

Office of the City Auditor
FY 2021 Audit Plan
Preliminary Proposed Projects



Draft for Discussion

September 2020

Annual Audit Plan in City Code

§ 2-3-7 - ANNUAL AUDIT PLAN AND SPECIAL AUDITS.

- (A) Not later than the 30th day before the beginning of a calendar year, the city auditor shall submit an annual audit plan to the council for review and comment through the council audit and finance committee.
- (B) An annual audit plan under this section shall identify the scope of each audit the city auditor intends to conduct, including:
 - (1) the department, organization, service, program, function, and policy to be audited; and
 - (2) each potential audit objective to be addressed.

§ 2-3-9 - COUNCIL AUDIT AND FINANCE COMMITTEE.

- (B) The committee shall:
 - (1) review and make a recommendation to the full council regarding the city auditor's annual audit plan;

Carryover Projects

- Coordination with Other Governments: Interlocal Agreements
- Workforce Management Series: Recruiting and Hiring
- Technology Procurement
- Redistricting

Proposed Audits to Begin Next Fiscal Year

1	Reimagining Public Safety: Civilian Staffing at the Police and Fire Departments
2	Cybersecurity Series
3	City Transparency
4	Amanda System
5	Coronavirus Response: Economic Recovery Funds
6	Coronavirus Response: City Employee Safety
7	Cost Savings Initiative
8	Ongoing Follow-up for Prior Recommendations
9	Lobbyist Registration Compliance

Next Steps

Now to mid-October

Receive stakeholder comments

Update the FY21 Audit Plan, as needed

Late October

Present revised draft to the Audit and Finance Committee for a recommendation to the full Council

November

Item on Council meeting agenda to approve FY21 Audit Plan
