



### THIRD AMENDMENT TO THE MENTAL HEALTH INTERLOCAL COOPERATION AGREEMENT

This Third Amendment ("Amendment") to the Mental Health Interlocal Agreement, which is identified as Contract Number **NI130000020**, ("Agreement") is by and between the City of Austin ("City"), a Texas home-rule municipal corporation, and Austin Travis County Mental Health & Mental Retardation Center, a political subdivision of the State of Texas, dba Austin Travis County Integral Care ("ATCIC"), a political subdivision of the State of Texas.

The parties want to change this Agreement to a Deliverables-based Agreement to provide matching funds to ATCIC for state and federal grant programs identified in Exhibit A.1, *Program Work Statement (Deliverables)*.

The Amendment will not change the overall amount of the Agreement. The total Agreement amount is recapped below:

Term	Agreement Change Amount	Total Agreement Amount
Basic Term: (Dec. 1, 2012 - Sept. 30, 2014)	n/a	\$ 3,362,320
Amendment No. 1: Renewal Option #1 (Oct. 1, 2014 – Sept. 30, 2015)	\$ 1,681,160	\$ 5,043,480
Amendment No. 2: Change to Program Name	\$ 0	\$ 5,043,480
Amendment No. 3: Convert to Deliverables- based Agreement	\$ 0	\$ 5,043,480

The parties therefore hereby amend the Agreement as follows:

1. Exhibit A.1. Agreement Exhibit A.1, *Program Work Statement*, is removed in its entirety and replaced with a new "Exhibit A.1, *Program Work Statement (Deliverables)*" attached to this Amendment.
2. Exhibit A.2. Agreement Exhibit A.2, *Program Performance Measures*, is removed in its entirety.
3. Exhibit A.3. Agreement Exhibit A.3, *Client Eligibility Requirements*, is removed in its entirety.
4. Exhibit B-1. Agreement Exhibit B-1, *Program Budget and Narrative*, is removed in its entirety.

5. All other terms and conditions of the Agreement remain the same.

6. This Amendment may be executed in counterparts, each of which shall be deemed to be an original but all of which taken together shall constitute one and the same agreement.

BY THE SIGNATURES affixed below, this Amendment is hereby incorporated into and made a part of the Agreement. This Amendment is effective as of the last date of signature.

**CONTRACTOR**

Signature:

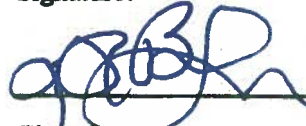


Austin Travis County Mental Health &  
Mental Retardation Center *DBA* Austin Travis  
County Integral Care  
David Evans, Executive Director  
1430 Collier Street  
Austin, TX 78704

Date: 8.18.15

**CITY OF AUSTIN**

Signature:



City of Austin  
Purchasing Office  
PO Box 1088  
Austin, TX 78767

Date: 09/18/15

**EXHIBITS**

**Exhibit A.1- Program Work Statement (Deliverables)**

## **PROGRAM WORK STATEMENT**

### **Social Services Deliverables Contract**

#### **1. Introduction/Overview (what is the purpose of this contract?)**

Austin Travis County Mental & Health Mental Retardation Center *dba* Austin Travis County Integral Care (ATCIC), is a non-tax-based governmental entity, and is the only public agency with a non-profit designation in the Austin/Travis County area qualified to receive certain State and Federal grant allocations through a required local match. The City of Austin has consistently satisfied the requirement for local matching funds through its taxing authority. This government-to-government partnership has allowed ATCIC to serve over 26,000 Austin/Travis County residents with critical, evidence-based mental health, early childhood intervention and intellectual/developmental disability (IDD) services, creating a safety net for those diagnosed with some of the most severe disabilities found in our Community. The City of Austin, in turn, can utilize ATCIC's ability to leverage its dollars and be assured that safety net services are in place.

The State of Texas legally designated ATCIC as our Community's Mental Health and Intellectual and Developmental Disabilities (IDD) Local Authorities, placing ATCIC in a specialized role. A key aspect of ATCIC's *Authority* role is the examination of our community's safety net services and the development of, or membership in community collaborations to design responses to emerging mental health and IDD issues. ATCIC's role includes Authority functions that involve the oversight of provider functions, coordination of services, intake and eligibility determination, service interest/wait list management, local planning, and resource development. Authority Services under the Main Mental Health Interlocal Agreement are described below:

#### **AUTHORITY SERVICES-**

*[City funding covers 13.67% of monthly Authority Services expenditures, in an amount not to exceed \$463,824]*

The essential authority functions that Austin Travis County Integral Care (ATCIC) performs include:

- **Administrative Services Organization Support:** Administrative support and functions provided to local collaboratives such as the CAN and Integrated Care Collaboration.
- **Local and Community Planning:** As the designated local mental health and intellectual and developmental disabilities designated authorities, ATCIC is responsible for the development of a local service area plan and network plan. In its unique governmental role and function (as required by state law), ATCIC incorporates meaningful community input in planning aimed to reduce duplicative services and resources in the community. One example is the Travis County Plan for Children's Mental Health.
- **Coordination of Service System with the Community:** Such coordination ensures collaboration with other agencies, including local hospitals, nursing facilities, other health and human services agencies, criminal justice entities, Community Resource Coordination Groups (CRCG), child serving agencies, family advocacy organizations, local businesses and other community organizations.
- **Disaster Preparedness and Response:** ATCIC provides guidance, technical assistance and other coordinating efforts with City of Austin and the Central Texas region before, during and after disasters by working to:
  - Ensure consumer, staff and community safety;
  - Decrease adverse mental health effects following a disaster;
  - Prevent long-term trauma; and
  - Foster short and long-term adaptive functioning
- **Outreach and Prevention:** ATCIC provides free educational materials and information for access to services at more than 20 public events a year. These efforts include, but are not limited to, community-wide suicide prevention services through Mental Health First Aid certification, lethality assessment training, school-based prevention efforts, 24/7 Crisis Hotline and online chat. Through

a data-sharing agreement with the Austin/Travis County Health and Human Services Department, ATCIC also monitors local deaths by suicides to identify trends, target prevention and post-vention efforts and enhance public awareness in the community; ATCIC/APD co-facilitation of mental health training for CIT, Mental Health Officers and Cadets; the Austin Community College Mental Health and Wellness Fair and the Expo de La Familia. ATCIC also hosts the Central Texas African American Family Support Conference, which strives to strengthen family and individual awareness of available healthcare services—behavioral and physical—through culturally sensitive education, supports and partnerships. This free (to consumers) event draws more than 700 consumers and their families each year.

- Resource Development and Management: ATCIC engages in efforts to identify alternative funding sources for community gaps and needs derived from community planning and assessments and will ultimately benefit individuals receiving services (e.g., applying for grants and partnering with other organizations).
- Network Development and Management: ATCIC actively recruits service providers to fill identified community gaps and expand its network to increase consumer choice. Functions that support ATCIC's managed services and managed care operations include continuity of care, quality management, data analysis, contract management, claims adjudication and payment, provider relations, provider enrollment and contract monitoring.
- State Designated Care and Population Management: ATCIC performs the single point of access for publicly funded mental health and intellectual and developmental disabilities (IDD) service needs through utilization management. ATCIC authorizes individual requests for access to community based services, state hospitals, State Supported Living Centers, and inmate re-entry that require behavioral health and developmental disability services. ATCIC also manages the wait list for state funded services for behavioral health and the interest list for IDD waiver services.
- Oversight of Authority and Provider Functions: Monitors and evaluates service delivery and provider performance including providing information to the Board of Trustees.

## 2. Project Description- Description of Grant Programs Matched with City Funds

Below is a listing of grant programs administered by ATCIC and matched by the City of Austin under this Interlocal:

### MATCHING GRANT: DADS

*[City funding covers 9.52% of monthly DADS expenditures, in an amount not to exceed \$294,132]*

#### A. Brief Description of Program:

The Texas Department of Aging and Disability Services (DADS)-funded programs provide critical safety net and authority services for individuals with intellectual and developmental disabilities (IDD).

ATCIC's IDD Services Division provides services that help individuals and their families choose and access quality and cost-efficient services and supports. Through individualized case management and service coordination, ATCIC works to best meet consumers' unique needs and preferences. This is accomplished through an extensive network of private and public agencies in Austin and Travis County.

- Assistive Technology Services and Devices
- Eligibility, Determination and Intakes
- Behavioral Support
- Community Living Options Information Process (CLOIP)
- Community Support Services
- Continuity of Care in State Facilities
- Day Habilitation
- Foster Care Services

- In Home and Out of Home Respite
- Nursing
- Personal Care Assistance
- Residential Services
- Service Coordination
- Single Point of Entry
- Specialized Therapies
- Service Transition
- Supported Employment and Vocational Training
- Supported Home Living
- Pre Admission Screening and Resident Review (PASRR)
- Community First Choice (CFC)

B. Target Population to be Served:

- Adults and children with IDD diagnosis in Austin, Travis County
- Consumer program and financial eligibility shall be determined by criteria established by DADS with respect to the services to be provided
- **Total Number of Unduplicated Clients Served= 71**

**MATCHING GRANT: DSHS MENTAL HEALTH BLOCK**

*[City funding covers 4.72% of monthly DSHS Mental Health expenditures, in an amount not to exceed \$652,858]*

A. Brief Description of Program:

The Department of State Health Services (DSHS) funds programs that provide critical safety net and authority services for adults and children with behavioral health needs. ATCIC provides an array of behavioral health services to adults diagnosed with significant functional limitations, primarily with schizophrenia, bipolar and major depressive disorders. These illnesses are typically persistent, severe and may be accompanied by a substance use disorder. Treatment at ATCIC is uniquely designed in collaboration with the individual, family and other support services in a caring and positive environment.

**Adult Services**

- Eligibility, Determination and Intake
- Ambulatory Detoxification
- Assertive Community Treatment Team
- Co-occurring Psychiatric and Substance Use Disorders
- Continuity of Care for State Mental Health Facilities
- Dual Diagnosis (SA/MI) Outpatient Treatment Services
- Hepatitis C Services
- HIV/AIDS Outreach, Testing, Early Intervention and Education
- Homeless Services
- Homeless Short-Term Residential Services (Safe Haven)
- Housing Coordination
- Individual Counseling
- Individual and Group Rehabilitation Skills Training
- InShape Exercise Program
- Integrated Mental Health Services in a Primary Care Setting
- Jail Diversion Program (Road to Recovery)

- Outpatient Competency Restoration Program
- Medication Support
- Narcotics Treatment Program
- Mental Health Co-operative Living
- Offenders with Mental Impairment Program (TCOOMI)
- Peer Recovery and Support Services
- Substance Use Outpatient Treatment Services (eg. CARE)
- Intensive Substance Use Outpatient Treatment Services (Oak Springs)
- Residential Substance Use Treatment Program
- Supported Employment
- Supported Housing Team
- Transitional Housing
- Veterans Services

#### Child and Family Services

- Eligibility Determination and Intake
- Aftercare Services
- Case Management and Service Coordination
- Counseling, Individual, Family and Group
- Crisis Intervention and Management
- Detention, Home, School and Community Visits
- Early Childhood Intervention Services
- Family Support and Education
- Juvenile Justice Services
- Intensive In-home Services
- Living Skills Training
- Medication Management
- Nursing Services
- Parent-skills training
- Screening, Intake, Referral
- Service Transition
- Transportation
- Vision Services

#### Crisis Services

ATCIC has the ability to respond to community and individual crisis situations in a variety of ways. Crisis services are interventions in response to a psychiatric crisis in order to reduce symptoms of severe and persistent mental illness or emotional disturbance and prevent admission of an individual or client to an inpatient setting, jail or emergency department.

- 24/7 Crisis Hotline
- Inpatient Hospitalization
- Mobile Crisis Outreach Team (MCOT)
- Psychiatric Emergency Services
- Crisis Respite and Transitional Services
- Short-term Residential Crisis Services (The INN)
- Crisis Residential Hospital and Jail Diversion Program (specialty co-occurring substance use and mental health treatment)

**B. Target Population to be Served:**

ATCIC uses available resources to provide services directly or through contract, to target the needs of persons in the population as described below in Travis County:

- Priority population with behavioral health and/or developmental disabilities (including substance use)
- Priority and target populations as defined by state, local, federal and private funders
- Other populations, as determined by ATCIC's Board of Trustees, that meet community needs
- Single diagnosis substance abuse, as defined by a funder contracting for services
- HIV Services, as defined by a funder contracting for services
- Children with multiple needs who are part of the Children's Integrated Funding Initiative
- Other disabled or populations with related conditions determined to be in need of ATCIC services
- Other disabled or populations as part of demonstration projects or other study groups to acquire and/or demonstrate best practices
- Consumer program and financial eligibility shall be determined by criteria established by DSHS with respect to the services to be provided
- **Total Number of Unduplicated Clients Served= 241**

**MATCHING GRANT: EARLY CHILDHOOD INTERVENTION (ECI)**

*[City funding covers 20.26% of monthly ECI expenditures, in an amount not to exceed \$172,791]*

**A. Brief Description of Program:**

The Texas Department of Assistive and Rehabilitative Services (DARS) Early Childhood Intervention (ECI) dollars provided for intervention strategies and services for children 0-3 with special diagnosis.

The Infant Parent Program (IPP) – ECI serves children from birth to 36 months, who have a developmental delay or diagnosed physical condition that has a high probability of resulting in a developmental delay. ECI focuses on family, respects the rights of parents and provides services within the family's natural, everyday routines and environments. When a child qualifies for services, a team of service professionals and the child's parents or legal guardian develops an individualized family service plan. Services may include speech, physical and occupational therapy, developmental services, eligibility determination and intake, service coordination, nutrition and audiological services.

**B. Target Population to be Served:**

Children from birth to 36 months who:

- Have a medically diagnosed physical condition that has a high probability of resulting in developmental delay and who have a documented need for early intervention services;
- Have a developmental delay; or
- Exhibit atypical development; AND
- Reside in IPP-ECI service area
- Consumer program and financial eligibility shall be determined by criteria established by DARS with respect to the services to be provided
- **Total Number of Unduplicated Clients Served= 112**

**MATCHING GRANT: DSHS PATH**

*[City funding covers 9.11% of monthly DSHS PATH expenditures, in an amount not to exceed \$52,321]*

**A. Brief Description of Program:**

DSHS PATH programs address various strategies to engage homeless individuals in Austin.

PATH/ACCESS is a federally-funded program designed to provide active outreach to areas where individuals are homeless and disenfranchised. Specialists work to develop an honest, helpful relationship towards a consumer-directed plan. The program has six outreach and engagement specialists, two psychiatrists, a certified medical assistant and a licensed clinical supervisor linking consumers with established mainstream services and resources. The length of service in the PATH/ACCESS program varies based on individual needs.

**B. Target Population to be Served:**

- Adult homeless population
- Consumer program and financial eligibility shall be determined by criteria established by DSHS with respect to the services to be provided.
- **Total Number of Unduplicated Clients Served= 53**

**MATCHING GRANT: HUD SHP – SH REALLOCATION**

*[City funding covers 25.34% of monthly SH Reallocation expenditures, in an amount not to exceed \$45,234]*

**A. Brief Description of Program:**

This project will serve approximately 27 individuals experiencing chronic homelessness diagnosed with mental illness, substance use disorders, and physical health conditions. Priority will be given to individuals identified by the shelter system, the Criminal Justice System and other emergency service providers as high users of emergency and crisis services. Staffing Ratio is 1:15. ATCIC will provide supportive services such as housing based case management with a focus on housing stability and a holistic approach on general wellness and recovery. Housing Placement will be on scattered site units identified in the community.

The program will utilize a housing first approach therefore, housing placement and supportive services will be provided soon after the consumer chooses the service and placement is available.

**B. Target Population to be Served:**

- Adult 100% chronically homeless population
- **Total Number of Unduplicated Clients Served= 27**

**3. Deliverables and Payment Schedule**

<b>Deliverable Description</b>	<b>Completion Date</b>	<b>Supporting Documentation Required</b>	<b>Payment Amount</b>
Monthly Expenditure Report and Payment Request	By the 15 <sup>th</sup> of each month following the reporting month	General Ledger Reports	Aggregate total of the percentage of monthly grant expenses as described in Section 2, for a Total payment amount not to exceed \$1,681,160



**OTHER DELIVERABLES:**

- A. Quarterly Program Performance (QPP) and Quarterly Zip Code/Demographics Reports (QZD)-
  - To be reported in the City's Social Services Contract Database System (aka CTK)
  - For Performance, report Client Outputs only per grant programs (consolidated)
    - Quarter 1 performance/zip/demographics - Due January 15, 2015
    - Quarter 2 performance/zip/demographics - Due April 15, 2015
    - Quarter 3 performance/zip/demographics - Due July 15, 2015
    - Quarter 4 performance/zip/demographics - Due October 15, 2015
- B. Contract Progress Report (in CTK)- due October 31, 2015
- C. ATCIC Main Annual Report (by email to Contract Manager)– Due January 31, 2016
- D. Contract Closeout Report (in CTK)- due 60 days after termination or expiration of Contract
- E. Local and Community Planning
  - For FY14-15 to be reported by October 15<sup>th</sup> 2015
  - Provide a quarterly update of the implementation of the Travis County Plan for Children's Mental Health and the published Substance Use Disorder Planning (by e-mail to the City contract manager).
  - The Substance Use Plan (by e-mail to the City contract manager).
- F. Disaster Preparedness and Response (uploaded to Billing Detail Upload Section in CTK)
  - For FY14-15 to be reported by October 15, 2015
  - Provide a quarterly update that lists the dates, interventions and results of any activities involving the agency's responsiveness to behavioral health, crisis or community disaster (such as postvention services after a suicide, displacement of individuals, etc.)
- G. Outreach and Prevention (uploaded to Billing Detail Upload Section in CTK)
  - Provide a quarterly report on any events that engage the public in prevention, health and wellness (such as anti-stigma and tobacco free campaigns, addressing workforce shortage, integrated health care, cultural competency practice, Mental Health First Aid Training.)