

MBE/WBE COMPLIANCE PLAN BREAKDOWN FOR GMP 3C:

WORK PACKAGE S-303 FILTERS AND UPFLOW CLARIFIERS 1 & 2

Brief Description: This work package involves the construction of the filter building complex, the balance of the upflow clarifiers, raw water gallery and carbon dioxide room.

Awarded Amount: \$12,413,500

Award Summary: Four responsive proposals were received and it is awarded to the lowest responsive, responsible bidder, Laughlin Thyssen, Houston, TX.

Detail: The Small and Minority Business Resources Department reviewed and approved the Compliance Plan for this work package.

The solicitation was issued with the MBE/WBE goals of **1.12%** African American; **3.67%** Hispanic; **0.30%** Native/Asian; and **3.12%** WBE. The prime subcontractor's compliance plan exceeds the goals as follows: **1.28%** African American; **3.85%** Hispanic; **0.32%** Native/Asian; and **4.49%** WBE. Compliance Plan breakdown for Work Package S-303 Filters and Clarifiers 1 and 2 Construction is below:

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|---|---------------|---------------------|
| NON MBE/WBE (PRIME SUB) LAUGHLIN THYSSEN, INC. | 90.06% | \$10,537,000 |
| MBE TOTAL – SUBCONTRACTOR | 5.45% | \$638,000 |
| <u>African American Subtotal</u> | <u>1.28%</u> | <u>\$150,000</u> |
| (MB) Unism Development, Co., Inc., Austin, TX (concrete) | 1.28% | |
| \$150,000 | | |
| <u>Hispanic Subtotal</u> | <u>3.85%</u> | <u>\$450,000</u> |
| (MH) Lone Star Ready Mix, LP, Leander, TX (ready mix concrete) | 1.88% | |
| \$220,000 | | |
| (MH) Facilities Rehabilitation, Inc., Taylor, TX (storm sewer pipe installation) | 1.97% | \$230,000 |
| <u>Native/Asian Subtotal</u> | <u>0.32%</u> | <u>\$38,000</u> |
| (MA) Metalink Corp, Austin, TX (steel, reinforcement) | 0.32% | \$38,000 |
| WBE TOTAL – SUBCONTRACTOR | 4.49% | \$525,000 |
| (FW) Central Texas Reinforcement, Austin, TX (bars, reinforcement) | 4.49% | \$525,000 |

WORK PACKAGE S-305 WALLS, CONVEYANCES, & MISCELLANEOUS SITE WORK

Brief Description: This package involves the construction of the site retaining walls, storm drain conveyances, and other associated site work.

Awarded Amount: \$4,748,956

Award Summary: Three responsive proposals were received and it is awarded to the low responsive, responsible bidder, Laughlin-Thyssen, Houston, TX.

Detail: The Small and Minority Business Resources Department reviewed and approved the Compliance Plan for this work package.

The solicitation was issued with the MBE/WBE goals of **1.00%** African American; **4.54%** Hispanic; **0.50%** Native/Asian; and **2.70%** WBE. The prime subcontractor's compliance plan exceeds the goals as follows: **1.05%** African American; **20.46%** Hispanic; **5.40%** Native/Asian; and **4.20%** WBE. Compliance Plan breakdown is below:

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| NON MBE/WBE (PRIME SUB) LAUGHLIN-THYSSEN, INC. | 68.89% | \$3,271,156 |
| MBE TOTAL – SUBCONTRACTOR | 26.91% | \$1,278,800 |
| <u>African American Subtotal</u> | 1.05% | \$50,000 |
| (MB) J. Harper Construction, Austin, TX (concrete) | 1.05% | \$50,000 |
| <u>Hispanic Subtotal</u> | 20.46% | \$972,000 |
| (MH) CVI Development, Austin, TX (headwalls, concrete) | 20.46% | \$972,000 |
| <u>Native/Asian Subtotal</u> | 5.40% | \$256,800 |
| (MA) Metalink, Austin, TX (fencing) | 5.40% | \$256,800 |
| WBE TOTAL – SUBCONTRACTOR | 4.20% | \$199,000 |
| (FW) Fuquay, Inc., Austin, TX (erosion control services) | 4.20% | \$199,000 |

MBE/WBE GOALS AND PARTICIPATION (PRECONSTRUCTION PHASE) & STATUS UPDATE:

PRECONSTRUCTION PHASE is currently underway. Preconstruction Phase Services, including services such as constructability reviews, estimating and cost savings recommendations, scheduling, and construction phase bidding is underway and will overlap with initial construction phase work. General Conditions costs will be incurred by the MWH Constructors throughout the entire construction phase and reimbursement of such will be tied to each Guaranteed Maximum Price. With the initial Construction Manager at Risk solicitation MBE/WBE goals were established for both Pre-Construction Phase Services and the General Conditions. Since the Construction Manager proposed its team for Pre-Construction and General Conditions work collectively, one set of MBE/WBE goals were established for all of the associated scopes of work. This Guaranteed Maximum Price proposal includes allocation of General Conditions.

The solicitation was issued with the MBE/WBE goals of **4.95%** MBE and **2.59%** WBE for Preconstruction Phase Services (including General Conditions). MWH submitted a compliance plan that exceeded the solicitation goals as follows: **21.39%** MBE; **2.38%** African American; **10.15%** Hispanic; **5.37%** Native/Asian; and **8.66%** WBE. Actual participation breakdown of Pre-Construction Phase Services to date is in the second table below:

PRECONSTRUCTION PHASE (INCLUDING GENERAL CONDITIONS) COMPLIANCE PLAN:

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| NON MBE/WBE TOTAL – PRIME - MWH CONSTUCTORS, INC. | 68.70% |
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| MBE TOTAL – SUBCONSULTANT | 21.39% |
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| <u>African American Subtotal</u> | 2.38% |
| (FB) All Points Inspection Services, Inc., Austin, TX (inspection services, photography) | 2.18% |
| (MB) MRSW Management, LLC, Austin, TX (computer management, networking, telecommunications/connectivity) | 0.20% |
| <u>Hispanic Subtotal</u> | 10.15% |
| (MH) DAVCAR Engineering Services, Austin, TX (engineering) | 1.14% |
| (MH) LNV Engineering, Inc., Austin, TX (general construction management: project controls/scheduling, cost; construction inspection) | 4.80% |
| (MH) Macias & Associates, LP, Austin, TX (surveying) | 0.11% |
| (MH) Origin Homes, LP, Austin, TX (general construction services) | 2.42% |
| (MH) Sanchris Enterprises, LLC, Austin, TX (security services) | 1.63% |
| (MH) Tri Recycling, Austin, TX (recycling and recycling equipment rental) | 0.05% |
| <u>Native/Asian Subtotal</u> | 5.37% |
| (MA) CAS Consulting and Services, Inc., Austin, TX (general construction management – scheduling and cost, procurement) | 3.84% |
| (MA) Raymond Chan & Associates, Inc., Austin, TX (water supply, treatment and distribution engineering, civil engineering, engineering consulting, utility/ yard piping) | 1.53% |

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| WBE TOTAL – SUBCONSULTANT | 8.66% |
| (FW) Baer Engineering & Environmental Consulting, Inc., Austin, TX (environmental planning, stormwater/erosion control) | 1.47% |
| (FW) Cox McLain Environmental Consulting, Inc., Austin, TX (environmental:consulting, impact studies, permitting; ecological) | 1.43% |
| (FB) Haynes-Eaglin-Waters, Inc., Austin, TX (minority and small business consulting) | 3.49% |
| (FW) Holos Collaborative, Austin, TX (consulting, sustainability/LEED) | 0.14% |
| (FW) K Friese & Associates, Inc., Austin, TX (civil and traffic and transportation engineering) | 1.07% |
| (FW) Rifeline, LLC, Austin, TX (public relations) | 0.85% |
| (FW) Zara Environmental, LLC (biological testing, conservation and resource management, subsurface testing, environmental site assessment/ consulting/ sustainability/ecological services) | 0.21% |

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| NON M/WBE TOTAL – SUBCONSULTANT | 4.74% |
| DPR Construction, Inc., Austin, TX (preconstruction cost estimating and constructability, building construction, sidewalk and driveway construction, water system main and service line) | 0.11% |
| Lyman Henn, Inc., Denver, CO (construction: tunneling, pipeline, underground utility; geological engineering) | 4.21% |
| Ranger Excavating, LP, Austin, TX (preconstruction support/cost estimating, boring, drilling testing and soundings, general construction) | 0.11% |
| Trident Tech Services, Inc., Melbourne Beach, FL (intake) | 0.31% |

THE FOLLOWING PRECONSTRUCTION PHASE SERVICES AND GENERAL CONDITIONS, AS AMENDED, ARE ACTUAL PARTICIPATION PAID THROUGH SEPTEMBER 2010:

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| NON MBE/WBE TOTAL – PRIME - MWH CONSTRUCTORS, INC. | 87.12% | \$6,380,039 |
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|---|--------------|------------------|
| MBE TOTAL – SUBCONSULTANT | 5.99% | \$439,054 |
| <u>African American Subtotal</u> | <u>0.03%</u> | <u>\$2,317</u> |
| (FB) All Points Inspection Services, Inc. | | \$2,317 |
| <u>Native/Asian Subtotal</u> | <u>3.80%</u> | <u>\$278,872</u> |
| (MA) CAS Consulting and Services, Inc. | | \$278,872 |
| <u>Hispanic Subtotal</u> | <u>2.16%</u> | <u>\$157,865</u> |
| (MH) Austin Staffing | | \$45,077 |
| (MH) LNV Engineering | | \$44,415 |
| (MH) Origin Homes, LP | | \$68,373 |

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| WBE TOTAL – SUBCONSULTANT | 2.64% | \$193,578 |
| (FB) Haynes-Eaglin-Waters, Inc. | 0.44% | \$31,909 |
| (FW) Cox McLain Environmental | 0.77% | \$56,025 |
| (FW) Holos | 0.02% | \$1,500 |
| (FW) K Friese | 0.09% | \$7,197 |
| (FW) Rifeline | 0.91% | \$66,518 |
| (FW) Longhorn Office Products | 0.41% | \$30,429 |
| NON M/WBE TOTAL – SUBCONSULTANT | 4.25% | \$311,265 |
| Ranger Excavating, LP | 0.07% | \$5,640 |
| Lyman-Henn | 0.82% | \$59,730 |
| Trident Technical Services | 0.07% | \$4,951 |
| American Subsurface | 1.68% | \$123,212 |
| Bittner-Shen | 0.70% | \$51,161 |
| Dan Albers | 0.22% | \$16,313 |
| Don Hilton | 0.35% | \$25,345 |
| Ron Heurer | 0.05% | \$4,180 |
| Toby Wightman | 0.29% | \$20,733 |

MBE/WBE GOALS AND PARTICIPATION (GMP 3A) & STATUS UPDATE:

GMP 3A – EARLY OUT EQUIPMENT did not include goals as the equipment is normally sold directly by the manufacturer. The equipment purchase does not include installation of the equipment or any other scopes of work. Small and Minority Business Resources Department reviewed the scope of work associated with the Work Packages and determined that no subcontracting opportunities existed; therefore, no goals were established.

MBE/WBE GOALS AND PARTICIPATION (GMP 3B) & STATUS UPDATE:

WORK PACKAGE – S-302 CLEARWELLS 1 & 2 CONSTRUCTION:

Brief Description: This package involves the construction of two concrete clearwells that will be utilized for disinfection and storage of treated water for WTP4.

Awarded Amount: \$5,818,109

Stauts: Work began in October. No payments have been processed and therefore MBE/WBE payment information is not available.

The solicitation was issued with the MBE/WBE goals of **2.18%** African American; **8.08%** Hispanic; **0.50%** Native/Asian; and **2.55%** WBE. The prime subcontractor's compliance plan exceeds the goals as follows: **6.95%** African American; **8.10%** Hispanic; **0.61%** Native/Asian; and **4.16%** WBE. Compliance Plan breakdown is below:

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|--|---------------|--------------------|
| NON MBE/WBE (PRIME SUB) LAUGHLIN-THYSSEN, INC. | 80.18% | \$4,675,859 |
| MBE TOTAL – SUBCONTRACTOR | 15.66% | \$902,250 |
| <u>African American Subtotal</u> | 6.95% | \$400,500 |
| (MB) A Tex DBE, Inc., Houston, TX (concrete) | 6.95% | \$400,500 |
| <u>Hispanic Subtotal</u> | 8.10% | \$466,750 |
| (MH) Lonestar Concrete – Ready Mix, Leander, TX (ready mix concrete supplies) | 8.10% | \$466,750 |
| <u>Native/Asian Subtotal</u> | 0.61% | \$35,000 |
| (MA) Metalink Corp, Austin, TX (fuel) | 0.61% | \$35,000 |
| WBE TOTAL – SUBCONTRACTOR | 4.16% | \$240,000 |
| (FH) Central Texas Reinforcement, Austin, TX (reinforced steel ties) | 4.16% | \$240,000 |

WORK PACKAGE S-304 STRUCTURAL EXCAVATION AND MISC. SITE IMPROVEMENTS:

Brief Description: This package involves site work, excavation, and fill for the upflow clarifiers, clearwells, and filter areas.

Awarded Amount: \$2,263,000

Stauts: Work began in October. No payments have been processed and therefore MBE/WBE payment information is not available.

The solicitation was issued with the MBE/WBE goals of **2.40%** African American; **7.38%** Hispanic; **0.20%** Native/Asian; and **2.03%** WBE. The prime subcontractor's compliance plan exceeds the goals as follows: **7.72%** African American; **10.77%** Hispanic; **0.22%** Native/Asian; and **2.08%** WBE. Compliance Plan breakdown is below:

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|--|---------------|--------------------|
| NON MBE/WBE (PRIME SUB) RANGER EXCAVATING, LP | 75.41% | \$1,708,675 |
| MBE TOTAL – SUBCONTRACTOR | 18.71% | \$421,107 |
| <u>African American Subtotal</u> | <u>7.72%</u> | <u>\$173,737</u> |
| (MB) J. Harper Construction Company, Inc., Austin, TX (demo, earthwork) | 7.72% | \$173,737 |
| <u>Hispanic Subtotal</u> | <u>10.77%</u> | <u>\$242,370</u> |
| (MH) Prism Development, Austin, TX (chain link fencing) | 0.50% | \$11,365 |
| (MH) ID Guerra, LP, Austin, TX (water/wastewater pump station) | 5.17% | \$116,363 |
| (MH) CVI Development, LLC, Austin, TX (sitework, cleanup) | 5.10% | \$114,842 |
| <u>Native/Asian Subtotal</u> | <u>0.22%</u> | <u>\$5,000</u> |
| (MN) Pat Norman & Associates, LLC, Austin, TX (CPM scheduling) | 0.22% | \$5,000 |
| WBE TOTAL – SUBCONTRACTOR | 2.08% | \$46,918 |
| (FW) Fuquay, Inc., Austin, TX (reinforced steel ties) | 2.08% | \$46,918 |
| NON M/WBE TOTAL – SUBCONTRACTOR | 3.79% | \$85,300 |
| KST Electric, LTD Manor, TX (electrical) | 3.79% | \$85,300 |