



**Construction Contract  
CITY OF AUSTIN  
RECOMMENDATION FOR COUNCIL ACTION**

**AGENDA ITEM NO.: 18  
AGENDA DATE: Thu 01/27/2005  
PAGE: 1 of 2**

**SUBJECT:** Authorize execution of a construction contract with C.C. CARLTON INDUSTRIES, Austin, TX for the Austin Clean Water Program: Upper Shoal - Spicewood Branch at Spicewood Springs Road Project in the amount of \$747,223.76 plus a \$74,722.38 contingency, for a total contract amount not to exceed \$821,946.14.

**AMOUNT & SOURCE OF FUNDING:** Funding in the amount of \$821,946.14 is included in the Fiscal Year 2004-2005 Capital Budget of the Austin Water Utility.

**FISCAL NOTE:** A fiscal note is attached.

**REQUESTING** Public Works  
**DEPARTMENT:** for Austin Water Utility;

**DIRECTOR'S  
AUTHORIZATION:** Sondra Creighton

**FOR MORE INFORMATION CONTACT:** Tom Migl, 479-1637; Laura Bohl, 974-7064

**PRIOR COUNCIL ACTION:** N/A

**BOARD AND COMMISSION ACTION:** N/A

**PURCHASING:** Lowest bid of two received.

**MBE / WBE:** 21.95% MBE and 7.37% WBE subcontractor participation.

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This construction contract will provide for wastewater system improvements in an area west of Mesa Drive and west of Loop 360 along Spicewood Springs Road. The improvements are intended to replace an existing force main and abandon several private lift stations to eliminate overflows that have resulted from force main breaks and grinder pump failures. This project is part of the Austin Water Utility's Austin Clean Water Program (ACWP), which was developed to respond to the requirements of the Environmental Protection Agency's Administrative Order regarding Sanitary Sewer Overflows.

The proposed improvements include the installation of approximately 2,211 linear feet of 8-inch sanitary sewer. The majority of proposed improvements will be located within the existing street right-of-way. The impacted street is Spicewood Springs Road. Due to the potential for unknown subsurface conditions and utility conflicts when working in the right-of-way, a 10% contingency in funding has been included to allow for the expeditious processing of any future change orders. The contract allows 130 working days for completion of this project.

Bid documents were distributed to 14 general contractors. Bids were opened on December 16, 2004. The C.C. Carlton Industries submitted the lower of 2 bids received:

C.C. Carlton Industries, Austin, Texas	\$747,223.76
Prism Development, Inc. (MBE/MH), Austin, Texas	\$994,970.00

The contractor's choice of work methodology provides for 6 areas of subcontracting opportunities listed below. Project specific subgoals stated in the solicitation were 6.54% African American; 13.31% Hispanic; 0.01% Native American; 1.21% Asian American, and 7.25% WBE. Total participation estimated on base bid amount of \$747,223.76:



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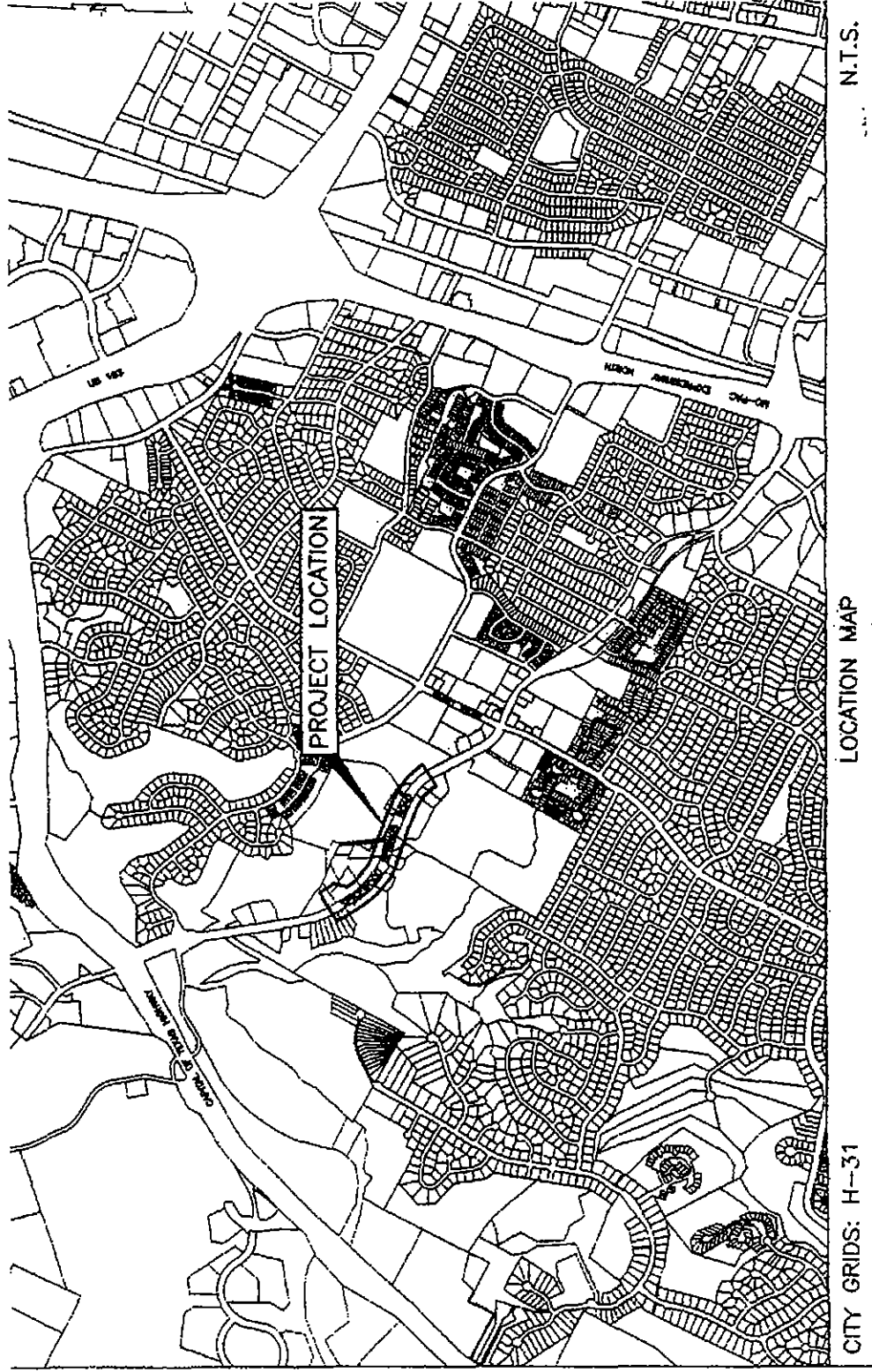
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NON M/WBE TOTAL – PRIME	\$528,183.76	70.68%
C. C. Carlton Industries, Ltd., Austin, Texas	\$528,183.76	70.68%
MBE TOTAL – SUBCONTRACTORS	\$149,000.00	19.94%
African American Subtotal	\$49,000.00	6.56%
J.C. Hall Trucking, Austin, Texas (Hauling)	\$39,000.00	5.22%
Austin Tree Service, Austin, Texas (Tree Remediation)	\$10,000.00	1.34%
Asian American Subtotal	\$15,000.00	2.01%
Benquest LLC, Austin, Texas(Fuel oil and diesel)	\$15,000.00	2.01%
Hispanic Subtotal	\$100,000.00	13.38%
Cortez Contracting, Inc, San Antonio, Texas (Pipeline)	\$95,000.00	12.71%
(FH)White Photographic Services, Dripping Springs, TX (Photos)	\$5,000.00	0.67%
WBE TOTAL – SUBCONTRACTORS	\$55,040.00	7.37%
Industrial Asphalt, Inc., Buda, Texas (Asphalt material supply)	\$5,000.00	0.67%
(FH)ABC Erosion Control, Inc., Leander, Texas (Erosion Control & Fencing)	\$50,040.00	6.70%

The Contractor submitted an MBE/WBE Compliance Plan that demonstrated a Good Faith Effort and was approved by the Department of Small & Minority Business Resources. Second low bidder information is provided.

SECOND BIDDER – Prism Development, Inc. - \$994,970.00  
75.52% MBE/MH prime participation; 2.55% MB; 0.00% MH; 0.0% MN; 1.22% MA; 0.67% WBE;  
20.04% Non M/WBE subcontractor participation.

**ACWP UPPER SHOAL - SPICEWOOD BRANCH AT SPICEWOOD SPRINGS ROAD  
(WASTEWATER IMPROVEMENTS)**



**LOCATION MAP**

## CIP FISCAL NOTE

**DATE OF COUNCIL CONSIDERATION:**  
**WHERE ON AGENDA:**  
**DEPARTMENT:**

1/27/2005  
Resolution  
Austin Water Utility

**DESCRIPTION:**

Authorize execution of a construction contract with C.C. CARLTON INDUSTRIES, Austin, TX for the Austin Clean Water Program: Upper Shoal - Spicewood Branch at Spicewood Springs Road Project in the amount of \$747,223.76 plus a \$74,722.38 contingency, for a total contract amount not to exceed \$821,946.14.

**FINANCIAL INFORMATION:**

Parent Project Name:	Wastewater Unfunded Future
Project Authorization:	2004-05 Approved Capital Budget
Funding Source:	Commercial Paper
Number:	4570 237 2017

Current Appropriation	\$ 494,673,293.00
Unencumbered Balance	182,209,867.99 *
Amount of This Action	<u>(821,946.14)</u>
Remaining Balance	<u>\$ 181,387,921.85</u>
 Current Available Balance	 \$ 201,410,800.74
Less Outstanding Commitments	<u>(19,200,932.75)</u>
Estimated Unencumbered Balance	<u>\$ 182,209,867.99 *</u>

Utility Finance: \_\_\_\_\_

  
David Anders, Utilities Finance Manager

Date: \_\_\_\_\_

1/4/05

REF. #4570 237 8775