

Office of the City Auditor

Proposed Audit Plan | Fiscal Year 2021



The Office of the City Auditor works to improve Austin city government to make it more transparent and accountable. We collect information about risks facing the City. This information comes from the City Council, City management, and the public. Also, we track global, national, and local issues that could affect Austin. The City Auditor assesses this information to identify value-added audit topics. The City Auditor then considers available resources and other factors to identify the projects included in this Audit Plan. We also conduct investigations, special requests for City Council, and others projects focused on the efficiency and effectiveness of City services.

| New Audits | | |
|------------|---|---|
| | Audit Topic | Preliminary Objective(s) |
| 1 | Reimagining Public Safety: Selected Project | <i>Currently working with relevant stakeholders to identify the most appropriate area to audit.</i> |
| 2 | Cybersecurity Series | Is the City prepared to prevent or mitigate the impacts of business interruptions caused by cyberattacks? |
| 3 | Transparency Series: Data Reliability | Does the City collect reliable information and openly share it with decision-makers and other interested stakeholders to improve outcomes? |
| 4 | Amanda System | Does the Amanda system efficiently manage multiple City processes to allow departments to provide effective services to stakeholders? |
| 5 | Lobbyist Registration Compliance | Are lobbyists complying with City Code provisions? [Required by City Code] Have City compliance efforts changed in response to remote working? |
| 6 | Ongoing Follow-up for Prior Recommendations | Have City departments implemented recommendations previously issued by the City Auditor, with a focus on priority topics covered in past audits? |
| 7 | Cost Savings Initiative | Are there opportunities for the City to identify cost savings or other efficiencies that do not reduce the level of services for residents? |
| | Coronavirus Response Series: | |
| 8 | Public Health Response | What lessons were learned from the City's response to the novel coronavirus to further protect the health and safety of community members and City employees? |
| 9 | Economic Recovery Funds | Did the City effectively manage the distribution of economic recovery funds and comply with federal requirements? |

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Carryover Audits - Started in Fiscal Year 2020 to be completed in Fiscal Year 2021

| | Audit Topic | Objective(s) |
|-----|--|---|
| C-1 | Redistricting (Non-audit) | Complete the process of selecting new members for the Independent Citizens Redistricting Commission. [Required by City Charter] |
| C-2 | Coordination with Other Governments: Interlocal Agreements | Does the City track and meet its obligations and enforce those of its partners according to established interlocal agreements? |
| C-3 | Workforce Management Series: Recruiting and Hiring | Do City efforts to recruit and hire result in the most qualified and diverse workforce possible? |
| C-4 | Technology Procurement | Is the City's process for obtaining technology goods and services efficient and do those goods and services meet City needs? |
| C-5 | Audits Planned for Reporting in 2020 | Software Licenses, Pagers |

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