

City Auditor's Integrity Unit Fiscal Year 2017 Activities

October 2016 - September 2017

October 2017

We investigate fraud, waste, and abuse by City officials, including employees, and City contractors

Where did allegations come from?

In the second half of the year, we experienced a decreased portion of allegations coming through the hotline program, which includes a phone hotline and online reporting form but an increase in direct contact that includes in-person visits and direct phone calls.



69%

Hotline program



25%

Direct contact

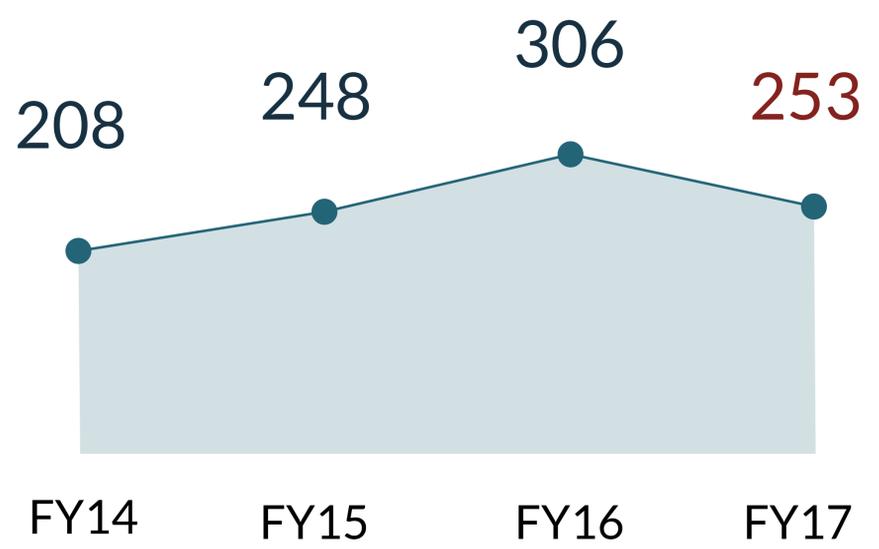


6%

Referral from depts or audits

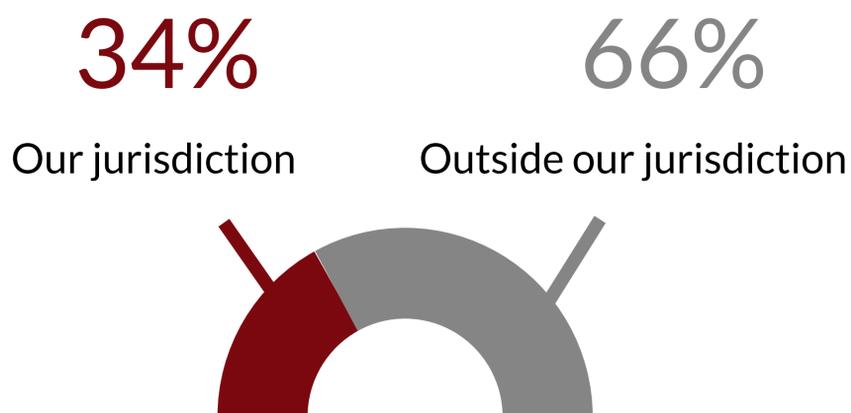
How many allegations have we received this year?

This year we received **253** new allegations. After a significant increase in FY16, the number of allegations decreased to the level of FY15.



What types of allegations did we receive outside our jurisdiction?

Many allegations were not in our jurisdiction and were referred to a more appropriate party.



How many investigations did we open?

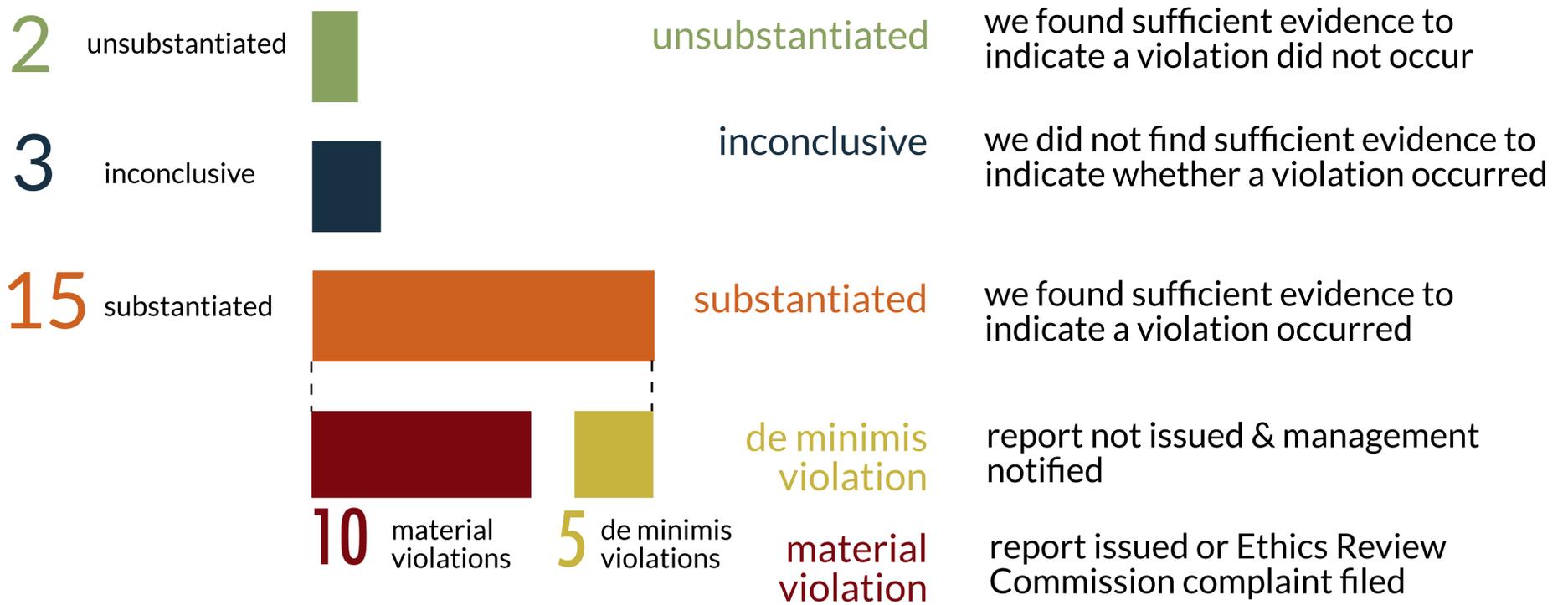
We conduct due diligence review for any allegation that appears to be in our jurisdiction to determine if there is merit to it. If so, we open an investigation. We opened 12 investigations this year. This is fewer than last year, but a larger portion have resulted in reports.

12

investigations opened

What were the results of completed investigations?

We completed **20** investigations this fiscal year.



The Ethics Review Commission (ERC) has jurisdiction over employees, such as executives, commissioners, and other employees not covered by Civil Service.

What did we report?

Department	Violation	Action
Fleet*	waste	disciplinary action
Austin Water*	misuse of resources, acceptance of favor, & waste	N/A, employee resigned
Austin Water*	acceptance of favor & misuse of resources	disciplinary action
Economic Development	failure to report	N/A, employee resigned
Economic Development	special privilege	complaint dismissed
Police Monitor	misuse of resources	pending review by ERC
Austin Energy	solicitation and acceptance of secondary employment, misuse of resources	N/A, employee resigned
Austin Energy	misuse of resources	N/A, employee resigned
Austin Public Health	waste and misuse of resources	disciplinary action, employee resigned
Boards & Commissions	conflict of interest	ERC issued a letter of admonition
Boards & Commissions	conflict of interest	pending review by ERC
Austin Energy	conflict of interest	pending review by ERC
City Manager's Office	misuse of resources	employee reassigned

*Investigations completed in the prior fiscal year but report issued in the current fiscal year