



Amendment No. 10  
to  
Agreement No. 4700 NG170000008  
for  
Social Services  
between  
**AUSTIN TRAVIS COUNTY MENTAL HEALTH &  
MENTAL RETARDATION CTR  
DBA  
INTEGRAL CARE**  
and the  
**CITY OF AUSTIN**

*(Main Mental Health Services- Pro Lodges- COVID-19 MH Svcs)*

- 1.0 The City of Austin and the Grantee hereby agree to the Agreement revisions listed below.
- 2.0 The total amount for this Amendment to the Agreement is **Seventy Nine Thousand Four Hundred Eighty Four dollars (\$79,484)**. The total Agreement amount is recapped below:

Term	Agreement Change Amount	Total Agreement Amount
Basic Term: (Oct. 1, 2016 – Sept. 30, 2017)	n/a	\$ 1,782,029
Amendment No. 1: Add Funds to Agreement and Modify Exhibits	\$ 40,987	\$ 1,823,016
Amendment No. 2: Exercise Extension Option #1 (Oct. 1, 2017 – Sept. 30, 2018)	\$ 1,823,016	\$ 3,646,032
Amendment No. 3: Add Funds to Agreement and Modify Exhibits	\$ 45,575	\$ 3,691,607
Amendment No. 4: Exercise Extension Option #2 (Oct. 1, 2018 – Sept. 30, 2019)	\$ 1,868,591	\$ 5,560,198
Amendment No. 5: Add Funds to Agreement and Modify Exhibits	\$ 130,000	\$ 5,690,198
Amendment No. 6: Exercise Extension Option #3 (Oct. 1, 2019 – Sept. 30, 2020)	\$ 1,998,591	\$ 7,688,789
Amendment No. 7: Add Funds to Agreement and modify Exhibits	\$ 150,000	\$ 7,838,789
Amendment No. 8: Exercise Extension Option #4 and Add FY19-20 Funds to Agreement (Oct. 1, 2020 – Sept. 30, 2021)	\$ 2,837,340	\$ 10,676,129

Amendment No. 9: Add Funds to Agreement and Modify Exhibits	\$ 35,000	\$ 10,711,129
Amendment No. 10: Add Funds to Agreement and Modify Exhibits	\$ 79,484	\$ 10,790,613

**3.0** The following changes have been made to the original Agreement EXHIBITS:

**Exhibit A.1 -- Program Work Statement (Deliverables)** is deleted in its entirety and replaced with a new **Exhibit A.1 -- Program Work Statement (Deliverables)**. [Revised 2/4/2021]

**Exhibit B.3 -- Compensation Terms** is added to the agreement.

**Exhibit C -- Equal Employment/Fair Housing Office/Non-Discrimination Certification** is deleted in its entirety and replaced with a new **Exhibit C -- Equal Employment/Fair Housing Office/Non-Discrimination Certification, Israel Verification, and Conflicts of Interest** [Revised 4/13/2020]

**4.0** The Terms and Conditions for this Agreement are deleted in their entirety and replaced with the following:

## **SECTION 1. GRANT OF AUTHORITY, SERVICES AND DUTIES**

**1.1 Engagement of the Grantee.** Subject to the general supervision and control of the City and subject to the provisions of the Terms and Conditions contained herein, the Grantee is engaged to provide the services set forth in the attached Agreement Exhibits.

**1.1.1** This Agreement entered into between the City and the Grantee is designated a Social Services DELIVERABLES Agreement.

**1.2 Responsibilities of the Grantee.** The Grantee shall provide all technical and professional expertise, knowledge, management, and other resources required for accomplishing all aspects of the tasks and associated activities identified in the Agreement Exhibits. The Grantee shall assure that all Agreement provisions are met by any Subgrantee performing services for the Grantee.

**1.3 Responsibilities of the City.** The City's Contract Manager will be responsible for exercising general oversight of the Grantee's activities in completing the Program Work Statement. Specifically, the Contract Manager will represent the City's interests in resolving day-to-day issues that may arise during the term of this Agreement, shall participate regularly in conference calls or meetings for status reporting, shall promptly review any written reports submitted by the Grantee, and shall approve all requests for payment, as appropriate. The City's Contract Manager shall give the Grantee timely feedback on the acceptability of progress and task reports. The Contract Manager's oversight of the Grantee's activities shall be for the City's benefit and shall not imply or create any partnership or joint venture as between the City and the Grantee.

**1.4 Designation of Key Personnel.** The City's Contract Manager for this Agreement, to the extent stated in the preceding Section 1.3, shall be responsible for oversight and monitoring of Grantee's performance under this Agreement as needed to represent the City's interest in the Grantee's performance.

**1.4.1** The City's Contract Manager or designee:

- may meet with Grantee to discuss any operational issues or the status of the services or work to be performed; and

-shall promptly review all written reports submitted by Grantee, determine whether the reports comply with the terms of this Agreement, and give Grantee timely feedback on the adequacy of progress and task reports or necessary additional information.

1.4.2 Grantee's Contract Manager or designee, shall represent the Grantee with regard to performance of this Agreement and shall be the designated point of contact for the City's Contract Manager.

1.4.3 If either party replaces its Contract Manager, that party shall promptly send written notice of the change to the other party. The notice shall identify a qualified and competent replacement and provide contact information.

## **SECTION 2. TERM**

2.1 **Term of Agreement.** The Agreement shall be for a term of 12 months beginning October 1, 2020 through September 30, 2021.

2.1.1 Upon expiration of the initial term or period of extension, the Grantee agrees to hold over under the terms and conditions of this Agreement for such a period of time as is reasonably necessary to re-solicit and/or complete the project (not to exceed 120 calendar days unless mutually agreed upon in writing).

## **SECTION 3. PROGRAM WORK STATEMENT**

3.1 **Grantee's Obligations.** The Grantee shall fully and timely provide all services described in the attached Agreement Exhibits in strict accordance with the terms, covenants, and conditions of the Agreement and all applicable federal, state, and local laws, rules, and regulations.

## **SECTION 4. COMPENSATION AND REPORTING**

4.1 **Agreement Amount.** The Grantee acknowledges and agrees that, notwithstanding any other provision of this Agreement, the maximum amount payable by the City under this Agreement for the 12-month term shall not exceed the amount approved by City Council, which is **\$2,263,075 (Two Million Two Hundred Sixty Three Thousand and Seventy Five dollars)**. Continuation of the Agreement beyond the 12 months is specifically contingent upon the availability and allocation of funding, and authorization by City Council. Additional compensation terms are included in Exhibit B.3.

### **4.2 Reports.**

4.2.1 Grantee must submit a fully and accurately completed payment request to the City's Contract Manager using the City's contract management system by the deadline outlined in Exhibit B.3. Grantee must provide complete and accurate supporting documentation. Upon receipt and approval by the City of each complete and accurate payment request, the City shall process the payment to the Grantee in an amount equal to the City's payment obligations, subject to deduction for any unallowable costs.

4.2.2 Grantee shall submit a quarterly program performance report using the format and method specified by the City no later than 11:59 p.m. Central Standard Time (CST) 15 calendar days following each calendar quarter. If the 15<sup>th</sup> calendar day falls on a weekend or holiday, as outlined in Section 8.24, the deadline to submit the quarterly program performance report is extended to no later than 11:59 p.m. CST of the 1<sup>st</sup> weekday that is not a holiday immediately following the weekend or holiday. Grantee shall provide complete and accurate supporting documentation upon request by City. Payment Requests will not be approved if any accurate and complete

performance report, including any required documentation, is past due. Performance reports on a frequency other than quarterly may be required by the City based upon business needs.

4.2.3 An annual Contract Progress Report, using the forms in the City's contract management system, shall be completed by the Grantee and submitted to the City within 45 calendar days following the end of each Program Period.

4.2.4 A Contract Closeout Summary Report using the forms in the City's contract management system shall be completed by the Grantee and submitted to the City within 60 calendar days following the expiration or termination of this Agreement. Any encumbrances of funds incurred prior to the date of termination of this Agreement shall be subject to verification by the City. Upon termination of this Agreement, any unused funds, unobligated funds, rebates, credits, or interest earned on funds received under this Agreement shall be returned to the City.

4.2.5 Grantee shall provide the City with a copy of the completed Agency Administration Profile (AAP) using the forms in the City's contract management system, and required AAP Attachments, including a copy of the Grantee's completed Internal Revenue Service Form 990 or 990EZ (Return of Organization Exempt from Income Tax) if applicable, for each calendar year to be due in conjunction with submission of the Grantee's annual financial audit report or financial review report as outlined in Section 4.5.4. If Grantee filed a Form 990 or Form 990EZ extension request, Grantee shall provide the City with a copy of that application of extension of time to file (IRS Form 2758) within 30 days of filing said form(s), and a copy of the final IRS Form 990 document(s) immediately upon completion.

4.2.5.1 Governmental Entities are not required to submit an Agency Administration Profile to the City under this Agreement.

4.2.6 Grantee shall provide other reports required by the City to document the effective and appropriate delivery of services as outlined under this Agreement as required by the City.

#### **4.3 Grantee Policies and Procedures.**

4.3.1 Grantee shall maintain written policies and procedures aligned with best practices and approved by its governing body and shall make copies of all policies and procedures available to the City upon request. At a minimum, written policies shall exist in the following areas: Financial Management; Subcontracting and/or Procurement; Equal Employment Opportunity; Personnel and Personnel Grievance; Nepotism; Non-Discrimination of Clients; Client Grievance; Drug Free Workplace; the Americans with Disabilities Act; Conflict of Interest; Whistleblower; and Criminal Background Checks.

4.3.2 Grantee shall provide the City with copies of revised Articles of Incorporation and Doing Business As (DBA) certificates (if applicable) within 14 calendar days of receipt of the notice of filing by the Secretary of State's office. Grantee shall provide the City with copies of revised By-Laws within 14 calendar days of their approval by the Grantee's governing body.

#### **4.4 Monitoring and Evaluation.**

4.4.1 Grantee agrees that the City or its designee may carry out monitoring and evaluation activities to ensure adherence by the Grantee and Subgrantees to the Program Work Statement, Program Performance Measures, and Program Budget, as well as other provisions of this Agreement. Grantee shall fully cooperate in any monitoring or review by the City and further agrees to designate a staff member to coordinate monitoring and evaluation activities.

4.4.2 The City expressly reserves the right to monitor client-level data related to services provided under this Agreement. If the Grantee asserts that client-level data is legally protected from disclosure to the City, a specific and valid legal reference to this assertion must be provided and is subject to acceptance by the City's Law Department.

4.4.3 Grantee shall provide the City with copies of all evaluation or monitoring reports received from other funding sources during the Agreement Term upon request following the receipt of the final report.

4.4.4 Grantee shall keep on file copies of all notices of Board of Directors meetings, Subcommittee or Advisory Board meetings, and copies of approved minutes of those meetings.

#### **4.5 Financial Audit of Grantee.**

4.5.1 Grantee shall annually contract with an independent auditor utilizing a Letter of Engagement to complete either a full financial audit or financial review. The auditor must be a Certified Public Accountant recognized by the regulatory authority of the State of Texas.

4.5.1.1 Governmental Entities are not required to submit a financial audit to the City under this Agreement.

4.5.2 In the event Grantee expends \$750,000 or more in a year in federal awards, Grantee shall have a single or program specific audit conducted in accordance with Chapter 200, Subpart F, of Title 2 of the Code of Federal Regulations as required by the Single Audit Act of 1984, as amended (Single Audit Act), and shall submit to the City a complete set of audited financial statements and the auditor's opinion and management letters in accordance with Chapter 200, Subpart F, of Title 2 of the Code of Federal Regulations and any guidance issued by the federal Office of Management and Budget covering Grantee's fiscal year until the end of the term of this Agreement.

4.5.3 If Grantee is not subject to the Single Audit Act, and expends \$750,000 or more during the Grantee's fiscal year, then Grantee shall have a full financial audit performed in accordance with Generally Accepted Auditing Standards (GAAS). If less than \$750,000 is expended, then a financial review is acceptable, pursuant to the requirements of this Agreement.

4.5.4 Grantee shall submit a complete financial audit report or financial review which has been presented and accepted by the Board of Directors, to include the original auditor Opinion Letter/Independent Auditor's Report within 270 calendar days of the end of Grantee's fiscal year, unless alternative arrangements are approved in writing by the City. The financial audit report or financial review report must include the Management Letter/Internal Controls Letter, if one was issued by the auditor.

4.5.5 Grantee shall submit an APH Board Certification Form that was signed and dated by the Grantee's Board Chair. The APH Board Certification Form confirms that the independent auditor presented the financial audit or financial review to the Grantee's Board or committee of the Board and that it was accepted by the Grantee's Board of Directors or a committee of the Board. The City will deem the financial audit report/financial review report incomplete if the Grantee fails to submit the Board Certification form, as required by this Section.

4.5.6 The inclusion of any Findings or a Going Concern Uncertainty, as defined by Chapter 200, Subpart F, of Title 2 of the Code of Federal Regulations and GAAS, in a Grantee's audit requires the creation and submission to the City of a corrective action plan formally approved by the Grantee's governing board. The plan must be submitted to the City within 60 days after the audit is submitted to the City. Failure to submit an adequate plan to the City may result in the immediate suspension of funding. If adequate improvement related to the audit findings is not documented within a reasonable period of time, the City may provide additional technical assistance, refer the Agreement to the City Auditor for analysis, or move to terminate the Agreement as specified in Section 5 of the Agreement.

4.5.7 The expiration or termination of this Agreement shall in no way relieve the Grantee of the audit requirement set forth in this Section.

**4.5.8 Right To Audit By Office of City Auditor.**

4.5.8.1 Grantee agrees that the representatives of the Office of the City Auditor, or other authorized representatives of the City, shall have access to, and the right to audit, examine, and copy any and all records of the Grantee related to the performance under this Agreement during normal business hours (Monday – Friday, 8 am – 5 pm). In addition to any other rights of termination or suspension set forth herein, the City shall have the right to immediately suspend the Agreement, upon written notice to Grantee, if Grantee fails to cooperate with this audit provision. The Grantee shall retain all such records for a period of 5 years after the expiration or early termination of this Agreement or until all audit and litigation matters that the City has brought to the attention of the Grantee are resolved, whichever is longer. The Grantee agrees to refund to the City any overpayments disclosed by any such audit.

4.5.8.2 Grantee shall include this audit requirement in any subagreements entered into in connection with this Agreement.

**SECTION 5. TERMINATION**

5.1 **Right To Assurance.** Whenever one party to the Agreement in good faith has reason to question the other party's intent to perform, demand may be made to the other party for written assurance of the intent to perform. In the event that no assurance is given within the time specified after demand is made, the demanding party may treat this failure as an anticipatory repudiation of the Agreement.

5.2 **Default.** The Grantee shall be in default under the Agreement if the Grantee (a) fails to fully, timely and faithfully perform any of its material obligations under the Agreement, (b) fails to provide adequate assurance of performance under the "Right to Assurance" paragraph herein, (c) becomes insolvent or seeks relief under the bankruptcy laws of the United States or (d) makes a material misrepresentation in Grantee's offer, application and/or proposal to provide the services described in the attached Agreement Exhibits or in any report or deliverable required to be submitted by Grantee to the City.

5.3 **Termination For Cause.** In the event of a default by either party, the non-defaulting party shall have the right to terminate the Agreement for cause, by written notice effective 10 calendar days, unless otherwise specified, after the date of such notice, unless the defaulting party, within such 10 day period, cures such default, or provides evidence sufficient to prove to the non-defaulting party's reasonable satisfaction that such default does not, in fact, exist. If the Grantee is in default, the City may place Grantee on probation for a specified period of time within which the Grantee must correct any non-compliance issues. Probation shall not normally be for a period of more than 9 months; however, it may be for a longer period, not to exceed 1 year depending on the circumstances. If the City determines the Grantee has failed to perform satisfactorily during the probation period, the City may proceed with suspension. In the event of a default by the Grantee, the City may suspend or debar the Grantee in accordance with the "City of Austin Purchasing Office Probation, Suspension and Debarment Rules for Vendors" and remove the Grantee from the City's vendor list for up to 5 years and any Offer submitted by the Grantee may be disqualified for up to 5 years. In addition to any other remedy available under law or in equity, the non-defaulting party shall be entitled to recover all actual damages, costs, losses and expenses, incurred by the non-defaulting party as a result of the defaulting party's default, including, without limitation, cost of cover, reasonable attorneys' fees, court costs, and prejudgment and post-judgment interest at the maximum lawful rate. All rights and remedies under the Agreement are cumulative and are not exclusive of any other right or remedy provided by law.

5.4 **Termination Without Cause.** The City shall have the right to terminate the Agreement, in whole or in part, without cause any time upon 30 calendar-days prior written notice. Upon receipt of a notice of termination, the Grantee shall promptly cease all further work pursuant to the Agreement, with such exceptions, if any, specified in the notice of termination. The City shall pay the Grantee, to the extent of funds appropriated or otherwise legally available for such purposes, for all goods delivered and services performed and obligations incurred prior to the date of termination in accordance with the terms hereof.

5.5 **Fraud.** Fraudulent statements by the Grantee on any Offer or in any report or deliverable required to be submitted by the Grantee to the City shall be grounds for the termination of the Agreement for cause by the City and may result in legal action.

## **SECTION 6. OTHER DELIVERABLES**

6.1 **Insurance.** The following insurance requirements apply:

### **6.1.1 General Requirements**

6.1.1.1 The Grantee shall at a minimum carry insurance in the types and amounts indicated herein for the duration of the Agreement and during any warranty period unless otherwise approved by the City as evidenced by a "Risk Management Insurance Summary for Austin Public Health" that can be provided upon request of Grantee.

6.1.1.2 The Grantee shall provide a Certificate of Insurance as verification of coverages required below to the City at the below address prior to Agreement execution and within 14 calendar days after written request from the City.

6.1.1.3 The Grantee must also forward a Certificate of Insurance to the City whenever a previously identified policy period has expired, or an extension option or holdover period is exercised, as verification of continuing coverage.

6.1.1.4 The Grantee shall not commence work until the required insurance is obtained and has been reviewed by the City. Approval of insurance by the City shall not relieve or decrease the liability of the Grantee hereunder and shall not be construed to be a limitation of liability on the part of the Grantee.

6.1.1.5 The Grantee must maintain and make available to the City, upon request, Certificates of Insurance for all Subgrantees.

6.1.1.6 The Grantee's and all Subgrantees' insurance coverage shall be written by companies licensed to do business in the State of Texas at the time the policies are issued and shall be written by companies with A.M. Best ratings of B+VII or better. The City will accept workers' compensation coverage written by the Texas Workers' Compensation Insurance Fund.

6.1.1.7 All endorsements naming the City as additional insured, waivers, and notices of cancellation endorsements as well as the Certificate of Insurance shall contain the Grantee's email address, and shall be mailed to the following address:

City of Austin  
**Austin Public Health**  
ATTN: Social Services Contracts  
P. O. Box 1088  
Austin, Texas 78767

6.1.1.8 The "other" insurance clause shall not apply to the City where the City is an additional insured shown on any policy. It is intended that policies required in the Agreement, covering both the City and the Grantee, shall be considered primary coverage as applicable.

6.1.1.9 If insurance policies are not written for amounts specified, the Grantee shall carry Umbrella or Excess Liability Insurance for any differences in amounts specified. If Excess Liability Insurance is provided, it shall follow the form of the primary coverage.

6.1.1.10 The City shall be entitled, upon request, at an agreed upon location, and without expense, to review certified copies of policies and endorsements thereto and may make any reasonable requests for deletion or revision or modification of particular policy terms, conditions, limitations, or exclusions except where policy provisions are established by law or regulations binding upon either of the parties hereto or the underwriter on any such policies.

6.1.1.11 The City reserves the right to review the insurance requirements set forth during the effective period of the Agreement and to make reasonable adjustments to insurance coverage, limits, and exclusions when deemed necessary and prudent by the City based upon changes in statutory law, court decisions, the claims history of the industry or financial condition of the insurance company as well as the Grantee.

6.1.1.12 The Grantee shall not cause any insurance to be canceled nor permit any insurance to lapse during the term of the Agreement or as required in the Agreement.

6.1.1.13 The Grantee shall be responsible for premiums, deductibles and self-insured retentions, if any, stated in policies. All deductibles or self-insured retentions shall be disclosed on the Certificate of Insurance.

6.1.1.14 The Grantee shall endeavor to provide the City 30 calendar-days written notice of erosion of the aggregate limits below occurrence limits for all applicable coverages indicated within the Agreement.

6.1.2 **Specific Coverage Requirements.** The Grantee shall at a minimum carry insurance in the types and amounts indicated below for the duration of the Agreement, including extension options and hold over periods, and during any warranty period. These insurance coverages are required minimums and are not intended to limit the responsibility or liability of the Grantee.

6.1.2.1 **Commercial General Liability Insurance.** The minimum bodily injury and property damage per occurrence are \$500,000\* for coverages A (Bodily Injury and Property Damage) and B (Personal and Advertising Injuries). The policy shall contain the following provisions and endorsements.

6.1.2.1.1 Blanket contractual liability coverage for liability assumed under the Agreement and all other Agreements related to the project

6.1.2.1.2 Independent Grantee's Coverage

6.1.2.1.3 Products/Completed Operations Liability for the duration of the warranty period

6.1.2.1.4 Waiver of Subrogation, Endorsement CG 2404, or equivalent coverage



- 6.1.2.1.5 Thirty (30) calendar-days' Notice of Cancellation, Endorsement CG 0205, or equivalent coverage
- 6.1.2.1.6 The "City of Austin" listed as an additional insured, Endorsement CG 2010, or equivalent coverage
- 6.1.2.1.7 If care of a child is provided outside the presence of a legal guardian or parent, Grantee shall provide coverage for sexual abuse and molestation for a minimum limit of \$500,000 per occurrence.
- 6.1.2.1.8 The policy shall be endorsed to cover injury to a child while the child is in the care of the Grantee or Subgrantee.

\* Supplemental Insurance Requirement. If eldercare, childcare, or housing for clients is provided, the required limits shall be \$1,000,000 per occurrence.

#### **6.1.2.2 Business Automobile Liability Insurance.**

Minimum limits: \$500,000 combined single limit per occurrence for all owned, hired and non-owned autos

- a. a. If any form of transportation for clients is provided, coverage for all owned, non-owned, and hired vehicles shall be maintained with a combined single limit of \$1,000,000 per occurrence.
- b. If Grantee does not own any vehicles, a signed "Hired & Non-Owned Auto" Statement may be provided in conjunction with evidence of non-owned and hired Business Automobile Liability Insurance coverage.
- c. b. If no client transportation is provided but autos are used within the scope of work, and there are no agency owned vehicles, evidence of Personal Auto Policy coverage from each person using their auto may be provided. The following limits apply for personal auto insurance: \$100,000/\$300,000/\$100,000.

All policies shall contain the following endorsements:

- 6.1.2.2.1. Waiver of Subrogation, Endorsement CA 0444, or equivalent coverage
- 6.1.2.2.2. Thirty (30) calendar-days' Notice of Cancellation, Endorsement CA 0244, or equivalent coverage
- 6.1.2.2.3 The "City of Austin" listed as an additional insured, Endorsement CA 2048, or equivalent coverage

**6.1.2.3 Worker's Compensation and Employers' Liability Insurance.** Coverage is required of Grantees providing services on City owned or leased property, and shall be consistent with statutory benefits outlined in the Texas Worker's Compensation Act (Section 401). The minimum policy limits for Employer's Liability are \$100,000 bodily injury each accident, \$500,000 bodily injury by disease policy limit and \$100,000 bodily injury by disease each employee. The policy shall contain the following provisions and endorsements:

- 6.1.2.3.1 The Grantee's policy shall apply to the State of Texas
- 6.1.2.3.2 Waiver of Subrogation, Form WC 420304, or equivalent coverage
- 6.1.2.3.3 Thirty (30) calendar-days' Notice of Cancellation, Form WC 420601, or equivalent coverage

#### **6.1.2.4 Professional Liability Insurance.**

6.1.2.4.1 Grantee shall provide coverage at a minimum limit of \$500,000 per claim to pay on behalf of the assured all sums which the assured shall become legally obligated to pay as damages by reason of any negligent act, error, or omission arising out of the performance of professional services under this Agreement.

6.1.2.4.2 If coverage is written on a claims-made basis, the retroactive date shall be prior to or coincident with the date of the Agreement and the certificate of insurance shall state that the coverage is claims-made and indicate the retroactive date. This coverage shall be continuous and will be provided for 24 months following the completion of the Agreement.

6.1.2.5 **Blanket Crime Policy Insurance.** A Blanket Crime Policy shall be required with limits equal to or greater than the sum of all Agreement funds allocated annually by the City. Acceptance of alternative limits shall be approved by Risk Management.

6.1.2.6 **Directors and Officers Insurance.** Directors and Officers Insurance with a minimum of not less than \$1,000,000 per claim shall be in place for protection from claims arising out of negligent acts, errors or omissions for directors and officers while acting in their capacities as such. If coverage is underwritten on a claims-made basis, the retroactive date shall be coincident with or prior to the date of the Agreement and the certificate of insurance shall state that the coverage is claims made and the retroactive date. The coverage shall be continuous for the duration of the Agreement and for not less than 24 months following the end of the Agreement. Coverage, including renewals, shall have the same retroactive date as the original policy applicable to the Agreement or evidence of prior acts or an extended reporting period acceptable to the City may be provided. The Grantee shall, on at least an annual basis, provide the City with a Certificate of Insurance as evidence of such insurance.

6.1.2.7 **Property Insurance.** If the Agreement provides funding for the purchase of property or equipment the Grantee shall provide evidence of all risk property insurance for a value equivalent to the replacement cost of the property or equipment.

6.1.2.8 **Endorsements.** The specific insurance coverage endorsements specified above, or their equivalents, must be provided upon request. In the event that endorsements, which are the equivalent of the required coverage, are proposed to be substituted for the required coverage, copies of the equivalent endorsements must be provided for the City's review and approval.

6.1.2.9 **Certificate.** The following statement must be shown on the Certificate of Insurance.

"The City of Austin is an Additional Insured on the general liability and the auto liability policies. A Waiver of Subrogation is issued in favor of the City of Austin for general liability, auto liability and workers compensation policies."

#### **6.2 Equal Opportunity.**

6.2.1 **Equal Employment Opportunity.** No Grantee or Grantee's agent shall engage in any discriminatory employment practice as defined in Chapter 5-4 of the City Code. No Bid submitted to the City shall be considered, nor any Purchase Order issued, or any Agreement

awarded by the City unless the Grantee has executed and filed with the City Purchasing Office a current Non-Discrimination Certification. The Grantee shall sign and return the Non-Discrimination Certification attached hereto as Exhibit C. Non-compliance with Chapter 5-4 of the City Code may result in sanctions, including termination of the Agreement and the Grantee's suspension or debarment from participation on future City Agreements until deemed compliant with Chapter 5-4. Any Subgrantees used in the performance of this Agreement and paid with City funds must comply with the same nondiscrimination requirements as the Grantee.

**6.2.2 Americans with Disabilities Act (ADA) Compliance.** No Grantee, or Grantee's agent shall engage in any discriminatory employment practice against individuals with disabilities as defined in the ADA.

- 6.3 Inspection of Premises.** The City has the right to enter Grantee's and Subgrantee's work facilities and premises during Grantee's regular work hours, and Grantee agrees to facilitate a review of the facilities upon reasonable request by the City.
- 6.4 Rights to Proposal and Contractual Material.** All material submitted by the Grantee to the City shall become property of the City upon receipt. Any portions of such material claimed by the Grantee to be proprietary must be clearly marked as such. Determination of the public nature of the material is subject to the Texas Public Information Act, Chapter 552, Texas Government Code.
- 6.5 Publications.** All published material and written reports submitted under the Agreement must be originally developed material unless otherwise specifically provided in the Agreement. When material not originally developed is included in a report in any form, the source shall be identified.

## **SECTION 7. WARRANTIES**

**7.1 Authority.** Each party warrants and represents to the other that the person signing this Agreement on its behalf is authorized to do so, that it has taken all action necessary to approve this Agreement, and that this Agreement is a lawful and binding obligation of the party.

**7.2 Performance Standards.** Grantee warrants and represents that all services provided under this Agreement shall be fully and timely performed in a good and workmanlike manner in accordance with generally accepted community standards and, if applicable, professional standards and practices. Grantee may not limit, exclude, or disclaim this warranty or any warranty implied by law, and any attempt to do so shall be without force or effect. If the Grantee is unable or unwilling to perform its services in accordance with the above standard as required by the City, then in addition to any other available remedy, the City may reduce the amount of services it may be required to purchase under the Agreement from the Grantee, and purchase conforming services from other sources. In such event, the Grantee shall pay to the City upon demand the increased cost, if any, incurred by the City to procure such services from another source. Grantee agrees to participate with City staff to update the performance measures.

## **SECTION 8. MISCELLANEOUS**

**8.1 Criminal Background Checks.** Grantee and Subgrantee(s) agree to perform a criminal background check on individuals providing direct client services in programs designed for children under 18 years of age, seniors 55 years of age and older, or persons with Intellectual and Developmental Disabilities (IDD). Grantee shall not assign or allow an individual to provide direct client service in programs designed for children under 18 years of age, seniors 55 years of age and older, or

persons with IDD if the individual would be barred from contact under the applicable program rules established by Title 40 of the Texas Administrative Code.

8.1.1 In accordance with the Grantee's personnel and records retention policies, the Grantee shall retain documentation that a criminal background check was completed.

8.2 **Compliance with Health, Safety, and Environmental Regulations.** The Grantee, its Subgrantees, and their respective employees, shall comply fully with all applicable federal, state, and local health, safety, and environmental laws, ordinances, rules and regulations in the performance of the services, including but not limited to those promulgated by the City, and those found in the Clean Air Act (42 U.S.C. 7401–7671q), the Federal Water Pollution Control Act as amended (33 U.S.C. 1251–1387), and the Energy Policy and Conservation Act (42 U.S.C. 6201). In case of conflict, the most stringent safety requirement shall govern. To the extent provided by the laws and Constitution of the State of Texas, and without waiving any immunity or other protection to which it may otherwise be entitled, the Grantee shall indemnify and hold the City harmless from and against all claims, demands, suits, actions, judgments, fines, penalties and liability of every kind arising from the breach of the Grantee's obligations under this paragraph.

8.2.1 The Grantee or Subgrantee(s) seeking an exemption for a food enterprise permit fee must present this signed and executed social services Agreement upon request to the City.  
(Source: City of Austin Ordinance 20051201-013)

8.3 **Stop Work Notice.** The City may issue an immediate Stop Work Notice in the event the Grantee is observed performing in a manner that the City reasonably believes is in violation of federal, state, or local guidelines, or in a manner that is determined by the City to be unsafe to either life or property. Upon notification, the Grantee will cease all work until notified by the City that the violation or unsafe condition has been corrected. The Grantee shall be liable for all costs incurred by the City as a result of the issuance of such Stop Work Notice.

#### 8.4 **Indemnity.**

##### 8.4.1 Definitions:

8.4.1.1 "Indemnified Claims" shall include any and all claims, demands, suits, causes of action, judgments and liability of every character, type or description, including all reasonable costs and expenses of litigation, mediation or other alternate dispute resolution mechanism, including attorney and other professional fees for:

8.4.1.1.1 damage to or loss of the property of any person (including, but not limited to the City, the Grantee, their respective agents, officers, employees and Subgrantees; the officers, agents, and employees of such Subgrantees; and third parties); and/or;

8.4.1.1.2 death, bodily injury, illness, disease, worker's compensation, loss of services, or loss of income or wages to any person (including but not limited to the agents, officers and employees of the City, the Grantee, the Grantee's Subgrantees, and third parties),

8.4.1.2 "Fault" shall include the sale of defective or non-conforming deliverables, negligence, willful misconduct, or a breach of any legally imposed strict liability standard.

8.4.2 TO THE EXTENT PROVIDED BY THE LAWS AND CONSTITUTION OF THE STATE OF TEXAS, AND WITHOUT WAIVING ANY IMMUNITY TO WHICH IT MAY OTHERWISE BE ENTITLED, THE GRANTEE SHALL DEFEND (AT THE OPTION OF THE CITY), INDEMNIFY, AND HOLD THE CITY, ITS SUCCESSORS, ASSIGNS, OFFICERS, EMPLOYEES AND ELECTED OFFICIALS HARMLESS FROM AND AGAINST ALL INDEMNIFIED

CLAIMS DIRECTLY ARISING OUT OF, INCIDENT TO, CONCERNING OR RESULTING FROM THE FAULT OF THE GRANTEE, OR THE GRANTEE'S AGENTS, EMPLOYEES OR SUBGRANTEES, IN THE PERFORMANCE OF THE GRANTEE'S OBLIGATIONS UNDER THE AGREEMENT. NOTHING HEREIN SHALL BE DEEMED TO LIMIT THE RIGHTS OF THE CITY OR THE GRANTEE (INCLUDING, BUT NOT LIMITED TO, THE RIGHT TO SEEK CONTRIBUTION) AGAINST ANY THIRD PARTY WHO MAY BE LIABLE FOR AN INDEMNIFIED CLAIM.

8.5 **Claims.** If any claim, demand, suit, or other action is asserted against the Grantee which arises under or concerns the Agreement, or which could have a material adverse effect on the Grantee's ability to perform hereunder, the Grantee shall give written notice thereof to the City within 10 calendar days after receipt of notice by the Grantee. Such notice to the City shall state the date of notification of any such claim, demand, suit, or other action; the names and addresses of the claimant(s); the basis thereof; and the name of each person against whom such claim is being asserted. Such notice shall be delivered personally or by mail and shall be sent to the City and to the Austin City Attorney. Personal delivery to the City Attorney shall be to City Hall, 301 West 2<sup>nd</sup> Street, 4<sup>th</sup> Floor, Austin, Texas 78701, and mail delivery shall be to P.O. Box 1088, Austin, Texas 78767.

8.6 **Business Continuity.** Grantee warrants that it has adopted a business continuity plan that describes how Grantee will continue to provide services in the event of an emergency or other unforeseen event, and agrees to maintain the plan on file for review by the City. Grantee shall provide a copy of the plan to the City's Contract Manager upon request at any time during the term of this Agreement, and the requested information regarding the Business Continuity Plan shall appear in the annual AAP documentation.

8.6.1 Grantee agrees to participate in the City's Emergency Preparedness and Response Plan and other disaster planning processes. Grantee participation includes assisting the City to provide disaster response and recovery assistance to individuals and families impacted by manmade or natural disasters.

8.7 **Notices.** Unless otherwise specified, all notices, requests, or other communications required or appropriate to be given under the Agreement shall be in writing and shall be deemed delivered 3 business days after postmarked if sent by U.S. Postal Service Certified or Registered Mail, Return Receipt Requested. Notices delivered by other means shall be deemed delivered upon receipt by the addressee. Routine communications may be made by first class mail, email, or other commercially accepted means. Notices to the City and the Grantee shall be addressed as follows:

To the City:  
City of Austin  
Austin Public Health  
Health Equity and Community  
Engagement Division  
ATTN: Adrienne Sturup,  
Assistant Director  
7201 Levander Loop, Bldg. E  
Austin, TX 78702

To the Grantee:  
Austin Travis County Mental  
Health & Mental Retardation  
Center dba Integral Care  
ATTN: David Evans, Chief  
Executive Officer  
1430 Collier Street  
Austin, TX 78704

With copy to:  
City of Austin  
Austin Public Health  
ATTN: Stephanie Hayden,  
Director  
7201 Levander Loop, Bldg. E  
Austin, TX 78702

8.8 **Confidentiality.** In order to provide the deliverables to the City, Grantee may require access to certain of the City's and/or its licensors' confidential information that is deemed confidential by applicable law, that the City has marked as "confidential", or that the City has otherwise notified Grantee in writing is confidential, and that is not known or available to the public (including inventions, employee information, trade secrets, confidential know-how, confidential business information, and other information which the City or its licensors consider confidential) (collectively, "Confidential Information"). Grantee acknowledges and agrees that the Confidential Information is the valuable property of the City and/or its licensors and any unauthorized use, disclosure, dissemination, or other release of the Confidential Information will substantially injure the City and/or its licensors. The Grantee (including its employees, Subgrantees, agents, or representatives) agrees that it will maintain the

Confidential Information in strict confidence and shall not disclose, disseminate, copy, divulge, recreate, or otherwise use the Confidential Information without the prior written consent of the City or in a manner not expressly permitted under this Agreement, unless the Confidential Information is required to be disclosed by law or an order of any court or other governmental authority with proper jurisdiction, provided the Grantee promptly notifies the City before disclosing such information so as to permit the City reasonable time to seek an appropriate protective order. The Grantee agrees to use protective measures no less stringent than the Grantee uses within its own business to protect its own most valuable information, which protective measures shall under all circumstances be at least reasonable measures to ensure the continued confidentiality of the Confidential Information.

**8.9 Advertising.** Where such action is appropriate as determined by the City, Grantee shall publicize the activities conducted by the Grantee under this Agreement. Any news release, sign, brochure, or other advertising medium including websites disseminating information prepared or distributed by or for the Grantee shall recognize the City as a funding source and include a statement that indicates that the information presented does not officially represent the opinion or policy position of the City.

**8.10 No Contingent Fees.** The Grantee warrants that no person or selling agency has been employed or retained to solicit or secure the Agreement upon any agreement or understanding for commission, percentage, brokerage, or contingent fee, excepting bona fide employees of bona fide established commercial or selling agencies maintained by the Grantee for the purpose of securing business. For breach or violation of this warranty, the City shall have the right, in addition to any other remedy available, to cancel the Agreement without liability and to deduct from any amounts owed to the Grantee, or otherwise recover, the full amount of such commission, percentage, brokerage or contingent fee.

**8.11 Gratuities.** The City may, by written notice to the Grantee, cancel the Agreement without liability if it is determined by the City that gratuities were offered or given by the Grantee or any agent or representative of the Grantee to any officer or employee of the City with a view toward securing the Agreement or securing favorable treatment with respect to the awarding or amending or the making of any determinations with respect to the performing of such Agreement. In the event the Agreement is canceled by the City pursuant to this provision, the City shall be entitled, in addition to any other rights and remedies, to recover or withhold the amount of the cost incurred by the Grantee in providing such gratuities.

**8.12 Prohibition Against Personal Interest in Agreements.** No officer, employee, independent consultant, or elected official of the City who is involved in the development, evaluation, or decision-making process of the performance of any solicitation shall have a financial interest, direct or indirect, in the Agreement resulting from that solicitation. Any willful violation of this Section shall constitute impropriety in office, and any officer or employee guilty thereof shall be subject to disciplinary action up to and including dismissal. Any violation of this provision, with the knowledge, expressed or implied, of the Grantee shall render the Agreement voidable by the City.

**8.13 Independent Grantee.** The Agreement shall not be construed as creating an employer/employee relationship, a partnership, or a joint venture. The Grantee's services shall be those of an independent Grantee. The Grantee agrees and understands that the Agreement does not grant any rights or privileges established for employees of the City.

**8.14 Assignment-Delegation.** The Agreement shall be binding upon and inure to the benefit of the City and the Grantee and their respective successors and assigns, provided however, that no right or interest in the Agreement shall be assigned and no obligation shall be delegated by the Grantee without the prior written consent of the City. Any attempted assignment or delegation by the Grantee shall be void unless made in conformity with this paragraph. The Agreement is not intended to confer rights or benefits on any person, firm or entity not a party hereto; it being the intention of the parties that there be no third party beneficiaries to the Agreement.

8.15 **Waiver.** No claim or right arising out of a breach of the Agreement can be discharged in whole or in part by a waiver or renunciation of the claim or right unless the waiver or renunciation is supported by consideration and is in writing signed by the aggrieved party. No waiver by either the Grantee or the City of any one or more events of default by the other party shall operate as, or be construed to be, a permanent waiver of any rights or obligations under the Agreement, or an express or implied acceptance of any other existing or future default or defaults, whether of a similar or different character.

8.16 **Modifications.** The Agreement can be modified or amended only by a written, signed agreement by both parties. No pre-printed or similar terms on any Grantee invoice, order, or other document shall have any force or effect to change the terms, covenants, and conditions of the Agreement.

8.17 **Interpretation.** The Agreement is intended by the parties as a final, complete and exclusive statement of the terms of their agreement. No course of prior dealing between the parties or course of performance or usage of the trade shall be relevant to supplement or explain any term used in the Agreement. Although the Agreement may have been substantially drafted by one party, it is the intent of the parties that all provisions be construed in a manner to be fair to both parties, reading no provisions more strictly against one party or the other. Whenever a term defined by the Uniform Commercial Code, as enacted by the State of Texas, is used in the Agreement, the UCC definition shall control, unless otherwise defined in the Agreement.

8.18 **Dispute Resolution.**

8.18.1 If a dispute arises out of or relates to the Agreement, or the breach thereof, the parties agree to negotiate prior to prosecuting a suit for damages. However, this section does not prohibit the filing of a lawsuit to toll the running of a statute of limitations or to seek injunctive relief. Either party may make a written request for a meeting between representatives of each party within 14 calendar days after receipt of the request or such later period as agreed by the parties. Each party shall include, at a minimum, 1 senior level individual with decision-making authority regarding the dispute. The purpose of this and any subsequent meeting is to attempt in good faith to negotiate a resolution of the dispute. If, within 30 calendar days after such meeting, the parties have not succeeded in negotiating a resolution of the dispute, they will proceed directly to mediation as described below. Negotiation may be waived by a written agreement signed by both parties, in which event the parties may proceed directly to mediation as described below.

8.18.2 If the efforts to resolve the dispute through negotiation fail, or the parties waive the negotiation process, the parties may select, within 30 calendar days, a mediator trained in mediation skills to assist with resolution of the dispute. Should they choose this option, the City and the Grantee agree to act in good faith in the selection of the mediator and to give consideration to qualified individuals nominated to act as mediator. Nothing in the Agreement prevents the parties from relying on the skills of a person who is trained in the subject matter of the dispute or an Agreement interpretation expert. If the parties fail to agree on a mediator within 30 calendar days of initiation of the mediation process, the mediator shall be selected by the Travis County Dispute Resolution Center (DRC). The parties agree to participate in mediation in good faith for up to 30 calendar days from the date of the first mediation session. The City and the Grantee will share the mediator's fees equally and the parties will bear their own costs of participation such as fees for any consultants or attorneys they may utilize to represent them or otherwise assist them in the mediation.

8.19 **Minority and Women Owned Business Enterprise (MBE/WBE) Procurement Program**

MBE/WBE goals do not apply to this Agreement.

8.20 **Living Wage Policy (If Applicable)**

The City's Living Wage Program applies to City expenditure and revenue generating non-construction contracts where all of the following apply:

- Contract is predominantly for non-construction services *performed on City Property or on City Vehicles*;
- Contract results from a formal competitive solicitation, procedurally compliant with section 252.021 of the Texas Local Government Code;
- Contract requires authorization by City Council in accordance with Article VII, Finance, Section 15 (Purchase Procedure) of the City Charter; and
- Directly assigned Contractor Employees of the Prime Contractor and all tiers of subcontracting.

8.20.1 The Grantee shall maintain throughout the term of the Agreement basic employment and wage information for each employee as required by the Fair Labor Standards Act (FLSA).

8.20.2 The Grantee shall provide the Department's Contract Manager with the first invoice, individual Employee Certifications for all employees directly assigned to the Agreement. The City reserves the right to request individual Employee Certifications at any time during the Agreement term. Employee Certifications shall be signed by each employee directly assigned to the Agreement. The Employee Certification form is available on-line at:

[https://www.austintexas.gov/financeonline/vendor\\_connection/index.cfm](https://www.austintexas.gov/financeonline/vendor_connection/index.cfm).

8.20.3 Grantee shall submit employee certifications annually on the anniversary date of Agreement award with the respective invoice to verify that employees are paid the Living Wage throughout the term of the Agreement. The Employee Certification Forms shall be submitted for employees added to the Agreement and/or to report any employee changes as they occur.

8.20.4 The Department's Contract Manager will periodically review the employee data submitted by the Grantee to verify compliance with this Living Wage provision. The City retains the right to review employee records required in paragraph 8.20.1 above to verify compliance with this provision.

## **8.21 Subgrantees.**

8.21.1 Work performed for the Grantee by a Subgrantee shall be pursuant to a written Agreement between the Grantee and Subgrantee. The terms of the Subagreement may not conflict with the terms of the Agreement, and shall contain provisions that:

8.21.1.1 require that all deliverables to be provided by the Subgrantee be provided in strict accordance with the provisions, specifications and terms of the Agreement. The City may require specific documentation to confirm Subgrantee compliance with all aspects of this Agreement.

8.21.1.2 prohibit the Subgrantee from further subcontracting any portion of the Agreement without the prior written consent of the City and the Grantee. The City may require, as a condition to such further subcontracting, that the Subgrantee post a payment bond in form, substance and amount acceptable to the City;

8.21.1.3 require Subgrantees to submit all requests for payment and applications for payments, including any claims for additional payments, damages or otherwise, to the Grantee in sufficient time to enable the Grantee to include the same with its invoice or application for payment to the City in accordance with the terms of the Agreement;

8.21.1.4 require that all Subgrantees obtain and maintain, throughout the term of their Subagreement, insurance in the type required by this Agreement, and in amounts appropriate for the amount of the Subagreement, with the City being a named insured as its interest shall appear;

8.21.1.5 require that the Subgrantees indemnify and hold the City harmless to the same extent as the Grantee is required to indemnify the City; and



8.21.1.6 maintain and make available to the City, upon request, Certificates of Insurance for all Subgrantees.

8.21.2 The Grantee shall be fully responsible to the City for all acts and omissions of the Subgrantees just as the Grantee is responsible for the Grantee's own acts and omissions. Nothing in the Agreement shall create for the benefit of any such Subgrantee any contractual relationship between the City and any such Subgrantee, nor shall it create any obligation on the part of the City to pay or to see to the payment of any moneys due any such Subgrantee except as may otherwise be required by law.

8.21.3 The Grantee shall pay each Subgrantee its appropriate share of payments made to the Grantee not later than 10 days after receipt of payment from the City.

8.22 **Jurisdiction and Venue.** The Agreement is made under and shall be governed by the laws of the State of Texas, including, when applicable, the Uniform Commercial Code as adopted in Texas, V.T.C.A., Bus. & Comm. Code, Chapter 1, excluding any rule or principle that would refer to and apply the substantive law of another state or jurisdiction. All issues arising from this Agreement shall be resolved in the courts of Travis County, Texas and the parties agree to submit to the exclusive personal jurisdiction of such courts. The foregoing, however, shall not be construed or interpreted to limit or restrict the right or ability of the City to seek and secure injunctive relief from any competent authority as contemplated herein.

8.23 **Invalidity.** The invalidity, illegality, or unenforceability of any provision of the Agreement shall in no way affect the validity or enforceability of any other portion or provision of the Agreement. Any void provision shall be deemed severed from the Agreement and the balance of the Agreement shall be construed and enforced as if the Agreement did not contain the particular portion or provision held to be void. The parties further agree to reform the Agreement to replace any stricken provision with a valid provision that comes as close as possible to the intent of the stricken provision. The provisions of this Section shall not prevent this entire Agreement from being void should a provision which is the essence of the Agreement be determined to be void.

8.24 **Holidays.** The following holidays are observed by the City:

<u>HOLIDAY</u>	<u>DATE OBSERVED</u>
New Year's Day	January 1
Martin Luther King, Jr's Birthday	Third Monday in January
President's Day	Third Monday in February
Memorial Day	Last Monday in May
Independence Day	July 4
Labor Day	First Monday in September
Veteran's Day	November 11
Thanksgiving Day	Fourth Thursday in November
Friday after Thanksgiving	Friday after Thanksgiving
Christmas Eve	December 24
Christmas Day	December 25

If a Legal Holiday falls on Saturday, it will be observed on the preceding Friday.  
If a Legal Holiday falls on Sunday, it will be observed on the following Monday.

8.25 **Survivability of Obligations.** All provisions of the Agreement that impose continuing obligations on the parties, including but not limited to the warranty, indemnity, and confidentiality obligations of the parties, shall survive the expiration or termination of the Agreement.

8.26 **Non-Suspension or Debarment Certification.** The City is prohibited from contracting with or making prime or sub-awards to parties that are suspended or debarred or whose principals are suspended or debarred from federal, state, or City Agreements. By accepting an Agreement with the City, the Grantee certifies that its firm and its principals are not currently suspended or debarred from doing business with the Federal Government, as indicated by the Exclusions records at SAM.gov, the State of Texas, or the City of Austin.

8.27 **Public Information Act.** Each party acknowledges that the other party is required to comply with Chapter 552 of the Texas Government Code (Public Information Act). Under the Public Information Act, this Agreement and all related information within either party's possession or to which either party has access are presumed to be public and will be released unless the information is subject to an exception described in the Public Information Act.

8.28 **HIPAA Standards.** As applicable, Grantee and Subgrantees are required to develop and maintain administrative safeguards to ensure the confidentiality of all protected client information, for both electronic and non-electronic records, as established in the Health Insurance Portability and Accountability Act (HIPAA) Standards CFR 160 and 164, and to comply with all other applicable federal, state, and local laws and policies applicable to the confidentiality of protected client information. Grantee must maintain HIPAA-compliant Business Associate agreements with each entity with which it may share any protected client information and that is acting as a Business Associate (as defined by HIPAA) of Grantee.

8.28.1 Business Associate Agreement. If performance of this Agreement involves the use or disclosure of Protected Health Information (PHI), as that term is defined in 45 C.F.R. § 160.103, then Grantee acknowledges and agrees to comply with the applicable terms and conditions contained in the Business Associate Agreement, attached as Exhibit E.

8.29 **Political and Sectarian Activity.** No portion of the funds received by the Grantee under this Agreement shall be used for any political activity (including, but not limited to, any activity to further the election or defeat of any candidate for public office) or any activity undertaken to influence the passage, defeat, or final content of legislation; or for any sectarian or religious purposes.

8.30 **Culturally and Linguistically Appropriate Standards (CLAS).** The City is committed to providing effective, equitable, understandable and respectful quality care and services that are responsive to diverse cultural beliefs and practices, preferred languages, health literacy, and other communication needs. This commitment applies to services provided directly by the City as well as services provided through its Grantees. Grantee and its Subgrantees agree to implement processes and services in a manner that is culturally and linguistically appropriate and competent. Guidance on adopting such standards and practices are available at the U.S. Department of Health and Human Services Office of Minority Health's website at:  
<https://minorityhealth.hhs.gov/omh/browse.aspx?lvl=1&lvlid=6>.

In some instances, failure to provide language assistance services may have the effect of discriminating against persons on the basis of their natural origin. Guidelines for serving individuals with Limited English Proficiency (LEP) are available at <https://www.lep.gov/faqs/faqs.html>.

8.31 **Entire Agreement.** This Contract, together with the below Exhibits, and any addenda and amendments thereto constitute the entire agreement between the parties, and this Contract shall not be modified, amended, altered, or changed except with the written consent of the parties.

5.0 BY THE SIGNATURES affixed below, this Amendment is hereby incorporated into and made a part of the above-referenced Agreement.

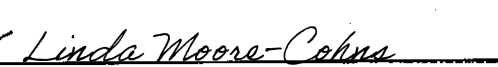
**GRANTEE**

**CITY OF AUSTIN**

Signature:

Signature:





AUSTIN TRAVIS COUNTY MENTAL  
HEALTH & MENTAL RETARDATION CTR  
DBA INTEGRAL CARE

City of Austin  
Purchasing Office  
PO Box 1088  
Austin, TX 78767

David Evans, Chief Executive Officer *CAO/CFO*  
1430 Collier Street  
Austin, TX 78704

Date: 3/8/2021

Date: 3/11/2021

**DEFINITIONS**

**Agreement/Contract**- General terms for a legally-binding undertaking between two parties that describes the terms, conditions, and specifications of the obligations, relationships, and responsibilities between them, and any related addenda and amendments. City of Austin Social Services Contracts are considered to be grant agreements, but commonly referred to as contracts. The terms are interchangeable throughout this Agreement.

**Deliverables Agreement**- An Agreement where an agency is reimbursed for a report or product that must be delivered to the City by the grantee (or by the Subgrantee to the Grantee) to satisfy contractual requirements. It can include goods or finished works, documentation of services provided or activities undertaken, and/or other related documentation.

**Exhibit**- An attachment to the agreement that is either programmatic (Program Exhibit) or contains additional terms and conditions (Standard Exhibit). Program Exhibits provide the detailed information for the program the City is funding through the Agreement.

**Governmental Entity**- An organization that is a unit of government, institution of higher education, or local taxing authority, such as a school district. Also includes quasi-governmental organizations, such as a local mental health authority.

**Grantee**- A vendor agency that has entered into a Social Services grant agreement with the City to provide social services to the community.

**Subgrantee**- An agency that has entered into a subagreement with a Grantee to provide direct client services under a Social Services Agreement, who is paid with City funds by the Grantee, and who must report program performance information to the Grantee for individuals served who are not existing clients of the Grantee for the contracted program. The Subgrantee is subject to the same terms and conditions in the Grantee's Social Services Agreement with the City.

## Program Work Statement

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### Overview and Purpose

Austin Travis County Mental Health & Mental Retardation Center, dba Integral Care, is a non-tax-based local governmental entity, and, as the designated Local Mental Health Authority (LMHA) and Local Intellectual and Developmental Disability Authority (LIDDA), is the only public agency in the Austin/Travis County area qualified to receive certain State and Federal grant allocations through a required local match. The City of Austin has consistently satisfied the requirement for local matching funds through its taxing authority. This government-to-government partnership has allowed Integral Care to serve over 29,000 Austin/ Travis County residents with critical, evidence-based mental health and intellectual/developmental disability (IDD) services, creating a safety net for those diagnosed with some of the most severe disabilities found in our community. The City of Austin, in turn, can utilize Integral Care's ability to leverage its dollars and be assured that safety net services are in place. The City of Austin's match leverages contracts from the State of Texas and the United States Department of Housing and Urban Development. These contracts include program service targets that were derived with the expectation that additional funding is required. In addition to the local match, an array of funding sources include private insurance, Medicaid, Medicare, Health Maintenance Organizations amongst others. Therefore, the LMHA and LIDDA contract program service targets are combined to be the same reporting targets required in this Agreement. The State of Texas legally designated Integral Care as our Community's Local Mental Health Authority and Local Intellectual and Developmental Disabilities Authority, placing Integral Care in a specialized role. A key aspect of Integral Care's Authority role is the examination of our community's safety net services and the development of, or membership in community collaborations to design responses to emerging mental health and IDD issues. Integral Care's role includes Authority functions that involve the oversight of provider functions, coordination of services, intake and eligibility determination, service interest/wait list management, local planning, and resource development. Authority Services under the Main Mental Health Services Agreement are described below.

### Statement of Work

1. Authority Services – The essential authority functions that Integral Care performs include :

- **ADMINISTRATIVE SERVICES ORGANIZATION SUPPORT** – Administrative support and functions provided to local collaboratives such as the Community Advancement Network (CAN) and Integrated Care Collaboration (ICC)
- **LOCAL AND COMMUNITY PLANNING** – As the designated local mental health and intellectual and developmental disabilities designated authorities, Integral Care is responsible for the development of a local service area plan and network plan. In its unique governmental role and function (as required by state law), Integral Care incorporates meaningful community input in planning aimed to reduce duplicative services and resources in the community. One example is the Travis County Plan for Children's Mental Health.
- **COORDINATION OF SERVICE SYSTEM WITH THE COMMUNITY** – Such coordination ensures collaboration with other agencies, including local hospitals, nursing facilities, other health and human services agencies, criminal justice entities, Community Resource Coordination Groups (CRCG), child serving agencies, family advocacy organizations, local businesses and other community organizations
- **DISASTER PREPAREDNESS AND RESPONSE** – Integral Care provides guidance, technical assistance and other coordinating efforts with City of Austin and the Central Texas region before, during and after disasters by working to:
  - Ensure consumer, staff and community safety
  - Decrease adverse mental health effects following a disaster
  - Prevent long-term trauma, and
  - Foster short and long-term adaptive functioning
- **OUTREACH AND PREVENTION** – Integral Care provides free educational materials and information for access to services at more than 20 public events a year. These efforts include, but are not limited to, community-wide suicide prevention services through Mental Health First Aid certification, lethality assessment training, school-based prevention efforts, 24/7 Crisis Hotline and online chat. Through a data-sharing agreement with the Austin Public Health Department, Integral Care also monitors local deaths by suicides to identify trends, target prevention and post-vention efforts and enhance public awareness in the community; Integral

## Program Work Statement

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Care/APD co-facilitation of mental health training for the Crisis Intervention Team (CIT), Mental Health Officers and Cadets; the Austin Community College Mental Health and Wellness Fair and the Expo de La Familia. Integral Care also hosts the Central Texas African American Family Support Conference, which strives to strengthen family and individual awareness of available healthcare services—behavioral and physical—through culturally sensitive education, supports and partnerships. This low cost (to consumers) event draws more than 700 consumers and their families each year.

- **RESOURCE DEVELOPMENT AND MANAGEMENT** – Integral Care engages in efforts to identify alternative funding sources for community gaps and needs derived from community planning and assessments and will ultimately benefit individuals receiving services (e.g., applying for grants and partnering with other organizations).

- **NETWORK DEVELOPMENT AND MANAGEMENT** – Integral Care actively recruits service providers to fill identified community gaps and expand its network to increase consumer choice. Functions that support Integral Care's managed services and managed care operations include continuity of care, quality management, data analysis, contract management, claims adjudication and payment, provider relations, provider enrollment and contract monitoring.

- **STATE DESIGNATED CARE AND POPULATION MANAGEMENT** – Integral Care performs as the single point of access for publicly funded mental health and intellectual and developmental disabilities (IDD) service needs through utilization management. Integral Care authorizes individual requests for access to community based services, state hospitals, State Supported Living Centers, and inmate re-entry that require behavioral health and developmental disability services. Integral Care also manages the wait list for state funded services for behavioral health and the interest list for IDD waiver services.

- **OVERSIGHT OF AUTHORITY AND PROVIDER FUNCTIONS** – Integral Care monitors and evaluates service delivery and provider performance including providing information to Integral Care's Board of Trustees.

2. **IDD** – The Texas Health and Human Services Commission (HHSC) funded programs provide critical safety net and authority services for individuals with intellectual and developmental disabilities (IDD). Integral Care's IDD Services Division provides services that help individuals and their families choose and access quality and cost-efficient services and supports. Through individualized case management and service coordination, Integral Care works to best meet consumers' unique needs and preferences. This is accomplished through an extensive network of private and public agencies in Austin and Travis County.

Services in HHSC IDD programs include:

- Assistive Technology Services and Devices
- Eligibility, Determination and Intakes
- Behavioral Support
- Community Living Options Information Process (CLOIP)
- Community Support Services
- Continuity of Care from State Supported Living Facilities
- Waiver interest list management
- Out of home Crisis Respite Services
- Crisis Intervention Specialist Services
- In Home and Out of Home Respite
- Enhanced Community Coordination
- Nursing
- Personal Care Assistance
- Service Coordination
- Specialized Therapies
- Service Transition
- Supported Employment and Vocational Training
- Pre Admission Screening and Resident Review (PASRR) Services to people living in and transitioning out of Nursing Facilities
- Community First Choice (CFC)

## Program Work Statement

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### Assessments

#### Target Population to be served:

- Adults and children with IDD diagnosis in Austin/Travis County
- Consumer financial eligibility shall be determined by criteria established by HHSC

3. Behavioral Health - HHSC funds programs that provide critical safety net and authority services for adults and children with behavioral health needs. Integral Care provides an array of behavioral health services to adults diagnosed with significant functional limitations, primarily with schizophrenia, bipolar and major depressive disorders. These illnesses are typically persistent, severe and may be accompanied by a substance use disorder. Treatment at Integral Care is uniquely designed in collaboration with the individual, family and other support services in a caring and positive environment.

#### Adult services in the HHSC Performance Contract include:

- Eligibility, determination and intake
- Assertive community treatment team
- Co-occurring psychiatric and substance use disorders treatment
- Continuity of care for state mental health facilities
- Homeless services
- Housing coordination
- Individual counseling
- Individual and group rehabilitation skills training
- Integrated mental health services in a primary care setting
- Jail diversion program
- Medication support
- Peer recovery and support services
- Supported employment
- Supported housing
- Pre Admission Screening and Resident Review (PASRR)

Consumer financial eligibility shall be determined by criteria established by HHSC

#### Child and Family Services in the HHSC Performance Contract include:

- Eligibility, determination and intake
- Aftercare services
- Case management and service coordination
- Counseling for individuals, families and groups
- Crisis intervention and management
- Detention, home, school and community visits
- Family support and education
- Intensive in-home services
- Living skills training
- Medication management
- Nursing services
- Parent-skills training
- Youth Empowerment Services (YES waiver)
- Service transition
- Transportation

Consumer financial eligibility shall be determined by criteria established by HHSC

#### Crisis Services

## Program Work Statement

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Integral Care has the ability to respond to community and individual crisis situations in a variety of ways. Crisis services are interventions in response to a psychiatric crisis in order to reduce symptoms of severe and persistent mental illness or emotional disturbance and prevent admission of an individual or client to an inpatient setting, jail or emergency department.

Crisis services include:

- 24/7 Crisis Help Line
- Inpatient hospitalization
- Mobile crisis outreach team (MCOT)
- Psychiatric emergency services
- Crisis respite and transitional services
- Short-term residential crisis services
- Extended Observation Unit (EOU)
- Crisis residential hospital and jail diversion program (specialty co-occurring substance use and mental health treatment)

Target Population to be served:

Integral Care uses available resources to provide services, directly or through contract, to target the needs of persons in the population as described below in Travis County:

- Priority population with behavioral health and/or developmental disabilities (including substance use)
- Priority and target populations as defined by state, local federal and private funders
- Other populations, as determined by Integral Care's Board of Trustees that meet community needs
- Children with multiple needs who are part of the Children's Integrated Funding Initiative
- Other disabled or populations with related conditions determined to be in need of Integral Care services
- Other disabled or populations as part of demonstration projects or other study groups to acquire and/or demonstrate best practices
- Consumer program and financial eligibility shall be determined by criteria established by HHSC with respect to services to be provided

4. Early Childhood Intervention (ECI) – HHSC Early Childhood Intervention dollars provide for intervention strategies and services for children 0-3 with special diagnosis. The Infant Parent Program (IPP) serves children from birth to 36 months who have a developmental delay or diagnosed physical condition that has a high probability of resulting in a developmental delay. ECI focuses on family, respects the rights of parents and provides services within the family's natural, everyday routines and environments. When a child qualifies for services, a team of service professionals and the child's parent or legal guardian develops an individualized family services plan. Services may include speech, physical and occupational therapy, developmental services, eligibility determination and intake, service coordination, nutrition and audiological services.

Target Population to be served:

Children from birth to 36 months who:

- Have a medically diagnosed physical condition that has a high probability of resulting in developmental delay and who have a documented need for early intervention services
- Have a developmental delay OR exhibit atypical development AND reside in IPP-ECI service area
- Consumer financial eligibility shall be determined by criteria established by HHSC

\*State funding for this program will end August 31, 2020

5. HHSC PATH – HHSC PATH programs address various strategies to engage homeless individuals in Austin. PATH / ACCESS is a federally funded program designed to provide active outreach to areas where individuals are homeless and disenfranchised. Specialists work to develop an honest, helpful relationship towards a consumer-directed plan. The program funds a half-time Program Manager position, .75 of an administrative assistant, a half time certified medical assistant, five full-time outreach and engagement specialists, a half-time Advanced Nurse Practitioner, and a full-time licensed clinician all working together to link

## Program Work Statement

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consumers with established mainstream services and resources. The length of service in the PATH / ACCESS program varies based on individual needs.

Target Population to be served:

- Adult homeless population
- Consumer financial eligibility shall be determined by criteria established by HHSC

6. HUD Fresh Start (Supported Housing) – This project serves individuals who are experiencing chronic homelessness and have been diagnosed with mental illness, substance use disorders, and physical health conditions. Priority is given to individuals identified by the shelter system, the Criminal Justice System and other emergency service providers as high users of emergency and crisis services. Staffing ratio is 1:15. Integral Care will provide supportive services such as housing based case management with a focus on housing stability and a holistic approach on general wellness and recovery. Housing placement will be on scattered site units identified in the community. The program will utilize a Housing First approach. Therefore, housing placement and supportive services will be provided soon after the consumer chooses the service and placement is available.

Target Population to be served:

- Adult 100% chronically homeless population

7. PATH Expansion- PATH expansion Contract Start 10/01/2018. The program periods 10/1/2019 – 9/30/2020 & 10/1/2020-09/30/2021 will continue funding the activities and/or expenses needed for expansion of the PATH Team by four FTEs (and associated costs) to the PATH team, for total funding of \$280,000 each program period in addition to the match of PATH funding (see #5 above). These positions will expand outreach, engagement, and connection to services. Two of these positions will be staff dedicated to provide outreach and engagement specific to the City of Austin's work at and around encampments.

Target Population to be served:

- Adult homeless population throughout Austin/Travis County, with special focus on areas outside the downtown core
- An individual can be enrolled in the PATH expansion program regardless of disability or substance use diagnosis
- Consumer financial eligibility shall be determined by criteria established by HHSC

8. Behavioral Health Expansion for COVID19 Response (BHECOVID-19) – pertains to the purchases, activities and services delivered on July 1, 2020-April 30, 2021 during program periods 10/01/2019-09/30/2020 & 10/01/2020-09/30/2021

As part of the Austin/Travis County Emergency Operations Center response to the COVID-19 pandemic, Protective Lodging (ProLodge) and Isolation Facility (IsoFac) non-congregate shelters have been activated. These facilities are critical components of the infection control strategy to prevent and mitigate spread of disease, and reduce the burden on hospital and healthcare systems. Integral Care provides on-site staff members at each location to proactively address and respond to mental health and substance use needs of individuals staying as temporary guests. Expanded behavioral health services are provided at the IsoFac and each of the five ProLodges, including case management, connection to benefits and appropriate services, access to psychiatric and nursing services, medication assistance, as well as connection to housing opportunities and basic needs to support mental health, stability and wellness. Tracking, coordination, and reporting of services is accomplished through multiple information systems, including Integral Care's electronic health records systems, the local Homeless Management Information System (HMIS), and the COVID-19 ProLodge Teams site as directed by City staff assigned to the pandemic response. Services for guests at each site, including basic needs assistance, are coordinated with onsite operational staff.

## SUBMISSION REQUIREMENTS

Monthly Expenditure Report and Payment Requests for Grant Match



## Program Work Statement

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Program Period 10/01/2019- 09/30/2020 for programs 2,3,4,5,6,7,8

-Due: November 15, 2019; December 15, 2019; January 15, 2020; February 15, 2020; March 15, 2020; April 15, 2020; May 15, 2020; June 15, 2020; July 15, 2020; August 15, 2020; September 15, 2020; October 15, 2020

-Payment: \$1,363,775 total

Program Period 10/01/2020-09/30/2021 for Programs 2,3,5,6,7,8

-Due: November 15, 2020; December 15, 2020; January 15, 2021; February 15, 2021; March 15, 2021; April 15, 2021; May 15, 2021; June 15, 2021; July 15, 2021; August 15, 2021; September 15, 2021; October 15, 2021

-Payment: \$1,363,775 total

### Local Community Planning Updates

Program Period 10/01/2019- 09/30/2020

·Due: November 15, 2019; February 15, 2020; May 15, 2020; August 15, 2020

·Payment: \$25,000 per approved report

Program Period 10/01/2020-09/30/2021

·Due: November 15, 2020; February 15, 2021; May 15, 2021; August 15, 2021

·Payment: \$25,000 per approved report

### Outreach and Prevention Report Updates

Program Period 10/01/2019- 09/30/2020

·Due: December 15, 2019; March 15, 2020; June 15, 2020; September 15, 2020

·Payment: \$25,000 per approved report

Program Period 10/01/2020-09/30/2021

·Due: December 15, 2020; March 15, 2021; June 15, 2021; September 15, 2021

·Payment: \$25,000 per approved report

### Disaster Preparedness and Response Updates

Program Period 10/01/2019- 09/30/2020

·Due: January 15, 2020; July 15, 2020

·Payment: \$50,000 per approved report

Program Period 10/01/2020-09/30/2021

·Due: January 15, 2021; July 15, 2021

·Payment: \$50,000 per approved report

### PATH Expansion Report Updates Include:

1. Number of unduplicated eligible persons that received outreach services during the reporting quarter
2. Number of unduplicated persons who are homeless and have a serious mental illness (SMI) and/or a substance use disorder that received outreach services during the reporting quarter
3. Number of outreach contacts made to PATH clients who enrolled during the reporting quarter
4. Number of unduplicated enrolled PATH clients who receive community mental health services during the reporting quarter
5. Year to date number of unduplicated eligible persons enrolled

Program Period 10/01/2019- 09/30/2020

·Due: December 15, 2019; March 15, 2020; June 15, 2020; September 15, 2020

·Payment: \$32,500 per approved report

## Program Work Statement

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### Program Period 10/01/2020-09/30/2021

- Due: December 15, 2020; March 15, 2021; June 15, 2021; September 15, 2021
- Payment: \$70,000 per approved report

Behavioral Health Expansion for COVID-19 Response Updates Include: Number of crisis services, number of unduplicated clients served, number of services provided, and lessons learned narrative

- Due: October 15, 2020 for reporting period July 1, 2020 - September 30, 2020
- Submission: Uploaded into PartnerGrants
- Payment: \$311,177
- Due: January 15, 2021 for reporting period October 1, 2020 - December 30, 2020
- Submission: Upload into PartnerGrants
- Payment: \$377,572

### MAIN Mental Health Program Annual Report

#### Program period 10/01/2019- 09/30/2020

- Due: February 15, 2020
- Payment: \$204,816 per approved report

#### Program Period 10/01/2020-09/30/2021

- Due: February 15, 2021
- Payment: \$204,816 per approved report

### Quarterly Program Performance (QPP) reporting

#### Program period 10/01/2019- 09/30/2020

- Reporting for programs 2,3,4,5,6,7,8
- Due: January 15, 2020; April 15, 2020; July 15, 2020; October 15, 2020

#### Program Period 10/01/2020-09/30/2021

- Reporting for programs 2,3,4,5,6,7,8
- Due: January 15, 2021; April 15, 2020; July 15, 2020; October 15, 2020

### Quarterly Zip Code & Demographics (QZD)

#### Program period 10/01/2019- 09/30/2020

- Reporting for programs 2,3,4,5,6,7,8
- Due: January 15, 2020; April 15, 2020; July 15, 2020; October 15, 2020

#### Program Period 10/01/2020-09/30/2021

- Reporting for program 2,3,5,6,7,8
- Due: January 15, 2021; April 15, 2021; July 15, 2021; October 15, 2021

### Mid-Year Spending Plan

- Due: May 1, 2020 Program period 10/01/2019- 09/30/2020
- Due: May 1, 2021 Program Period 10/01/2020-09/30/2021

### Administrative & Fiscal Review

**Program Work Statement**

- Due: May 28, 2020 Program period 10/01/2019- 09/30/2020
- Due May 28, 2021 Program Period 10/01/2020-09/30/2021

**Contract Progress Report**

- Due: 60 days after each annual Agreement period end date

**Contract Closeout Report**

- Due: 60 days after termination or expiration of each Agreement

\*IF A DUE DATE FALLS ON A WEEKEND OR HOLIDAY, THE SUBMISSION DEADLINE IS EXTENDED TO NO LATER THAN 5:00 PM CENTRAL TIME OF THE FIRST WEEKDAY IMMEDIATELY FOLLOWING THE WEEKEND OR HOLIDAY.

**Contract Deliverables**

	Deliverable Description	Due	Supporting Documentation Required	Payment Amount	Fund %
	Program Period 10/01/2019-09/30/2020 Monthly Expenditure Reports and Payment Requests for Match of grant expenses for programs #2, 3, 4, 5, 6, 7 and 8 listed in Statement of Work, above		Monthly financial documentation uploaded into Expenditure Report in PartnerGrants for: Aggregate total of grant expenses for each program listed in Statement of Work (#2,3,4,5,6,7,8) above, with Match percentage breakdown. Due date is consistent with the terms and conditions outlined in the agreement	1,363,775.00	27.35
	Program Period 10/01/2020-09/30/2021 Monthly Expenditure Reports and Payment Requests for Match of grant expenses for programs #2, 3, 5, 6, 7, and 8 listed in Statement of Work, above		Monthly financial documentation uploaded into Expenditure Report in PartnerGrants for: Aggregate total of grant expenses for each program listed in Statement of Work (#2,3,5,6,7,8) above, with Match percentage breakdown. Due date is consistent with the terms and conditions outlined in the agreement.	1,363,775.00	27.35
1	Local Community Planning (LCP) Update	11/15/2019	LCP Update Requirements - Regular update of planning, program evaluation/impact, and resource development activities and outcomes that pertain to the services leveraged in this agreement - Regular update on any resource development activities that result in addressing community service gaps and needs (Upload into Expenditure Report in PartnerGrants)	25,000.00	0.50

**Program Work Statement**

2	Outreach & Prevention (O&P) Update	12/15/2019	O&P Update Requirements - Regular update on any events that engage the public in prevention and/or health and wellness; such as anti-stigma and tobacco free campaigns, addressing workforce shortage, integrated health care, cultural competency practice, and Mental Health First Aid Training, with an annual goal of 20 events (Upload into Expenditure Report in PartnerGrants) PATH Exp - Quarterly report of 5 outputs listed in work statement for the 2 FTE expansion. (Upload into Expenditure Report in Partner Grants)	25,000.00	0.50
3	Disaster Preparedness & Response (DP&R) Update	01/15/2020	(DP&R Update Requirements - Regular update that lists the dates, interventions and results of any activities involving the agency's responsiveness to behavioral health crisis or community disaster — such as post-vention services after a suicide, displacement of individuals, etc. (Upload into Expenditure Report in PartnerGrants)	50,000.00	1.00
4	Local Community Planning (LCP) Update	02/15/2020	same as LCP Update Requirements above	25,000.00	0.50
5	MAIN Annual Report	02/15/2020	Annual Report includes Outcomes (Upload into January's Expenditure Report in PartnerGrants, due February 15th)	204,816.00	4.11
6	Outreach & Prevention (O&P) Update PATH Expansion (PEx) Update	03/15/2020	same as O&P Update Requirements above. PEx Update Requirements - Quarterly report of 5 outputs listed in work statement for the 2 FTE expansion. (Upload into Expenditure Report in PartnerGrants)	118,333.00	2.37
7	Local Community Planning (LCP) Update	05/15/2020	same as LCP Update Requirements above	25,000.00	0.50
8	Outreach & Prevention (O&P) Update PATH Expansion (PEx) Update	06/15/2020	same as O&P Update Requirements above. PEx Update Requirements - Quarterly report of 5 outputs listed in work statement for the 4 FTE expansion. (Upload into Expenditure Report in PartnerGrants)	118,333.00	2.37
9	Disaster Preparedness & Response (DP&R) Update	07/15/2020	same as DP&R Update Requirements above	50,000.00	1.00
10	Local Community Planning (LCP) Update	08/15/2020	same as LCP Update Requirements above	25,000.00	0.50

**Program Work Statement**

11	Outreach & Prevention (O&P) Update PATH Expansion (PEx) Update	09/15/2020	Same as O&P Update Requirements above PEx Update Requirements - Quarterly report of 5 outputs listed in work statement for the 4 FTE expansion. (Upload into Expenditure Report in PartnerGrants)	118,334.00	2.37
12	BHECOVID-19 Update	10/15/2020	BHECOVID-19 Update Requirements - Number of crisis services, number of unduplicated clients served, number of services provided, lessons learned narrative.(Upload into Expenditure Report in Partner Grants)	311,177.00	6.24
13	Local Community Planning (LCP) Update	11/15/2020	Same as LCP Update requirements above	25,000.00	0.50
14	Outreach & Prevention (O&P) Update PATH Exp Update	12/15/2020	Same as O&P Update Requirements above PEx Update Requirements - Quarterly report of 5 outputs listed in work statement for the 4 FTE expansion. (Upload into Expenditure Report in PartnerGrants)	95,000.00	1.91
15	Disaster Preparedness & Response (DP&R) Update BHECOVID-19 Update	01/15/2021	Same as DP&R Update Requirements above BHECOVID-19 Update Requirements - Number of crisis services, number of unduplicated clients served, number of services provided, lessons learned narrative.(Upload into Expenditure Report in Partner Grants)	427,572.00	8.58
16	Local Community Planning (LCP) Update	02/15/2021	Same as LCP Update Requirements above	25,000.00	0.50
17	MAIN Annual Report	02/15/2021	Annual Report includes Outcomes (Upload into January's Expenditure Report in PartnerGrants, due February 15th)	204,816.00	4.11
18	Outreach & Prevention (O&P) Update PATH Expansion (PEx) Update	03/15/2021	Same as O&P Update Requirements above PEx Update Requirements - Quarterly report of 5 outputs listed in work statement for the 4 FTE expansion. (Upload into Expenditure Report in PartnerGrants)	95,000.00	1.91
19	Local Community Planning (LCP) Update	05/15/2021	Same as LCP Update Requirements above	25,000.00	0.50
20	Outreach & Prevention (O&P) Update PATH Expansion (PEx) Update	06/15/2021	same as O&P Update Requirements above. PEx Update Requirements - Quarterly report of 5 outputs listed in work statement for the 4 FTE expansion. (Upload into Expenditure Report in PartnerGrants)	95,000.00	1.91
21	Disaster Preparedness & Response (DP&R) Update	07/15/2021	Same as DP&R Update Requirements above	50,000.00	1.00

**Program Work Statement**

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22	Local Community Planning (LCP) Update	08/15/2021	Same as LCP Update Requirements above	25,000.00	0.50
23	Outreach & Prevention (O&P) Update PATH Expansion (PEx) Update	09/15/2021	Same as O&P Update Requirements above. PEx Update Requirements - Quarterly report of 5 outputs listed in work statement for the 4 FTE expansion. (Upload into Expenditure Report in PartnerGrants)	95,000.00	1.91
				<b>4,985,931.00</b>	<b>99.99</b>

## Program Work Statement

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### Overview and Purpose

Austin Travis County Mental Health & Mental Retardation Center, dba Integral Care, is a non-tax-based local governmental entity, and, as the designated Local Mental Health Authority (LMHA) and Local Intellectual and Developmental Disability Authority (LIDDA), is the only public agency in the Austin/Travis County area qualified to receive certain State and Federal grant allocations through a required local match. The City of Austin has consistently satisfied the requirement for local matching funds through its taxing authority. This government-to-government partnership has allowed Integral Care to serve over 29,000 Austin/ Travis County residents with critical, evidence-based mental health and intellectual/developmental disability (IDD) services, creating a safety net for those diagnosed with some of the most severe disabilities found in our community. The City of Austin, in turn, can utilize Integral Care's ability to leverage its dollars and be assured that safety net services are in place. The City of Austin's match leverages contracts from the State of Texas and the United States Department of Housing and Urban Development. These contracts include program service targets that were derived with the expectation that additional funding is required. In addition to the local match, an array of funding sources include private insurance, Medicaid, Medicare, Health Maintenance Organizations amongst others. Therefore, the LMHA and LIDDA contract program service targets are combined to be the same reporting targets required in this Agreement. The State of Texas legally designated Integral Care as our Community's Local Mental Health Authority and Local Intellectual and Developmental Disabilities Authority, placing Integral Care in a specialized role. A key aspect of Integral Care's Authority role is the examination of our community's safety net services and the development of, or membership in community collaborations to design responses to emerging mental health and IDD issues. Integral Care's role includes Authority functions that involve the oversight of provider functions, coordination of services, intake and eligibility determination, service interest/wait list management, local planning, and resource development. Authority Services under the Main Mental Health Services Agreement are described below.

### Statement of Work

1. Behavioral Health Expansion for COVID19 Response (BHECOVID-19) – pertains to the purchases, activities and services delivered 10/01/2020-09/30/2021

As part of the Austin/Travis County Emergency Operations Center response to the COVID-19 pandemic, Protective Lodging (ProLodge) and Isolation Facility (IsoFac) non-congregate shelters have been activated. These facilities are critical components of the infection control strategy to prevent and mitigate spread of disease, and reduce the burden on hospital and healthcare systems. Integral Care provides on-site staff members at each location to proactively address and respond to mental health and substance use needs of individuals staying as temporary guests. Expanded behavioral health services are provided at the IsoFac and each of the five ProLodges, including case management, connection to benefits and appropriate services, access to psychiatric and nursing services, medication assistance, as well as connection to housing opportunities and basic needs to support mental health, stability and wellness. Tracking, coordination, and reporting of services is accomplished through multiple information systems, including Integral Care's electronic health records systems, the local Homeless Management Information System (HMIS), and the COVID-19 ProLodge Teams site as directed by City staff assigned to the pandemic response. Services for guests at each site, including basic needs assistance, are coordinated with onsite operational staff.

### SUBMISSION REQUIREMENTS

Behavioral Health Expansion for COVID-19 Response Updates Include: Number of crisis services, number of unduplicated clients served, number of services provided, and lessons learned narrative

- Due: May 15, 2021 for reporting period December 31, 2020 - April 30, 2021
- Submission: Upload into PartnerGrants
- Payment: \$114,484

\*IF A DUE DATE FALLS ON A WEEKEND OR HOLIDAY, THE SUBMISSION DEADLINE IS EXTENDED TO NO LATER THAN 5:00 PM CENTRAL TIME OF THE FIRST WEEKDAY IMMEDIATELY FOLLOWING THE WEEKEND OR HOLIDAY.

Program Work Statement

Contract Deliverables

	Deliverable Description	Due	Supporting Documentation Required	Payment Amount	Fund %
19	BHECOVID-19 Update	05/15/2021	BHECOVID-19 Update Requirements - Number of crisis services, number of unduplicated clients served, number of services provided, lessons learned narrative.(Upload into Expenditure Report in Partner Grants)	114,484.00	100.00
				114,484.00	100.00





## City of Austin

# **Social Services Compensation Terms**

1. The Grantee shall expend City funds according to the approved budget categories described in Exhibit B.1, Program Budget and Narrative, or Exhibit A.1, Program Work Statement (Deliverables), as applicable.

2. **Request for Payment**

Payment to the Grantee shall be due 30 calendar days following receipt by the City of the Grantee's fully and accurately completed payment request, using the City's contract management system. The payment request must be submitted to the City no later than 11:59 p.m. Central Standard Time 25 calendar days following the end of the month covered by the payment request. **If the 25<sup>th</sup> calendar day falls on a weekend or holiday, as outlined in Section 8.24, the deadline to submit the payment request is extended to no later than 11:59 p.m. Central Standard Time of the 1<sup>st</sup> weekday that is not a holiday immediately following the weekend or holiday.**

3. **Documentation**

3.1. **FOR DELIVERABLE AGREEMENTS:** Grantee must provide the City with supporting documentation as described in Exhibit A.1, Program Work Statement (Deliverables) for each monthly payment request where an agreement deliverable is being submitted.

3.2. **FOR REIMBURSEABLE AGREEMENTS:** Grantee must provide the City with supporting documentation for each monthly payment request which includes, but is not limited to, a report of City Agreement expenditures generated from the Grantee's financial management system.

3.2.1. Appropriate supporting documentation includes:

- General Ledger Detail report from the Grantee's financial management system
- Transaction Detail by Account Report from the Grantee's financial management system
- Other reports that meet all of the following specifications:
  - produced from the Grantee's accounting system with no manual changes or adjustments
  - submitted in PDF format
  - includes date the report was created
  - demonstrates specific expenses for which reimbursement is being requested
  - demonstrates that City of Austin funds are maintained in a separate numbered bank account or standalone general operating account that includes only City expenses and reimbursements.

4. **Right of Final Approval.**

The City retains right of final approval of any supporting documentation submitted before a payment request is approved for processing. Failure to provide supporting documentation acceptable to the City may, , result in delay or rejection of the payment request. The City reserves the right to modify the required supporting documentation, as needed.

- 4.1 Unless otherwise expressly authorized in the Agreement, the Grantee shall pass through all Subagreement and other authorized expenses at actual cost without markup.
- 4.2 Federal excise taxes, state taxes, or City sales taxes must not be included in the invoiced amount. The City will furnish a tax exemption certificate upon request.

**5. Payment.**

- 5.1 All requests accepted and approved for payment by the City will be paid within 30 calendar days of the City's receipt of the deliverables or of the invoice, whichever is later. Requests for payment received without the information required in Section 3 cannot be processed, will be returned to the Grantee, and City will make no payment in connection with such request.
- 5.2 If payment is not timely made, (per this paragraph), interest shall accrue on the unpaid balance at the lesser of the rate specified in Texas Government Code Section 2251.025 or the maximum lawful rate; except, if payment is not timely made for a reason for which the City may withhold payment hereunder, interest shall not accrue until 10 calendar days after the grounds for withholding payment have been resolved.
- 5.3 The City may withhold or set off the entire payment or part of any payment otherwise due the Grantee to such extent as may be necessary on account of;
- 5.3.1 delivery of services by the Grantee that do not meet Agreement requirements;
  - 5.3.2 third party claims, which are not covered by the insurance which the Grantee is required to provide, are filed or reasonable evidence indicating probable filing of such claims;
  - 5.3.3 failure of the Grantee to pay Subgrantees, or for labor, materials or equipment,
  - 5.3.4 damage to the property of the City or the City's agents, employees or contractors, which is not covered by insurance required to be provided by the Grantee;
  - 5.3.5 reasonable evidence that the Grantee's obligations will not be completed within the time specified in the Agreement, and that the unpaid balance would not be adequate to cover actual or liquidated damages for the anticipated delay;
  - 5.3.6 failure of the Grantee to submit proper payment requests with all required attachments and supporting documentation;
  - 5.3.7 failure of the Grantee to comply with any material provision of the Agreement; or
  - 5.3.8 identification of previously reimbursed expenses determined to be unallowable after payment was made.
- 5.4 Notice is hereby given of Article VIII, Section 1 of the Austin City Charter which prohibits the payment of any money to any person, firm or corporation who is in arrears to the City for taxes, and of §2-8-3 of the Austin City Code concerning the right of the City to offset indebtedness owed the City. Payment will be made by check unless the parties mutually agree to payment by electronic transfer of funds.

- 6. Non-Appropriation.** The awarding or continuation of this Agreement is dependent upon the availability of funding and authorization by Council. The City's payment obligations are payable only and solely from funds appropriated and available for this Agreement. The absence of appropriated or other lawfully available funds shall render the Agreement null and void to the extent funds are not appropriated or available and any deliverables delivered but unpaid shall be returned to the Grantee. The City shall provide the Grantee written notice of the failure of the City to make an adequate appropriation for any fiscal year to pay the amounts due under the Agreement, or the reduction of any appropriation to an amount insufficient to permit the City to pay its obligations under the Agreement. In the event of non- or inadequate appropriation of funds, there will be no penalty or removal fees charged to the City.

7. **Travel Expenses** All approved travel, lodging, and per diem expenses in connection with the Agreement for which reimbursement may be claimed by the Grantee under the terms of the Agreement will be reviewed against the City's Travel Policy and the current United States General Services Administration Domestic Per Diem Rates (Rates) as published and maintained on the Internet at:

<http://www.gsa.gov/portal/category/21287>

No amounts in excess of the Travel Policy or Rates shall be paid. No reimbursement will be made for expenses not actually incurred. Airline fares other than coach or economy will not be reimbursed. Mileage charges may not exceed the amount permitted as a deduction in any year under the Internal Revenue Code or Regulation.

8. **Final Payment and Close-Out**

8.2 The making and acceptance of final payment will constitute:

8.2.1 a waiver of all claims by the City against the Grantee, except claims (1) which have been previously asserted in writing and not yet settled, (2) arising from defective work appearing after final inspection, (3) arising from failure of the Grantee to comply with the Agreement or the terms of any warranty specified herein, regardless of when the cause for a claim is discovered (4) arising from the Grantee's continuing obligations under the Agreement, including but not limited to indemnity and warranty obligations, or (5) arising under the City's right to audit; and

8.2.2 a waiver of all claims by the Grantee against the City other than those (1) previously asserted in writing and not yet settled; (2) arising from failure of the City to comply with the Agreement specified herein, regardless of when the cause for a claim is discovered; or (3) arising from the City's continuing obligations under the Agreement.

9. **Financial Terms**

9.2 The City agrees to pay Grantee for services rendered under this Agreement and to reimburse Grantee for actual, eligible expenses incurred and paid in accordance with all terms and conditions of this Agreement. The City shall not be liable to Grantee for any costs incurred by Grantee which are not reimbursable as set forth in Section 10 of this Exhibit.

9.3 The City's obligation to pay is subject to the timely receipt of complete and accurate reports as set forth in Section 3 of the Agreement, and any other deliverable required under this Agreement.

9.4 Payments to the Grantee will immediately be suspended upon the occasion of any late, incomplete, or inaccurate report, audit, or other required report or deliverable under this Agreement, and payments will not be resumed until the Grantee is in full compliance.

9.5 The City shall not be liable to Grantee for any costs which have been paid under other agreements or from other funds. In addition, the City shall not be liable for any costs incurred by Grantee which were: a) incurred prior to the effective date of this Agreement or outside the Agreement period as referenced in Section 2.1, or b) not billed to the City within 5 business days before the due date for the Grantee's annual Contract Progress Report or Contract Closeout Summary Report, whichever is applicable.

9.6 Grantee agrees to refund to the City any funds paid under this Agreement which the City determines have resulted in overpayment to Grantee or which the City determines have not been spent by Grantee in accordance with the terms of this Agreement. Refunds shall be made by Grantee within 30 calendar days after a written refund request is submitted by the City. The City may, at its discretion, offset refunds due from any payment due Grantee, and the City may also deduct any loss, cost, or expense caused by Grantee from funds otherwise due.

- 9.7 Grantee shall deposit and maintain all funds received under this Agreement in either a separate numbered bank account or a general operating account, either of which shall be supported with the maintenance of a separate accounting with a specific chart which reflects specific revenues and expenditures for the monies received under this Agreement. The Grantee's accounting system must identify the specific expenditures, or portions of expenditures, against which funds under this Agreement are disbursed. Grantee must be able to produce an accounting system-generated report of exact expenses or portions of expenses charged to the City for any given time period.
- 9.8 Grantee is required to utilize an online Agreement management system for billing and reporting in accordance with the City's guidelines, policies, and procedures. Grantee is responsible for all data entered/edited under its unique username, as well as all required but omitted data.
- 9.9 Grantee shall expend the City budget in a reasonable manner in relation to Agreement time elapsed and/or Agreement program service delivery schedule. If cumulative expenditures are not within acceptable amounts, the City may require the Grantee to: 1) submit an expenditure plan, and/or 2) amend the Agreement budget amount to reflect projected expenditures, as determined by the City.

#### **10. Allowable and Unallowable Costs**

The City shall make the final determination of whether a cost is allowable or unallowable under this Agreement.

10.1 Reimbursement Only. Expenses and/or expenditures shall be considered reimbursable only if incurred during the current Program Period identified in the attached Program Exhibits, directly and specifically in the performance of this Agreement, and in conformance with the Agreement Exhibits. Grantee agrees that, unless otherwise specifically provided for in this Agreement, payment by the City under the terms of this Agreement is made on a reimbursement basis only; Grantee must have incurred and paid costs prior to those costs being invoiced and considered allowable under this Agreement and subject to payment by the City. Expenses incurred during the Program Period may be paid up to 30 days after the end of the Program Period and included in the Final Payment Request for the Program Period, which shall be due no later than 11:59 p.m. CST 5 calendar days before the due date for the Grantee's annual Contract Progress Report or Contract Closeout Summary Report, whichever is applicable.

10.1.1 To be allowable under this Agreement, a cost must meet all of the following general criteria:

- Be reasonable for the performance of the activity under the Agreement
- Conform to any limitations or exclusions set forth in this Agreement
- Be consistent with policies and procedures that apply uniformly to both government- financed and other activities of the organization
- Be determined and accounted in accordance with generally accepted accounting principles (GAAP)
- Be adequately documented

10.2 The City's prior written authorization is required in order for the following to be considered allowable costs. Inclusion in the budget within this Agreement constitutes "written authorization." The item shall be specifically identified in the budget. The City shall have the authority to make the final determination as to whether an expense is an allowable cost.

1. Alteration, construction, or relocation of facilities;
2. Cash payments, including cash equivalent gift cards such as Visa, MasterCard, and American Express;

3. Equipment and other capital expenditures;
4. Interest, other than mortgage interest as part of a pre-approved budget under this Agreement;
5. Organization costs (costs in connection with the establishment or reorganization of an organization);
6. Purchases of tangible, nonexpendable property, including fax machines, stereo systems, cameras, video recorder/players, microcomputers, software, printers, microscopes, oscilloscopes, centrifuges, balances and incubator, or any other item having a useful life of more than one year and an acquisition cost, including freight, of over \$5,000;
7. Selling and marketing; or
8. Travel/training outside Travis County.

10.3 The following types of expenses are specifically **not allowable** with City funds under this Agreement. The City shall have the authority to make the final determination as to whether an expense is an allowable cost.

1. Alcoholic beverages;
2. Bad debts;
3. Compensation of trustees, directors, officers, or advisory board members, other than those acting in an executive capacity;
4. Contingency provisions (funds) (*Self-insurance reserves and pension funds are allowable*);
5. Defense and prosecution of criminal and civil proceedings, claims, appeals, and patent infringement;
6. Deferred costs;
7. Depreciation;
8. Donations and contributions, including donated goods or space;
9. Entertainment costs, other than expenses related to client incentives;
10. Fines and penalties (including late fees);
11. Fundraising and development costs;
12. Goods or services for officers' or employees' personal use;
13. Housing and personal living expenses for organization's officers or employees;
14. Idle facilities and idle capacity;
15. Litigation-related expenses (including personnel costs) in action(s) naming the City as a Defendant;
16. Lobbying or other expenses related to political activity;
17. Losses on other agreements or casualty losses;
18. Public relations costs, except reasonable, pre-approved advertising costs related directly to services provided under this Agreement;
19. Taxes, other than payroll and other personnel-related levies; or
20. Travel outside of the United States of America.

## **11. Ownership of Property.**

11.1 Ownership title to all capital acquisition, supplies, materials or any other property purchased with funds received under this Agreement and in accordance with the provisions of the Agreement, purchased with City funds shall convey to the Grantee 2 years after purchase, unless notified by the City in writing.

11.1.1 If the services funded by this Agreement are provided in a facility owned by the City or leased from Travis County, ownership title to all capital acquisition, supplies, materials or any other property purchased with funds received under this Agreement shall remain with the City.

11.2 Written notification must be given to the City within 5 calendar days of delivery of nonexpendable property (defined as anything that has a life or utility of more than 1 year and an acquisition cost, including freight, of over \$5,000) in order for the City to effect identification and recording for inventory purposes. Grantee shall maintain adequate accountability and control over such property, maintain adequate property records, perform an annual physical inventory of all such property, and report this information in the annual Contract Progress Report, due as indicated in Section 4.2.3 of the Agreement, as well as in the Contract Closeout Summary Report, as indicated in Section 4.2.4 of the Agreement.

11.3 In the event Grantee's services are retained under a subsequent agreement, and should Grantee satisfactorily perform its obligations under this Agreement, Grantee shall be able to retain possession of non-expendable property purchased under this Agreement for the duration of the subsequent agreement.

**City of Austin, Texas  
EQUAL EMPLOYMENT/FAIR HOUSING OFFICE  
NON-DISCRIMINATION CERTIFICATION,  
ISRAEL VERIFICATION, INTERESTED PARTIES, CONFLICTS OF INTEREST**

**City of Austin, Texas  
Human Rights Commission**

To: City of Austin, Texas, ("OWNER")

I hereby certify that our firm conforms to the Code of the City of Austin, Section 5-4-2 as reiterated below:

Chapter 5-4. Discrimination in Employment by City Contractors.

**Sec. 4-2 Discriminatory Employment Practices Prohibited.** As an Equal Employment Opportunity (EEO) employer, the Contractor will conduct its personnel activities in accordance with established federal, state and local EEO laws and regulations and agrees:

- (B) (1) Not to engage in any discriminatory employment practice defined in this chapter.
- (2) To take affirmative action to ensure that applicants are employed, and that employees are treated during employment, without discrimination being practiced against them as defined in this chapter. Such affirmative action shall include, but not be limited to: all aspects of employment, including hiring, placement, upgrading, transfer, demotion, recruitment, recruitment advertising; selection for training and apprenticeship, rates of pay or other form of compensation, and layoff or termination.
- (3) To post in conspicuous places, available to employees and applicants for employment, notices to be provided by OWNER setting forth the provisions of this chapter.
- (4) To state in all solicitations or advertisements for employees placed by or on behalf of the Contractor, that all qualified applicants will receive consideration for employment without regard to race, creed, color, religion, national origin, sexual orientation, gender identity, disability, veteran status, sex or age.
- (5) To obtain a written statement from any labor union or labor organization furnishing labor or service to Contractors in which said union or organization has agreed not to engage in any discriminatory employment practices as defined in this chapter and to take affirmative action to implement policies and provisions of this chapter.
- (6) To cooperate fully with OWNER's Human Rights Commission in connection with any investigation or conciliation effort of said Human Rights Commission to ensure that the purpose of the provisions against discriminatory employment practices are being carried out.
- (7) To require compliance with provisions of this chapter by all subcontractors having fifteen or more employees who hold any subcontract providing for the expenditure of \$2,000 or more in connection with any contract with OWNER subject to the terms of this chapter.

For the purposes of this Offer and any resulting Contract, Contractor adopts the provisions of the City's Minimum Standard Nondiscrimination Policy set forth below.

**City of Austin  
Minimum Standard Non-Discrimination in Employment Policy:**

*As an Equal Employment Opportunity (EEO) employer, the Contractor will conduct its personnel activities in accordance with established federal, state and local EEO laws and regulations.*

*The Contractor will not discriminate against any applicant or employee based on race, creed, color, national origin, sex, age, religion, veteran status, gender identity, disability, or sexual orientation. This policy covers all aspects of employment, including hiring, placement, upgrading, transfer,*

demotion, recruitment, recruitment advertising, selection for training and apprenticeship, rates of pay or other forms of compensation, and layoff or termination.

Further, employees who experience discrimination, sexual harassment, or another form of harassment should immediately report it to their supervisor. If this is not a suitable avenue for addressing their complaint, employees are advised to contact another member of management or their human resources representative. No employee shall be discriminated against, harassed, intimidated, nor suffer any reprisal as a result of reporting a violation of this policy. Furthermore, any employee, supervisor, or manager who becomes aware of any such discrimination or harassment should immediately report it to executive management or the human resources office to ensure that such conduct does not continue.

Contractor agrees that to the extent of any inconsistency, omission, or conflict with its current non-discrimination employment policy, the Contractor has expressly adopted the provisions of the City's Minimum Non-Discrimination Policy contained in Section 5-4-2 of the City Code and set forth above, as the Contractor's Non-Discrimination Policy or as an amendment to such Policy and such provisions are intended to not only supplement the Contractor's policy, but will also supersede the Contractor's policy to the extent of any conflict.

UPON CONTRACT AWARD, THE CONTRACTOR SHALL PROVIDE A COPY TO THE CITY OF THE CONTRACTOR'S NON-DISCRIMINATION POLICY ON COMPANY LETTERHEAD, WHICH CONFORMS IN FORM, SCOPE, AND CONTENT TO THE CITY'S MINIMUM NON-DISCRIMINATION POLICY, AS SET FORTH HEREIN, OR THIS NON-DISCRIMINATION POLICY, WHICH HAS BEEN ADOPTED BY THE CONTRACTOR FOR ALL PURPOSES (THE FORM OF WHICH HAS BEEN APPROVED BY THE CITY'S EQUAL EMPLOYMENT/FAIR HOUSING OFFICE), WILL BE CONSIDERED THE CONTRACTOR'S NON-DISCRIMINATION POLICY WITHOUT THE REQUIREMENT OF A SEPARATE SUBMITTAL.

**Sanctions:**

Our firm understands that non-compliance with Chapter 5-4 may result in sanctions, including termination of the contract and suspension or debarment from participation in future City contracts until deemed compliant with the requirements of Chapter 5-4.

**Term:**

The Contractor agrees that this Section 0800 Non-Discrimination Certificate or the Contractor's separate conforming policy, which the Contractor has executed and filed with the Owner, will remain in force and effect for one year from the date of filing. The Contractor further agrees that, in consideration of the receipt of continued Contract payments, the Contractor's Non-Discrimination Policy will automatically renew from year-to-year for the term of the underlying Contract.

Dated this 8<sup>th</sup> day of March, 2021

CONTRACTOR

Authorized  
Signature

Title

Austin-Travis County Mental Health  
Mental Retardation Center dba  
Integral Care  
[Signature]  
CAO/CFO



### **PROHIBITION OF BOYCOTT OF ISRAEL VERIFICATION**

Pursuant to Texas Government Code §2271.002, the City is prohibited from contracting with any "company" for goods or services unless the following verification is included in this Contract:

- A. For the purposes of this Section only, the terms "company" and "boycott Israel" have the meaning assigned by Texas Government Code §2271.001.
- B. If the Grantee qualifies as a "company", then the Principal Artist verifies that he:
  - i. does not "boycott Israel"; and
  - ii. will not "boycott Israel" during the term of this Contract.
- C. The Grantee's obligations under this Section, if any exist, will automatically cease or be reduced to the extent that the requirements of Texas Government Code Chapter 2271 are subsequently repealed, reduced, or declared unenforceable or invalid in whole or in part by any court or tribunal of competent jurisdiction or by the Texas Attorney General, without any further impact on the validity or continuity of this Contract.

A COURT OF COMPETENT JURISDICTION HAS RECENTLY ENJOINED THE ABOVE STATE LAW. HOWEVER, IF THIS INJUNCTION IS LIFTED OR STAYED BY A COURT OR OTHER ENTITY OF COMPETENT JURISDICTION, THIS SECTION WILL BE AN ENFORCEABLE AND REQUIRED TERM OF YOUR CONTRACT WITH THE CITY. IF YOU DISAGREE WITH THE ABOVE SECTION OF THE CONTRACT, PLEASE STRIKE THROUGH IT OR INDICATE YOUR OBJECTION IN THE EXCEPTIONS SECTION. YOUR CONTRACT WILL NOT BE AFFECTED BY STRIKING THROUGH THIS PROVISION, AT THIS TIME.

### **INTERESTED PARTIES DISCLOSURE (FORM 1295)**

As a condition to entering the Contract, the Business Entity constituting the Grantee must provide the following disclosure of Interested Parties to the City prior to the award of a contract with the City on Form 1295 "Certificate of Interested Parties" as prescribed by the Texas Ethics Commission for any contract award requiring City Council authorization. The Certificate of Interested Parties Form must be completed on the Texas Ethics Commission website, printed, and signed by the authorized agent of the Business Entity with acknowledgment that disclosure is made under oath and under penalty of perjury. The City will verify the "Certificate of Interested Parties" with the Texas Ethics Commission prior to execution of the Agreement. The Grantee is reminded that the provisions of Local Government Code 176, regarding conflicts of interest between the bidders and local officials remains in place. Link to Texas Ethics Commission Form 1295 process and procedures below:

[https://www.ethics.state.tx.us/whatsnew/elf\\_info\\_form1295.htm](https://www.ethics.state.tx.us/whatsnew/elf_info_form1295.htm)

### **CHAPTER 176 CONFLICT OF INTEREST DISCLOSURE**

In accordance with Chapter 176 of the Texas Local Government Code, Grantee must file a Conflict of Interest Questionnaire (Questionnaire) with the Office of the City Clerk no later than 5:00 P.M. on the seventh (7th) business day after the commencement of contract discussions or negotiations with the City or the submission of an Offer, or other writing related to a potential Contract with the City, and update the questionnaire not later than seven (7) business days after becoming aware of an event that would make a statement in the questionnaire incomplete or inaccurate. Grantee has a continuing obligation to file the Questionnaire in accordance with the requirements of Chapter 176 of the Texas Local Government Code once it becomes aware of a need to do so. The Questionnaire is available on line at the following website for the City Clerk:

<http://www.austintexas.gov/department/conflict-interest-questionnaire>

There are statutory penalties for failure to comply with Chapter 176.