1		ORDINANCE NO.			
2 3 4 5 6 7 8	AN ORDINANCE AMENDING CHAPTER 4-8 OF THE CITY CODE RELATING TO LOBBYING; AMENDING CHAPTER 2-7 OF THE CITY CODE RELATING TO COMPLAINTS BEFORE THE ETHICS REVIEW COMMISSION AND FINANCIAL DISCLOSURE FILING DEADLINES; AND AMENDING ORDINANCE NO. 20180911-002, THE FISCAL YEAR 2018-2019 FEES, FINES, AND OTHER CHARGES ORDINANCE TO AMEND LOBBYIST REGISTRATION FEES.				
9	BE I	T ORDAINED BY THE CITY COUNCIL OF THE CITY OF AUSTIN:			
0		<b>T 1.</b> Subsections (B) and (C) of City Code Section 4-8-4 ( <i>Election by Business</i> y) are amended to read:			
2	(B)	The business entity may elect to:			
.3		(1) register [and report] as a business entity; or			
4.5		(2) have each employee lobbyist register and report individually <u>all</u> information required to be reported by the entity.			
.6 .7 .8 .9	(C)	If a business entity elects the option under Subsection (B)(1), [an] each lobbyist employee of the business entity who would otherwise be subject to the registration requirement is [not] required to [register or] file registration and activity reports [if], and the business entity must:			
20 21		(1) [registers on behalf of] register and identify all of the business entity's employee lobbyists;			
22		(2) pay[s] the annual registration fee for the business entity and each of the employee lobbyists; and			
24 25 26		(3) completely report[s] the activity of the entity that is not fully reported by [each] its employee lobbyists [that is required to be reported by this chapter].			
27 28	<b>PART 2.</b> Subsections (B), (D), and (E) of City Code Section 4-8-5 ( <i>Registration</i> ) are amended to read:				
29	(B)	The registration shall include:			
80		(1) the registrant's full name;			

(2) the registrant's permanent business street address, [and] business mailing address, and business email address; (3) the name and address, and the nature of the business of each client on whose behalf the registrant will lobby; (4) a specific description of each municipal question on which the registrant will lobby; and, if applicable: (a) if real property is the subject of the municipal question, an address or legal description of the real property; and (b) the subject matter from a list of subjects provided on the registration form by the city clerk; and (c) for each person employed or retained by the registrant (excluding purely clerical or administrative assistance) for the purpose of assisting in, or preparing for, lobbying, the full name, business address, and occupation of the person. 45 46 47 48 49 (C) A registrant and a registrant's employee lobbyists, if any, shall file activity reports until the registrant terminates the registrant's registration. A registrant who ceases reportable activity may terminate the registrant's registration by filing a notice of termination. The registrant must file the activity report for the reporting period in which the registrant files the notice of termination. 50 51 52 53 54 55 (E) If there is a change in the information reported on a registrant's registration form, including a new client or municipal matter, the registrant shall provide a written update to the information reported in the registrant's registration form not later than the tenth working day after the date the person first directly communicates with a City Official to lobby on a new municipal question or for a new client, or after the date of the change of any other information required to be reported. \$7 **PART 3.** Subsection (D) of City Code Section 4-8-6 (Activity Reports) is amended **5**8 to read: **\$**9 A registrant and the employee lobbyists of a registrant, if any, shall file a (D) quarterly activity report whether or not reportable activity has occurred during the preceding quarter. If no reportable events have occurred, a statement to that effect is sufficient for the report.

- **PART 4.** Subsections (A) and (B) of City Code Section 4-8-9 (*Forms; Oaths; Review of Reports; Rules*) are amended to read:
- (A) Except as provided by Section [§ 4-8-9] 4-8-8(C) (Appearance), the city clerk may design and promulgate a form for any information required to be reported under this chapter. In this section "report" includes a registration, a report, and an update of, or an amendment to, a registration or a report. If the city clerk promulgates a form, a person required to file a report must file the report on the form.
- (B) A person filing a report shall file the report electronically, under procedures determined by the city clerk, in an electronic format that allows for a downloadable, searchable database for the general public. The city clerk may waive the electronic filing requirement or provide other reasonable accommodation to accommodate a disability pursuant to City Code Chapter 5-6 (Discrimination Against a Person with Disabilities), other law, or to facilitate prompt receipt of a report from a person who has suffered a business interruption or personal emergency as described in subsection (D) of Section 4-8-11 (Late Filing Fee). The city clerk shall post every report on the City's Web site not later than the second business day after the date the city clerk accepts the report. The city clerk shall create common queries for public accessibility, such as total compensation within ranges for a particular lobbyist, all clients of a particular lobbyist, and all lobbyists and their compensation within ranges for a particular municipal question or client.

## **PART 5.** City Code Section 4-8-11 (*Late Filing Fee*) is amended to read:

## § 4-8-11 LATE FILING FEE

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- (A) A person responsible for filing a late report is liable to the City for payment of a late filing fee. The amount of the late filing fee shall be set by ordinance. A late filing fee is not penal in nature, and is in addition to an available sanction for a late filing of a report. A person's payment of a late fee under this section does not discharge a person's liability for a criminal offense under this chapter.
- (B) The city clerk shall determine from any available evidence whether a report under this chapter is late.
  - (1) A report that is not timely filed is late.

96 97 98 99 100 (2) A registration or report is not properly filed without [the] a required fee being paid timely. Accordingly, a registration or report filed without the required fee being paid timely is late. The city clerk may use an electronic form that will prevent a filer from submitting a required report until all registration, renewal, or late fees owed by a registrant or 101 employee lobbyist are paid. 102 (C) The city clerk may not extend a deadline or waive a late fee caused by an 103 inadvertent error or omission of a person responsible for filing a report, or the 104 error or omission of the person's authorized officer or agent. 105 The city clerk may extend a deadline for a period of up to 30 days and may (D) waive up to two late fees if the registrant or person responsible for filing a 106 107 report is unable to timely file a report due to: a business interruption caused by a natural catastrophic event (such as 108 (1) 109 tornado, flood, fire, hurricane, or earthquake), a serious weather-related 110 event (such as heavy winds, ice, or snow), or other unsafe condition 111 affecting the person's business or access to the person's business that is not caused by the person; or 112 a personal emergency related to: (a) the death, disability, or serious 113 (2) medical condition of the person, or the person's relative within the second degree of consanguinity or affinity; or (b) a natural catastrophic 115 116 event. Except to provide public testimony at a meeting subject to the requirements 117 (E) of the Texas Open Meetings Act, a lobbyist may not lobby a City Official 118 119 unless all fees have been paid and all required reports have been filed. ([C]F) On making a determination that a report is late, the clerk shall immediately 120 121 send, by [registered] email or other traceable method, a notice of the determination to the person responsible for the filing, notifying the person of 123 the fee for late filing, and charging the fee. 124 ([D]G) If the late fee is not paid before the 10th day after the date on which the notice 125 is [received] sent by the city clerk to the person responsible for filing the 126 report, the person is liable to the City for an additional late fee. 127 (1) Until the person files the report, the clerk shall send a written notice by 128 email to the person every 10th day. [After the first notice, the clerk need 129 not send notices by registered mail.] The person is liable for an

130 131 132 133			additional late fee each time the clerk sends a 10-day notice up to a cumulative maximum of \$500. The clerk need not send additional notices when the total of the late fee has reached the maximum amount and may not send the notices more frequently than every 10th day.				
134 135	([ <del>E</del> ] <u>F</u>	([E]H)The clerk shall provide a copy of each notice sent under this section to the city attorney.					
136 137	([F] <u>I</u> )		elerk shall deposit and use money received from late fees in the manner wibed in this chapter for a registration fee.				
138 139 140 141	([ <del>G</del> ] <u>J</u>	timel respo	port [subject to a fee, for which the fee is not paid,] is not properly or y filed in compliance with this chapter if the registrant or lobbyist ensible for filing the report has failed to pay any fee owed under this ter prior to, or at the time of, the filing.				
142 143		T 6. Solded to	Subsection (A) of City Code Section 4-8-12 (City Attorney's Report) is read:				
144 145 146 147 148	(A)	Audi open	city attorney shall at least quarterly submit a written public report to the t and Finance Committee, and at least annually make an oral report in an session of the Audit and Finance Committee, on the enforcement of this ter, including:  the number of referrals by the city clerk, city auditor, and other City departments;				
150		(2)	the number of citizen complaints;				
151 152		(3)	the number of investigations opened by the city attorney, whether on account of a referral or on the city attorney's own initiative;				
153		(4)	the number of cases settled;				
154		(5)	the number of subpoenas for documents issued;				
155		(6)	the number of witnesses subpoenaed;				
156		(7)	the number of cases tried;				
1\$7		(8)	the number of cases in which a fine was imposed;				
158 159		(9)	the number of cases in which a fine was not imposed or the person was adjudged not liable;				
160		(10)	the amount of fines assessed and collected; and				

(11) the number of city attorney staff hours devoted for the period for the 161 enforcement of this chapter. 162 163 **PART 7.** Subsection (C) of City Code Section 4-8-15 (Affirmative Defenses) is 164 amended to read: 165 (C) It is an affirmative defense to a prosecution for filing a late report, incomplete, or incorrect report that the person responsible for filing the report: 166 **167** (1) filed a complete and correct report not later than the 14th business day after the date the person [responsible for filing the report] becomes 168 **169** aware of the error or omission, other than by or after a filed complaint or an audit under [\frac{\xi}{2}] Section 4-8-10 (Audit), in the registration or report 170 171 originally filed; or 172 (2) filed a complete and correct report in compliance with an extended 173 deadline and lobbying restrictions in accordance with subsections (D) 174 175 and (E) of Section 4-8-11 (*Late Filing Fee*). 176 **PART 8.** Subsection (A) of City Code Section 2-7-76 (*Filing Dates for Statements*) 177 178 is amended to read: § 2-7-76 - FILING DATES FOR STATEMENTS. 179 181 Statements required by this article shall be received by the city clerk by [4:45]11:59p.m. on the last day required. When the last day falls on a Saturday or Sunday, or on an official City holiday as established by city council, the deadline for receipt by the 183 city clerk is extended to [4:45]11:59 p.m. of the next day which is not a Saturday or 184 Sunday or official City holiday. 185 186 PART 9. Council amends Exhibit A to Ordinance No. 20180911-002, the Fiscal 1**8**7 188 Year 2018-2019 Fees, Fines, and Other Charges Ordinance, to amend lobbyist 189 registration fees as follows:

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191	Annual Lobby Registration Fee for a [regular employee] registrant whose only
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