

Office of the City Auditor

Approved Audit Plan | Fiscal Year 2021

UPDATED

March
2021



The Office of the City Auditor works to improve Austin city government to make it more transparent and accountable. We collect information about risks facing the City. This information comes from the City Council, City management, and the public. Also, we track global, national, and local issues that could affect Austin. The City Auditor assesses this information to identify value-added audit topics. The City Auditor then considers available resources and other factors to identify the projects included in this Audit Plan. We also conduct investigations, special requests for City Council, and others projects focused on the efficiency and effectiveness of City services.

New Audits		
	Audit Topic	Preliminary Objective(s)
A	New Audit: Emergency Response	Audit objective to be identified and refined in coordination with the Council Audit and Finance Committee.
1	Reimagining Public Safety: Police Officer Wellness and Early Warning System	Does the Austin Police Department's (APD) early warning system for officers track the appropriate indicators and is it proactively surfacing areas of concern? Also, has APD implemented recommendations previously issued by the Office of Police Oversight related to officer wellness?
2	Cybersecurity Series	Is the City prepared to prevent or mitigate the impacts of business interruptions caused by cyberattacks?
3	Delayed: Transparency Series: Data Reliability	Does the City collect reliable information and openly share it with decision-makers and other interested stakeholders to improve outcomes?
4	Amanda System	Does the Amanda system efficiently manage multiple City processes to allow departments to provide effective services to stakeholders?
5	Lobbyist Registration Compliance	Are lobbyists complying with City Code provisions? [Required by City Code] Have City compliance efforts changed in response to remote working?
6	Ongoing Follow-up for Prior Recommendations	Have City departments implemented recommendations previously issued by the City Auditor, with a focus on priority topics covered in past audits?
7	Delayed: Cost Savings Initiative	Are there opportunities for the City to identify cost savings or other efficiencies that do not reduce the level of services for residents?
8	Deferred: Public Health Response	What lessons were learned from the City's response to the novel coronavirus to further protect the health and safety of community members and City employees?
9	Economic Recovery Funds	Did the City effectively manage the distribution of economic recovery funds and comply with federal requirements?

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Carryover Audits - Started in Fiscal Year 2020 to be completed in Fiscal Year 2021

	Audit Topic	Objective(s)
C-1	Completed: Redistricting (Non-audit)	Complete the process of selecting new members for the Independent Citizens Redistricting Commission. [Required by City Charter]
C-2	Coordination with Other Governments: Interlocal Agreements	Does the City track and meet its obligations and enforce those of its partners according to established interlocal agreements?
C-3	Workforce Management Series: Recruiting and Hiring	Do City efforts to recruit and hire result in the most qualified and diverse workforce possible?
C-4	Technology Procurement	Is the City's process for obtaining technology goods and services efficient and do those goods and services meet City needs?
C-5	Completed: Audit Projects Reported in Fiscal Year 2021	Austin Code Department Repeat Offender Program, Software Licenses, Code Inspections Special Request, Citywide Pagers, Homelessness Assistance Follow-Up, Police Oversight Follow-Up

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