in ending community homelessness through the collaboration of community volunteers, business leaders, service providers, and City staff support. Together, social service organizations form a continuum of services that range from preventing homelessness to assisting people who are experiencing homelessness to obtain permanent housing and achieve independent living. The Austin community has been actively

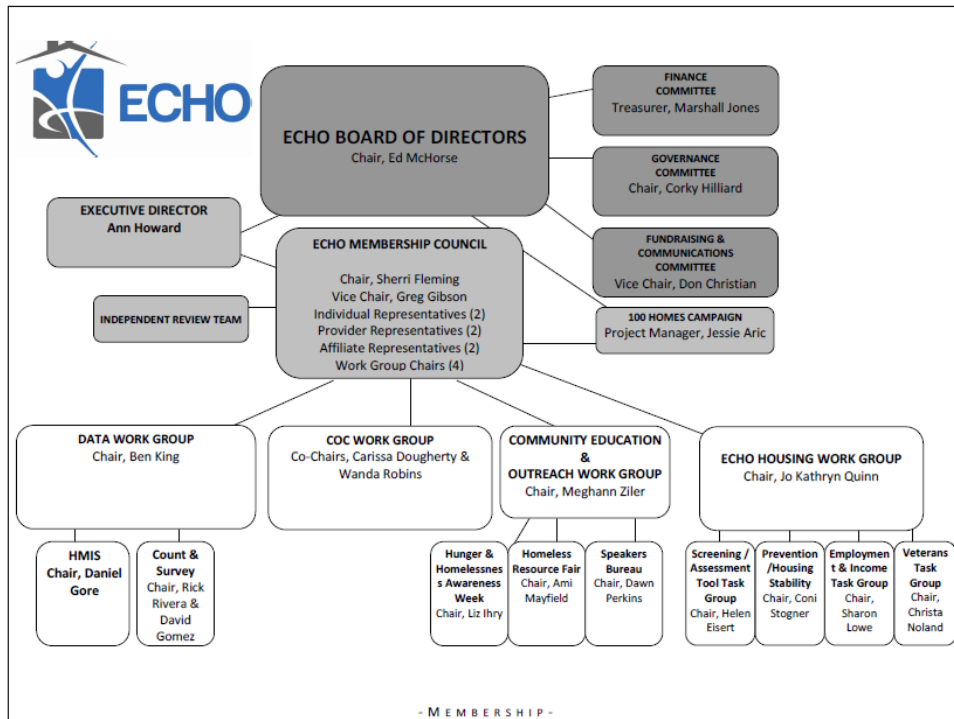
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engaged in ending community homelessness. ECHO's Planning and Evaluation Subcommittee is the primary planning body for the annual Continuum of Care application to HUD.

In FY 2009-2010 ECHO worked to incorporate as a 501c3 non-profit entity. The new ECHO structure was finalized in FY 2010-2011 and an Executive Director was hired in October 2011.

Exhibit 3.19: ECHO Organizational Chart



Austin's Plan to End Community Homelessness (2010)

The Plan to End Community Homelessness 2010 updates and expands upon Austin's 2004 Plan to End Chronic Homelessness. The 2004 plan focused on one segment of the homeless population – the chronically homeless – who have both an extended history of homelessness and significant barriers to self-sufficiency. The 2010 Plan substantially expands the scope of planning to include the entire continuum of the homeless population, from those at immediate risk of becoming homeless to the chronically homeless. The Plan addresses community homelessness by focusing on four critical areas: Prevention, Short-term Homelessness, Long-term Homelessness, and Highly Effective Coordination. ECHO membership unanimously voted to approve the Plan at the Quarterly Membership Meeting on April 16, 2010.

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Roof Over Austin Campaign

In FY2011-12 City of Austin staff conducted over 13 public meetings and events to engage and educate the community about the City's Permanent Supportive Housing (PSH) initiative and collaborated in the production of a PSH public service announcement video. Please see the campaign flyer "Roof Over Austin" in Exhibit 4.3. Please view the video at: http://youtu.be/e3_dW2RReqo .

Exhibit 3.20: 2010 CoC Application for FY 2011-2012 Funding

Agency	Project	Funded Amount	Number of Units
Caritas of Austin	New: Partnership Housing – Housing First frequent users of Downtown Community Court.	\$401,884	20
Austin/Travis County Integral Care	New pro rata need: Service Point Expansion	\$28,893	0
Austin/Travis County Integral Care	HMIS ServicePoint	\$78,533	0
Caritas of Austin	My Home: lease units, mostly for chronically homeless	\$303,712	32
LifeWorks	Transitional housing for homeless youth	\$212,969	15
Caritas of Austin	Spring Terrace: lease units for chronically homeless	\$196,492	20
Caritas of Austin	My Home Too	\$198,885	21
Front Steps	First Steps: lease units for chronically homeless	\$94,668	10
Community Partnerships for the Homeless- DBA: Green Doors	Permanent Supportive Housing at Glen Oaks Corner for homeless single head-of-household parents with a disability and their children	\$65,985	6
SafePlace	Spring Terrace Permanent Supportive Housing Project	\$613,002	40
The Salvation Army	Passages Program	\$538,081	0
Austin/Travis County Mental Health/Mental Retardation Center	Safe Haven	\$348,007	16
Housing Authority of the City of Austin/ATCIC	Shelter Plus Care	\$179,112	18
Housing Authority of Travis County /ATCIC	SP1 - Shelter Plus Care	\$547,392	54
Housing Authority of City of Austin	Shelter Plus Care	\$361,116	35
Housing Authority of Travis County /ATCIC	SP2 - Shelter Plus Care	\$198,096	20
Total		\$4,366,827	307

HOPWA Report



Housing Opportunities for Persons with AIDS (HOPWA) Program

Consolidated Annual Performance and Evaluation Report (CAPER) Measuring Performance Outcomes

OMB Number 2506-0133 (Expiration Date: 10/31/2014)

The CAPER report for HOPWA formula grantees provides annual information on program accomplishments that supports program evaluation and the ability to measure program beneficiary outcomes as related to: maintain housing stability; prevent homelessness; and improve access to care and support. This information is also covered under the Consolidated Plan Management Process (CPMP) report and includes Narrative Responses and Performance Charts required under the Consolidated Planning regulations. The public reporting burden for the collection of information is estimated to average 42 hours per manual response, or less if an automated data collection and retrieval system is in use, along with 60 hours for record keeping, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Grantees are required to report on the activities undertaken only, thus there may be components of these reporting requirements that may not be applicable. This agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless that collection displays a valid OMB control number.

Transgender: Transgender is defined as a person who identifies with, or presents as, a gender that is different from his/her gender at birth.

**Housing Opportunities for Person with AIDS (HOPWA)
Consolidated Annual Performance and Evaluation Report (CAPER)
Measuring Performance Outputs and Outcomes**

2. Project Sponsor Information

1. Grantee Information

HUD Grant Number TXH 11 F004		Operating Year for this report From 10/01/10 To) 09/30/11		
Grantee Name City of Austin				
Business Address		7201 Levander Loop		
City, County, State, Zip		Austin	Travis	TX 78702
Employer Identification Number (EIN) or Tax Identification Number (TIN)		74-6000085		
DUN & Bradstreet Number (DUNS):		94-5607265	Central Contractor Registration (CCR): Is the grantee's CCR status currently active? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, provide CCR Number: 37ZPO	
*Congressional District of Grantee's Business Address		10th		
*Congressional District of Primary Service Area(s)		10 21 25 31		
*City(ies) and County(ies) of Primary Service Area(s)		Cities: Austin Bastrop Round Rock Georgetown San Marcos	Counties: Travis Bastrop Caldwell Hays Williamson	
Organization's Website Address www.ci.austin.tx.us		Is there a waiting list(s) for HOPWA Housing Subsidy Assistance Services in the Grantee service Area? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, explain in the narrative section what services maintain a waiting list and how this list is administered.		

* Service delivery area information only needed for program activities being directly carried out by the grantee

Please complete Chart 2 for each organization designated or selected to serve as a project sponsors, as defined by CFR 574.3. Use this section to report on organizations involved in the direct delivery of services for client households. These elements address requirements in the Federal Financial Accountability and Transparency Act of 2006 (Public Law 109-282).

Note: Please see the definitions for distinctions between project sponsor and subrecipient.

Note: If any information does not apply to your organization, please enter N/A.

Note: Please see Definition section for distinctions between project sponsor and sub recipient

Project Sponsor Agency Name AIDS Services of Austin, Inc.		Parent Company Name, if applicable NA		
Name and Title of Contact at Project Sponsor Agency		Mathilde Hyams-Flores		
EMSAil Address		ASA.Mail@asaustin.org		
Business Address		PO Box 4874		
City, County, State, Zip,		Austin	Travis	TX 78765
Phone Number (with area code)		512-458-2437		Fax Number (with area code) 512-452-3299
Employer Identification Number (EIN) or Tax Identification Number (TIN)		74-2440865		
DUN & Bradstreet Number (DUNs):		78-2220941		
Congressional District of Project Sponsor's Business Address		10		
Congressional District(s) of Primary Service Area(s)		10, 21, 25, 31		
City(ies) and County(ies) of Primary Service Area(s)		Austin, Bastrop, Round Rock, Georgetown, San Marcos, et al.		Travis, Bastrop, Caldwell, Hays, Williamson
Total HOPWA contract amount for this Organization for the operating year		\$773,445		
Organization's Website Address http://www.asaustin.org		Does your organization maintain a waiting list? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, explain in the narrative section how this list is administered.		
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>				

Project Sponsor Agency Name Project Transitions		Parent Company Name, if applicable N/A		
Name and Title of Contact at Project Sponsor Agency		Josh Allen, Executive Director		
EMSAil Address		jallen@projecttransitions.org		
Business Address		PO Box 4826		
City, County, State, Zip,		Austin	Travis	TX 78765
Phone Number (with area code)		512.454.8646		Fax Number (with area code) 512.454.5039
Employer Identification Number (EIN) or Tax Identification Number (TIN)		74-2502171		
DUN & Bradstreet Number (DUNs):		78-8375921		
Congressional District of Project Sponsor's Business Address		10th		
Congressional District(s) of Primary Service Area(s)		10, 21, 25,31		
City(ies) and County(ies) of Primary Service Area(s)		Cities: Austin Bastrop Round Rock Georgetown San Marcos		Counties: Travis Bastrop Caldwell Hays Williamson
Total HOPWA contract amount for this Organization for the operating year		\$ 290,622		
Organization's Website Address http://www.ProjectTransitions.org		Does your organization maintain a waiting list? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>		If yes, explain in the narrative section how this list is administered.		

4. Grantee Narrative and Performance Assessment

a. Grantee and Community Overview

Provide a one to three page narrative summarizing major achievements and highlights that were proposed and completed during the program year. Include a brief description of the grant organization, area of service, the name(s) of the program contact(s), and an overview of the range/type of housing activities provided. This overview may be used for public information, including posting on HUD's website. *Note: Text fields are expandable are expandable.*

Brief Description of the Grant Organization

The Austin Eligible Metropolitan Statistical Area (EMSA) is located in central Texas and has received HOPWA funding since 1995. The EMSA serves Bastrop, Caldwell, Hays, Travis and Williamson counties which covers 4,281 square miles. The racial/ethnic distribution is as follows: 54.1% White; 33.1% Hispanic; 7.8% African American and 5% classified as Other. The Austin EMSA is one of the fastest growing areas in the United States. Its growth rate is double the rate for the State of Texas, and is more than three times larger than the rate for the United States. In 2006, nearly 85% of newly reported HIV and AIDS were reported in Travis County, with approximately 90% of those within the Austin city limits. The Austin Area Comprehensive HIV Planning Council provides input into the development of the City of Austin Consolidated Plan for housing services, which includes funding for the HOPWA program. AIDS Services of Austin and Project Transitions are the Project Sponsors for the program. They are long term partners with the City of Austin and provide HOPWA services through subcontracts.

The HIV Resources Administration Unit (HRAU) staff performs fiscal monitoring through monthly reviews of payment requests and expenditure patterns. Each subcontractor's annual independent financial audit is reviewed to obtain an overview of the agency's financial position. Project Sponsor site visits are performed annually. Staff reviews the agency's Board-approved financial policies and procedures, and ensures that Project Sponsor's maintain an accounting system in accordance with Generally Accepted Accounting Principles (GAAP).

Annual fiscal and program monitoring is required to ensure that the sponsors have systems in place to deliver high quality services in compliance with contract terms and conditions. Programmatic reviews address the following areas: general program expectations, intake, demographic information, eligibility, and income verification. Sponsors are monitored to ensure compliance with program objectives including target populations, services provided, number of clients served, and outcomes measured. During site visits, the monitoring team reviews client charts/files, interviews staff, and documents methods for collecting and reporting service outcomes. HRUA staff assesses program operations through a review of program policies and procedures and contract terms and conditions. Additionally, staff reviews monthly and quarterly program performance reports and annual client satisfaction survey results.

Name of the Program Contact: Hugh Beck, Grants Coordinator, 512-972-5079

Overview of the Range/Type of Housing Activities Provided

Case managers, located in six HIV agencies throughout the EMSA, serve as entry points for HIV/AIDS clients in need of housing assistance. Case managers assess each client's actual need for housing assistance, as well as their need for primary medical care and other HIV-related support services. Clients who are determined to be eligible for HOPWA program services participate in the development of an individualized housing service plan designed to identify and remedy obstacles to their attaining self-sufficiency. The case managers ensure that clients are informed of the availability of needed medical and supportive services, and provide referrals and assistance in accessing those services.

HOPWA program services are provided through two project sponsors, which are described below:

AIDS Services of Austin (ASA) provides direct client services and also acts as the fiscal agent for a collaboration that

includes five other HIV case management service providers. ASA's HOPWA program provides rent, mortgage, and utility assistance for income-eligible persons with HIV and AIDS and their families. The goal of the program is to prevent homelessness and to support independent living for clients. Case managers assess client need and submit requests for assistance accordingly.

There are four HUD-approved activities through ASA's HOPWA Program:

1. **Emergency Assistance Program:** This program provides payments for short-term rent, mortgage, and utility assistance (STRMU) in order to prevent homelessness by enabling income-eligible individuals to remain in their current residences.
2. **Rental Assistance Program:** This program provides tenant-based rental assistance (TBRA) and assistance for shared housing arrangements.
3. **Permanent Housing Placement (PHP):** This program provides first month's rent and utility assistance to meet the urgent needs of eligible persons with HIV/AIDS and their families. PHP will assist eligible clients in establishing a new residence where on-going occupancy is expected to continue. Assistance will be provided to eligible clients and their families with payment of first month's rent, when necessary to secure permanent housing, and will complement other forms of HOPWA housing assistance.
4. **Short Term Supportive Housing (STSH):** This program provides short term emergency shelter to homeless families or individuals (households) living with HIV/AIDS. Short term facilities are intended to provide temporary shelter (up to 60 days in a six month period) to prevent homelessness and allow for the opportunity to develop an individualized housing and service plan to guide the client's linkage to permanent housing.

The second project sponsor is Project Transitions (PT). This agency's HOPWA program provides residential supportive services through apartment-style and scattered-site housing. Supportive services are also provided. Thirty apartments are located in two agency-owned facilities, and other apartments are leased throughout the community. A variety of supportive services are offered to all clients including: facility-based meals, counseling, substance abuse relapse prevention support, client advocacy, transportation, and assistance with obtaining permanent housing. This addresses the Consolidated Plan Assisted Housing Strategy goal to "Assist eligible households in securing and non-profit organization in creating limited-term housing and supportive services."

There are two HUD-approved activities through PT's HOPWA Program:

1. **Transitional Housing:** This activity provides facility-based and scattered-site transitional housing with support services to persons with HIV disease. Transitional housing is not provided to any individual for more than 24 months. Project Transitions has 30 apartments, located in two agency-owned facilities, and other apartments that are leased throughout the community. This program is designed to increased stability, to reduce homelessness, and increase access to care and support.
2. **Supportive Services:** A variety of supportive services are offered to all clients including: facility-based meals, life skills management counseling, substance abuse relapse prevention support, client advocacy, transportation, and assistance with obtaining permanent housing. Case managers ensure that clients are informed of the availability of needed medical and supportive services, and provide referrals and assistance in accessing those services.

Major Achievements and Highlights

The HOPWA Housing Coordinator and Case Manager participate monthly in the ECHO (End Community Homelessness Coalition) housing work group. They have assisted with a subcommittee to validate a screening and assessment tool that may be utilized by the committee for determining client's need for permanent supportive housing. The results of the pilot were released at the housing work group meeting in April. The results showed that the tool piloted is not appropriate for the Austin community needs. The housing work group has presented its findings concerning the pilot to the ECHO board who will be addressing the need for a community screening tool. They also have begun to attend PCC (Program Coordinating Committee) meetings. This is a group of community resource staff whose goal is to combine their knowledge to help mutual clients access and utilize services to be more self-sufficient. The group attempts to collaborate to identify gaps in services and ways to meet client needs.

The HOPWA Consortium has centralized the TBRA program in an effort to build consistency within the EMSA's participating consortium agencies. The program for all five consortium agencies was centralized in June 2012.

Community Action, Inc. (CAI) provides housing services in the rural areas of the EMSA and is now collaborating with the Wright House Wellness Center (WHWC) to provide services which are not available to those clients. These services include transportation, housing assistance, and financial assistance. The Coordinator of Case Management Services (CCMS) at CAI and WHWC staff have been working together to create and update agency forms in order to make the referral and service delivery process efficient. The CCMS created procedures describing how case managers should refer their clients for assistance and also provided forms to be used for each request needed by the client. The CCMS has been updating the forms to reflect any new issues or circumstances that may arise. The CCMS will continue to evaluate the effectiveness of services provided to clients of an outside agency who are also receiving financial assistance from CAI. CAI also works closely with ASA in order to provide clients with dental, housing and food bank services. CAI case managers routinely refer their client's to ASA's Jack Sansing Dental clinic for dental needs. CAI also participates in the Austin Area HOPWA Consortium. ASA administers HOPWA funds and CAI case managers complete and obtain all necessary paperwork to process clients HOPWA request. CAI case manager also refer clients who meet ASA eligibility requirements to the ASA food bank. Clients who qualify are able to utilize the ASA food bank twice a month.

ASA and WHWC successfully collaborated with 10 Central Texas community agencies to receive Best Single Source Plus funding from the City of Austin that allows for a restart of the re-entry program with additional dollars for direct assistance for housing stability for all eligible ASA clients. ASA clients will receive up to \$125,000 in housing assistance. WHWC and ASA continued working with the collaborative of housing providers funded by the Homelessness Prevention and Rapid Re-housing Project (HHRP) through the Texas Department of Housing and Community Affairs to address the needs of the re-entry population through August of 2011. Clients needing further case management beyond August were transferred to ASA, WHWC, or the CARE Program. HPRP funding was subsequently extended through December of 2011 and several HOPWA eligible ASA case managed clients successfully accessed these assistance dollars.

Project Transitions provides a mix of housing options for PLWHAs such as apartment-style housing and supportive services. However, specific emphasis is placed on two target populations who historically have faced extraordinary challenges accessing housing: the homeless and single head of household, especially women and children. Clients work toward self-sufficiency and obtain permanent housing with the assistance of a case manager.

Another accomplishment, which initially presented itself as a challenge, has been dealing with clients improved health condition due to the use of new drug therapies. The major challenge stemming from improved health condition has been that when a client's health improves, it does not signify immediate discontinuation of the utilization of housing services. Therefore, adequate and focused supportive services are essential in order to better meet the needs of the clients, which includes housing assistance. In addition, as clients are living longer the need for housing services expands as more and more clients are requesting affordable housing. This results in long waiting lists for a limited number of available units. Wait lists are reviewed when an opening occurs and clients considered to have the greatest need with the longest wait time and who can live safely in a community environment are admitted.

b. Annual Performance under the Action Plan

Provide a narrative addressing each of the following four items:

Previous editions are obsolete

form HUD-40110-D (Expiration Date: 10/31/2014)

1. Outputs Reported. Describe significant accomplishments or challenges in achieving the number of housing units supported and the number households assisted with HOPWA funds during this operating year compared to plans for this assistance, as approved in the Consolidated Plan/Action Plan. Describe how HOPWA funds were distributed during your program year among different categories of housing and geographic areas to address needs throughout the grant service area, consistent with approved plans.

- The units of service provided through TBRA are currently at 96%.The number of households served was 98%.
- The units of service through STSH are slightly below the target at 98% and the number of households served by STSH has exceeded UDC at 146%. The STSH program continues to show an increase possibly due to limited housing placement resources throughout the community at this time and growing influx of homeless into the community. The slight decrease in UOS is due to additional funds that were placed into the program during last quarter as a result of the transfer of assistance from the TBRA program.
- The units of service for STRMU are below the 100% marker at 82%. The decrease in UOS is due to additional funds that were placed into the program during last quarter as a result of transfer of assistance from the TBRA program. The number of households served is at 60%, due to more use of 21- weeks of STRMU which serves fewer clients with more assistance and case managers leveraging the Best Single Source Plus funding that was just made available in April of 2012.
- The units of service PHPS are currently below the target at 74% and number of households served by PHPS is well above the 100% marker at 121%. The funding amounts utilized through the program have decreased possibly due to the availability of HPRP assistance funding in the first quarter of the grant cycle and BSS Plus funds in April of 2012.
- The units of services for Supportive Housing Programs are currently above target at 120% and the number of households served at 59, the projected households served annual goal was 49.

2. Outcomes Assessed. Assess your program's success in enabling HOPWA beneficiaries to establish and/or better maintain a stable living environment in housing that is safe, decent, and sanitary, and improve access to care. Compare current year results to baseline results for clients. Describe how program activities/projects contributed to meeting stated goals. If program did not achieve expected targets, please describe how your program plans to address challenges in program implementation and the steps currently being taken to achieve goals in next operating year. If your program exceeded program targets, please describe strategies the program utilized and how those contributed to program successes.

- The HOPWA Consortium report indicates that the housing stability measure for TBRA is 75%.The housing stability percentage is low as a result of client incarceration. Out of the 8 clients who exited to unstable environments, 5 were incarcerated. The other 3 were due to loss of contact after repeated attempts to reach them. Some of this may be attributed to many of these clients not possessing or having very limited income. Clients provided with rental and utility assistance through TBRA have little or no assistance for the other expenses in their lives. This frustration has caused some clients to commit offenses resulting in their incarceration. There were 31 exits during this grant year with 24 leaving the program to stable housing.
- The Reducing Risks of Homelessness Outcome for STRMU is at 93%.
- The Housing Stability Outcome measure for PHPS is 96%
- The Housing Stability Outcome measure for STSH is 76%. The housing stability percentage is low in this program possible due to the barriers that accompany homelessness. Recidivism rate is higher among persons residing in transitional housing.
- The client outcomes on Access to Care and Support surpassed 96% for all indicators except for access/maintenance of qualifications for sources of income which is at 62%. The income outcome is low due to clients at zero income awaiting Social Security disability determination or no follow-through on Food Stamp applications. The outcome on meeting with the case manager according to service plan frequency reached 99%.

SUCCESS STORIES:

A 43 year old Hispanic Male who entered the program after being semi-homeless and unemployed. He received a small benefit for Veteran Affairs but it was not sufficient to provide for his monthly expenses. He resided with his partner who was also unemployed. They were assisted with rental and utility assistance while client sought employment. The client recently obtained full time employment in his vocation as a nurse. He has moved to another service area where he is currently renting a home that he shares with his partner who has also found employment. Both are now stable and able to provide for their housing independently.

A 42 year old Hispanic Female had participated in the program after her spouse passed away. They had run a business together that folded after his death. She stated that she fell into a deep depression and was unable to sustain a job to provide for her and her son. She was assisted with her rent and utilities while she addressed her depression through a local agency. After she began to feel stronger emotionally she began to seek employment services. In July 2012, she was offered public housing through the Housing Authority. She learned that the Housing Authority offered many programs and she decided that she was going to take advantage of any program she could. One month after her exit from the program she contacted the housing case manager and reported that she is now employed and that she is less depressed and feeling stronger than she has in a long time. She periodically keeps the Housing case manager up to date in her growing progress.

A 30 year old African American Female participated in the program after losing her child support and being unemployed. She lives with her 2 children and attended school. She began a paid internship in July that may become a permanent position in the company. She now participates in the program through the earned income disregard. She and the housing case manager are working together on her housing plan so that she will become stable and independent in her housing very soon. She is progressing in her goals to address financial obligations that she was unable to prior to her obtaining income. She recently informed the Housing case manager that she is engaged to be married and will be seeking to move into housing that will better fit her changing life sometime in January. She reports that she feels successful and is looking forward to being on her own soon.

A 53 year-old African American Female lost ability to work due to cancer surgery, and chemotherapy. HOPWA funds were able to help client pay rent and utilities through STRMU until client was recently denied temporary disability benefits. Thanks to HOPWA's generous 21-weeks of eligibility, we can provide another 13 weeks or so of assistance, by which time she will almost certainly be cancer free, fully recovered, and back to work, or she will have reached the top of the local TBRA waiting list.

A 40 year-old White Female in residential substance abuse treatment facility was able to use STSH funds to house client in sober living facility upon discharge. Client subsequently got job and has since been able to pay her own housing costs.

A 50-year-old HIV-positive Hispanic male formerly homeless and institutionalized with history of substance abuse and mental illness, died in his home instead of in the street because we were able to provide him with HOPWA TBRA assistance.

A 40-year old HIV-positive White Female received HOPWA STSH assistance upon exiting substance abuse treatment. This assistance housed her just long enough to find a job. She subsequently found her own housing and has been supporting herself since.

A 53-year old HIV-positive previously self-sufficient White transgender client remained housed for the first 21 weeks of her battle with cancer through STRMU 21 week assistance.

A 26-year old White Female was assisted with STRMU after she was laid off from her job, and could not pay her monthly rent. The client did not have a savings account that could have provided for her rental payment. The client and her family were assisted by providing rental assistance and as a result the client and her family were able to remain in their home. The client has now found a new job and is able to pay her monthly rental payment independently.

A Couple in their 50's and 60's were evicted from their home of many years due to the owner defaulting on the mortgage. They had limited income – The female had disability of less than \$500 per month, the male had no income, and therefore limited options for housing. They were very fearful of becoming homeless while on our waitlist, but we were able to communicate enough where that did not happen. They were on the public housing and other low-income subsidized housing waitlists when they entered the HOPWA Program. We were able to transition

them smoothly into an apartment. During their time in the program, the female was able to work on and stabilize health and mobility issues. The male was able to apply and obtain disability, therefore, increasing the income. They came up on one of the waitlists for permanent supportive low-income housing and transitioned successfully from the program. At the 6 month follow-up, they reported housing stability, health stability, financial stability and a gratitude that HOPWA stepped in at the perfect time of need for them.

A 54-year old Transgender Client came to the HOPWA Program shortly after being released from prison. This client, a transgender person from East Texas, was put in jail for a minor charge. The judge maximized her sentence along with adding new charges the day of sentencing. She ended up with over a twenty year prison sentence, for a relatively minor charge. This client moved into our housing program, from a temporary boarding home. In less than a month from finding housing, found a job! She works at Goodwill. She wakes up bright and early every morning to take the bus to work, and gets home around 7pm at night. It's not easy to take the bus all the way across town for a full-time job. You can see that she gets tired from time to time, but the same beaming smile is always on her face regardless. She advanced rapidly at work. Currently, she trains other new employees who recently re-entered the Austin community from prison. While work keeps her very busy, she also finds time to help lead community programs for her neighbors. She also works as a performance artist in the Austin drag scene. She hand stitches elaborate and gorgeous costumes. She takes pride in her fashion and has quite a talent with a needle and thread. Make-up and hair are art forms for her as well. Soon, she will move into her own apartment. She is currently calling around to different apartment complexes, pricing, and touring, to see which one suits her best. Her warmth and positivity will be missed by staff and her neighbors, but we are so happy to see her succeed. She will do well wherever she goes, and we are privileged to help her get back on her feet. One of the most exciting moments in our program is seeing someone achieve their goal for independent living and move forward to thrive in their life.

3. Coordination. Report on program coordination with other mainstream housing and supportive services resources, including the use of committed leveraging from other public and private sources that helped to address needs for eligible persons identified in the Consolidated Plan/Strategic Plan.

Because housing and case management needs far exceed supply, other funding sources have been sought. Resources have or will come from the following:

- (1) Eligible ASA and WHWC clients will receive rent, mortgage and utility assistance through the Best Single Source Plus Program funded by the City of Austin through the Basic Needs Coalition. Clients must have an income at or above 200% of the federal poverty guideline and a financial need that, with sufficient assistance, is expected to be met with one or three or twelve months of case management and financial assistance. This extends housing assistance to clients who may be eligible or ineligible for any or sufficient assistance through HOPWA and who are very likely to become self-sufficient in maintaining housing stability in the future.
- (2) ASA and Austin Travis County Integral Care have a collaborative contract with the Austin Housing Authority called Shelter Plus Care, whose purpose is to serve those clients homeless by the HUD definition. ASA is allowed a limited number of housing slots that allow homeless to bypass the Section 8 wait list.
- (3) ASA participates in the Customer Assistance Program through Austin Energy for utility payment allocations to avoid cutoff of client utilities.
- (4) Ryan White, Travis County, City of Austin and Texas Department of State Health Services fund case managers of five agencies that address the needs of HOPWA eligible individuals by providing case management that focuses on housing assessments and housing service plans. Through this funding, consortium agencies also leverage supportive services such as Food Bank, medication and eye glasses assistance, health insurance premium assistance payment for identification documents and medical services such as Oral Health and Medication Nutrition Therapy.

4. Technical Assistance. Describe any program technical assistance needs and how they would benefit program beneficiaries.

The Housing Coordinator and her supervisor have identified the need for more technical assistance on where comprehensive documents containing HOPWA rules and regulations can be found.

c. Barriers and Trends Overview

Provide a narrative addressing items 1 through 3. Explain how barriers and trends affected your program's ability to achieve the objectives and outcomes discussed in the previous section.

- Describe any barriers (including regulatory and non-regulatory) encountered in the administration or implementation of the HOPWA program, how they affected your program's ability to achieve the objectives and outcomes discussed, and, actions taken in response to barriers, and recommendations for program improvement. Provide an explanation for each barrier selected.

<input checked="" type="checkbox"/> HOPWA/HUD Regulations	<input type="checkbox"/> Planning	<input checked="" type="checkbox"/> Housing Availability	<input type="checkbox"/> Rent Determination and Fair Market Rents
<input type="checkbox"/> Discrimination/Confidentiality	<input checked="" type="checkbox"/> Multiple Diagnoses	<input checked="" type="checkbox"/> Eligibility	<input type="checkbox"/> Technical Assistance or Training
<input type="checkbox"/> Supportive Services	<input checked="" type="checkbox"/> Credit History	<input checked="" type="checkbox"/> Rental History	<input checked="" type="checkbox"/> Criminal Justice History
<input checked="" type="checkbox"/> Housing Affordability	<input type="checkbox"/> Geography/Rural Access	<input checked="" type="checkbox"/> Other, please explain further	

HOPWA/HUD REGULATIONS:

The Texas Department of State Health Services HOPWA Program Manual is unclearly written and often requires Case Managers, the HOPWA Homeless Coordinator and her supervisor to pore over it in an attempt to determine what the regulation means. Currently, the coordinator has to consult with three sets of training materials and the out of date HOPWA Manual developed by Texas State Department of Health and Human Services. The increase in HOPWA reporting definitely impacts the amount of time that case managers have to work on client housing plans and access to housing-related resources. The regulation that does not allow utility assistance for clients in subsidized housing puts clients in jeopardy of losing affordable housing and becoming homeless. A majority of Housing Authorities in the Community Action, Inc. (CA) service area are not taking applications for their Housing Choice Voucher Programs. Individual on the Housing Choice Voucher Program can expect to wait up to 2 years to obtain housing once on the Housing Choice Voucher Program.

Action: These barriers have been documented in the HOPWA Quarterly Reports for over two years now. HUD is providing annual training now which helps to address the concern over unclear regulations.

Recommendations: There needs to be a Federal HOPWA Manual that is updated within three months of revisions and distributed nationwide or through the HOPWA/HUD website. The Texas State manual has incorrect or outdated material in it.

HOUSING AFFORDABILITY/AVAILABILITY:

There is insufficient affordable long-term housing in the five county area served by the Austin EMSA HOPWA Consortium. Even under optimal circumstances, obtaining Public Housing or other affordable housing in Austin, TX, requires an 18-24 month waiting period. Private housing is often reasonably priced until one considers how many of our clients are forced to live on \$698 SSI checks per month. The lack of affordable housing means that clients with zero or little income stay on TBRA longer.

A trend in the Austin area is less availability of rental properties due to increased demand for these properties since less people are selecting home ownership. ASA speculates this trend is due to instability in the economy. The result for clients/households is that landlords are more selective about whom they accept as tenants.

Many HOPWA clients are eligible for Section 8 (Housing Choice Vouchers) housing. Most housing authorities are not even accepting applications for the Housing Choice Voucher Program. Clients who are on Section 8 waiting lists in Travis County and the City of Austin can expect to wait two to three years or more for an opportunity to secure housing. In Williamson, Bastrop, Hayes, and Caldwell counties, the wait list is up to two years.

The wait lists for Housing Authority of the City of Austin public housing, Round Rock Housing Authority public housing and rural public housing take from one-half to three years; however, many clients do not want to live in this sort of subsidized housing because of the high crime rates and drug activity.

Community Action has also found that one low income housing facility in Elgin, TX, requires that all low-income tenants must maintain incomes that are 3 times greater than their rent. For clients who receive Social Security Supplement Income Benefits this make obtaining housing difficult, because they only receive at most \$674 a month.

There are very few housing resources in the rural counties surrounding Travis County. It has been noted that cost of housing in the rural counties is almost as high as in Austin, TX. Most of the clients that CA serves are at or below 100% of the federal poverty guideline and simply cannot afford housing in the rural communities.

Clients have difficulty finding affordable housing in areas that are not near where they used drugs. Obtaining housing near their drug usage areas can increase the likelihood of them relapsing.

There is insufficient immediate transitional housing that meets client medical and confidentiality needs especially for the homeless.

Actions Taken or Planned:

In 2012, Sandra Chavez, Housing Coordinator/Case Manager (HC/CM), will request that Lisa Garcia from the Housing Authority of the City of Austin (HACA) and a contact from The City of Austin Public Housing attend one of the HOPWA Consortium meetings. The purpose of the meeting will be to increase case manager understanding of how wait lists for Section 8 and public housing services are processed.

Case managers try to assist with client prioritization on waiting lists by assisting client in documenting disability status on Section 8 housing. Case managers assist clients in requesting and attending hearings to waive requirements regarding criminal offenses for non-violent crimes.

Recommendations:

More Federal and State of Texas funding is needed to support the development of more affordable housing. The HOPWA HC/CM will attend any relevant government hearings to advocate for more funding for affordable housing.

MULTIPLE DIAGNOSES

Clients with multiple diagnoses or extensive substance abuse problems have continued issues in getting and maintaining stable housing. Mental health conditions cause a barrier to sustaining substance abuse programs and become a trigger to relapse. Our population continues to struggle with mental health and addiction issues that hinder success including difficulty in following through with housing and other support service referrals and appointments.

There has been a substantial increase in clients with issues of mental illness and substance abuse during the past several years, which is also in keeping with national trends. The target population is considered "high risk" in several areas related to health and well-being. This population represents an increasing incidence of clients with more complex medical issues (Tuberculosis and Hepatitis C), multiple social problems (poverty and homelessness), and dual diagnoses of HIV and substance abuse or mental illness. This client base significantly increases the expense and difficulty of providing quality services. However, the priority for all services will continue to be to serve the clients with the highest need first. In an effort to increase access to services the housing team worked to enhance the training given to staff about mental illness, working with minority populations, dealing with language barriers, and working with client's with substance use issues. Increasing skills in these areas has allowed us to provide services to residents whose level of care may have been too great for us in the past. Increased staff training has greatly enhanced our ability to provide quality care for these populations.

A particular challenge is finding safe housing for recovering substance abusers. Clients who are recovering substance abusers are often relegated to drug-infested, poorly policed apartment communities or so-called "sober houses," boarding homes that promise a structured, drug-free living environment that are notorious for failing to meet that promise. These clients, whose housing plans invariably include maintaining their sobriety, have an uphill battle in these environments. Clients often have mental health and substance abuse problems as well as their HIV diagnosis. Due to this client's having trouble finding appropriate housing that will accept criminal backgrounds and a reasonable rent that can be met by SSI.

One important barrier to service is HUD guidelines that limit the placement of transitional supportive housing residents into mainstream low-income housing because of extensive criminal backgrounds and substance abuse. These requirements increase our waiting list for supportive housing due to the lack of ability to move residents into mainstream low-income housing.

Action Taken:

We are addressing barriers by 1) providing applications to all low-income housing that are accepting applications, assisting residents in completing them and delivering them to the appropriate place; 2) working with residents on

appeals to the Housing Authority; 3) providing a substance abuse support group on site and referrals for treatment when needed; 4) holding housing for residents while they enter inpatient treatment; 5) working to move residents with documented disabilities to up on Housing Authority waiting list to create more space for new residents.

Recommendations:

The HOPWA HC/CM and other HOPWA providers will attend any relevant government hearings to advocate for more funding for supportive housing to address the needs of those with multiple diagnoses.

CRIMINAL JUSTICE HISTORY:

Clients with a criminal history continue to report that they are experiencing difficulties in obtaining housing. Most apartment complexes that offer low-income housing will not rent to clients with past criminal histories. Some landlords are not allowing anyone with felonies to rent properties when they used to accept clients with felonies that did not involve assaults or sexual crimes. Clients are finding that when they are applying for Section 8 housing or low-income housing, they are being denied housing due to a previous criminal conviction. Clients are reporting that some offenses committed took place more than 10 years ago and clients are still being declined housing because of these offenses. Clients who have had drug convictions are finding it difficult to obtain subsidized housing as well. Community Action has had 2 clients that had been on the Housing Choice Voucher Program waitlist for 3 years. These clients were down to number 3 and 7 on the Housing Choice Voucher Program waitlist when it was discovered that both clients had past felony drug convictions. Both clients were taken off the Housing Choice Voucher Program and are no longer eligible for housing assistance through this program due to their drug convictions.

Action Taken:

Case managers are taking an active role in housing hearings and advocating when appropriate for clients to receive subsidized housing despite their particular criminal history.

Recommendations:

The HOPWA HC/CM will continue work with the Travis County Reentry Roundtable and will continue providing testimony at public hearings to decrease barriers to affordable housing or advocate for more funding to house this population.

Barriers and Trends

There has been a substantial increase in clients with issues of mental illness and substance abuse during the past several years, which is also in keeping with national trends. The target population is considered “high risk” in several areas related to health and well-being. This population represents an increasing incidence of clients with more complex medical issues (Tuberculosis and Hepatitis C), multiple social problems (poverty and homelessness), and dual diagnoses of HIV and substance abuse or mental illness. This client base significantly increases the expense and difficulty of providing quality services. However, the priority for all services offered by Project Transitions will continue to be to serve the clients with the highest need first. In an effort to increase access to services the housing team worked to enhance the training given to staff about mental illness, working with minority populations, dealing with language barriers, and working with client’s with substance use issues. Increasing skills in these areas has allowed us to provide services to residents whose level of care may have been too great for us in the past. Increased staff training has greatly enhanced our ability to provide quality care for these populations.

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We are addressing barriers by 1) providing applications to all low-income housing that are accepting applications, assisting residents in completing them and delivering them to the appropriate place; 2) working with residents on appeals to the Housing Authority; 3) providing a substance abuse support group on site and referrals for treatment when needed; 4) holding housing for residents while they enter inpatient treatment; 5) working to move residents with documented disabilities to up on Housing Authority waiting list to create more space for new residents.

OTHER:

The CARE Program indicates that clients struggle in finding available homes even after obtaining income due to past evictions and/or poor credit. The occupancy rate for the Austin area is around 98% which limits availability for clients and landlords can be more restrictive.

Due to insufficient HOPWA funding to meet client needs, the Austin EMSA has very restrictive TBRA income eligibility guidelines, 15% of Area Median Income. This leaves a substantial number of individuals, specifically those receiving SSI, who cannot reasonably afford housing in this area but who are not eligible to receive TBRA assistance.

Action Taken:

The Austin EMSA Collaborative evaluated carefully the income guidelines for TBRA and increased them for individuals. Further increases are not possible unless all of STRMU and PHP are diverted to TBRA. ASA and other HOPWA provider agencies always look for opportunities to stretch TBRA dollars such as using Ryan White Part A and HPRP funding to meet client short-term needs.

Recommendations:

The Austin EMSA HOPWA Consortium will continue to work with local housing authorities to expedite referrals for clients receiving TBRA with the goal of increasing TBRA income eligibility criteria by transitioning higher numbers of current TBRA recipients to subsidized housing.

Also identified is the need for additional HOPWA housing case management to allow for additional TBRA cases. At this time housing case management for TBRA is provided by one case manager with a case load of 65. A TBRA waitlist has been established with the intention of enrolling clients as soon as possible.

Action Taken:

The Austin EMSA HOPWA Consortium has decided that any overflow of client need, as evidenced by a TBRA waitlist, will be handled by the community providers until the HOPWA Housing case manager/Coordinator is able to place them on the centralized case load. HOPWA programs STSH, STRMU, and PHPS are currently performed by community case managers as well.

Recommendations:

It is believed that if an additional case manager were present to centralize STRMU and retain the TBRA overflow, then clients would receive more assistance in achieving specific housing goals and more people will become independent. With independence, they will not usually require continued HOPWA assistance which allows the program to serve more persons.

2. Describe any trends in the community that may affect the way in which the needs of persons living with HIV/AIDS are being addressed, and provide any other information important to the future provision of services to this population.

Centralization of TBRA has succeeded in assisting clients to move more quickly from TBRA to housing independence.

As predicted, when eligibility criteria changed for the 2010-11 grant year, TBRA expenses expanded to the point where the predicted expenditures for 2011-12 was \$650,000. The approved TBRA allotment is \$559,000. Consequently, no new TBRA applications were anticipated to be accepted during 2011-12 until expenses are reduced to the monthly amount based on the projected annual expenditures. However, due to several exits during one quarter, monthly expenditures began to decrease; as a result, 13 persons from the waitlist were enrolled in TBRA. The waitlist has been all but exhausted with only 4 clients pending enrollment.

3. Identify any evaluations, studies, or other assessments of the HOPWA program that are available to the public.

The City of Austin has prepared a five-year Consolidated Plan for fiscal years 2009-14. This five-year Plan includes funding recommendations for the fiscal year 2010-11. The Plan sets priorities for funding for the Neighborhood Housing and Community Development (NHCD) Office, as well as those HUD funds that are managed by the Austin/Travis County Health and Human Services Department (HHSD).

d. Unmet Housing Needs: An Assessment of Unmet Housing Needs

In Chart 1, provide an assessment of the number of HOPWA-eligible households that require HOPWA housing subsidy assistance but are not currently served by any HOPWA-funded housing subsidy assistance in this service area.

In Row 1, report the total unmet need of the geographical service area, as reported in *Unmet Needs for Persons with HIV/AIDS*, Chart 1B of the Consolidated or Annual Plan(s), or as reported under HOPWA worksheet in the Needs Workbook of the Consolidated Planning Management Process (CPMP) tool. **Note:** Report most current data available, through Consolidated or Annual Plan(s), and account for local housing issues, or changes in HIV/AIDS cases, by using combination of one or more of the sources in Chart 2.

If data is collected on the type of housing that is needed in Rows a. through c., enter the number of HOPWA-eligible households by type of housing subsidy assistance needed. For an approximate breakdown of overall unmet need by type of housing subsidy assistance refer to the Consolidated or Annual Plan (s), CPMP tool or local distribution of funds. Do not include clients who are already receiving HOPWA-funded housing subsidy assistance.

Refer to Chart 2, and check all sources consulted to calculate unmet need. Reference any data from neighboring states’ or municipalities’ Consolidated Plan or other planning efforts that informed the assessment of Unmet Need in your service area.

Note: In order to ensure that the unmet need assessment for the region is comprehensive, HOPWA formula grantees should include those unmet needs assessed by HOPWA competitive grantees operating within the service area.

1. Planning Estimate of Area’s Unmet Needs for HOPWA-eligible Households

1. Total number of households that have unmet housing subsidy assistance need.	132
2. From the total reported in Row 1, identify the number of households with unmet housing needs by type of housing subsidy assistance:	4 pending enrollment
a. Tenant-Based Rental Assistance (TBRA)	
b. Short-Term Rent, Mortgage and Utility payments (STRMU)	45*
• Assistance with rental costs	1*
• Assistance with mortgage payments	6*
• Assistance with utility costs.	
c. Housing Facilities, such as community residences, SRO dwellings, other housing facilities	80

* These are clients that could have used more assistance than 1 to 2 times per year but are not eligible for 21 weeks given consortium policy and procedure around eligibility.

2. Recommended Data Sources for Assessing Unmet Need (check all sources used)

X	= Data as reported in the area Consolidated Plan, e.g. Table 1B, CPMP charts, and related narratives
	= Data established by area HIV/AIDS housing planning and coordination efforts, e.g. Continuum of Care
	= Data from client information provided in Homeless Management Information Systems (HMIS)
X	= Data from project sponsors or housing providers, including waiting lists for assistance or other assessments on need including those completed by HOPWA competitive grantees operating in the region.
	= Data from prisons or jails on persons being discharged with HIV/AIDS, if mandatory testing is conducted
	= Data from local Ryan White Planning Councils or reported in CARE Act Data Reports, e.g. number of clients with permanent housing
	= Data collected for HIV/AIDS surveillance reporting or other health assessments, e.g. local health department or CDC surveillance data

End of PART 1

Previous editions are obsolete

form HUD-40110-D (Expiration Date: 10/31/2014)

PART 2: Sources of Leveraging and Program Income

1. Sources of Leveraging

Report the source(s) of cash or in-kind leveraged federal, state, local or private resources identified in the Consolidated or Annual Plan and used in the delivery of the HOPWA program and the amount of leveraged dollars. In Column [1], identify the type of leveraging. Some common sources of leveraged funds have been provided as a reference point. You may add Rows as necessary to report all sources of leveraged funds. Include Resident Rent payments paid by clients directly to private landlords. Do NOT include rents paid directly to a HOPWA program as this will be reported in the next section. In Column [2] report the amount of leveraged funds expended during the operating year. Use Column [3] to provide some detail about the type of leveraged contribution (e.g., case management services or clothing donations). In Column [4], check the appropriate box to indicate whether the leveraged contribution was a housing subsidy assistance or another form of support.

NOTE: Be sure to report on the number of households supported with these leveraged funds as in Part 3, Chart 1, Column d.

A. Source of Leveraging Chart

[1] Source of Leveraging	[2] Amount of Leveraged Funds	[3] Type of Contribution	[4] Housing Subsidy Assistance or Other Support
Public Funding			
Public Funding			
Ryan White – Other	318,416	Case Management	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Ryan White - Other	24,268	Health Insurance	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Ryan White - Other	66,748	Oral Health	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Housing Choice Voucher Program	14,000	Housing	<input checked="" type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Emergency Solutions Grant	28,704	Case Management	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Public: State grants	104,765	Case Management	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Public: County grants	125,807	Case Management	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Public: County grants	48,792	Food Bank	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Public: City of Austin grants	97,548	Case Management	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Public: City of Austin – Austin Energy	1,036	Utility	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Public: City of Austin General Fund	30,264	Case Management	<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Private Funding			
Private Funding			
In-kind Resources	9,885	Food Pantry/Volunteer Hours	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Private: ASA Paul Kirby Fund	10,575	Housing	<input checked="" type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Funding			
Other Funding			
Grantee/Project Sponsor (Agency) Cash	119,423	Housing Assistance & Support Services	<input checked="" type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Resident Rent Payments by Client to Private Landlord	5,621		
TOTAL (Sum of all Rows)	1,005,852		

2. Program Income and Resident Rent Payments

Previous editions are obsolete

form HUD-40110-D (Expiration Date: 10/31/2014)

In Section 2, Chart A., report the total amount of program income and resident rent payments directly generated from the use of HOPWA funds, including repayments. Include resident rent payments collected or paid directly to the HOPWA program. Do NOT include payments made directly from a client household to a private landlord.

Note: Please see report directions section for definition of program income. (Additional information on program income is available in the HOPWA Grantee Oversight Resource Guide).

A. Total Amount Program Income and Resident Rent Payment Collected During the Operating Year

Program Income and Resident Rent Payments Collected		Total Amount of Program Income (for this operating year)
1.	Program income(e.g. repayments)	
2.	Resident Rent Payments made directly to HOPWA Program	34,598
3.	Total Program Income and Resident Rent Payments (Sum of Rows 1 and 2)	34,598

B. Program Income and Resident Rent Payments Expended To Assist HOPWA Households

In Chart B, report on the total program income and resident rent payments (as reported above in Chart A) expended during the operating year. Use Row 1 to report Program Income and Resident Rent Payments expended on Housing Subsidy Assistance Programs (i.e., TBRA, STRMU, PHP, Master Leased Units, and Facility-Based Housing). Use Row 2 to report on the Program Income and Resident Rent Payment expended on Supportive Services and other non-direct Housing Costs.

Program Income and Resident Rent Payment Expended on HOPWA programs		Total Amount of Program Income Expended (for this operating year)
1.	Program Income and Resident Rent Payment Expended on Housing Subsidy Assistance costs	25,949
2.	Program Income and Resident Rent Payment Expended on Supportive Services and other non-direct housing costs	8,649
3.	Total Program Income Expended (Sum of Rows 1 and 2)	34,598

End of PART 2

PART 3: Accomplishment Data Planned Goal and Actual Outputs

In Chart 1, enter performance information (goals and actual outputs) for all activities undertaken during the operating year supported with HOPWA funds. Performance is measured by the number of households and units of housing that were supported with HOPWA or other federal, state, local, or private funds for the purposes of providing housing assistance and support to persons living with HIV/AIDS and their families.

Note: The total households assisted with HOPWA funds and reported in PART 3 of the CAPER should be the same as reported in the annual year-end IDIS data, and goals reported should be consistent with the Annual Plan information. Any discrepancies or deviations should be explained in the narrative section of PART 1.

1. HOPWA Performance Planned Goal and Actual Outputs

HOPWA Performance Planned Goal and Actual		[1] Output: Households				[2] Output: Funding	
		HOPWA Assistance		Leveraged Households		HOPWA Funds	
		a.	b.	c.	d.	e.	f.
		Goal	Actual	Goal	Actual	HOPWA Budget	HOPWA Actual
HOPWA Housing Subsidy Assistance		[1] Output: Households				[2] Output: Funding	
1.	Tenant-Based Rental Assistance	100	98		98	566,337	495,772.94
2a.	Permanent Housing Facilities: Received Operating Subsidies/Leased units (Households Served)						
2b.	Transitional/Short-term Facilities: Short Term Supportive Housing (STSH) Received Operating Subsidies/Leased units (Households Served) (Households Served) (STSH)	94	125		125	249,561	268,128.66
3a.	Permanent Housing Facilities: Capital Development Projects placed in service during the operating year (Households Served)						
3b.	Transitional/Short-term Facilities: Capital Development Projects placed in service during the operating year (Households Served)						
4.	Short-Term Rent, Mortgage and Utility Assistance	198	118		118	81,458	82,214.64
5.	Permanent Housing Placement Services	38	46		46	24,446	26,888.62
6.	Adjustments for duplication (subtract)		49		49		
7.	Total HOPWA Housing Subsidy Assistance (Columns a. – d. equal the sum of Rows 1-5 minus Row 6; Columns e. and f. equal the sum of Rows 1-5)	430	338		338	921,802	873,004.86
Housing Development (Construction and Stewardship of facility based housing)		[1] Output: Housing Units				[2] Output: Funding	
8.	Facility-based units; Capital Development Projects not yet opened (Housing Units)						
9.	Stewardship Units subject to 3 or 10 year use agreements						
10.	Total Housing Developed (Sum of Rows 8 & 9)						
Supportive Services		[1] Output Households				[2] Output: Funding	
11a.	Supportive Services provided by project sponsors/sub recipient that also delivered HOPWA housing subsidy assistance	49	59			40,542	40,452
11b.	Supportive Services provided by project sponsors/sub recipient that only provided supportive services.						
12.	Adjustment for duplication (subtract)						
13.	Total Supportive Services (Columns a. – d. equal the sum of Rows 11 a. & b. minus Row 12; Columns e. and f. equal the sum of Rows 11a. & 11b.)	49	59			40,542	40,542
Housing Information Services		[1] Output Households				[2] Output: Funding	
14.	Housing Information Services	49	59			27,028	27,028
15.	Total Housing Information Services	49	59			27,028	27,028

Grant Administration and Other Activities		[1] Output Households		[2] Output: Funding	
16.	Resource Identification to establish, coordinate and develop housing assistance resources	[Redacted]			
17.	Technical Assistance (if approved in grant agreement)				
18.	Grantee Administration (maximum 3% of total HOPWA grant)			32,909	32,909
19.	Project Sponsor Administration (maximum 7% of portion of HOPWA grant awarded)			74,695	70,485
20.	Total Grant Administration and Other Activities (Sum of Rows 17 – 20)			107,604	103,394
Total Expended				[2] Outputs: HOPWA Funds Expended	
				Budget	Actual
21.	Total Expenditures for program year (Sum of Rows 7, 10, 13, 15, and 20)			1,096,976	1,043,968.86

2. Listing of Supportive Services

Report on the households served and use of HOPWA funds for all supportive services. Do NOT report on supportive services leveraged with non-HOPWA funds.

Data check: Total unduplicated households and expenditures reported in Row 17 equal totals reported in Part 3, Chart 1, Row 13.

Supportive Services		[1] Output: Number of Households	[2] Output: Amount of HOPWA Funds Expended
1.	Adult day care and personal assistance		
2.	Alcohol and drug abuse services		
3.	Case management	59	28,380
4.	Child care and other child services		
5.	Education		
6.	Employment assistance and training		
7.	Health/medical/intensive care services, if approved Note: Client records must conform with 24 CFR §574.310		
8.	Legal services	59	8,108
9.	Life skills management (outside of case management)	59	4,054
10.	Meals/nutritional services		
11.	Mental health services		
12.	Outreach		
13.	Transportation		
14.	Other Activity (if approved in grant agreement). Specify:		
15.	Sub-Total Households receiving Supportive Services (Sum of Rows 1-14)	59	[Redacted]
16.	Adjustment for Duplication (subtract)		[Redacted]
17.	TOTAL Unduplicated Households receiving Supportive Services (Column [1] equals Row 15 minus Row 16; Column [2] equals sum of Rows 1-14)	59	40,542

3. Short-Term Rent, Mortgage and Utility Assistance (STRMU) Summary

In Row a., enter the total number of households served and the amount of HOPWA funds expended on Short-Term Rent, Mortgage and Utility Assistance. In Row b., enter the total number of STRMU-assisted households that received assistance with mortgage costs only (no utility costs) and the amount expended assisting these households. In Row c., enter the total number of STRMU-assisted households that received assistance with both mortgage and utility costs and the amount expended assisting these households. In Row d., enter the total number of STRMU-assisted households that received assistance with rental costs only (no utility costs) and the amount expended assisting these households. In Row e., enter the total number of STRMU-assisted households that received assistance with both rental and utility costs and the amount expended assisting these households. In Row f., enter the total number of STRMU-assisted households that received assistance with utility costs only (not including rent or mortgage costs) and the amount expended assisting these households. The total number of households reported in Column [1], Rows b., c., d., e., and f. equal the total number of STRMU households reported in Column [1], Row a. The total amount reported as expended in Column [2], Rows b., c., d., e., and f. equals the total amount of STRMU expenditures reported in Column [2], Row a.

Housing Subsidy Assistance Categories (STRMU)		[1] Output: Number of <u>Households</u>	[2] Output: Total HOPWA Funds Expended on STRMU during Operating Year
a.	Short-term mortgage, rent and/or utility (STRMU) assistance	118	82,214.64
b.	<u>Of the total STRMU reported on Row a.</u> , total who received assistance with mortgage costs ONLY.	83	61,206.07
c.	<u>Of the total STRMU reported on Row a.</u> , total who received assistance with mortgage and utility costs.	20	6,715.28
d.	<u>Of the total STRMU reported on Row a.</u> , total who received assistance with rental costs ONLY	10	6,940.75
e.	<u>Of the total STRMU reported on Row a.</u> , total who received assistance with rental and utility costs.	3	3,933.16
f.	<u>Of the total STRMU reported on Row a.</u> , total who received assistance with utility costs ONLY.	2	3,419.38

End of PART 3

Part 4: Summary of Performance Outcomes

Section 1. Housing Stability: Assessment of Client Outcomes on Maintaining Housing Stability (Permanent Housing and Related Facilities)

A. Permanent Housing Subsidy Assistance

	[1] Output: Total Number of Households	[2] Assessment: Number of Households that Continued Receiving HOPWA Housing Subsidy Assistance into the Next Operating Year	[3] Assessment: Number of Households that exited this HOPWA Program; their Housing Status after Exiting		[4] HOPWA Client Outcomes
Tenant-Based Rental Assistance	98	64	1 Emergency Shelter/Streets		<i>Unstable Arrangements</i>
			2 Temporary Housing	1	<i>Temporarily Stable, with Reduced Risk of Homelessness</i>
			3 Private Housing	14	<i>Stable/Permanent Housing (PH)</i>
			4 Other HOPWA	5	
			5 Other Subsidy	4	
			6 Institution		
			7 Jail/Prison	5	<i>Unstable Arrangements</i>
			8 Disconnected/Unknown	3	
			9 Death	2	<i>Life Event</i>
Permanent Supportive Housing Facilities/ Units	46	0	1 Emergency Shelter/Streets		<i>Unstable Arrangements</i>
			2 Temporary Housing	2	<i>Temporarily Stable, with Reduced Risk of Homelessness</i>
			3 Private Housing	29	<i>Stable/Permanent Housing (PH)</i>
			4 Other HOPWA	13	
			5 Other Subsidy		
			6 Institution		
			7 Jail/Prison	2	<i>Unstable Arrangements</i>
			8 Disconnected/Unknown		
			9 Death		<i>Life Event</i>

B. Transitional Housing Assistance

	[1] Output: Total Number of Households	[2] Assessment: Number of Households that Continued Receiving HOPWA Housing Subsidy Assistance into the Next Operating Year	[3] Assessment: Number of Households that exited this HOPWA Program; their Housing Status after Exiting		[4] HOPWA Client Outcomes
Transitional/ Short-Term Facilities/ Units	125	37	1 Emergency Shelter/Streets	5	<i>Unstable Arrangements</i>
			2 Temporary Housing	12	<i>Temporarily Stable with Reduced Risk of Homelessness</i>
			3 Private Housing	32	<i>Stable/Permanent Housing (PH)</i>
			4 Other HOPWA	17	
			5 Other Subsidy	10	
			6 Institution	1	
			7 Jail/Prison	5	<i>Unstable Arrangements</i>
			8 Disconnected/unknown	5	
			9 Death	1	<i>Life Event</i>

B1: Total number of households receiving transitional/short-term housing assistance whose tenure exceeded 24 months	
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Section 2. Prevention of Homelessness: Assessment of Client Outcomes on Reduced Risks of Homelessness

(Short-Term Housing Subsidy Assistance)

Report the total number of households that received STRMU assistance in Column 1.

In Column 2, identify the outcomes of the households reported in Column 1 either at the time that they were known to have left the STRMU program or through the project sponsor’s best assessment for stability at the end of the operating year.

In Column 3 provides a description of housing outcomes; therefore, data is not required.

At the bottom of the chart:

- In Row 1a. report those households that received STRMU assistance during the operating year of this report, and the prior operating year.
- In Row 1b. report those households that received STRMU assistance during the operating year of this report, and the two prior operating years.

Data Check: The sum of Column 2 should equal the number of households reported in Column 1.

Assessment of Households that received STRMU Assistance

[1] Output: Total number of households	[2] Assessment of Housing Status		[3] HOPWA Client Outcomes
118	Maintain Private Housing without subsidy <i>(e.g. Assistance provided/completed and client is stable, not likely to seek additional support)</i>	53	<i>Stable/Permanent Housing (PH)</i>
	Other Private Housing without subsidy <i>(e.g. client switched housing units and is now stable, not likely to seek additional support)</i>	8	
	Other HOPWA Housing Subsidy Assistance	6	
	Other Housing Subsidy (PH)	3	
	Institution <i>(e.g. residential and long-term care)</i>	1	
	Likely that additional STRMU is needed to maintain current housing arrangements	37	<i>Temporarily Stable, with Reduced Risk of Homelessness</i>
	Transitional Facilities/Short-term <i>(e.g. temporary or transitional arrangement)</i>	1	
	Temporary/Non-Permanent Housing arrangement <i>(e.g. gave up lease, and moved in with family or friends but expects to live there less than 90 days)</i>	1	
	Emergency Shelter/street	2	<i>Unstable Arrangements</i>
	Jail/Prison	1	
	Disconnected	2	
	Death	3	<i>Life Event</i>
1a. Total number of those households that received STRMU Assistance in the operating year of this report that also received STRMU assistance in the prior operating year. <i>(e.g. households that received STRMU assistance in two consecutive operating years)</i>			30
1b. Total number of those households that received STRMU Assistance in the operating year of this report that also received STRMU assistance in the two prior operating years <i>(e.g. households that received STRMU assistance in three consecutive operating years)</i>			18

Section 3. HOPWA Outcomes on Access to Care and Support

1a. Total Number of Households

Line [1]: For project sponsors/sub recipients that provided HOPWA housing subsidy assistance during the operating year identify in the appropriate row the number of households that received HOPWA housing subsidy assistance (TBRA, STRMU, Facility-Based, PHP and Master Leasing) and HOPWA funded case management services. Use Row c. to adjust for duplication among the service categories and Row d. to provide an unduplicated household total.

Line [2]: For project sponsors/sub recipients that did NOT provide HOPWA housing subsidy assistance identify in the appropriate row the number of households that received HOPWA funded case management services.

Note: These numbers will help you to determine which clients to report Access to Care and Support Outcomes for and will be used by HUD as a basis for analyzing the percentage of households who demonstrated or maintained connections to care and support as identified in Chart 1b. below.

Total Number of Households		
1. For Project Sponsors/Sub recipients that provided HOPWA Housing Subsidy Assistance: Identify the total number of households that received the following <u>HOPWA-funded</u> services:		
a. Housing Subsidy Assistance (duplicated)-TBRA, STRMU, PHP, Facility-Based Housing, and Master Leasing		321
b. Case Management		59
c. Adjustment for duplication (subtraction)		87
d. Total Households Served by Project Sponsors/Sub recipients with Housing Subsidy Assistance (Sum of Rows a.b. minus Row c.)		293
2. For Project Sponsors/Sub recipients did NOT provide HOPWA Housing Subsidy Assistance: Identify the total number of households that received the following <u>HOPWA-funded</u> service:		
a. HOPWA Case Management		
b. Total Households Served by Project Sponsors/Sub recipients without Housing Subsidy Assistance		

1b. Status of Households Accessing Care and Support

Column [1]: Of the households identified as receiving services from project sponsors/sub recipients that provided HOPWA housing subsidy assistance as identified in Chart 1a. Row 1d. above, report the number of households that demonstrated access or maintained connections to care and support within the program year.

Column [2]: Of the households identified as receiving services from project sponsors/sub recipients that did NOT provide HOPWA housing subsidy assistance as reported in Chart 1a., Row 2b., report the number of households that demonstrated improved access or maintained connections to care and support within the program year.

Note: For information on types and sources of income and medical insurance/assistance, refer to Charts below.

Categories of Services Accessed	[1] For project sponsors that provided HOPWA housing subsidy assistance, identify the households who demonstrated the following:	[2] For project sponsors that did NOT provide HOPWA housing subsidy assistance, identify the households who demonstrated the following:	Outcome Indicator
1. Has a housing plan for maintaining or establishing stable on-going housing	336 (99%)	NA	<i>Support for Stable Housing</i>
2. Had contact with case manager/benefits counselor consistent with the schedule specified in client's individual service plan.	330 (98%)	NA	<i>Access to Support</i>
3. Had contact with a primary health care provider consistent with the schedule specified in client's individual service plan.	330 (98%)	NA	<i>Access to Health Care</i>
4. Accessed and maintained medical insurance/assistance.	314 (93%)	NA	<i>Access to Health Care</i>
5. Successfully accessed or maintained qualification for sources of income.	232 (69%)	NA	<i>Sources of Income</i>

Chart 1b., Line 4: Sources of Medical Insurance and Assistance include, but are not limited to the following (Reference only)

<ul style="list-style-type: none"> • MEDICAID Health Insurance Program, or use local program name • MEDICARE Health Insurance Program, or use local program name 	<ul style="list-style-type: none"> • Veterans Affairs Medical Services • AIDS Drug Assistance Program (ADAP) • State Children’s Health Insurance Program (SCHIP), or use local program name 	<ul style="list-style-type: none"> • Ryan White-funded Medical or Dental Assistance
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Chart 1b., Row 5: Sources of Income include, but are not limited to the following (Reference only)

<ul style="list-style-type: none"> • Earned Income • Veteran’s Pension • Unemployment Insurance • Pension from Former Job • Supplemental Security Income (SSI) 	<ul style="list-style-type: none"> • Child Support • Social Security Disability Income (SSDI) • Alimony or other Spousal Support • Veteran’s Disability Payment • Retirement Income from Social Security • Worker’s Compensation 	<ul style="list-style-type: none"> • General Assistance (GA), or use local program name • Private Disability Insurance • Temporary Assistance for Needy Families (TANF) • Other Income Sources
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1c. Households that Obtained Employment

Column [1]: Of the households identified as receiving services from project sponsors that provided HOPWA housing subsidy assistance as identified in Chart 1a., Row 1e. above, report on the number of households that include persons who obtained an income-producing job during the operating year that resulted from HOPWA Job training, employment assistance, education or related case management/counseling services.

Column [2]: Of the households identified as receiving services from project sponsors that did NOT provide HOPWA housing subsidy assistance as reported in Chart 1a., Row 2d., report on the number of households that include persons who obtained an income-producing job during the operating year that resulted from HOPWA Job training, employment assistance, education or related case management/counseling services.

Note: This includes jobs created by this project sponsor or obtained outside this agency.

Categories of Services Accessed	[1] For project sponsors that provided HOPWA housing subsidy assistance, identify the households who demonstrated the following:	[2] For project sponsors that did NOT provide HOPWA housing subsidy assistance, identify the households who demonstrated the following:
Total number of households that obtained an income-producing job	49	

End of PART 4

PART 5: Worksheet - Determining Housing Stability Outcomes (optional)

1. This chart is designed to assess program results based on the information reported in Part 4 and to help Grantees determine overall program performance. Completion of this worksheet is optional.

Permanent Housing Subsidy Assistance	Stable Housing (# of households rEMSAining in program plus 3+4+5+6=#)	Temporary Housing (2)	Unstable Arrangements (1+7+8=#)	Life Event (9)
Tenant-Based Rental Assistance (TBRA)				
Permanent Facility-based Housing Assistance/Units				
Transitional/Short-Term Facility-based Housing Assistance/Units				
Total Permanent HOPWA Housing Subsidy Assistance				
Reduced Risk of Homelessness: Short-Term Assistance	Stable/Permanent Housing	Temporarily Stable, with Reduced Risk of Homelessness	Unstable Arrangements	Life Events
Short-Term Rent, Mortgage, and Utility Assistance (STRMU)				

Total HOPWA Housing Subsidy Assistance				
--	--	--	--	--

Background on HOPWA Housing Stability Codes

Stable Permanent Housing/Ongoing Participation

3 = Private Housing in the private rental or home ownership market (without known subsidy, including permanent placement with families or other self-sufficient arrangements) with reasonable expectation that additional support is not needed.

4 = Other HOPWA-funded housing subsidy assistance (not STRMU), e.g. TBRA or Facility-Based Assistance.

5 = Other subsidized house or apartment (non-HOPWA sources, e.g., Section 8, HOME, public housing).

6 = Institutional setting with greater support and continued residence expected (e.g., residential or long-term care facility).

Temporary Housing

2 = Temporary housing - moved in with family/friends or other short-term arrangement, such as Ryan White subsidy, transitional housing for homeless, or temporary placement in institution (e.g., hospital, psychiatric hospital or other psychiatric facility, substance abuse treatment facility or detox center).

Unstable Arrangements

1 = Emergency shelter or no housing destination such as places not meant for habitation (e.g., a vehicle, an abandoned building, bus/train/subway station, or anywhere outside).

7 = Jail /prison.

8 = Disconnected or disappeared from project support, unknown destination or no assessments of housing needs were undertaken.

Life Event

9 = Death, i.e., rEMSAined in housing until death. This characteristic is not factored into the housing stability equation.

Tenant-based Rental Assistance: Stable Housing is the sum of the number of households that (i) rEMSAin in the housing and (ii) those that left the assistance as reported under: 3, 4, 5, and 6. Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item: 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

Permanent Facility-Based Housing Assistance: Stable Housing is the sum of the number of households that (i) rEMSAin in the housing and (ii) those that left the assistance as shown as items: 3, 4, 5, and 6. Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

Transitional/Short-Term Facility-Based Housing Assistance: Stable Housing is the sum of the number of households that (i) continue in the residences (ii) those that left the assistance as shown as items: 3, 4, 5, and 6. Other Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

Tenure Assessment. A baseline of households in transitional/short-term facilities for assessment purposes, indicate the number of households whose tenure exceeded 24 months.

STRMU Assistance: Stable Housing is the sum of the number of households that accessed assistance for some portion of the permitted 21-week period and there is reasonable expectation that additional support is not needed in order to maintain permanent housing living situation (as this is a time-limited form of housing support) as reported under housing status: Maintain Private Housing with subsidy; Other Private with Subsidy; Other HOPWA support; Other Housing Subsidy; and Institution. Temporarily Stable, with Reduced Risk of Homelessness is the sum of the number of households that accessed assistance for some portion of the permitted 21-week period or left their current housing arrangement for a transitional facility or other temporary/non-permanent housing arrangement and there is reasonable expectation additional support will be needed to maintain housing arrangements in the next year, as reported under housing status: Likely to maintain current housing arrangements, with additional STRMU assistance; Transitional Facilities/Short-term; and Temporary/Non-Permanent Housing arrangements. Unstable Situation is the sum of number of households reported under housing status: Emergency Shelter; Jail/Prison; and Disconnected.

PART 6: Annual Certification of Continued Usage for HOPWA Facility-Based Stewardship Units (ONLY)

NOT APPLICABLE

Part 7: Summary Overview of Grant Activities
A. Information on Individuals, Beneficiaries, and Households Receiving HOPWA Housing Subsidy Assistance (TBRA, STRMU, Facility-Based Units, Master Leased Units ONLY)

Note: Do not include in this section any individuals, beneficiaries, or households who received Supportive Services.

Section 1. HOPWA-Eligible Individuals who Received HOPWA Housing Subsidy Assistance

a. Total HOPWA Eligible Individuals Living with HIV/AIDS

In Chart a., provide the total number of eligible (and unduplicated) low income individuals living with HIV/AIDS who qualified their household to receive HOPWA housing subsidy assistance during the operating year. This total should include only the individual who qualified the household for HOPWA assistance NOT all HIV positive individuals in the household.

Individuals Served with Housing Subsidy Assistance	Total
Number of individuals with HIV/AIDS who qualified their household to receive HOPWA housing subsidy assistance.	338

Chart b. Prior Living Situation

In Chart b., report the prior living situations for all Eligible Individuals reported in Chart a. In Row 1, report the total number of individuals who continued to receive HOPWA housing subsidy assistance from the prior operating year into this operating year. In Rows 2 through 17, indicate the prior living arrangements for all new HOPWA housing subsidy assistance recipients during the operating year.

Note: The total number of eligible individuals served in Row 18 equals the total number of individuals served through housing assistance reported in Chart a. above.

Category		Total HOPWA Eligible Individuals Receiving Housing Subsidy Assistance
1.	<u>Continuing</u> to receive HOPWA support from the prior operating year	98
New Individuals who received HOPWA Housing Subsidy Assistance support during Operating Year		
2.	Place not meant for human habitation (such as a vehicle, abandoned building, bus/train/subway station/airport, or outside)	38
3.	Emergency shelter (including hotel, motel, or campground paid for with emergency shelter voucher)	3
4.	Transitional housing for homeless persons	17
5.	Total number of new Eligible Individuals who received HOPWA Housing Subsidy Assistance with a Prior Living Situation that meets HUD definition of homelessness (Sum of Rows 2 – 4)	58
6.	Permanent housing for formerly homeless persons (such as Shelter Plus Care, SHP, or SRO Mod Rehab)	1
7.	Psychiatric hospital or other psychiatric facility	1
8.	Substance abuse treatment facility or detox center	4
9.	Hospital (non-psychiatric facility)	0
10.	Foster care home or foster care group home	0
11.	Jail, prison or juvenile detention facility	2
12.	Rented room, apartment, or house	147
13.	House you own	4
14.	Staying or living in someone else's (family and friends) room, apartment, or house	21
15.	Hotel or motel paid for without emergency shelter voucher	1

16.	Other	1
17.	Don't Know or Refused	0
18.	TOTAL Number of HOPWA Eligible Individuals (sum of Rows 1 and 5-17)	338

c. Homeless Individual Summary

In Chart c., indicate the number of eligible individuals reported in Chart b., Row 5 as homeless who also are homeless Veterans and/or meet the definition for Chronically Homeless (See Definition section of CAPER). The totals in Chart c. do not need to equal the total in Chart b., Row 5.

Category	Number of Homeless Veteran(s)	Number of Chronically Homeless
HOPWA eligible individuals served with HOPWA Housing Subsidy Assistance	9	58

Section 2. Beneficiaries

In Chart a., report the total number of HOPWA eligible individuals living with HIV/AIDS who received HOPWA housing subsidy assistance (*as reported in Part 7A, Section 1, Chart a.*), and all associated members of their household who benefitted from receiving HOPWA housing subsidy assistance (resided with HOPWA eligible individuals). **Note:** See definition of "HOPWA Eligible Person".

Note: See definition of Transgender **Note:** See definition of Benfiaries.

Note: The sum of each of the Charts b. & c. equal the total number of beneficiaries served with HOPWA housing subsidy assistance, in Chart a., Row 3.

a. Total Number of Beneficiaries Served with HOPWA Housing Subsidy Assistance

Individuals and Families Served with Housing Subsidy Assistance	Total Number
1. Number of individuals with HIV/AIDS who qualified the household to receive HOPWA housing subsidy assistance (should equal the number of HOPWA Eligible Individuals reported in Part 7A,Section 1,Chart a.)	338
2. Number of ALL other persons diagnosed as HIV positive who reside with the HOPWA eligible individuals identified in Row 1 and who benefitted from the HOPWA housing subsidy assistance.	10
3. Number of ALL other persons NOT diagnosed as HIV positive who reside with the HOPWA eligible individual identified in Row 1 and who benefitted from the HOPWA housing subsidy	186
4. TOTAL number of ALL beneficiaries served with Housing Subsidy Assistance (Sum of Rows 1,2, & 3)	534

b. Age and Gender

In Chart b., indicate the Age and Gender of all beneficiaries as reported in Chart a. directly above. Report the Age and Gender of all HOPWA Eligible Individuals (those reported in Chart a., Row 1) using Rows 1-5 below and the Age and Gender of all other beneficiaries (those reported in Chart a., Rows 2 and 3) using Rows 6-10 below. The number of individuals reported in Row 11 equals the total number of beneficiaries reported in Chart a., Row 4.

HOPWA Eligible Individuals						
340		A.	B.	C.	D.	E.
		Male	FEMSAle	Transgender M to F	Transgender F to M	TOTAL* (Sum of Columns A-D)
1.	Under 18	3	1	0	0	4
2.	18 to 30 years	42	23	0	0	65
3.	31 to 50 years	141	64	3	0	208
4.	51 years and Older	45	16	0	0	61
5.	Subtotal (Sum of Rows 1-4)	231	104	3	0	338
All Other Beneficiaries (Chart a, Rows 2 and 3)						
		A.	B.	C.	D.	E.
		Male	FEMSAle	Transgender M to F	Transgender F to M	TOTAL* (Sum of Columns A-D)
6.	Under 18	62	66	0	0	128
7.	18 to 30 years	18	12	0	0	30
8.	31 to 50 years	15	12	0	0	27
9.	51 years and Older	3	8	0	0	11
10.	Subtotal (Sum of Rows 6-9)	98	98	0	0	196
Total Beneficiaries						
11.	TOTAL* (Sum of Row 5 & 10)	329	202	3	0	534

c. Race and Ethnicity*

In Chart c, indicate the Race and Ethnicity of all beneficiaries receiving HOPWA Housing Subsidy Assistance as reported in Section 2, Chart a., Row 4. Report the race of all HOPWA eligible individuals in Column [A]. Report the ethnicity of all HOPWA eligible individuals in column [B]. Report the race of all other individuals who benefitted from the HOPWA housing subsidy assistance in column [C]. Report the ethnicity of all other individuals who benefitted from the HOPWA housing subsidy assistance in column [D]. The summed total of columns [A] and [C] equals the total number of beneficiaries reported above in Section 2, Chart a., Row 4.

Category		HOPWA Eligible Individuals		All Other Beneficiaries	
		[A] Race [all individuals reported in Section 2, Chart a., Row 1]	[B] Also identified as Hispanic or Latino	[C] Race [total of individuals reported in Section 2, Chart a., Rows 2 & 3]	[D] Also identified as Hispanic or Latino
1.	American Indian/Alaskan Native	1		0	
2.	Asian	1		0	
3.	Black/African American	100	1	58	1
4.	Native Hawaiian/Other Pacific Islander	0		0	
5.	White	206	110	108	92
6.	American Indian/Alaskan Native & White	0		0	
7.	Asian & White	1		2	
8.	Black/African American & White	27	1	26	1
9.	American Indian/Alaskan Native & Black/African American	0		0	
10.	Other Multi-Racial	2		2	
11.	Column Totals (Sum of Rows 1-10)	338	112	196	94

Data Check: Sum of Row 11 Column A and Row 11 Column C equals the total number HOPWA eligible individuals reported in Part 3A, Section 2, Chart a, Row 4.

*Reference (data requested consistent with Form HUD-27061 Race and Ethnic Data Reporting Form)

Section 3. Households

Household Area Median Income.

Report the area median income(s) for all households served with HOPWA housing subsidy assistance.

Data Check: The total number of households served with HOPWA housing subsidy assistance should equal Part 3C, Row 6 and Part 7A, Section 1, Chart a. (Total HOPWA Eligible Individuals Served with HOPWA Housing Subsidy Assistance).

Note: Refer to http://www.huduser.org/portal/datasets/il/il2010/select_Geography_mfi.odn for information on area median income in your community.

Percentage of Area Median Income		Households Served with HOPWA Housing Subsidy Assistance
1.	0-30% of area median income (extremely low)	330
2.	31-50% of area median income (very low)	8
3.	51-80% of area median income (low)	0
4.	Total (Sum of Rows 1-3)	338

Part 7: Summary Overview of Grant Activities

B. Facility-Based Housing Assistance

NOT APPLICABLE