



LAW DEPARTMENT

DATE: DECEMBER 30, 2019

**CITY ATTORNEY'S QUARTERLY REPORT ON ENFORCEMENT
OF LOBBYING REGULATIONS
TO THE COUNCIL AUDIT AND FINANCE COMMITTEE**

Pursuant to section 4-8-12 of the Austin City Code, the City Attorney submits this report to the Audit and Finance Committee related to compliance and enforcement of Chapter 4-8 (Regulation of Lobbyists) of the City Code (referred to as the Lobbying Ordinance).

In regard to quarterly activity reports for the period of July 31, 2019 to September 30, 2019, the City Clerk's Office provided the following statistical information, as of October 18, 2019 (unless otherwise stated).

Registrations as of October 10, 2019			
Individuals	Individuals Registered Individually	54	
	Individuals Registered under a Business Entity	36	
	Total Individuals Registered		90
Business Entities	Business Entities Registered as Lobbyists	17	
Total Registrants	Total Registered Lobbyists (business entity and individuals)		107
Quarterly Reports – None Outstanding			
July to September 2019 Quarter	Individual lobbyists who failed to submit a quarterly activity report by the October 10, 2019 deadline.		0
Prior Quarters	None outstanding		0

Annual Registration Fees			
July to September	Registered lobbyists who failed to timely pay annual registration fees by October 10, 2019		3
July to September	Registered lobbyists who failed to timely pay annual registration fees by October 18, 2019		0
Prior Quarters	Registered lobbyists who failed to pay annual registration fees by July 10, 2019		0
Client Compensation Reporting			
July to September	Individual lobbyists who declined to report the amount of client compensation.		0
Late Fees – None Outstanding			
July to September Quarter	Individual lobbyists who received one or more late-fee notices		2
July to September Quarter	Lobbyists with unpaid late fees as of September 3, 2019		0
Prior Quarters	Unpaid late fees as of September 3, 2019		0

The following table includes enforcement statistics required to be reported pursuant to City Code section 4-8-12 and includes statistical information from April 1, 2017 through September 30, 2019.

ENFORCEMENT - REGULATION OF LOBBYISTS APRIL 2017 – SEPTEMBER 2019	
Referrals from city clerk, auditor, or other departments	0
Citizen complaints	19
Number of Citizen Complaints addressed by Ethics Review Commission	19 ¹
Investigations opened by the City Attorney	0
Cases settled	N/A
Subpoenas for documents	N/A
Witnesses subpoenaed	N/A
Cases tried	N/A
Cases in which a fine was imposed	N/A
Cases in which a fine was not imposed	N/A
Amount of fines assessed and collected	N/A
Law Department staff hours devoted for enforcement	28 hours

¹ On November 28, 2017, complaints were filed against 19 lobbyists, in which the complainant alleged failure to disclose client compensation in violation of section 4-8-6(A)(2) of the City Code. Between November 29, 2017 and February 7, 2018, the complainant advised that he was satisfied each of the 19 respondents to the complaints had either filed corrected reports or otherwise resolved the failure to report allegations. At a preliminary hearing on February 7, 2018, the Ethics Review Commission dismissed the 19 complaints.