

City of Austin Fiscal Year 2019-2020 CAPER

Consolidated Annual Performance and Evaluation Report
Providing Opportunities, Changing Lives



Housing and Planning
Department



City of Austin, Texas

**Fiscal Year 2019-20 Consolidated
Annual Performance Evaluation
Report (CAPER)**

**For Consolidated Plan Years
October 1, 2019 through September 30, 2020**



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CITY OF AUSTIN FISCAL YEAR 2019-20 CAPER

Consolidated Annual Performance and Evaluation Report

To be submitted to HUD March 31, 2021

The Consolidated Annual Performance and Evaluation Report (CAPER) is an end-of-year requirement of the U.S. Department of Housing and Urban Development (HUD). The purpose of the CAPER is to provide an overall evaluation of federally-funded activities and accomplishments to HUD and the community. The Fiscal Year 2019-2020 CAPER will be submitted electronically to HUD via the Integrated Disbursement and Information System (IDIS) on March 31, 2021.

IDIS is the reporting system for the following formula grant programs:

- Community Development Block Grant (CDBG)
- Community Development Block Grant CARES Act (CDBG-CV)
- HOME Investment Partnerships (HOME)
- Housing Opportunities for Persons with AIDS (HOPWA)
- Housing Opportunities for Persons with AIDS CARES ACT (HOPWA-CV)
- Emergency Solutions Grant (ESG)
- Emergency Solutions Grant CARES ACT (ESG-CV)

While the CAPER focuses on federally funded activities, the City of Austin recognizes the importance that local funding plays in the provision of housing and community development services. Attachment 5g of this document - Summary Funding and Production Table - presents Fiscal Year 2019-2020 accomplishments supported by both local and federal funds.

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CR-05 - Goals and Outcomes

Progress the jurisdiction has made in carrying out its strategic plan and its action plan. 91.520(a)

This could be an overview that includes major initiatives and highlights that were proposed and executed throughout the program year.

Table 1 – Accomplishments – Program Year and Strategic Plan to Date displays actual performance for the most recent fiscal year. Data are organized by funding priority, which originate from the City of Austin’s FY 2019-24 Consolidated Plan. The City identified the following high priority needs in its FY 2019-24 Consolidated Plan: Homeless Assistance, Renter Assistance, Homeowner Assistance, Housing Development Assistance, and Other Community Development Assistance. These priority needs provide a broad spectrum of programs that address community needs for a variety of constituencies. These include, but are not limited to: prospective homebuyers, homeowners, children, seniors, youth, affordable housing developers, and rental tenants, as well as prospective and current small business owners. The City continues to evaluate and modify program administration to improve efficiency.

Highlights from the Housing Developer Assistance Program

- The Rental Housing Development Assistance (RHDA) program provides opportunities to create and retain affordable rental units for low- and moderate- income households and low-income persons with special needs. Total unit production from all funding sources (local and federal) was 272 units. Forty-nine percent of those were households with incomes at or below 30 percent of Median Family Income (MFI), thus meeting City goals of targeting RHDA funding to the lowest income levels. This program exceeded the annual goal for local and federally funded production of units due to the completion of three large multi-family developments and two mid-sized developments during the fiscal year. These developments utilized tax credits and multiple sources of funding to leverage tens of millions of dollars; one dollar of RHDA funding, whether federal or non-federal, was able to leverage more than seven dollars in other funding. The RHDA program did not meet the federally subsidized unit generation goal for this fiscal year, primarily due to the fact that federal funds have been targeted toward local Community Housing Development Organizations, which tend to generate fewer units per annum and tend to require elevated subsidies per unit. Further, these funds have been targeted toward ownership developments more than rental developments in the recent past. Staff expects the share of federally assisted units to continue to decrease as a share of the number of units generated per year.
- The Ownership Housing Development Assistance (OHDA) program works with lenders, non-profit developers and for-profit developers to leverage city and federal funds for the acquisition, construction, and rehabilitation of housing to be sold to income-eligible homebuyers at 80 percent or below of MFI. Total unit production from all funding sources – local and federal – was 20 newly constructed single-family homes, nine of which were sold to households with three or more persons. The OHDA program failed to meet its annual production goal in FY 2019-20. Staff has taken steps to ensure that awards do not violate the maximum per unit subsidy, meaning developers must pursue additional sources of financing which tends to lengthen the calendar before construction commences. Staff expects the number of federally assisted units to continue to fall, but the total number of units to more than surpass the target due to the availability of local funds.

Comparison of the proposed versus actual outcomes for each outcome measure submitted with the consolidated plan and explain, if applicable, why progress was not made toward meeting goals and objectives. 91.520(g)

Categories, priority levels, funding sources and amounts, outcomes/objectives, goal outcome indicators, units of measure, targets, actual outcomes/outputs, and percentage completed for each of the grantee's program year goals.

Table 1 – Accomplishments – Program Year and Strategic Plan to Date, features federally funded accomplishments only. The Production Detail column provides a breakdown of the program year accomplishments displayed in each row. This has been added by the City of Austin for ease of reference.

Accomplishments associated with CARES Act Funding will not be reported on this CAPER, since the grant agreements were received near the end of or after FY 19-20. Programs funded with CDBG-CV, ESG-CV, and HOPWA-CV funds will commence in FY 20-21 and the associated accomplishments will be reported on subsequent reports. For this reason, goals associated with CARES Act funding report accomplishments of 0 on this CAPER.

Attachment 5g of this document - Summary Funding and Production Table - presents Fiscal Year 2019-2020 accomplishments supported by both local and federal funds.

Goal	Category	Source Amount	Indicator	Unit of Measure	Expected Strategic Plan	Actual Strategic Plan	Percent Complete	Expected Program Year	Actual Program Year	Percent Complete	Production Detail
Homebuyer Assistance	Affordable Housing	HOME: \$949,750	Direct Financial Assistance to Homebuyers	Households Assisted	125	28	22%	25	28	112%	*Down Payment Assistance
Special Needs Assistance	Homeless Non-Homeless Special Needs	CDBG: \$783,759	Public service activities other than Low/Moderate Income Housing Benefit	Persons Assisted	2545	417	16%	493	417	85%	*Child Care Services (178) *Senior Services (91) *Youth Supports Services (148)
Special Needs Assistance	Homeless Non-Homeless Special Needs	CDBG-CV:\$945,170	Public service activities other than Low/Moderate Income Housing Benefit	Persons Assisted	-	-	-	205	-	-	*Childcare Services

Homeless/ Special Needs	Homeless Non- Homeless Special Needs	ESG: 361,751.50	Tenant-based rental assistance/ Rapid Rehousing	Household Assisted	590	105	18%	118	105	89%	*Rapid Re- Housing Program
Homeless/ Special Needs	Homeless Non- Homeless Special Needs	HESG- CV \$2,265,359	Tenant-based rental assistance/ Rapid Rehousing	Household Assisted	-	-	-	800	-	-	*Rapid Re- Housing Program
Homeless/ Special Needs	Homeless Non- Homeless Special Needs	CDBG-CV: \$1,000,000	Tenant-based rental assistance/ Rapid Rehousing	Household Assisted	-	-	-	272	-	-	*Emergency Rental Assistance- Homeless Assistance
Homeless Assistance	Homeless Non- Homeless Special Needs	ESG: \$313,922	Homeless Person Overnight Shelter	Persons Assisted	5200	416	8%	1200	416	35%	*ARCH-ESG Shelter Operating and Maintenance
Homeless Assistance	Affordable Housing	HOME: \$547,000	Tenant-based rental assistance Rapid Rehousing	Households Assisted	400	-	0%	68	-	0%	*Tenant Based Rental Assistance
Homeless assistance	Affordable Housing	HOME: \$626,954	Tenant-based rental assistance/HMIS	Households Assisted	640	-	0%	68	-	0%	*Tenant Based Rental Assistance
Special Needs Assistance	Homeless Non- Homeless Special Needs	HOPWA: \$ 1,659,729.00	HIV/AIDS Housing Operations/ Public Service Activities other than low/moderate- income housing benefit/ Homelessness Prevention/	Household Housing Unit Households Assisted Persons Assisted	1592	293	18%	230	293	127%	*Permanent Housing Placement (23) *Hotel/Motel assistance (105) *Short-term rent mortgage

			Tenant-based rental assistance/rapid re-housing								and utilities (113) *Supportive Services (84) *Tenant Based Rental Assistance (51) *Facility Based transitional housing (30) *Master Leasing (22)
Homeowner Assistance	Affordable Housing	CDBG: \$3,010,000	Homeowner Housing Rehabilitated	Household Housing Unit	1505	144	10%	289	144	50%	*ABR Owner (16) *Minor Home Repair (122) *HRLP (6)
Housing Development Assistance	Affordable Housing Homeless	HOME CHDO: \$227,370	Homeowner Housing Added	Household Housing Unit	42	-	0%	16	-	0%	*Ownership Housing Development Assistance
Housing Development Assistance	Affordable Housing Homeless	CDBG: \$0 HOME CHDO: \$227,371	Rental units rehabilitated	Household Housing Unit	79	30	38%	138	26	19%	*RHDA Rehab (2)
Housing Development Assistance	Affordable Housing Homeless	HOME: \$150,000	Other	Other	33	2	6%	2	2	100%	*CHDO Operating Expense Grants
Renter Assistance	Affordable Housing	CDBG: \$284,202	Public service activities other than Low/	Persons Assisted	2690	318	12%	527	318	60%	*Tenants' Rights Assistance

			Moderate Income Housing Benefit								
Renter Assistance	Affordable Housing	CDBG: \$185,000	Rental units rehabilitated	Household Housing Unit	35	2	6%	7	2	29%	*Architectural Barrier Program-Rental
Small Business Assistance	Non-Housing Community Development	CDBG: \$150,000	Jobs created/retained	Jobs	151	6	4%	6	6	100%	*Community Development Bank (6)

Table 1 - Accomplishments – Program Year & Strategic Plan to Date

Explanations of variance between “Expected” values and “Actual” values for select indicators are addressed below.

Homebuyer Assistance

Indicator: Direct Financial Assistance to Homebuyers

The Down Payment Assistance program exceeded its goal due to an increase in referrals from non-profits, realtors, and lenders. The Housing and Planning Department provided realtors with training, and participated in panels as experts on affordable housing.

Special Needs Assistance

Indicator: Homeless, Non-homeless, Special Needs

The Special Needs category includes Child Care, Senior, and Youth Support Services. These programs did not meet the goal due to the global pandemic. The Child Care Services program was affected by parents' hesitance to return clients to care; communications complications with case managers; and applicants failure to onboard. Due to COVID-19, the Senior Services program increased the amount of time spent on each client, reducing overall capacity. The Youth Support Services program was impacted by campus closings, affecting program referrals.

Homeless Assistance

Indicator: Tenant Based Rental Assistance/Rapid Rehousing

Problems related to COVID-19 reduced the number of clients served through the Rapid Rehousing programs. For the Communicable Disease Unit, many case-management staff were reassigned to clients at the protective lodging shelters for high-risk clients. Many of the clients of the Rapid Rehousing program had high-vulnerability scores, creating extended time-frames for moving them into housing.

Homeless/Special Needs

Indicator: Homeless Person Overnight Shelter

The Shelter Operating Maintenance program did not meet the goal due to a reduction in capacity caused by the pandemic. Efforts to ensure all clients at the shelter were enrolled in case-management services initially reduced available bed space to 160.

Homeowner Assistance

Indicator: Homeowner Housing Rehabilitated

The Homeowner Assistance category includes the Architectural Barrier Removal (Owner), Minor Home Repair, and the Home Rehabilitation Loan programs. Social distance modifications adversely impacted the ability to serve clientele one-on-one and provide in-person technical assistance, causing staff to pause the programs and resulting in unmet goals.

Renter Assistance

Indicator: Tenant-based rental assistance / Rapid Rehousing

Due to challenges associated with the pandemic, the Tenant Based Rental Assistance (TBRA) Program extended both contracts until November 30, 2020, which falls outside of FY 19-20. At the termination of both contracts, the number of households assisted was 113. In December of 2020, these contracts were consolidated into one, allowing the Housing Authority of the City of Austin more flexibility to address clients' needs. For this reason, the total number of households served by TBRA is reported as 0 for FY 19-20. Staff expects the total number of unduplicated households assisted with this new contract to meet or exceed the target.

Indicator: Public service activities other than Low/Moderate Income Housing Benefit

The Tenants' Rights Assistance program did not meet its goal due to the pandemic. Face-to-face counseling was replaced with telephone and on-line counseling and electronic signatures were implemented, which adversely impacted production. Additionally, because tenants have no legal recourse to enforce repairs if rent isn't current and due to the pandemic more households were unable to pay rent, repair mediations decreased.

There were no accomplishments to report for the following CARES Act funded projects- CDBG-CV funded Childcare Services Project, Emergency Rental Assistance Project, or Emergency Rental Assistance Project-Renter; ESG-CV funded Rapid Rehousing Project; and HOPWA-CV funded projects. The entitlement agreements were not received until July 2020; thus these projects will commence in FY 20-21.

In addition to the accomplishments featured in Table 1, a description of Section 108 activities is provided below. Project level information is featured in Attachment 5e of this document. The source of this information is the City of Austin Economic Development Department.

Section 108 Programs and Accomplishments

Project Description	<p>The Family Business Loan Program (FBLP), a public-private partnership loan program provides fixed-asset and working capital loans to qualified small-business borrowers in Austin. The lending partners are a private bank member of the Federal Home Loan Bank, an SBA-certified CDC Section 504 community lender, and the City of Austin's Economic Development Department (EDD). The FBLP's goals are to foster business expansion in low- and moderate-income neighborhoods, stimulate low- to moderate-income job creation, and increase Austin's tax base. The FBLP is targeted to Austin's business owners that are ready to expand their businesses and create jobs.</p>
Accomplishments Description	<p>In FY 2019-20 FBLP approved 2 new loans, managed an additional 19 loans previously closed, and reported 10 jobs. The program has created 289 jobs since 2012. Jobs created by the FBLP are counted for performance measure purposes when the job is created by the borrower. Borrowers commit to creating 1 full time job for every \$35,000 borrowed. Of the total 10 jobs reported for FY 19-20, 51% or greater benefit persons of low-to moderate-incomes (LTMI).</p> <p>HUD Section 108 (traditional expansion program) performance was significantly impacted by the end of March through April 2020 COVID-19 health crisis that resulted in a subsequent economic crisis due to nationwide shutdown of non-essential businesses. The impact is associated with a local/national shift in the use of funding from growth and expansion to uses to sustain operations and retaining employees, until the lifting of restrictions. During this time, no new expansion loan applications were received and loans in process were delayed by applicants until July 2020. Potential HUD 108 applicants took measure of a variety of emerging lending programs offered by the Small Business Administration (SBA), US Treasury and local community development corporations (CDC) which resulted in a significant reduction of interest until there was greater certainty of the economic environment that began to emerge by the summer of 2020.</p> <p>COVID-19:</p> <p>On March 28, 2020 the City of Austin Economic Development Department proposed what would be the first in the nation non-disaster HUD Section 108 emergency economic relief program. The Economic Development Department in accordance with an April 8th, letter from HUD DC/SA approving temporary revisions to the City of Austin HUD Section 108 program issued 19 micro-loans with a \$35,000 maximum, totalling \$645,000.00 under the Austin Economic</p>

	<p>Injury Bridge Loan Program (AEIBLP). The temporary program revisions were in response to the need of local small businesses impacted by COVID related shutdowns to seek short-term working capital funding to mitigate economic injury and retain employees until the end of locally mandated shutdowns to slow the spread of COVID-19.</p> <p>Furthermore, businesses funded with the FY 2012 \$3,000,000 allocation have met their initial commitment, and are exceeding requirements for creating jobs for low-to moderate income persons.</p> <p>The proposed FY 19-20 goal of 5 businesses assisted reports 80% achievement due to several factors which include: program interest and application quality from potential for-profit borrowers, and adherence to HUD approved lending guidelines- all of which may result in less loan approvals. For the loan closed in FY 2019-20, \$867,000.00 in Section 108 funds leveraged \$1,789,100.00 in private financing.</p>
Performance Measure	Jobs created and/or retained
FY 2019-20 Total Funding	= \$1,000,000.00 (FBLP) + \$386,549.00 (Program Income) + 1,500,000 (AEIBLP) = \$2,886,549.00
FY 2019-20 Proposed Goal	2 businesses assisted
FY 2019-20 Expended	= \$443,973.770.00 (FBLP), \$645,000 (AEIBLP) = \$1,088,973.77
FY 2019-20 Accomplishments	10 FTE jobs created and/or retained in FY 2019-20.

Assess how the jurisdiction’s use of funds, particularly CDBG, addresses the priorities and specific objectives identified in the plan, giving special attention to the highest priority activities identified.

The City’s FY 2019-24 Consolidated Plan and FY 2019-20 Action Plan identified the following high priority need areas: Homeless/Special Needs Assistance; Renter Assistance; Homebuyer Assistance; Homeowner Assistance; Housing Development Assistance; and other Community Development Assistance. These funding priorities were established based on the housing and community development needs identified through public and stakeholder input, the housing market analysis, and the analysis of special populations. All of the proposed funding priorities serve very-low, low- and moderate-income households in the City of Austin. In addition, the City of Austin’s federally funded activities serve special needs populations including: seniors, persons with disabilities, persons experiencing homelessness and at risk of homelessness, persons living with HIV/AIDS, at risk children and youth, victims of domestic violence, housing authority residents, and persons returning to the community from correctional institutions and/or with criminal histories.

Funding priorities also seek to be responsive to the City of Austin’s Analysis of Impediments to Fair Housing Choice, which is described in CR-35 of this document.

Of the high priority need areas identified above, those funded with CDBG in FY 19-20 were Special Needs Assistance; Renter Assistance; Homeowner Assistance; and Housing Development Assistance. Progress for these programs in FY 2019-20 can be found in Table 1, Accomplishments – Program Year and Strategic Plan to Date.

There were no accomplishments to report for the following CARES Act funded projects- CDBG-CV funded Childcare Services Project, Emergency Rental Assistance Project, or Emergency Rental Assistance Project-Renter; ESG-CV funded Rapid Rehousing Project; and HOPWA-CV funded projects. The entitlement agreements were not received until July 2020; thus these projects will commence in FY 20-21.

CR 10- Racial and Ethnic composition of families assisted

Describe the families assisted (including the racial and ethnic status of families assisted). 91.520(a)

Race	CDBG	HOME	ESG	HOPWA	TOTAL
White	563	32	258	195	1048
Black or African American	269	20	204	119	612
Asian	19	1	1	2	23
American Indian or American Native	16	1	7	2	26
Native Hawaiian or Other Pacific Islander	0	0	1	0	1
Other (multi, declined to state, information missing)	21	2	50	8	81
Total	888	56	521	326	1791

Ethnicity

Hispanic	235	24	119	81	459
Not Hispanic	653	32	394	245	1324
Other (declined to state, information missing)	0	0	8	0	8
Total	888	56	521	326	1791

-Source of CDBG and HOME data is the Housing and Planning Department.

-Source of HOPWA and ESG data is Austin Public Health.

Narrative

The City of Austin identifies priority needs and offers services and programs to eligible households regardless of race or ethnicity. The table on this page depicts counts for Fiscal Year 2019-20 by fund source.

CR 15 Resources and Investments

Identify Resources Made Available

Source of Funds	Source	Expected Amount Available	Actual Amount Expended During Program Year
CDBG	CDBG	\$7,967,037	\$10,375,439
HOME	HOME	\$3,931,606	\$1,202,250
HOPWA	HOPWA	\$1,659,729	\$1,580,059
ESG	ESG	\$669,980	\$750,568
CDBG-CV	CDBG	\$4,620,659	\$0
HOPWA-CV	HOPWA	\$272,065	\$0
ESG-CV	ESG	\$2,354,866	\$0
Other	CARES Act Emergency Funding	\$26,868,913	\$0

Table 2 - Resources Made Available

Narrative

CDBG: Per the City of Austin Housing and Planning Department (HPD), the expected amount available was \$7,967,037, which includes program income, and the actual amount expended was \$10,375,439 which is reflected in the table, and includes all expenditures through September 30, 2020. Disbursements made after the end of the fiscal year, but contribute to the prior year, are included.

HOME: Per HPD, the expected amount available was \$3,931,606, which includes program income, and CHDO funding and operating expenses, and the actual amount expended was \$1,202,250 which is reflected in the table, and includes all expenditures through September 30, 2020. Disbursements made after the end of the fiscal year, but that contribute to the prior year, are included.

HOPWA: Per the Austin Public Health (APH) Department, the expected amount available was \$1,659,729 and the actual amount expended was \$1,580,059.

ESG: Per APH, the expected amount available was \$669,980, and the actual amount expended was \$750,568. This includes all expenditures through September 30, 2020.

Section 108: Per the Economic Development Department (EDD), the expected amount available was \$1,386,549, which includes \$386,549 in program income. The actual amount expended was \$1,088,974 which is reflected in the table, and includes all expenditures through September 30, 2020.

Other: This category includes the following CARES Act and emergency funding-

CDBG-CV: Per HPD, the expected amount available from the two rounds of funding was \$11,882,733 and the actual amount expended was \$0 for this program year.

HOPWA-CV: Per HPD, the expected amount available was \$272,065 and the actual amount expended was \$0 for this program year.

ESG-CV: Per HPD, the expected amount available from the two rounds of funding was \$13,214,115 and the actual amount expended was \$0 for this program year.

Section 108 for Coronavirus: Per EDD, the expected amount available was \$1,500,000, and the actual amount expended for the Section 108 program was \$1,088,974.

Identify the geographic distribution and location of investments

Target Area	Planned Percentage of Allocation	Actual Percentage of Allocation	Narrative Description
Throughout the City of Austin	100	100	Citywide

Table 3 – Identify the geographic distribution and location of investments

Narrative

While the City of Austin does not currently target investments to specific geographic areas, it considers the geographic distribution of affordable housing throughout Austin to be a key core value in the investment of affordable housing-related activities with federal and local funds. The City supports providing affordable housing in areas outside of low-income neighborhoods, thereby reducing racial and ethnic segregation, deconcentrating poverty, and providing for more economic opportunities for low-income households. The Housing and Planning Department (HPD) currently provides funding points through a scoring matrix system to projects that assist in the dispersion of affordable housing stock throughout the community, to focus on high opportunity areas in Austin where often there is a shortage of affordable housing. HPD utilizes data from Enterprise Community Partners' Opportunity360 and the Austin Strategic Housing Blueprint goals to inform the scoring matrix. These resources help the City of Austin target rental subsidies and home ownership opportunities to low-income residents.

Finally, the City of Austin's Strategic Housing Blueprint reinforces the importance of geographic dispersion and increasing the diversity of housing choice and supply. The Strategic Housing Blueprint is described in section CR-35 of this document, and additional information is publicly available at <https://www.austintexas.gov/page/view-blueprint>

Leveraging

Explain how federal funds leveraged additional resources (private, state and local funds), including a description of how matching requirements were satisfied, as well as how any publicly owned land or property located within the jurisdiction that were used to address the needs identified in the plan.

The table below features leveraging generated in Fiscal Year 2019-20.

Program	Fund Source	Units	Unit Funding	Leveraged
Units Leveraged That Received Federal Funds				
Down Payment Assistance (DPA)	HOME	28	\$33,490.87	\$4,678,470
Rental Housing Development Assistance (RHDA)	HOME/CDBG	26	\$48,908	\$2,965,799
	Total-Federal Funded	54	\$82,398.87	\$7,644,269

Matching requirements are displayed in the HOME Match Report (Attachment 5c). For a description of how ESG matching requirements were satisfied, see section CR-75, table 10f.

No publicly owned land identified in the Action Plan was utilized during this reporting period, however planning to develop those sites is ongoing.

The Austin Housing Finance Corporation (AHFC) completed two solicitations to develop affordable housing on the following AHFC-owned properties- 900 Gardner Road and 1127 Tillery Street. The AHFC Board of Directors awarded contracts to two development teams, and staff are negotiating the agreements. Housing construction on the properties is anticipated to be completed in FY23-24.

AHFC acquired three properties in FY19-20 to be developed for affordable housing: 11225 Pecan Park Boulevard; 1212 West Slaughter Lane; and 1114 Kramer Lane.

AHFC executed contracts to purchase three additional properties in FY19-20 to develop affordable housing, and anticipates closing on the transactions in FY20-21.

The City has also developed a hotel conversion strategy to create permanent supportive housing for homeless individuals.

- The City is identifying existing hotels that can be converted into housing.
- A Rodeway Inn hotel was purchased in May 2020 (located off of IH-35, between Woodward Rd & Oltorf St.) and has 87-units. The hotel is housing homeless individuals that are at risk to contract

COVID-19.

- The Country Inn & Suites was purchased in October 2020 (located on IH-35 north of US 183) and has 75-rooms.

Fiscal Year Summary – HOME Match	
1. Excess match from prior Federal fiscal year	\$52,617,906.61
2. Match contributed during current Federal fiscal year	\$19,329,087.75
3. Total match available for current Federal fiscal year (Line 1 plus Line 2)	\$71,946,994.36
4. Match liability for current Federal fiscal year	\$646,617.78
5. Excess match carried over to the next Federal fiscal year (Line 3 minus Line 4)	\$71,300,376.58

Table 4 – Fiscal Year Summary - HOME Match Report

Match Contribution for the Federal Fiscal Year								
Project No. or Other ID	Date of Contribution	Cash (non-Federal sources)	Foregone Taxes, Fees, Charges	Appraised Land/ Real Property	Required Infrastructure	Site Preparation, Construction Materials, Donated labor	Bond Financing	Total Match
Prior Year MF Bonds	10/30/2014						\$3,557,369.09	\$161,654.45
NON-2020-01 Elysium Park	12/19/2019	\$432,858.60						\$432,858.60
NON-2020-02 The Abali PA/2019	12/16/2019	\$1,737,395.00						\$1,737,395.00
NON-2020-03 Scenic Point PA/2019	09/16/2020	\$674,633.45						\$674,633.45
NON-2020-04 North Lamar Mobile Pk PA/2019	05/27/2020	\$2,500,000.00						\$2,500,000.00
NON-2020-05 Capital A Condominiums PA/2019	09/29/2020	\$198,180.00						\$198,180.00
NON-2020-06 Mueller Townhomes PA/2019	09/10/2020	\$350,024.23						\$350,024.23
NON-2020-07 Roosevelt Gardens PA/2019	09/09/2020	\$414,878.21						\$414,878.21
NON-2020-08 RBJ Center	07/17/2020	\$4,309,600.15						\$4,309,600.15

NON-2020-09 Waterloo Terrace PA/2019	04/21/2020	\$2,372,692.00						\$2,372,692.00
NON-2020-10 Aha! @ Briarcliff PA/2019	09/11/2020	\$762,800.01						\$762,800.01
NON-2020-11 Burnet Place PA/2019	09/09/2020	\$124,009.17						\$124,009.17
NON-2020-12 Talavera Lofts PA/2019	09/17/2020	\$571,837.48						\$571,837.48
NON-2020-13 Travis Flats PA/2019	09/11/2020	\$500,000.00						\$500,000.00
NON-2020-14 Vi Collina PA/2019	01/28/2020	\$3,253,525.00						\$3,253,525.00
NON-2020-15 Cambrian E. Riverside Apts.	03/18/2020	\$965,000.00						\$965,000.00

Table 5 – Match Contribution for the Federal Fiscal Year

HOME MBE/WBE report

Program Income – Enter the program amounts for the reporting period				
Balance on hand at beginning of reporting period	Amount received during reporting period	Total amount expended during the reporting period	Amount expended for TBRA	Balance on hand at end of reporting period
\$496,182.45	\$1,212,016.14	\$1,705,927.59	\$641,145.85	\$2,271.00

Table 6 – Program Income

Minority Business Enterprises and Women Business Enterprises – Indicate the number and dollar value of contracts for HOME projects completed during the reporting period						
	Total	Minority Business Enterprises				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Contracts						
Dollar Amount	0	0	0	0	0	0
Number						
Sub-Contracts						
Number	0	0	0	0	0	0
Dollar Amount	0	0	0	0	0	0
	Total	Women Business Enterprises		Male		
Contracts						
Dollar Amount	0	0		0		
Number	0	0		0		
Sub-Contracts						
Number	0	0		0		
Dollar Amount	0	0		0		

Minority Owners of Rental Property – Indicate the number of HOME assisted rental property owners and the total amount of HOME funds in these rental properties assisted						
	Total	Minority Property Owners				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Number	0	0	0	0	0	0
Dollar Amount						
Relocation and Real Property Acquisition – Indicate the number of persons displaced, the cost of relocation payments, the number of parcels acquired, and the cost of acquisition						
Parcels Acquired		0				NA
Businesses Displaced		0				NA
Nonprofit Organizations Displaced		0				NA
Households Temporarily Relocated, not Displaced		0				NA
Households Displaced	Total	Minority Property Enterprises				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Number	0	0	0	0	0	0
Cost	NA	NA	NA	NA	NA	NA

Table 7 – Minority Business and Women Business Enterprises

Minority Business Enterprises and Women Business Enterprises: Counts do not reflect contracts that 1) do not meet the categories requested in the table, 2) contracts where the business declined to state ethnicity or gender, or 3) firms not certified by the City of Austin as a minority-owned business enterprise (MBE) and/or a women-owned business enterprise (WBE). City of Austin Certification standards can be found on the City of Austin's Small and Minority Business Resources webpage at <http://austintexas.gov/departments/certification>.

Housing and Planning Department programs that provide assistance for rental housing include Architectural Barrier Removal - Rental (ABR Rental) and Rental Housing Developer Assistance (RHDA). ABR Rental is not HOME-funded. RHDA receives a small amount of HOME funds, but the assistance in the RHDA program is always provided to developers and not directly to individuals.

CR-20 - Affordable Housing 91.520(b)

Evaluation of the jurisdiction's progress in providing affordable housing, including the number and types of families served, the number of extremely low-income, low-income, moderate-income, and middle-income persons served.

The goals in the following tables reflect federally funded projects that do not include the provision of emergency shelter, transitional shelter, or social services, and that meet the definition of households provided affordable housing units within the program year. In accordance with guidance from HUD, units must be brought up to standard in order to be counted in the tables below. Therefore, programs that only offer services for each of the categories below, but do not bring a unit up to standard, are not counted in this section. See section CR-05 for an explanation of variance between expected and actual accomplishments.

	One-Year Goal	Actual
Number of Homeless households to be provided affordable housing units	148	0
Number of Non-Homeless households to be provided affordable housing units	156	63
Number of Special-Needs households to be provided affordable housing units	90	18
Total	394	81

Table 8 – Number of Households

	One-Year Goal	Actual
Number of households supported through Rental Assistance	306	0
Number of households supported through The Production of New Units	54	26
Number of households supported through Rehab of Existing Units	9	27
Number of households supported through Acquisition of Existing Units	25	28
Total	394	81

Table 9 – Number of Households Supported

Discuss the difference between goals and outcomes and problems encountered in meeting these goals.

The programs in the following tables reflect federally funded projects that do not include the provision of emergency shelter, transitional shelter, or social services, and that meet the definition of households provided affordable housing units within the program year.

In addition to an explanation of variance between expected and actual accomplishments included in CR-05, the following problems were encountered in meeting affordable housing goals. In-person meetings and site visits were reduced in accordance with the City of Austin COVID-19 safety guidelines, resulting in a lower number of clients served. Additionally, due to challenges associated with the pandemic, the Tenant Based Rental Assistance (TBRA) Program extended both TBRA contracts until November 30, 2020, and final draws for this program occurred after FY 19-20. For this reason, the number of households served by TBRA is reported as 0 for FY 19-20. Lastly, the Ownership Housing Development Assistance program reports 0 households assisted. This is because federal funds have been targeted toward the local Community Housing Development Organizations, which tend to generate fewer units per annum and tend to require elevated subsidies per unit. Staff has taken steps to ensure that awards do not violate the maximum per unit subsidy, meaning developers must pursue additional sources of financing which tends to lengthen the calendar before construction commences.

The following is a breakdown of the values featured in Table 8 – Number of Households

Homeless	
Tenant Based Rental Assistance- Homeless Assistance (HOME)	0
Subtotal	0
Non-Homeless	
Tenant-Based Rental Assistance (HOME)	0
Rental Housing Developer Assistance (HOME)	26
Ownership Housing Development Assistance (HOME)	0
Home Rehabilitation Loan Program (CDBG)	6
Down Payment Assistance (HOME)	28
Rental Housing Developer Assistance - Rehab (1 CDBG & 2 HOME)	3
Subtotal	63
Special Needs	
Architectural Barrier Program - Rental (CDBG)	2
Architectural Barrier Program - Owner (CDBG)	16
Subtotal	18
Grand Total	81

The following is a breakdown of the values featured in Table 9 – Number of Households Supported

Rental Assistance	
Tenant-Based Rental Assistance (HOME)	0
Subtotal	0
Production of New Units	
Rental Housing Developer Assistance (HOME)	26
Ownership Housing Development Assistance (HOME)	0
Subtotal	26
Rehab of Existing Units	
Architectural Barrier Program - Rental (CDBG)	2
Architectural Barrier Program - Owner (CDBG)	16
Home Rehabilitation Loan Program (CDBG & HOME)	6
Rental Housing Developer Assistance - Rehab (CDBG & HOME)	3
Subtotal	27
Acquisition of Existing Units	
Down Payment Assistance (HOME)	28

Subtotal	28
Grand Total	81

Discuss how these outcomes will impact future annual action plans.

The City of Austin annually assesses its progress in meeting goals outlined in the FY 2019-2024 Consolidated Plan through development of the Consolidated Annual Performance and Evaluation Report (CAPER). The CAPER provides an opportunity for the City to evaluate the performance of its programs and services and to determine whether adjustments to the current 5-year goals are needed. The City looks to performance in a given year, and trends over time, to inform and calibrate future targets. Additionally, the City is providing technical assistance to subrecipients to help them be more effective and efficient.

Include the number of extremely low-income, low-income, and moderate-income persons served by each activity where information on income by family size is required to determine the eligibility of the activity.

Number of Persons Served	CDBG Actual	HOME Actual
Extremely Low-income ($\leq 30\%$ MFI)	11	24
Low Income (31-50% MFI)	7	7
Moderate-Income (51-80% MFI)	7	25
Total	25	56

Number of Persons Served	CDBG Actual	HOME Actual
Extremely Low-income		
Renter households	1	23
Owner households	10	1
Low-income		
Renter households	2	5
Owner households	5	2
Moderate-income		
Renter households	0	0
Owner households	7	25
Total	25	56

The table above displays the actual number of households by renter/owner provided affordable housing with CDBG and HOME funds

Narrative Information

Among the total persons served with CDBG funds that received housing assistance during the program year, 44% were extremely low-income, 28% were low-income, and 28% were moderate-income. Among the total persons served with HOME funds that received housing assistance during the program year, 43% were extremely low-income, 13% were low-income, and 45% were moderate-income. Among extremely low-income persons served, there were 24 renter and 11 owner households. Among low-income persons served, there were 7 renter and 7 owner households. Among moderate-income persons served, there were 0 renter and 32 owner households. These proportions are consistent with the funding priorities outlined in the FY 2019-24 Consolidated Plan. The City of Austin continues to deliberately direct federal and local dollars toward services benefiting extremely low-income residents.

This summary of progress includes a comparison of actual accomplishments with proposed goals for the 2019-20 reporting period, efforts to address “worst-case needs”, and the accessibility needs of persons with disabilities.

The City addressed households experiencing “worst-case housing needs” and made progress in meeting the needs of persons with disabilities through the following federally-funded housing related programs: ABR-Renter and ABR-Owner, TBRA (HOME) and the Home Repair Loan Program. These programs meet the Section 215 definition of affordable housing for rental and homeownership.

CR-25 - Homeless and Other Special Needs 91.220(d, e); 91.320(d, e); 91.520(c)

Evaluate the jurisdiction's progress in meeting its specific objectives for reducing and ending homelessness through: Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs.

CoC System Outreach and Assessment Process The Austin/Travis County Continuum of Care (CoC), led by the Ending Community Homelessness Coalition (ECHO) operates a Coordinated Entry System (CES) which includes outreach and housing navigation to individuals experiencing homelessness, and a Coordinated Assessment (VI-SPDAT) to assess individuals' program eligibility. In FY 2019-20 there were 3,438 persons who received a Coordinated Assessment, with 62% of those being unsheltered.

The Coordinated Entry process allows the community to collect data on individuals experiencing homelessness to evaluate the needs and gaps in services in our community and our community's overall system response to homelessness. Additionally, ECHO coordinates an annual Point in Time Count where volunteer teams assess the CoC jurisdiction to identify the needs of people experiencing homelessness. In 2019, ECHO expanded partnerships to increase accessibility of the Coordinated Entry process for people residing across different geographic areas.

Other CoC Outreach Initiatives

The CoC partners with eight different Street Outreach teams that are directly connected to the Coordinated Entry System (CES), cover 100% of the CoC's geographic area and bring services to underserved clients. These teams conduct outreach daily and across the jurisdiction.

In June 2016, the City implemented a Homeless Outreach Street Team (HOST) model which consists of two Austin Police Department officers, two behavioral health specialists from Integral Care, one paramedic and one social worker. The HOST program has provided additional outreach services to the homeless community in downtown Austin.

Emergency Solutions Grants Efforts

All City of Austin ESG-funded programs have been working with the Continuum of Care to support the Coordinated Entry process. ESG programs are focused on the downtown, sheltered and unsheltered homeless. The ESG funds an employee at Downtown Austin Community Court (DACC) who provides Rapid Rehousing Housing placement and works with case managers who service mostly unsheltered frequent offenders of the court. Individuals may be referred to the DACC Rapid Rehousing program if they meet certain criteria:

1. Homeless persons who receive a Class C Misdemeanor citation in Downtown Austin are arraigned through DACC. Once the homeless person goes before the judge and receives a sentence, the client is connected to a case manager for assessment.
2. DACC has a Case Manager assigned to the HOST who refers homeless persons to DACC programs and case management services. The referred homeless person would be assessed and screened for appropriate programs to assist them.
3. DACC also accepts referrals from Ending Community Homelessness Coalition (ECHO) when there is capacity

on the caseload.

At the Austin Resource Center for the Homeless (ARCH), Front Steps case manages clients that are not accessing shelter services, but who have applicable coordinated assessment scores, or are receiving referrals from the HOST team (outreach team) to get them engaged in services. The program also receives client referrals from the HOST, referrals of shelter clients with disabilities, and shelter clients who are employed.

HOPWA Efforts

Representatives from the HOPWA program participate in the Continuum of Care working group to better support people who are experiencing homelessness and living with HIV. Representatives from the HOPWA program have also convened a bi-weekly meeting comprised of representatives from Austin's AIDS service organizations, Austin Public Health, and ECHO staff, where the group discusses community-wide issues and creates a plan to serve each client. The HOPWA TBRA program also utilizes the Coordinated Entry process to identify the most vulnerable individuals who are experiencing homelessness and living with HIV. When space in the program becomes available those individuals with the highest vulnerability are prioritized.

Many HOPWA clients have a need for more in-depth interventions. In response, the HOPWA program began offering supportive services to clients living at a recuperative care facility for people living with HIV. These clients typically arrive directly from the hospital and have been homeless and out of care for some time. They are provided with medical care at the recuperative care facility and can begin to access supportive services so that they can be prepared to meet their housing needs when they are discharged.

Addressing the emergency shelter and transitional housing needs of homeless persons

Austin Public Health funds a private non-profit organization to operate the Austin Resource Center for the Homeless (ARCH). ARCH provides emergency shelter to homeless adult men through its Overnight Shelter program, and provides Day Sleeping to homeless adult men and women. The ARCH provides basic services such as showers, laundry facilities, mailing addresses, telephone use, and lockers through its Day Resource Center program. The Day Resource Center program also includes a number of services such as mental health care, legal assistance, and employment assistance provided by co-located agencies. In addition, ARCH houses the Healthcare for the Homeless clinic operated by CommUnity Care/Central Health. ARCH served 1,541 individuals with its Night Sleeping Program in FY 2019-20. All clients are entered into the Homeless Management Information Systems (HMIS) database. While the City also funds other shelters and homeless services including a shelter for women and children, it does not utilize ESG funds to do so. All clients served through ARCH have low- to moderate-incomes and are at or below 50 percent of MFI. Emergency Solutions Grant funds are used to provide maintenance and operations for this shelter program.

Transitional housing is provided in the area by Project Transitions. Funded by HOPWA, this program provides transitional housing for people living with HIV/AIDS (PLWHA) across two properties with 30 apartments. In September 2020 Project Transitions began demolition of one of their properties. Over the next year they will be constructing a new facility with over double the number of units. Supportive services such as medication adherence, maintenance in medical care, life skills, and connection to other resources are provided to all program residents.

In 2019, Austin Public Health held seven public meetings to discuss revisioning the ARCH and its services, and contracted with National Alliance to End Homelessness (NAEH) to develop recommendations for a competition for the contract. The future operator will change many of its policies and practices in response to

the community input and NAEH recommendations. The shelter will be case managing all clients who are wanting these services, and the day resources will only be focused on the shelter residents. All client services will be focused on housing and rapidly exiting shelter. The City is planning to open up other day resource centers throughout the community, and has expanded funding for outreach and navigation services.

Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: likely to become homeless after being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); and, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs.

In 2019 and throughout the past year, the CoC coordinated directly with the Texas Department of Family Protective Services-Child Protective Services regional team to identify and address any barriers for youth who are imminently exiting the foster care system into homelessness. This was demonstrated in the 100 Day Challenge and diverted 5 young people exiting care to a permanent housing destination. Second, the Texas State Hospital which provides intensive in-patient mental health care coordinates all referrals to the Coordinate Entry process with ECHO for any individuals who are exiting care into homelessness. In addition, the Central Health/ CommUnity Care team has on-site hours at the ARCH and utilizes HMIS to better connect patients that are exiting care with resources for homelessness.

The City of Austin Emergency Solutions Grant funding is not allocated to Homelessness Prevention. However, the ESG Rapid Rehousing program and the ESG-funded Emergency Shelter do serve persons exiting an institution where they have resided for 90 days or less and those who resided in an emergency shelter or place not meant for human habitation immediately before entering that institution. Austin Public Health uses City general fund dollars for homelessness prevention programs that provide financial assistance and case management to poverty-level individuals and families who are at risk of homelessness.

The HOPWA Short-Term Rent, Mortgage, and Utility Assistance (STRMU) program provides up to 21 weeks of assistance to eligible households who are at risk of losing their living arrangement. This assistance allowed households that were experiencing financial crisis to maintain a stable living environment. HOPWA providers have also focused on building relationships with other housing providers in the area. This provides people that are diagnosed as HIV positive the ability to be served by any agency that may better fit their needs since they will not have to wait to utilize an AIDS Service Organization.

Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again.

CoC System Performance Measures: Austin/Travis County

ECHO, the CoC Lead Agency, oversees a strategy to reduce the period of time that households experience homelessness. The CoC identifies and houses persons with the longest length of time homeless using Coordinated Entry System (CES), prioritizing housing for persons with highest need, including long histories of homelessness, using the VI-SPDAT prioritization tool. The CES effectively engages with persons experiencing homelessness, including long-term homelessness, by having multiple access points including through drop-in centers, shelters, street outreach programs, medical clinics, jails, and call-in phone options.

To reduce length of time in homelessness the CoC: operates a Coordinated Entry System (CES) for Rapid Rehousing and Permanent Supportive Housing, prioritizing the most vulnerable households for housing including chronically homeless with long histories of homelessness and advertising services among first line of respondents (e.g. schools and clinics) for early intervention; assists people early on to obtain necessary documents like birth certificates and ID's to access housing (housing navigation); partners with landlords for expedient access to housing units that become available; and is working with shelter providers to increase staff and other resources to connect clients to housing opportunities and to reduce length of time homeless.

Strategies the CoC has implemented to improve retention in Permanent Supportive Housing (PSH) include: using the Coordinated Entry System to connect all persons to permanent housing; Increasing documentation of exit data in HMIS for all projects, improving reportability; monitoring CoC-funded projects for successful Permanent Housing rates and competitively ranking projects on Permanent Housing placement performance for renewal funding; partnering with landlords for expedient access to units that become available; and training case managers to implement practices that promote retention. CoC has incorporated into these strategies lessons from the Veteran Initiative that achieved Functional Zero. PSH retention was 94% in FY17 and 97% in FY18. Two-year returns to homelessness from PSH increased from 14% in FY17 to 19% in FY18. To identify people who returned to homelessness, CoC expanded street outreach teams, both numerically and geographically, integrating teams into HMIS. One team is dedicated to downtown, the area of highest Point in Time Count concentration.

CoC strategy to increase rate of persons in Permanent Housing projects to retain their Permanent Housing or exit to Permanent Housing: the CoC monitors Permanent Housing projects quarterly on successful retention and exits to Permanent Housing destinations; partnering with landlords for expedient access to units that become available and for lease negotiation that fit the needs of vulnerable people in Permanent Housing projects; training case managers to implement best practices that promote housing stability and retention; the CoC has also actively partnered with both local Housing Authorities to develop a Move-On Strategy, streamlining access to mainstream Housing Choice Voucher for individuals in Permanent Supportive Housing who are no longer in need of intensive case management services, but who wish to exit to a long-term subsidized housing opportunity.

CoC Targeted Sub-Populations

Veterans: The CoC, local VA Medical Center, VA-funded programs, and state and private-funded community organizations coordinate housing and support services through the CoC HMIS database and the Coordinated Entry Process (CEP). Veterans are referred to HUD-VASH, SSVF, GPD, or CoC housing programs as determined by client need and eligibility. Staff from all involved organizations meet once weekly to review cases and create active engagement plans for potentially unreachable Veterans. CoC has demonstrated success in this process by reaching an effective end to Veteran Homelessness.

Chronic Homelessness: The CoC prioritizes all Permanent Supportive Housing program units for individuals experiencing homelessness and who fit the chronic homeless definition. The City of Austin and the Austin/Travis County CoC have worked on strategies to expand capacity for Permanent Supportive Housing over the past 5 years, such as including incentivizing the set-aside of CoC units in City funded affordable rental housing, community development opportunities and looking at new innovative funding models to further development through a Pay For Success funding model.

Families with Children: The CoC implemented written standards to prioritize highest need and longest unhoused families for CoC and ESG Rapid Rehousing programs, and expanded housing location efforts using a Housing First approach to minimize time spent homeless and locating housing. CoC adopted the HEARTH Act 2020 target to rehouse households with children within 30 days. The current average length of time homeless is 421 days. CoC uses Coordinated Entry to create a real-time, by-name list of homeless families, including first date homeless, advertising in places where families request help first (e.g. family clinics, shelters and 211).

Unaccompanied Youth: The CoC was previously awarded the Youth Homelessness Demonstration Project (YHDP) that now provides through Lifeworks, Diversion, Rapid Rehousing, and combination Transitional Housing+Rapid Rehousing programs for Youth. The CoC and LifeWorks partnered to test an Assertive Outreach initiative that encourages self-resolution conversations and provides resources for unaccompanied Youth experiencing homelessness who are not expected to receive a full housing intervention through the YHDP initiative.

ESG Rapid Rehousing

Front Steps, Inc., City of Austin Downtown Austin Community Court, and City of Austin's Austin Public Health Communicable Disease Unit administered ESG Rapid Rehousing funds in coordination to assist individuals who are homeless (particularly chronically homeless) from the streets and shelters and into permanent housing. The ESG Rapid Rehousing Coordinator is located at the Austin Resource Center for the Homeless.

The City of Austin's ESG-funded Rapid Rehousing program serves frequent users of the shelter, frequent offenders at the Community Court, and HIV positive homeless individuals. Many of these program clients are the hardest to serve and chronically homeless. This program brings together case management and housing location, and coordinates with other funding sources like the City's general fund to bring housing resources to this hard-to-serve population.

In July 2019, the City of Austin executed an agreement with Bloomberg Philanthropies that provides grant funding of up to \$1,250,000 over three years. This opportunity enables the City of Austin to increase capacity to address the Mayor and Council's priority focus on ending homelessness. This project seeks to know how the City might ensure that service providers, community health paramedics, and police are able to collaborate to get the right intervention with the right resource at the right time to those experiencing homelessness.

CR-30 - Public Housing 91.220(h); 91.320(j)

Actions taken to address the needs of public housing

The Housing Authority of the City of Austin (HACA) and the City of Austin are close partners in an effort to increase quality affordable housing for those most in need. As one of two Public Housing Authorities serving the City of Austin boundaries, input from, and coordination with HACA is valuable to address community needs efficiently. HACA is a partnering agency in the development of the Regional Analysis of Impediments, the Housing Market Analysis, and the Consolidated Plan. Furthermore, the City includes HACA staff and HACA residents in all outreach efforts.

HACA administers three federally subsidized programs that provide affordable housing to extremely low to low-income families in Austin. HACA provided housing to over 20,000 people in FY 2019-20. Of the 20,000+ people served, 7,504 were children, 2,054 were seniors and 5,106 were persons with disabilities. The average annual income for families in the Housing Choice Voucher program is \$14,985.

Austin Affordable Housing Corporation (AAHC), a nonprofit subsidiary of HACA, currently provides over 6,400 additional affordable housing units in Austin, as well as almost 2,600 more units under construction, helping to meet the city's need for additional affordable housing opportunities. AAHC has more units in its pipeline for possible future acquisition and/or development.

Preserving Public Housing, Improving Residents' Lives, Sustaining HACA Resources. HACA continues its new initiative to preserve affordable housing for the lowest-income Austinites and improve the lives of residents who rely on that housing. By participating in the U.S. Department of Housing and Urban Development (HUD) program known as the Rental Assistance Demonstration (RAD), HACA has been able to finance critically needed improvements to its aging properties, and guarantee the availability of these properties to low-income residents for the next 40 years. The RAD program gives HACA more control over its finances and creates more opportunities for housing choice going forward.

Rental Assistance Demonstration (RAD) Overview. RAD was initiated by HUD in 2012 to address U.S. public housing's national \$26 billion backlog of needed repairs and improvements. Under RAD, existing (and declining) public housing subsidies are converted into more stable rental assistance contracts for each property. This allows housing authorities to leverage that subsidy to finance rehabilitation and preservation of aging properties. HACA has presented information regarding RAD, and the agency's intentions regarding its 18 public housing properties, to the Austin City Council. Council supports issuance of private activity bonds and 4% Low Income Housing Tax Credits for modernization and improvements at several HACA public housing properties. The Council also supported awarding 9% tax credits to redevelop Chalmers Courts Apartments, a 158-unit property which, when fully redeveloped, will feature over 390 affordable units in one of Austin's highest opportunity areas. Phase 1 of the redevelopment project constructed 86 affordable units, with residents moving in during October 2019. Phase 2 groundbreaking took place in November 2019. Phase 3 earned City Council support for the 9% LIHTC (Low Income Housing Tax Credit) in February 2020, and will break ground in late Summer of 2021.

How RAD Works at HACA- There are several different types of RAD conversions which are underway or have been completed by HACA, described below.

Residents have a voice in planning improvements. Depending on the age and condition of each property, HACA makes straight conversions (modest maintenance needs), rehabilitations (more in-depth improvements) or

redevelopments, all of which help create additional affordable and, if feasible, market-rate units at each property. Through RAD, residents receive specific protections, improvements and choices designed to better their quality of life.

HACA residents receive protections. Residents can return to an upgraded unit at their original property with no screening. Affordability will be preserved for decades. HACA residents will see significant improvements to the properties and their quality of life: units are being upgraded, modernized and made energy efficient.

HACA residents also have new choices. Choices give residents more control over their lives. The site based waiting list includes expanded choices of living options. After two years, residents will be eligible to apply for Housing Choice Vouchers if they so choose.

From 2016-2019, HACA has converted 1,895 units at all but one of its properties. During 2018-2019, HACA completely remodeled 100 units at Gaston Place, a senior-focused property. Also, groundbreaking occurred at Goodrich Place. Completed in late 2019, Goodrich Place provides 120 units of affordable housing, up from the original 40 units. HACA is in the process of securing financing from multiple sources, including tax credits and low interest loans, to finalize the more complex RAD conversions which require extensive rehabilitation or reconstruction.

HACA's Housing Choice Voucher (HCV) program and other housing assistance programs provide rental vouchers for 6,137 units of housing. These programs support more than 13,000 individuals, including families with children, elderly, and persons with disabilities, in the greater Austin private rental market. In the past year, HACA administered several voucher programs, including 5,256 Housing Choice Vouchers, 554 Veteran Affairs Supportive Housing (VASH) Vouchers (HACA continues to apply for additional vouchers), 85 Family Unification Program Vouchers, 273 Mainstream Vouchers, 36 Non-Elderly with Disabilities Vouchers, and 11 Hurricane Ike Conversion Vouchers.

HACA expends 100% of funds provided by HUD for the Housing Choice Voucher program. HACA has 6,153 allocated Housing Choice Vouchers. However, due to limited HUD funding and high rental rates, HACA can only afford to assist approximately 5,300 families each month. For families issued a voucher, the search time to find an affordable housing unit is 60 to 90 days. On average, 15% of vouchers issued to families are returned by those unable to find a unit due to Austin's high rents and a shortage of landlords who accept vouchers.

Actions taken to encourage low income housing residents to become more involved in management and participate in homeownership

Since 2004, Austin Affordable Housing Corporation (AAHC) has supported the transition to homeownership for families in either Public Housing or HCV programs through a down payment assistance program. Eligible first-time homebuyers complete financial education training prior to purchasing a home, and can then receive \$10,000 for eligible closing costs and a down payment on their home. To date, 109 individuals have purchased their own homes, and 64 participants of HACA's homeownership program have reached their five-year mark as a homeowner.

Supportive community services through self-sufficiency programs are essential to helping low-income families realize independence from federal assistance. In conjunction with affordable housing, HACA staff help clients build assets, attain higher education, and start careers. These efforts are made possible through the HACA's Family Self-Sufficiency program and a robust group of partners, including Any Baby Can, Boys and Girls Club,

Boy Scouts, Communities in Schools, Family Eldercare, Girl Scouts, Goodwill Industries, Lifeworks, Skillpoint Alliance and YWCA, among many others. The HCV program provides case management services to 247 families working to achieve economic independence.

In 2019-2020, 25 Family Self-Sufficiency (FSS) participants successfully graduated from the program. To date, more than 275 participants have graduated from the FSS program.

Helping End Homelessness.

HACA provides 50 project-based vouchers to Housing First Oak Springs. The project is a 40,000 square-foot complex with 50 fully furnished single occupancy apartments, with a clinic offering primary care and behavioral health services, a community room and parking garage. All units are covered by the Project-Based Voucher Agreement. Twenty-five project-based vouchers are funded by the Section 8 HCV Program and the other 25 are funded by the Veteran Assistance Supportive Housing (VASH) Program. The amount of Project-Based VASH and HCV funds provided for this project is \$7,551,000 over a 15-year term. Housing First at Oak Springs will make a significant difference in the lives of 50 veterans and persons with mental health challenges by providing housing, onsite mental health counseling and treatment for substance use. Additionally, HACA has dedicated 25 VASH project-based vouchers at Elysium Grand, which opened in Fall 2019.

HACA received additional Mainstream vouchers from HUD, for a total of 273 vouchers, to provide permanent affordable housing to non-elderly persons with disabilities who are transitioning out of homelessness or congregate living facilities. HACA collaborated with the Ending Community Homelessness Coalition (ECHO), Integral Care, and ARCIL, Inc. to apply for these Mainstream vouchers, and has worked closely with community partners to help non-elderly persons with disabilities transition from homelessness to stable homes.

The City of Austin contracts with HACA to provide Tenant Based Rental Assistance (TBRA) for extremely low-income renters experiencing homelessness. The TBRA program helped 70 households move from homelessness to self-sufficiency through rent and utility assistance. Case management and support services are provided by organizations such as The Salvation Army, Caritas, LifeWorks, SafePlace, Foundation Communities, and Foundation for the Homeless.

HACA also received a renewal grant through the Consolidated HUD Continuum of Care grant application process which provides rental assistance to more than 70 previously homeless disabled individuals each month.

Actions taken to provide assistance to troubled PHAs

HACA is not a troubled PHA. For 19 consecutive years, HACA has attained a high performer designation. For Fiscal Year 2019-20, HACA earned a score of 100 percent for the Section 8 Management Assessment Program.

Austin Pathways, a HACA-directed nonprofit organization, supports HACA's scholarship and self-sufficiency programs. In 2019, Austin Pathways sponsored 37 renewable academic scholarships totaling over \$60,000 for residents of Public Housing and Housing Choice Voucher programs to pursue post-secondary education opportunities. Since 2001, 637 scholarships have been awarded. Scholarship recipients include high school graduates, students of post-secondary education, and adults attending college for the first time.

I-DADS, Involved Dads of Action, Developing and Succeeding, is an innovative and award-winning program designed to strengthen families by reaching out to the fathers and father-figures in HACA communities. The

program empowers fathers and father-figures, encouraging self-reflection and participation in topics ranging from parenting skills, workforce development, and ways to improve their father-child relationship.

Jobs Plus Pilot Program-Booker T Washington and Chalmers Court

The Jobs Plus ATX program was established by a \$2.7 million grant from HUD and expands job opportunities to residents at Chalmers Courts and Booker T. Washington. This is the largest competitive grant HACA has received for resident services. The 4-Year Jobs Plus Pilot Program has celebrated its first three years of success. Program partners include: Workforce Solutions Capital Area, Austin Area Urban League, Austin Community College, BiG Austin, Capital IDEA, Economic Growth Business Incubator, Goodwill of Central Texas, and Literacy Coalition.

Accomplishments of the Jobs Plus program:

Financial Incentive- Booker T. Washington and Chalmers Courts residents have saved \$1.26 million in rent by participating.

Employment and Training Services-233 residents have started new employment or have increased their earnings.

- 363 residents enrolled in the program.
- 336 families returned to work or increased their earnings
- 118 residents started new employment
- \$6,708 increase in average earned income at both properties

HACA's Jobs Plus Program was chosen by HUD to serve as a model for other Jobs Plus Cohorts across the United States.

Unlocking the Connection

Unlocking the Connection celebrated its four-year anniversary in November 2019. Since the first-of-its kind digital literacy model began, 2,312 residents now have free in-home internet which is 53% of HACA residents, up from 4% in 2014. Over 1,300 residents have completed over 30,000 hours of digital literacy training to earn refurbished desktops, laptops and phones since 2015. For 60% of those recipients, it was their first computer. To date, more than 2,000 donated refurbished computers have been deployed to families. HACA continues to ensure mobility equity for its residents through the Smart Work, Learn, Play Initiative. Our partners include City of Austin GTOPS, Transit Empowerment Fund, Wells Fargo, CapMetro, University of Texas LBJ School of Public Affairs, Lyft, American Institutes for Research, and Austin CityUP. Mobility Ambassadors continue to receive training on digital tools such as applications for the workforce, student achievement, and enhanced quality of life that they pass on to their peers.

CR-35 - Other Actions 91.220(j)-(k); 91.320(i)-(j)

Actions taken to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment. 91.220 (j); 91.320 (i)

The City of Austin, along with nine other governmental entities in the Austin area, completed a regional **Analysis of Impediments (AI) to Fair Housing Choice** and submitted it to HUD in 2019, with a new set of identified barriers and associated actions.

The AI identified complex land use regulations and overall lack of affordable housing supply throughout the City as the highest prioritized factors in limiting housing choice and creating impediments to housing affordability. The City of Austin has proceeded with two initiatives that should help address these impediments: the revision of the Land Development Code and the adoption of a Strategic Housing Blueprint. HPD is currently working with the community and other city staff to ensure the actions of the AI concerning the land development code are incorporated into the Land Development Code revision process.

The Strategic Housing Blueprint was adopted by City Council in 2019, and includes a community goal to create 60,000 units affordable to households at or below 80% of the median family income over 10 years. It also describes recommended actions in five key community values, including implementation of the Fair Housing Action Plan. The Blueprint recommends funding mechanisms, regulatory changes, and other creative approaches to increase housing choices for all Austinites in all parts of town. The Implementation Plan for the Strategic Housing Blueprint includes actions to improve access to economic and housing opportunities for underserved communities in Austin.

The department also created a new Displacement Prevention Division to address the needs of residents at risk of displacement, which includes protected classes. This year, the Displacement Prevention Division has been focused on meeting the immediate needs of tenants at risk of eviction or displacement due to COVID-related income loss.

Actions taken to address obstacles to meeting underserved needs. 91.220(k); 91.320(j)

The City of Austin continues to leverage federal resources with an annual transfer of general fund revenue in the Housing Trust Fund. The Housing Trust Fund has been instrumental to providing program funding for permanent supportive housing, displacement prevention programs and gap financing for rental and ownership opportunities.

In 2018, voters in the City of Austin approved seven bond propositions totaling \$925 million dollars. One of the bond propositions included \$250 million for affordable housing. These funds were dedicated to the creation of rental housing and home ownership opportunities for low- and moderate-income households, in order to help meet the goals of the City of Austin's Strategic Housing Blueprint adopted by Council in 2017. That included the acquisition of real estate for future housing, construction of new housing and rehabilitation of existing housing to ensure homes are up to code, safe and absent of barriers that hinder self-sufficiency and mobility of residents.

Actions taken to reduce lead-based paint hazards. 91.220(k); 91.320(j)

The City continues to test properties built before 1978 for lead-based paint per regulatory requirements. City staff are Lead Certified. Lead activities will be addressed through a different fund source. Community Development Block Grant (CDBG) is an eligible fund source for Lead hazard reduction which may include paint stabilization, interim controls, standard treatments, or abatement.

Actions taken to reduce the number of poverty-level families. 91.220(k); 91.320(j)

All programs administered by the City of Austin aim to address critical needs through housing, community development, and public services to benefit eligible residents, including persons in poverty, so they can increase their opportunities for self-sufficiency. HOPWA, ESG, and CDBG activities in particular assist households that fall under the special populations category outlined in the FY 2019-24 Consolidated Plan.

The number of families and businesses served during FY 2019-2020 can be found in Attachment 5d, Section 3 Summary Reports. All of these programs are intended to help reduce the number of families in poverty. They are assessed on a regular basis to determine if they are effective in helping meet this goal.

Housing Opportunities for People with AIDS (HOPWA) Activities

The Austin Public Health (APH) Department administers all HOPWA activities for the City of Austin. These programs provide housing assistance for income-eligible persons living with HIV/AIDS and their families. The goals of these programs are to stabilize the households' living arrangement and improve access to primary care and other supportive services so that people living with HIV/AIDS can focus on improving their health and self-sufficiency.

Emergency Solutions Grant (ESG) Activities

The Austin Public Health Department administers all ESG activities for the City of Austin. These programs are designed to be the first step in a continuum of assistance to help clients quickly regain stability in permanent housing after experiencing a housing crisis and/or homelessness. ESG funds the operational costs of the shelter facility, Rapid Rehousing programs, and licenses for the Homeless Management Information System and administration of the grant.

Public Service Activities

The Housing and Planning Department (HPD) and Austin Public Health (APH) administer public service contracts funded with CDBG. Public services offer supportive services to households with gross incomes less than 200 percent of Federal Poverty Guidelines. Childcare Services provides childcare vouchers for homeless and near-homeless families, and direct child care services for teen parents who are attending school.

Youth Services provides access to holistic, wraparound services and support to youth designated as at-risk and their families. Senior Services offers services that prevent and protect seniors in becoming victims of abuse, neglect, and/or financial exploitation. Austin's Tenants' Council is another community partner that provides public services that focus on housing discrimination, tenant-landlord education and information, and housing repair and rehabilitation.

Actions taken to develop institutional structure. 91.220(k); 91.320(j)

HPD administers housing, community, and economic development programs, which require interdepartmental coordination. Many City of Austin departments coordinate efforts to provide program services and projects outlined in the FY 2019-2024 Consolidated Plan and subsequent Annual Action Plans.

The City of Austin contracts with the Austin Housing Finance Corporation (AHFC) to develop affordable rental and homeownership opportunities and housing rehabilitation of owner-occupied homes. APH provides support to Austin residents living with HIV/AIDS and their families through the use of HOPWA grant funds. Austin Public Health also provides assistance to help clients quickly regain stability in permanent housing after experiencing a housing crisis and/or homelessness using ESG funds. HPD and APH collaborate on several public service programs. The Economic Development Department fosters small business expansions in low-and moderate-income neighborhoods to stimulate job creation through the Family Business Loan Program (FBLP). Numerous non-federally funded housing programs and activities offered by HPD rely on the coordination of other City departments including: Austin Energy, Austin Water Utility, Budget Office, City Manager's Office, Austin Code, Office of Real Estate Services, Government Relations, Austin Public Health, Law Department, Office of Sustainability, Parks and Recreation Department, Development Services Department, Public Works, Austin Resource Recovery, Economic Development, Equal Employment and Fair Housing, and Watershed Protection.

Finally, the development of federal planning documents such as the Consolidated Plan, Annual Action Plan, and CAPER reflect the contributions of multiple City departments, and would not be possible without purposeful collaboration.

Actions taken to enhance coordination between public and private housing and social service agencies. 91.220(k); 91.320(j)

HPD continued to work closely with the following organizations to overcome gaps and enhance coordination efforts: Community Development Commission (CDC), Community Advancement Network (CAN), Community Housing Development Organizations (CHDOs), Austin Housing Coalition, Ending Community Homelessness Coalition (ECHO), Housing Authority of the City of Austin (HACA), Housing Authority of Travis County (HATC), HousingWorks, and the Urban Renewal Agency, as well as other key stakeholders and organizations. HPD remains engaged with housing finance agencies, the National Association of Local Housing Finance Agencies and the Texas Association of Local Housing Finance Agencies, to connect with other agencies whose missions address critical housing needs.

In FY 2019-20, HPD continued to reference opportunity mapping, which is a research tool used to understand the dynamics of opportunity within geographic areas. The purpose of opportunity mapping is to illustrate where opportunity-rich communities exist (and assess who has access to these communities) and to focus on areas of need in underserved or opportunity-poor communities. Key indicators include housing stability, education, mobility, economic security, and health and well-being. Staff utilizes the Opportunity360 metrics to further the City's housing and community development goals to help create more housing choices in areas of high opportunity.

Identify actions taken to overcome the effects of any impediments identified in the jurisdictions analysis of impediments to fair housing choice. 91.520(a)

Barrier: City and County capacity for addressing fair housing challenges is limited.

Action: In order to maximize the limited housing dollars available, the City has leveraged federal dollars with non-federal resources such as Local General Obligation Bonds and Housing Trust Fund dollars to fund housing programs. In addition, Austin may provide developers with incentives-such as fee waivers, density bonuses, tax incentives, and development agreements-to build and to set aside rental and ownership housing for low-and moderate-income households in developments. S.M.A.R.T. Housing is designed to stimulate the production of affordable housing that is Safe, Mixed-Income, Accessible, Reasonably Priced, and Transit Oriented (i.e.

S.M.A.R.T.) Eligible S.M.A.R.T. Housing projects may receive development fee waivers if the projects meet the Program requirements. Density Bonus programs such as the Downtown Density Bonus, University Overlay, Transit Oriented Development, East Riverside Corridor, and North Burnet Gateway, allow developers to build more units than are allowed by a site's base zoning if the developer agrees to set aside a portion of units for income-restricted affordable housing or in some cases pay a fee-in-lieu of providing affordable housing. The City also has development incentive programs which produce affordable units and/or fee-in lieu.

Action: The City assembled a pool of funding to establish an Equity Mini Grant Fund. The Fund provided flexible resources for local, community-based organizations to seed projects that are focused on eliminating structural barriers and/or improving the quality of life for the City's most vulnerable populations.

Barrier: The harm caused by segregation is manifested in disproportionate housing needs and differences in economic opportunity.

Action: The City of Austin followed a balanced approach to advancing fair housing. The City made substantial housing, infrastructure, and service investments in under-resourced neighborhoods and facilitates the construction and preservation of affordable housing opportunities in amenity-rich neighborhoods. Together, such investments are designed to empower Austinites with realistic choices to live in thriving, integrated neighborhoods and to ensure that no one is deprived of access to fundamental resources because of their race, ethnicity, disability, religion, or other protected characteristic.

Barrier: State regulations, zoning and land use limit housing choice.

Action: At the direction of the City Council, the City Manager initiated a new process leading to a Land Development Code that achieves the goals set out in the City as outlined in the Imagine Austin Comprehensive Plan, the Strategic Housing Blueprint, the Watershed Protection Master Plan and the Austin Strategic Direction 2023 Plan. The process is on hold at present.

Barrier: Affordable rental options in the region are increasingly limited.

Action: The City funded Tenant Based Rental Assistance and Rental Housing Development Programs to provide affordable rental housing. In addition, the City has developed an Affordable Housing Online Search Tool (AHOST) to help households find affordable housing based on income restrictions. Austin also has an Affordable Housing Inventory, a dataset of income-restricted affordable housing funded and/or incentivized by the City and/or the Austin Housing Finance Corporation. This inventory provides locations, contact information and income restrictions on certain affordable housing.

Barrier: Stricter rental policies further limit options.

Action: Austin funded a Tenant Based Rental Assistance (TBRA) Program with the Housing Authority of the City of Austin (HACA). HACA vigorously recruits landlords to participate in the TBRA program; targeting landlords who own properties in high-opportunity areas.

Barrier: Disparities in the ability to access homeownership.

Action: The City of Austin offered a variety of programs and partnerships with area homebuilders and nonprofit agencies to help eligible Austinites achieve home ownership. Programs include Homeownership Education and Down Payment Assistance Loans. The City utilized community land trusts and a shared equity model to provide opportunities for substantial financial assistance to low-and moderate-income households to purchase a home. It also provides a mechanism for the community to recapture a portion of the equity at resale for use for another low-to moderate income homebuyer. Austin has links to several websites which provide information

on mortgage fraud protection and provide information on how to file a complaint if homeowners believe they have been a victim of mortgage fraud or are experiencing trouble with their mortgage.

In addition, the City's Equal Opportunity and Fair Housing Office mission is to investigate charges of discrimination and harassment, and to protect the health and safety of all Austinites. Through this Office, multiple education opportunities on the importance of economic, racial and ethnic diversity were facilitated through such platforms as the UT Opportunity Forums, City of Austin law department presentations to Council, and community engagement meetings.

CR-40 - Monitoring 91.220 and 91.230

Describe the standards and procedures used to monitor activities carried out in furtherance of the plan and used to ensure long-term compliance with requirements of the programs involved, including minority business outreach and the comprehensive planning requirements

The goal of the City of Austin's monitoring process is to assess subrecipient/contractor performance for all CPD-funded activities, including Section 108, in the areas of program, financial and administrative compliance with applicable federal, state and municipal regulations and current program guidelines. The City of Austin's monitoring plan consists of monitoring of active contracts and long-term monitoring for completed projects.

Minority Business Outreach

The City of Austin Small and Minority Business Resources Department (SMBR) administers the Minority-Owned and Women-Owned Business Enterprise (MBE/WBE) Procurement Program, which was established by the Austin City Council in 1987. SMBR also administers a federally-funded Disadvantaged Business Enterprise (DBE) procurement program. SMBR is responsible for the implementation of the certification process for the City of Austin and for ensuring that only firms that meet the eligibility criteria are certified as MBEs or WBEs in compliance with City of Austin Ordinances. The MBE/WBE program encourages the participation of minorities and women on City contracts by establishing procurement goals on City contracts (which excludes social service contracts) above the City Manager's spending authority. Goals for MBE, WBE, and DBE participation differ from contract to contract, based on the type of contract, the availability of MBEs, WBEs and DBEs to perform the functions of the contract, and other factors. Minority goals may be either aggregate MBE/WBE goals or race-specific goals depending on the project. SMBR provides development opportunities and resources so that small and minority business enterprises can have affirmative access to city procurement opportunities and succeed in their efforts to grow. For more information about the SMBR Office, please visit their website at: www.austintexas.gov/departments/small-and-minority-business.

Citizen Participation Plan 91.105(d); 91.115(d)

Describe the efforts to provide citizens with reasonable notice and an opportunity to comment on performance reports.

The City of Austin's Citizen Participation Plan (CPP) requires that the CAPER be made available for 15 days for public comment. The public comment period for this report ran from February 24 - March 11, 2021. The CAPER was made available online at Austin's Speak Up website due to COVID-19 and the closure of many public facilities as per the process outlined in the CPP. There were no public comments on the CAPER, except for a blank response submitted on the Speak Up Austin survey. In attachment 4, the public notice is displayed and the blank comment appears as the single response.

City virtual resources are accessible to persons with disabilities. The survey was available in Spanish and in English.

The City of Austin's Citizen Participation Plan also includes an Assessment of Fair Housing clause. The plan is featured in Attachment 4 of this document.

CR-45 - CDBG 91.520(c)

Specify the nature of, and reasons for, any changes in the jurisdiction's program objectives and indications of how the jurisdiction would change its programs as a result of its experiences.

The Community Development Block Grant (CDBG) Program is authorized under Title I of the Housing and Community Development Act of 1974 as amended. The primary objective of CDBG is the development of viable communities by providing decent housing, providing a suitable living environment, and expanding economic opportunities. To achieve these goals, any activity funded with CDBG must benefit low- and moderate-income persons, aid in the prevention of slums or blight, or meet a particular urgent need.

No substantial changes were made to program objectives outlined in the Fiscal Year 2019-20 Action Plan.

Does this Jurisdiction have any open Brownfields Economic Development Initiative (BEDI) grants?

No¹

[BEDI grantees] Describe accomplishments and program outcomes during the last year.

Does the grantee have an existing Section 108 guaranteed loan?

For a report of FY 2019-20 accomplishments and program income for the Family Business Loan Program, Section 108 guaranteed loan, see the *Section 108-Description and Accomplishments* Table in CR-05.

¹ According to HUD's [BEDI Funding Announcements](#), no BEDI funds have been appropriated since FY 2010.

CR-50 - HOME 91.520(d)

Include the results of on-site inspections of affordable rental housing assisted under the program to determine compliance with housing codes and other applicable regulations. Please list those projects that should have been inspected on-site this program year based upon the schedule in §92.504(d). Indicate which of these were inspected and a summary of issues that were detected during the inspection. For those that were not inspected, please indicate the reason and how you will remedy the situation.

During the Fiscal Year 2019-20, 112 affordable housing units from 10 HOME funded Rental Housing Development Assistance projects required a physical inspection. 95 units from 9 of those projects received an on-site physical inspection and are compliant, while 17 units from one project were non-compliant and awaiting re-inspection results. Common issues addressed during these inspections were inoperable smoke detectors – missing batteries or broken, cracked/broken switch and outlet covers, cracked windows and incidences of various exterior damage. With the exception of the one project awaiting re-inspection results, each issue was remedied within the prescribed time frame, re-inspected, and determined to be in compliance.

During the Fiscal Year 2019-20, 112 affordable housing units from 10 HOME funded Rental Housing Development Assistance projects required a file review. All units received a file review and are compliant. See attachment 5b for the detailed inspection plan.

Provide an assessment of the jurisdiction's affirmative marketing actions for HOME units. 92.351(b)

As a recipient of federal funds, the City of Austin must adopt affirmative marketing procedures and requirements for rental and homebuyer projects containing five or more HOME-assisted housing units. Affirmative marketing steps consist of actions that provide information and otherwise attract eligible persons in the housing market area to the available housing without regard to race, color, national origin, sex, religion, familial status or disability. HPD's efforts to affirmatively market its housing programs aim to ensure that eligible households have ample access and opportunity to participate in programs and services that use federal funds. The department is piloting a preference policy in response to Council Resolution No. 20180308-010, which directed the creation of a preference policy that focused on generational ties to the city and household size. In FY 2019-20, Homebuyer, Renter Assistance, and Homeowner Assistance programs and services were marketed to residents in low- to moderate-income neighborhoods and those with limited English proficiency. HPD participated in numerous events throughout Austin promoting programs and services. Marketing efforts in FY 2019-20 were conducted through English and Spanish media outlets. HPD also has certified bilingual employees on staff to assist clients with limited English proficiency. In addition, the [Housing and Planning Department](#) website continues to play a vital role in targeting information to the public and ensuring program information reaches a broad audience through its translation feature available on all pages.

Refer to IDIS reports to describe the amount and use of program income for projects, including the number of projects and owner and tenant characteristics.

**Sources and Uses of HOME Program Income
Program Year 2019**

Sources of Program Income:		
Beginning Balance HOME Program Income	\$496,182.45	
	Amount	
Down Payment Assistance Loans Repaid	\$796,285.13	
Down Payment Assistance Loans Recapture	\$130,611.00	
First-time Homebuyer Loans Repaid	\$0.00	
First-time Homebuyer Loans Recapture	\$0.00	
Home Rehabilitation Loan Program Repaid	\$47,428.29	
Home Rehabilitation Loan Program Recapture	\$234,440.72	
Rental Housing Dev. Assistance Repaid	\$3,251.00	
Total	\$1,212,016.14	
Uses of Program Income	Amount	# Project
First-time Homebuyer Loans	\$54,107.79	24
Tenant-Based Renter Assistance	\$641,145.85	13, 31
Rental Housing Development Assistance	\$12,991.46	23
CHDO Rental Housing Development Assistance	\$22,455.47	20
Home Rehabilitation Loan Program	-	0
Down Payment Assistance	\$890,621.68	16
Administration	\$84,605.34	28
Total	\$1,705,927.59	

Sources and Uses of HOME Program Income

Describe other actions taken to foster and maintain affordable housing. 91.220(k) (STATES ONLY: Including the coordination of LIHTC with the development of affordable housing). 91.320(j)

During FY 2019-20, the City of Austin continued to implement the Strategic Housing Blueprint that outlines strategies to build and preserve affordable housing for a range of incomes throughout the City, as envisioned in the Imagine Austin Comprehensive Plan. The plan aligns resources, ensure a unified strategic direction, and help facilitate community partnerships to achieve this shared vision. It recommends new funding mechanisms, regulatory changes, and other approaches to achieve housing goals. These goals can be realized through a range of strategies addressing the following issues:

- Prevent Households from Being Priced Out of Austin – Preserve communities and combat gentrification through legislative changes, local policies, programs and targeted investments.
- Foster Equitable, Integrated, and Diverse Communities – Promote strategic investments and create protections for low-income households and people of color to address racial integration in housing.
- Invest in Housing for Those Most in Need – Adopt a balanced approach to provide affordable housing resources for low-income workers, seniors, people with disabilities and the thousands of people experiencing homelessness.
- Create New and Affordable Housing Choices for All Austinites in All Parts of Austin –harness new development to create affordable homes and diversify housing choices for current and future residents.
- Help Austinites Reduce their Household Costs – Encourage development in a compact and connected manner so households of all incomes have access to a range of affordable housing and transportation options, and can easily access jobs, basic needs, health care, educational opportunities, and public services. Other household expenses such as healthcare costs, utilities, food and telecommunications must also be considered.

Concurrently, the City continued to prioritize resources to build and preserve affordable housing through its Ownership Housing Development Program (OHDA), Rental Housing Developer Assistance (RHDA), and home repair programs that benefit low- and moderate-income households. Staff also coordinated with Community Housing Development Organizations (CHDOs) through the Austin Housing Coalition to increase opportunities to foster and maintain affordable housing.

CR-55 - HOPWA 91.520(e)

Identify the number of individuals assisted and the types of assistance provided.

Table for report on the one-year goals for the number of households provided housing through the use of HOPWA activities.

Number of Households Served Through:	One-year Goal	Actual
Short-term rent, mortgage, and utility assistance to prevent homelessness of the individual or family	90	113
Tenant-based rental assistance	80	51
Units provided in permanent housing facilities developed, leased, or operated with HOPWA funds	30	30
Units provided in transitional short-term housing facilities developed, leased, or operated with HOPWA funds (Hotel/Motel Assistance and Master Leasing, comprise this goal)	92	127
Total	292	321

Table 10 – HOPWA Number of Households Served

Short-Term Rent, Mortgage, and Utility (STRMU) Assistance Payments provided short-term assistance to prevent homelessness of the tenant or homeowner. It helped maintain a stable living environment for the households who experienced financial crisis and possible loss of their housing arrangement. The STRMU program exceeded the goal in part due to the increased need for assistance. Of the total households assisted, 90% received assistance with rent payments.

Tenant-Based Rental Assistance (TBRA) provided rent, mortgage and utility assistance to meet urgent needs of eligible persons with HIV/AIDS and their families. The goal is to prevent homelessness and to support independent living of persons with HIV/AIDS who access the program through HIV case management. The TBRA program did not meet the annual production goal in part due to a large number of households that continued to apply for TBRA assistance. TBRA clients are referred to the program through the Coordinated Assessment program and selected based on vulnerability and eligibility. Clients enrolled in the program face multiple barriers and have proved difficult to house independently given the high occupancy rate and shortage of affordable housing in Austin.

Hotel/Motel Assistance (H/M) provided up to 60 days of short term emergency shelter to homeless families or individuals living with HIV/AIDS. This helps the client stabilize and allows for the opportunity to develop an individualized housing and service plan to guide the client's linkage to permanent housing. H/M exceeded its annual production goal for FY 19-20 in part due to the need to house clients who are immunocompromised during the COVID-19 pandemic.

Facility Based Housing (FBH) provided services for people living with HIV/AIDS and their families across two properties with 30 apartments. FBH did not meet its program goal due to the planned demolition of the larger housing facility. The project sponsor halted new entries into the program in anticipation of moving clients into Master Leasing until the construction is completed on the new facility.

Master Leasing (ML) provided housing assistance for residents of the facility based housing program during demolition of the old facility and construction of the new facility. ML met its annual production goal for FY 19-20.

CR-60 - ESG 91.520(g) (ESG Recipients only)

ESG Supplement to the CAPER in *e-snaps* For Paperwork Reduction Act

Please note that Section CR-60 in IDIS does not permit data entry of recipient or subrecipient information. As such, the City of Austin attached this information separately. The source of this information is Austin Public Health.

1. Recipient Information—All Recipients Complete Basic Grant Information

Recipient Name: AUSTIN

Organizational DUNS Number 942230764 **EIN/TIN Number** 746000085

Identify the Field Office: SAN ANTONIO

Identify CoC(s) in which the recipient or subrecipient(s) will provide ESG assistance:

ESG Contact Name:

First Name: Neil

Middle Name: M.

Last Name: Hackett

Title: APH Program Coordinator

ESG Contact Address

Street Address 1: PO Box 1088

Street Address 2:

City: Austin

State: TX

ZIP Code 78767

Phone Number: 512-972-5026

Fax Number: 512-972-5025

Email Address: Neil.Hackett@austintexas.gov

ESG Secondary Contact Prefix:

First Name: Leslie

Last Name: Boyd

Title: Grants Program Manager

Phone Number: 512-972-5036

Email Address: Leslie.boyd@austintexas.gov

2. Reporting Period—All Recipients Complete Program Year Start Date 10/01/2019

Program Year End Date 09/30/2020

3a. Subrecipient Form – Complete one form for each subrecipient

Subrecipient or Contractor Name: Front Steps, Inc.

City: Austin

State: Texas

Zip Code: 78701

DUNS Number 071056936

Is subrecipient a VAWA-DV provider No

Subrecipient Organization Type Non-Profit
ESG Subgrant or Contract Award Amount FY 19-20 \$656,597

Subrecipient or Contractor Name:
City of Austin Downtown Austin Community Court
City: Austin
State: Texas
Zip Code: 78701
DUNS Number
Is subrecipient a VAWA-DV provider No
Subrecipient Organization Type Municipal Government
ESG Subgrant or Contract Award Amount FY 19-20 \$134,200

Subrecipient or Contractor Name
Austin Public Health, Communicable Disease Unit
City: Austin
State: Texas
Zip Code: 78701
DUNS Number 945607265
Is subrecipient a VAWA-DV provider No
Subrecipient Organization Type Municipal Government
ESG Subgrant or Contract Award Amount FY 19-20 \$69,700

CR-65 - Persons Assisted

Note Regarding Sage

HUD released updated CAPER requirements for the ESG Program in 2017. HUD now requires ESG recipients to report aggregated program information at the subrecipient level using the newly-developed ESG reporting repository system called Sage. The information in Sage is sourced from the Homeless Management Information System (HMIS), and produces statistical information on clients served by projects funded with ESG. The Sage report replaces section CR-65 and is featured in Attachment 1.

4. Persons Served

4a. Complete for Homelessness Prevention Activities

*No ESG expenditures are used for homelessness prevention activities.

Number of Persons in Households	Total
Adults	
Children	0
Don't Know/Refused/Other	0
Missing Information	0
Total	0

Table 11 – Household Information for Homeless Prevention Activities

4b. Complete for Rapid Re-Housing Activities

Number of Persons in Households	Total
Adults	105
Children	0
Don't Know/Refused/Other	0
Missing Information	0
Total	0

Table 12 – Household Information for Rapid Re-Housing Activities

4c. Complete for Shelter

Number of Persons in Households	Total
Adults	416
Children	0
Don't Know/Refused/Other	0
Missing Information	0
Total	0

Table 13 – Shelter Information

4d. Street Outreach

Number of Persons in Households	Total
Adults	0
Children	0
Don't Know/Refused/Other	0
Missing Information	0
Total	0

Table 14 – Household Information for Street Outreach

4e. Totals for all Persons Served with ESG

Number of Persons in Households	Total
Adults	521
Children	0
Don't Know/Refused/Other	0
Missing Information	0
Total	521

Table 15 – Household Information for Persons Served with ESG

5. Gender—Complete for All Activities

	Total
Male	512
Female	7
Transgender	2
Don't Know/Refused/Other	0
Missing Information	0
Total	521

Table 16 - Gender Information

6. Age—Complete for All Activities

	Total
Under 18	0
18-24	19
25 and over	502
Don't Know/Refused/Other	0
Missing Information	0
Total	521

Table 17 – Age Information

7. Special Populations Served—Complete for All Activities

*No ESG expenditures are used for homelessness prevention activities.

Number of Persons in Households

Subpopulation	Total	Total Persons Served Prevention*	Total Persons Served RRH	Total Persons Served in Emergency Shelters
Veterans	46	0	6	40
Victims of Domestic Violence	72	0	11	61
Elderly	175	0	12	163
HIV/AIDS	51	0	40	11
Chronically Homeless	251	0	59	192
Persons with Disabilities:				
Severely Mentally Ill	235	0	56	179
Chronic Substance Abuse	176	0	47	129
Other Disability	468	0	80	388
Total (Unduplicated if possible)				

Table 18– Special Population Served

CR-70 – ESG 91.520(g) - Assistance Provided and Outcomes

8. Shelter Utilization

Number of New Units - Rehabbed	0
Number of New Units - Conversion	0
Total Number of bed-nights available	83,950
Total Number of bed-nights provided	55,915
Capacity Utilization	66.6%

Table 19 – Shelter Capacity

9. Project Outcomes Data measured under the performance standards developed in consultation with the CoC(s)

ESG Shelter Operations Performance Outcome FY 2019-2020

Outcome #1:	ACCOMPLISHMENTS
NUMERATOR: Number of case-managed households that transition from homelessness into housing	97
DENOMINATOR: Number of households that exit the program	1757
OUTCOME RATE: Percent of case-managed households that transition from homelessness into housing who reside at the Austin Resource Center for the Homeless	55.43%

ESG Rapid Rehousing Program Rollup FY 2019-2020

NUMERATOR: Number of unduplicated clients who obtain safe and stable housing as a result of participating in Rapid Rehousing services (numerator)	ACCOMPLISHMENTS
Communicable Disease Unit	19
Downtown Austin Community Court	12
Front Steps	66
Subtotal	97

DENOMINATOR: Number of unduplicated clients who participate in Rapid Rehousing services	ACCOMPLISHMENTS
Communicable Disease Unit	29
Downtown Austin Community Court	17
Front Steps	129
Subtotal	175
Percentage of unduplicated clients who obtain safe and stable housing as a result of participating in Rapid Rehousing services (outcome rate)	55.43%

CR-75 – Expenditures

10. Expenditures

11a. ESG Expenditures for Homelessness Prevention

*No ESG expenditures are used for homelessness prevention activities.

	Dollar Amount of Expenditures in Program Year		
	2017	2018	2019
Expenditures for Rental Assistance	0	0	0
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	0	0	0
Expenditures for Housing Relocation & Stabilization Services - Services	0	0	0
Expenditures for Homeless Prevention under Emergency Shelter Grants Program	0	0	0
Subtotal Homelessness Prevention	0	0	0

11b. ESG Expenditures for Rapid Re-Housing

	Dollar Amount of Expenditures in Program Year		
	2017	2018	2019
Expenditures for Rental Assistance	\$0	\$57,424.55	\$72,043.98
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	\$0	\$1,626.94	\$1,955.87
Expenditures for Housing Relocation & Stabilization Services - Services	\$0	\$51,710.51	\$165,896.97
Expenditures for Homeless Assistance under Emergency Shelter Grants Program	\$0	0	0
Subtotal Rapid Re-Housing	\$0	\$110,762	\$239,896.82

11c. ESG Expenditures for Emergency Shelter

	Dollar Amount of Expenditures in Program Year		
	2017	2019	2019
Essential Services	\$0	0	0
Operations	\$0	\$34,297	\$285,446
Renovation	\$0	0	0
Major Rehab	\$0	0	0
Conversion	\$0	0	0
Subtotal	\$0	\$34,297	\$285,446

11d. Other Grant Expenditures

	Dollar Amount of Expenditures in Program Year		
	2017	2018	2019
Street Outreach	\$0	0	0
HMIS	\$0	\$18,432.64	\$27,084
Administration	\$0	\$24,217.46	\$11,130.18

11e. Total ESG Grant Funds

Total ESG Funds Expended	2017	2018	2019
\$1,418,996.33	\$0	\$187,709.59	\$563,557.30

11f. Match Source

	2017	2018	2019
Other Non-ESG HUD Funds	\$0	\$0	\$0
Other Federal Funds	\$0	\$0	\$0
State Government	\$0	\$0	\$0
Local Government	\$0	\$187,709.59	\$563,557.30
Private Funds	\$0	\$0	\$0
Other	\$0	\$0	\$0
Fees	\$0	\$0	\$0
Program Income	\$0	\$0	\$0
Total Match Amount	\$0	\$187,709.59	\$563,557.30

10g. Total

Total Amount of Funds Expended on ESG Activities	2017	2018	2019
\$2,754,013	\$0	\$375,419.18	\$1,127,114.60

Attachment 1: ESG Program Report (Sage) and Subrecipient Information



HUD ESG CAPER FY2020

Grant: **ESG: Austin - TX - Report** Type: **CAPER**

Report Date Range

10/1/2019 to 9/30/2020

Q01a. Contact Information

First name	Neil
Middle name	
Last name	Hackett
Suffix	Jr
Title	Program Coordinator
Street Address 1	7201 Levander Loop
Street Address 2	Building H
City	Austin
State	Texas
ZIP Code	78702
E-mail Address	Neil.Hackett@austintexas.gov
Phone Number	(512)972-5026
Extension	
Fax Number	()-

Q01b. Grant Information

As of 12/11/2020

Fiscal Year	Grant Number	Current Authorized Amount	Total Drawn	Balance	Obligation Date	Expenditure Deadline
2020	E20MC480500	\$682,911.00	\$0	\$682,911.00	9/21/2020	9/21/2022
2019	E19MC480500	\$669,980.00	\$634,391.96	\$35,588.04	9/4/2019	9/4/2021
2018	E18MC480500	\$647,777.00	\$645,587.39	\$2,189.61	10/3/2018	10/3/2020
2017	E17MC480500	\$886,287.00	\$886,287.00	\$0	9/22/2017	9/22/2019
2016	E16MC480500	\$637,196.00	\$637,196.00	\$0	9/29/2016	9/29/2018
2015	E15MC480500	\$622,474.00	\$622,474.00	\$0	9/30/2015	9/30/2017
2014	E14MC480500	\$583,706.00	\$583,706.00	\$0	9/24/2014	9/24/2016
2013	E13MC480500	\$488,262.00	\$488,262.00	\$0	9/30/2013	9/30/2015
2012						
2011						
Total		\$5,218,593.00	\$4,497,904.35	\$720,688.65		

ESG Information from IDIS

CAPER reporting includes funds used from fiscal year:	
	2018, 2019
Project types carried out during the program year	
Enter the number of each type of projects funded through ESG during this program year.	
Street Outreach	0
Emergency Shelter	1
Transitional Housing (grandfathered under ES)	0
Day Shelter (funded under ES)	0
Rapid Re-Housing	3
Homelessness Prevention	0

Q01c. Additional Information

HMIS	
Comparable Database	
Are 100% of the project(s) funded through ESG, which are allowed to use HMIS, entering data into HMIS?	Yes
Have all of the projects entered data into Sage via a CSV - CAPER Report upload?	Yes
Are 100% of the project(s) funded through ESG, which are allowed to use a comparable database, entering data into the comparable database?	Yes
Have all of the projects entered data into Sage via a CSV - CAPER Report upload?	Yes

Q04a: Project Identifiers in HMIS

Organization Name	Organization ID	Project Name	Project ID	HMIS Project Type	Method for Tracking ES	Affiliated with a residential project	Project IDs of affiliations	CoC Number	Geocode	Victim Service Provider	HMIS Software Name	Report Start Date	Report End Date	CSV Exception?	Uploaded via emailed hyperlink?
City of Austin Health and Human Services	4032	HHSC - ESG RRH	9324	13				TX-503	480264	0	ServicePoint	2019-10-01	2020-09-30	No	Yes
DACC	3984	DACC ESG RRH	9323	13				TX-503	480264	0	ServicePoint	2019-10-01	2020-09-30	No	Yes
FRONT STEPS	146	Front Steps - RRH	9322	13				TX-503	480264	0	ServicePoint	2019-10-01	2020-09-30	No	Yes
FRONT STEPS	146	Front Steps - Emergency Night Shelter	9238	1	0			TX-503	480264	0	ServicePoint	2019-10-01	2020-09-30	No	Yes

Q05a: Report Validations Table

Total Number of Persons Served	517
Number of Adults (Age 18 or Over)	515
Number of Children (Under Age 18)	1
Number of Persons with Unknown Age	1
Number of Leavers	417
Number of Adult Leavers	415
Number of Adult and Head of Household Leavers	416
Number of Stayers	100
Number of Adult Stayers	100
Number of Veterans	47
Number of Chronically Homeless Persons	251
Number of Youth Under Age 25	12
Number of Parenting Youth Under Age 25 with Children	0
Number of Adult Heads of Household	514
Number of Child and Unknown-Age Heads of Household	1
Heads of Households and Adult Stayers in the Project 365 Days or More	38

Q06a: Data Quality: Personally Identifying Information (PII)

Data Element	Client Doesn't Know/Refused	Information Missing	Data Issues	Total	% of Error Rate
Name	0	1	1	2	0.39 %
Social Security Number	16	1	15	32	6.19 %
Date of Birth	0	1	0	1	0.19 %
Race	13	2	0	15	2.90 %
Ethnicity	2	1	0	3	0.58 %
Gender	0	1	0	1	0.19 %
Overall Score				47	9.09 %

Q06b: Data Quality: Universal Data Elements

	Error Count	% of Error Rate
Veteran Status	1	0.19 %
Project Start Date	0	0.00 %
Relationship to Head of Household	3	0.58 %
Client Location	1	0.19 %
Disabling Condition	61	11.80 %

Q06c: Data Quality: Income and Housing Data Quality

	Error Count	% of Error Rate
Destination	218	52.28 %
Income and Sources at Start	74	14.37 %
Income and Sources at Annual Assessment	35	92.11 %
Income and Sources at Exit	50	12.02 %

Q06d: Data Quality: Chronic Homelessness

	Count of Total Records	Missing Time in Institution	Missing Time in Housing	Approximate Date Started DK/R/missing	Number of Times DK/R/missing	Number of Months DK/R/missing	% of Records Unable to Calculate
ES, SH, Street Outreach	406	0	0	45	23	30	13.00 %
TH	0	0	0	0	0	0	--
PH (All)	103	0	0	0	0	1	1.09 %
Total	509	0	0	0	0	0	10.59 %

Q06e: Data Quality: Timeliness

	Number of Project Start Records	Number of Project Exit Records
0 days	194	44
1-3 Days	48	16
4-6 Days	60	8
7-10 Days	17	8
11+ Days	20	341

Q06f: Data Quality: Inactive Records: Street Outreach & Emergency Shelter

	# of Records	# of Inactive Records	% of Inactive Records
Contact (Adults and Heads of Household in Street Outreach or ES - NBN)	0	0	--
Bed Night (All Clients in ES - NBN)	0	0	--

Q07a: Number of Persons Served

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Adults	515	513	2	0	0
Children	1	0	1	0	0
Client Doesn't Know/ Client Refused	0	0	0	0	0
Data Not Collected	1	0	0	0	1
Total	517	513	3	0	1
For PSH & RRH – the total persons served who moved into housing	52	52	0	0	0

Q08a: Households Served

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Total Households	515	513	1	0	1
For PSH & RRH – the total households served who moved into housing	52	52	0	0	0

Q08b: Point-in-Time Count of Households on the Last Wednesday

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
January	129	129	0	0	0
April	84	84	0	0	0
July	71	71	0	0	0
October	171	171	0	0	0

Q09a: Number of Persons Contacted

	All Persons Contacted	First contact – NOT staying on the Streets, ES, or SH	First contact – WAS staying on Streets, ES, or SH	First contact – Worker unable to determine
Once	0	0	0	0
2-5 Times	0	0	0	0
6-9 Times	0	0	0	0
10+ Times	0	0	0	0
Total Persons Contacted	0	0	0	0

Q09b: Number of Persons Engaged

	All Persons Contacted	First contact – NOT staying on the Streets, ES, or SH	First contact – WAS staying on Streets, ES, or SH	First contact – Worker unable to determine
Once	0	0	0	0
2-5 Contacts	0	0	0	0
6-9 Contacts	0	0	0	0
10+ Contacts	0	0	0	0
Total Persons Engaged	0	0	0	0
Rate of Engagement	0.00	0.00	0.00	0.00

Q10a: Gender of Adults

	Total	Without Children	With Children and Adults	Unknown Household Type
Male	505	504	1	0
Female	8	7	1	0
Trans Female (MTF or Male to Female)	2	2	0	0
Trans Male (FTM or Female to Male)	0	0	0	0
Gender Non-Conforming (i.e. not exclusively male or female)	0	0	0	0
Client Doesn't Know/Client Refused	0	0	0	0
Data Not Collected	0	0	0	0
Subtotal	515	513	2	0

Q10b: Gender of Children

	Total	With Children and Adults	With Only Children	Unknown Household Type
Male	1	1	0	0
Female	0	0	0	0
Trans Female (MTF or Male to Female)	0	0	0	0
Trans Male (FTM or Female to Male)	0	0	0	0
Gender Non-Conforming (i.e. not exclusively male or female)	0	0	0	0
Client Doesn't Know/Client Refused	0	0	0	0
Data Not Collected	0	0	0	0
Subtotal	1	1	0	0

Q10c: Gender of Persons Missing Age Information

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Male	0	0	0	0	0
Female	0	0	0	0	0
Trans Female (MTF or Male to Female)	0	0	0	0	0
Trans Male (FTM or Female to Male)	0	0	0	0	0
Gender Non-Conforming (i.e. not exclusively male or female)	0	0	0	0	0
Client Doesn't Know/Client Refused	0	0	0	0	0
Data Not Collected	1	0	0	0	1
Subtotal	1	0	0	0	1

Q10d: Gender by Age Ranges

	Total	Under Age 18	Age 18-24	Age 25-61	Age 62 and over	Client Doesn't Know/ Client Refused	Data Not Collected
Male	506	1	12	417	76	0	0
Female	8	0	0	7	1	0	0
Trans Female (MTF or Male to Female)	2	0	0	2	0	0	0
Trans Male (FTM or Female to Male)	0	0	0	0	0	0	0
Gender Non-Conforming (i.e. not exclusively male or female)	0	0	0	0	0	0	0
Client Doesn't Know/Client Refused	0	0	0	0	0	0	0
Data Not Collected	1	0	0	0	0	0	1
Subtotal	517	1	12	426	77	0	1

Q11: Age

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Under 5	0	0	0	0	0
5 - 12	0	0	0	0	0
13 - 17	1	0	1	0	0
18 - 24	12	12	0	0	0
25 - 34	72	72	0	0	0
35 - 44	88	86	2	0	0
45 - 54	147	147	0	0	0
55 - 61	119	119	0	0	0
62+	77	77	0	0	0
Client Doesn't Know/Client Refused	0	0	0	0	0
Data Not Collected	1	0	0	0	1
Total	517	513	3	0	1

Q12a: Race

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
White	254	252	2	0	0
Black or African American	203	202	1	0	0
Asian	1	1	0	0	0
American Indian or Alaska Native	7	7	0	0	0
Native Hawaiian or Other Pacific Islander	1	1	0	0	0
Multiple Races	34	34	0	0	0
Client Doesn't Know/Client Refused	15	15	0	0	0
Data Not Collected	2	1	0	0	1
Total	517	513	3	0	1

Q12b: Ethnicity

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Non-Hispanic/Non-Latino	392	390	2	0	0
Hispanic/Latino	122	121	1	0	0
Client Doesn't Know/Client Refused	2	2	0	0	0
Data Not Collected	1	0	0	0	1
Total	517	513	3	0	1

Q13a1: Physical and Mental Health Conditions at Start

	Total Persons	Without Children	Adults in HH with Children & Adults	Children in HH with Children & Adults	With Children and Adults ☹	With Only Children	Unknown Household Type
Mental Health Problem	259	257	2	0	--	0	0
Alcohol Abuse	46	46	0	0	--	0	0
Drug Abuse	78	76	2	0	--	0	0
Both Alcohol and Drug Abuse	73	73	0	0	--	0	0
Chronic Health Condition	235	233	2	0	--	0	0
HIV/AIDS	49	48	1	0	--	0	0
Developmental Disability	96	95	1	0	--	0	0
Physical Disability	223	222	1	0	--	0	0

☹ The "With Children and Adults" column is retired as of 10/1/2019 and replaced with the columns "Adults in HH with Children & Adults" and "Children in HH with Children & Adults".

Q13b1: Physical and Mental Health Conditions at Exit

	Total Persons	Without Children	Adults in HH with Children & Adults	Children in HH with Children & Adults	With Children and Adults ☹	With Only Children	Unknown Household Type
Mental Health Problem	224	223	1	0	--	0	0
Alcohol Abuse	38	38	0	0	--	0	0
Drug Abuse	59	58	1	0	--	0	0
Both Alcohol and Drug Abuse	60	60	0	0	--	0	0
Chronic Health Condition	197	196	1	0	--	0	0
HIV/AIDS	33	32	1	0	--	0	0
Developmental Disability	72	71	1	0	--	0	0
Physical Disability	193	192	1	0	--	0	0

☹ The "With Children and Adults" column is retired as of 10/1/2019 and replaced with the columns "Adults in HH with Children & Adults" and "Children in HH with Children & Adults".

Q13c1: Physical and Mental Health Conditions for Stayers

	Total Persons	Without Children	Adults in HH with Children & Adults	Children in HH with Children & Adults	With Children and Adults ☹	With Only Children	Unknown Household Type
Mental Health Problem	47	46	1	0	--	0	0
Alcohol Abuse	8	8	0	0	--	0	0
Drug Abuse	23	22	1	0	--	0	0
Both Alcohol and Drug Abuse	14	14	0	0	--	0	0
Chronic Health Condition	44	43	1	0	--	0	0
HIV/AIDS	16	16	0	0	--	0	0
Developmental Disability	20	20	0	0	--	0	0
Physical Disability	39	39	0	0	--	0	0

☹ The "With Children and Adults" column is retired as of 10/1/2019 and replaced with the columns "Adults in HH with Children & Adults" and "Children in HH with Children & Adults".

Q14a: Domestic Violence History

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Yes	98	96	2	0	0
No	412	412	0	0	0
Client Doesn't Know/Client Refused	3	3	0	0	0
Data Not Collected	3	2	0	0	1
Total	516	513	2	0	1

Q14b: Persons Fleeing Domestic Violence

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Yes	21	20	1	0	0
No	69	69	0	0	0
Client Doesn't Know/Client Refused	2	2	0	0	0
Data Not Collected	6	5	1	0	0
Total	98	96	2	0	0

Q15: Living Situation

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Homeless Situations	0	0	0	0	0
Emergency shelter, including hotel or motel paid for with emergency shelter voucher	168	168	0	0	0
Transitional housing for homeless persons (including homeless youth)	2	2	0	0	0
Place not meant for habitation	277	275	2	0	0
Safe Haven	0	0	0	0	0
Host Home (non-crisis)	0	0	0	0	0
Interim Housing ☞	0	0	0	0	0
Subtotal	447	445	2	0	0
Institutional Settings	0	0	0	0	0
Psychiatric hospital or other psychiatric facility	4	4	0	0	0
Substance abuse treatment facility or detox center	4	4	0	0	0
Hospital or other residential non-psychiatric medical facility	19	19	0	0	0
Jail, prison or juvenile detention facility	9	9	0	0	0
Foster care home or foster care group home	0	0	0	0	0
Long-term care facility or nursing home	0	0	0	0	0
Residential project or halfway house with no homeless criteria	1	1	0	0	0
Subtotal	37	37	0	0	0
Other Locations	0	0	0	0	0
Permanent housing (other than RRH) for formerly homeless persons	1	1	0	0	0
Owned by client, no ongoing housing subsidy	3	3	0	0	0
Owned by client, with ongoing housing subsidy	0	0	0	0	0
Rental by client, with RRH or equivalent subsidy	2	2	0	0	0
Rental by client, with HCV voucher (tenant or project based)	0	0	0	0	0
Rental by client in a public housing unit	0	0	0	0	0
Rental by client, no ongoing housing subsidy	2	2	0	0	0
Rental by client, with VASH subsidy	0	0	0	0	0
Rental by client with GPD TIP subsidy	2	2	0	0	0
Rental by client, with other housing subsidy	0	0	0	0	0
Hotel or motel paid for without emergency shelter voucher	9	9	0	0	0
Staying or living in a friend’s room, apartment or house	8	8	0	0	0
Staying or living in a family member’s room, apartment or house	2	2	0	0	0
Client Doesn’t Know/Client Refused	0	0	0	0	0
Data Not Collected	3	2	0	0	1
Subtotal	32	31	0	0	1
Total	516	513	2	0	1

☞ Interim housing is retired as of 10/1/2019.

Q16: Cash Income - Ranges

	Income at Start	Income at Latest Annual Assessment for Stayers	Income at Exit for Leavers
No income	292	3	197
\$1 - \$150	4	0	3
\$151 - \$250	4	0	4
\$251 - \$500	9	0	8
\$501 - \$1000	92	1	95
\$1,001 - \$1,500	38	0	32
\$1,501 - \$2,000	22	0	18
\$2,001+	12	0	21
Client Doesn't Know/Client Refused	3	0	1
Data Not Collected	40	1	36
Number of Adult Stayers Not Yet Required to Have an Annual Assessment	0	63	0
Number of Adult Stayers Without Required Annual Assessment	0	32	0
Total Adults	515	100	415

Q17: Cash Income - Sources

	Income at Start	Income at Latest Annual Assessment for Stayers	Income at Exit for Leavers
Earned Income	52	1	57
Unemployment Insurance	3	0	2
SSI	78	0	78
SSDI	49	0	45
VA Service-Connected Disability Compensation	5	0	5
VA Non-Service Connected Disability Pension	3	0	3
Private Disability Insurance	0	0	0
Worker's Compensation	0	0	0
TANF or Equivalent	0	0	0
General Assistance	0	0	0
Retirement (Social Security)	17	0	18
Pension from Former Job	2	0	2
Child Support	1	0	0
Alimony (Spousal Support)	1	0	1
Other Source	8	0	6
Adults with Income Information at Start and Annual Assessment/Exit	0	5	0

Q19b: Disabling Conditions and Income for Adults at Exit

	AO: Adult with Disabling Condition	AO: Adult without Disabling Condition	AO: Total Adults	AO: % with Disabling Condition by Source	AC: Adult with Disabling Condition	AC: Adult without Disabling Condition	AC: Total Adults	AC: % with Disabling Condition by Source	UK: Adult with Disabling Condition	UK: Adult without Disabling Condition	UK: Total Adults	UK: % with Disabling Condition by Source
Earned Income	39	19	58	66.90 %	0	0	0	--	0	0	0	--
Supplemental Security Income (SSI)	72	7	79	91.23 %	0	0	0	--	0	0	0	--
Social Security Disability Insurance (SSDI)	42	3	45	93.24 %	0	0	0	--	0	0	0	--
VA Service-Connected Disability Compensation	4	1	5	80.00 %	0	0	0	--	0	0	0	--
Private Disability Insurance	0	0	0	--	0	0	0	--	0	0	0	--
Worker's Compensation	0	0	0	--	0	0	0	--	0	0	0	--
Temporary Assistance for Needy Families (TANF)	0	0	0	--	0	0	0	--	0	0	0	--
Retirement Income from Social Security	16	2	18	89.33 %	0	0	0	--	0	0	0	--
Pension or retirement income from a former job	2	0	2	100.00 %	0	0	0	--	0	0	0	--
Child Support	0	0	0	--	0	0	0	--	0	0	0	--
Other source	9	4	13	69.54 %	0	0	0	--	0	0	0	--
No Sources	120	70	190	63.27 %	1	0	1	100.00 %	0	0	0	--
Unduplicated Total Adults	270	104	374		1	0	1		0	0	0	

Q20a: Type of Non-Cash Benefit Sources

	Benefit at Start	Benefit at Latest Annual Assessment for Stayers	Benefit at Exit for Leavers
Supplemental Nutritional Assistance Program	171	1	161
WIC	3	0	3
TANF Child Care Services	0	0	0
TANF Transportation Services	0	0	0
Other TANF-Funded Services	0	0	0
Other Source	3	0	5

Q21: Health Insurance

	At Start	At Annual Assessment for Stayers	At Exit for Leavers
Medicaid	89	0	83
Medicare	64	0	64
State Children's Health Insurance Program	0	0	0
VA Medical Services	23	0	24
Employer Provided Health Insurance	7	0	7
Health Insurance Through COBRA	0	0	0
Private Pay Health Insurance	0	0	0
State Health Insurance for Adults	0	0	0
Indian Health Services Program	0	0	0
Other	15	1	16
No Health Insurance	361	4	265
Client Doesn't Know/Client Refused	1	0	1
Data Not Collected	8	32	4
Number of Stayers Not Yet Required to Have an Annual Assessment	0	63	0
1 Source of Health Insurance	108	1	108
More than 1 Source of Health Insurance	42	0	41

Q22a2: Length of Participation – ESG Projects

	Total	Leavers	Stayers
0 to 7 days	144	134	10
8 to 14 days	23	21	2
15 to 21 days	20	18	2
22 to 30 days	29	21	8
31 to 60 days	38	30	8
61 to 90 days	18	18	0
91 to 180 days	59	50	9
181 to 365 days	94	70	24
366 to 730 days (1-2 Yrs)	73	40	33
731 to 1,095 days (2-3 Yrs)	4	3	1
1,096 to 1,460 days (3-4 Yrs)	12	12	0
1,461 to 1,825 days (4-5 Yrs)	3	0	3
More than 1,825 days (> 5 Yrs)	0	0	0
Data Not Collected	0	0	0
Total	517	417	100

Q22c: Length of Time between Project Start Date and Housing Move-in Date

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
7 days or less	1	1	0	0	0
8 to 14 days	0	0	0	0	0
15 to 21 days	0	0	0	0	0
22 to 30 days	2	2	0	0	0
31 to 60 days	4	4	0	0	0
61 to 180 days	10	10	0	0	0
181 to 365 days	9	9	0	0	0
366 to 730 days (1-2 Yrs)	1	1	0	0	0
Total (persons moved into housing)	27	27	0	0	0
Average length of time to housing	153.81	153.81	--	--	--
Persons who were exited without move-in	29	27	2	0	0
Total persons	56	54	2	0	0

Q22d: Length of Participation by Household Type

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
7 days or less	144	143	0	0	1
8 to 14 days	23	23	0	0	0
15 to 21 days	20	20	0	0	0
22 to 30 days	29	29	0	0	0
31 to 60 days	38	38	0	0	0
61 to 90 days	18	18	0	0	0
91 to 180 days	59	59	0	0	0
181 to 365 days	94	92	2	0	0
366 to 730 days (1-2 Yrs)	73	72	1	0	0
731 to 1,095 days (2-3 Yrs)	4	4	0	0	0
1,096 to 1,460 days (3-4 Yrs)	12	12	0	0	0
1,461 to 1,825 days (4-5 Yrs)	3	3	0	0	0
More than 1,825 days (> 5 Yrs)	0	0	0	0	0
Data Not Collected	0	0	0	0	0
Total	517	513	3	0	1

Q22e: Length of Time Prior to Housing - based on 3.917 Date Homelessness Started

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
7 days or less	46	46	0	0	0
8 to 14 days	9	9	0	0	0
15 to 21 days	7	7	0	0	0
22 to 30 days	0	0	0	0	0
31 to 60 days	15	15	0	0	0
61 to 180 days	59	59	0	0	0
181 to 365 days	50	50	0	0	0
366 to 730 days (1-2 Yrs)	77	77	0	0	0
731 days or more	155	155	0	0	0
Total (persons moved into housing)	418	418	0	0	0
Not yet moved into housing	52	49	3	0	0
Data not collected	47	46	0	0	1
Total persons	517	513	3	0	1

Q23c: Exit Destination – All persons

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Permanent Destinations	0	0	0	0	0
Moved from one HOPWA funded project to HOPWA PH	0	0	0	0	0
Owned by client, no ongoing housing subsidy	0	0	0	0	0
Owned by client, with ongoing housing subsidy	2	2	0	0	0
Rental by client, no ongoing housing subsidy	20	19	1	0	0
Rental by client, with VASH housing subsidy	2	2	0	0	0
Rental by client, with GPD TIP housing subsidy	0	0	0	0	0
Rental by client, with other ongoing housing subsidy	5	5	0	0	0
Permanent housing (other than RRH) for formerly homeless persons	7	7	0	0	0
Staying or living with family, permanent tenure	7	7	0	0	0
Staying or living with friends, permanent tenure	1	1	0	0	0
Rental by client, with RRH or equivalent subsidy	79	79	0	0	0
Rental by client, with HCV voucher (tenant or project based)	7	7	0	0	0
Rental by client in a public housing unit	0	0	0	0	0
Subtotal	130	129	1	0	0
Temporary Destinations	0	0	0	0	0
Emergency shelter, including hotel or motel paid for with emergency shelter voucher	9	9	0	0	0
Moved from one HOPWA funded project to HOPWA TH	0	0	0	0	0
Transitional housing for homeless persons (including homeless youth)	10	10	0	0	0
Staying or living with family, temporary tenure (e.g. room, apartment or house)	2	2	0	0	0
Staying or living with friends, temporary tenure (e.g. room, apartment or house)	4	4	0	0	0
Place not meant for habitation (e.g., a vehicle, an abandoned building, bus/train/subway station/airport or anywhere outside)	22	21	1	0	0
Safe Haven	1	1	0	0	0
Hotel or motel paid for without emergency shelter voucher	3	3	0	0	0
Host Home (non-crisis)	0	0	0	0	0
Subtotal	51	50	1	0	0
Institutional Settings	0	0	0	0	0
Foster care home or group foster care home	0	0	0	0	0
Psychiatric hospital or other psychiatric facility	0	0	0	0	0
Substance abuse treatment facility or detox center	1	1	0	0	0
Hospital or other residential non-psychiatric medical facility	0	0	0	0	0
Jail, prison, or juvenile detention facility	4	4	0	0	0
Long-term care facility or nursing home	3	3	0	0	0
Subtotal	8	8	0	0	0
Other Destinations	0	0	0	0	0
Residential project or halfway house with no homeless criteria	2	2	0	0	0
Deceased	5	5	0	0	0
Other	3	3	0	0	0
Client Doesn't Know/Client Refused	1	1	0	0	0
Data Not Collected (no exit interview completed)	217	216	0	0	1
Subtotal	228	227	0	0	1
Total	417	414	2	0	1
Total persons exiting to positive housing destinations	130	129	1	0	0
Total persons whose destinations excluded them from the calculation	8	8	0	0	0
Percentage	31.78 %	31.77 %	50.00 %	--	0.00 %

Q24: Homelessness Prevention Housing Assessment at Exit

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Able to maintain the housing they had at project start--Without a subsidy	0	0	0	0	0
Able to maintain the housing they had at project start--With the subsidy they had at project start	0	0	0	0	0
Able to maintain the housing they had at project start--With an on-going subsidy acquired since project start	0	0	0	0	0
Able to maintain the housing they had at project start--Only with financial assistance other than a subsidy	0	0	0	0	0
Moved to new housing unit--With on-going subsidy	0	0	0	0	0
Moved to new housing unit--Without an on-going subsidy	0	0	0	0	0
Moved in with family/friends on a temporary basis	0	0	0	0	0
Moved in with family/friends on a permanent basis	0	0	0	0	0
Moved to a transitional or temporary housing facility or program	0	0	0	0	0
Client became homeless -- moving to a shelter or other place unfit for human habitation	0	0	0	0	0
Client went to jail/prison	0	0	0	0	0
Client died	0	0	0	0	0
Client doesn't know/Client refused	0	0	0	0	0
Data not collected (no exit interview completed)	0	0	0	0	0
Total	0	0	0	0	0

Q25a: Number of Veterans

	Total	Without Children	With Children and Adults	Unknown Household Type
Chronically Homeless Veteran	19	19	0	0
Non-Chronically Homeless Veteran	28	28	0	0
Not a Veteran	467	465	2	0
Client Doesn't Know/Client Refused	0	0	0	0
Data Not Collected	1	1	0	0
Total	515	513	2	0

Q26b: Number of Chronically Homeless Persons by Household

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Chronically Homeless	251	251	0	0	0
Not Chronically Homeless	218	215	3	0	0
Client Doesn't Know/Client Refused	4	4	0	0	0
Data Not Collected	44	43	0	0	1
Total	517	513	3	0	1

Attachment 2: ESG Program Standards



**City of Austin
Austin Public Health Department**

**Emergency Solutions Grant Program (ESG) /
Emergency Solutions Grant CARES Act Program (ESG-CV)**

**Program Standards,
Policies, and Procedures**

Section 1: ESG PROGRAM STANDARDS

I. Definitions

The Terms used herein will have the following meanings:

APH – Austin Public Health Department, City of Austin

At Risk of Homelessness-

- 1) An individual or family who:
 - a) Has an annual income below 30% of median family income for the area; AND
 - b) Does not have sufficient resources or support networks immediately available to prevent them from moving to an emergency shelter or another place defined in Category 1 of the “homeless” definition; AND one of the following conditions:
 - i) Has moved because of economic reasons 2 or more times during the 60 days immediately preceding the application for assistance; OR
 - ii) Is living in the home of another because of economic hardship; OR
 - iii) Has been notified that their right to occupy their current housing or living situation will be terminated within 21 days after the date of application for assistance; OR
 - iv) Lives in a hotel or motel and the cost is not paid for by charitable organizations or by Federal, State, or local government programs for low-income individuals; OR
 - v) Lives in an SRO or efficiency apartment unit in which there reside more than 2 persons or lives in a larger housing unit in which there reside more than one and a half persons per room; OR
 - vi) Is exiting a publicly funded institution or system of care; OR
 - vii) Otherwise lives in housing that has characteristics associated with instability and an increased risk of homelessness, as identified in the recipient’s approved Consolidated Plan;
- 2) A child or youth who does not qualify as homeless under the homeless definition, but qualifies as homeless under another Federal statute; An unaccompanied youth who does not qualify as homeless under the homeless definition, but qualifies as homeless under section 725(2) of the McKinney-Vento Homeless Assistance Act, and the parent(s) or guardian(s) or that child or youth if living with him or her.

CDO- Community Development Officer;

Chronically homeless means:

- 1) A “homeless individual or family with a disability,” as defined in section 401(9) of the McKinney-Vento Homeless Assistance Act (42 U.S.C. 11360(9)), who:
 - a) Lives in a place not meant for human habitation, a safe haven, or in an emergency shelter; and
 - b) Has been homeless and living as described in paragraph (1)(i) of this definition continuously for at least 12 months or on at least 4 separate occasions in the last 3 years, as long as the combined occasions equal at least 12 months and each break in homelessness separating the occasions included at least 7 consecutive nights of not living as described in paragraph (1)(i). Stays in institutional care facilities for fewer than 90 days will not constitute as a break in homelessness, but rather such stays are included in the 12-month total, as long as the individual was living or residing in a place not meant for human habitation, a safe haven, or an emergency shelter immediately before entering the institutional care facility;
 - c) An individual who has been residing in an institutional care facility, including a jail, substance abuse or mental health treatment facility, hospital, or other similar facility, for fewer than 90 days and met all of the criteria in paragraph (1) of this definition, before entering that facility; or

- d) A family with an adult head of household (or if there is no adult in the family, a minor head of household) who meets all of the criteria in paragraph (1) or (2) of this definition, including a family whose composition has fluctuated while the head of household has been homeless. (Updated 12-4-15)

City- City of Austin;

ESG- Emergency Solutions Grant program;

ESG-CV- Emergency Solutions Grant CARES Act program;

- 1) Homeless Person(s): An individual or family who lacks a fixed, regular, and adequate nighttime residence, meaning:
 - a) An individual or family with a primary nighttime residence that is a public or private place not designed for or ordinarily used as a regular sleeping accommodation for human beings, including a car, park, abandoned building, bus or train station, airport, or camping ground;
 - b) An individual or family living in a supervised publicly or privately operated shelter designated to provide temporary living arrangements (including congregate shelters, transitional housing, and hotels and motels paid for by charitable organizations or by federal, state, or local government programs for low-income individuals); or
 - c) An individual who is exiting an institution where he or she resided for 90 days or less and who resided in an emergency shelter or place not meant for human habitation immediately before entering that institution;
- 2) An individual or family who will imminently lose their primary nighttime residence, provided that:
 - a) The primary nighttime residence will be lost within 14 days of the date of application for homeless assistance;
 - b) No subsequent residence has been identified; and
 - c) The individual or family lacks the resources or support networks, e.g., family, friends, faith-based or other social networks needed to obtain other permanent housing;
- 3) Unaccompanied youth under 25 years of age, or families with children and youth, who do not otherwise qualify as homeless under this definition, but who:
 - a) Are defined as homeless under section 387 of the Runaway and Homeless Youth Act (42 U.S.C. 5732a), section 637 of the Head Start Act (42 U.S.C. 9832), section 41403 of the Violence Against Women Act of 1994 (42 U.S.C. 14043e-2), section 330(h) of the Public Health Service Act (42 U.S.C. 254b(h)), section 3 of the Food and Nutrition Act of 2008 (7 U.S.C. 2012), section 17(b) of the Child Nutrition Act of 1966 (42 U.S.C. 1786(b)), or section 725 of the McKinney-Vento Homeless Assistance Act (42 U.S.C. 11434a);
 - b) Have not had a lease, ownership interest, or occupancy agreement in permanent housing at any time during the 60 days immediately preceding the date of application for homeless assistance;
 - c) Have experienced persistent instability as measured by two moves or more during the 60-day period immediately preceding the date of applying for homeless assistance; and
 - d) Can be expected to continue in such status for an extended period of time because of chronic disabilities, chronic physical health or mental health conditions, substance addiction, histories of domestic violence or childhood abuse (including neglect), the presence of a child or youth with a disability, or two or more barriers to employment, which include the lack of a high school degree or General Education Development (GED), illiteracy, low English proficiency, a history of incarceration or detention for criminal activity, and a history of unstable employment; or
- 4) Any individual or family who:
 - a) Is fleeing, or is attempting to flee, domestic violence, dating violence, sexual assault, stalking, or other dangerous or life-threatening conditions that relate to violence against the individual or a family member, including a child, that has either taken place within the individual's or family's primary

- nighttime residence or has made the individual or family afraid to return to their primary nighttime residence
- b) Has no other residence; and lacks the resources or support networks, e.g., family, friends, faith-based or other social networks, to obtain other permanent housing
- 5) Definitions from 24 CFR 576.2. For purposes of ESG-CV funds, the definitions at 24 CFR 576.2 apply except that:
- a) At Risk of Homelessness. The CARES Act raised the income limit in paragraph (1)(i) of the “at risk of homelessness” definition at 24 CFR 576.2 from 30 percent of area median income (AMI) to the Very Low-Income limit of the area, as determined by the Secretary.
- 6) Definitions not in 24 CFR 576.2
- a) Coronavirus- SARS-CoV-2 or another coronavirus with pandemic potential, as defined by section 23005 of the CARES Act.
 - b) ESG-CV- Emergency Solutions Grants Program as funded by the CARES Act and governed by requirements HUD establishes in accordance with that Act. ESG-CV funds do not include annual ESG funds, although annual ESG funds may be used in accordance with the requirements established for purposes of ESG-CV funds as further described in the sections below.
 - c) Prevent, Prepare for, and Respond to Coronavirus- To assist recipients in ensuring that an activity being paid for with ESG-CV funds is eligible, or determining whether annual ESG funding may follow the waivers and alternative requirements established in this Notice, recipients and subrecipients should consider the following:
 - i) Prevent...coronavirus means an activity designed to prevent the initial or further spread of the virus to people experiencing homelessness, people at risk of homelessness, recipient or subrecipient staff, or other shelter or housing residents. This includes providing Personal Protective Equipment to staff and program participants, paying for non-congregate shelter options such as hotels and motels, paying for handwashing stations and portable toilets for use by people living in unsheltered situations, and providing rapid re-housing or homelessness prevention assistance to individuals and families who are homeless or at risk of homelessness (as applicable) to reduce their risk of contracting or further spreading the virus.
 - ii) Prepare for...coronavirus means an activity carried out by a recipient or subrecipient prior to or during a coronavirus outbreak in their jurisdiction to plan to keep people healthy and reduce the risk of exposure to coronavirus and avoid or slow the spread of disease. This includes updating written standards to prioritize people at severe risk of contracting coronavirus for shelter and housing consistent with fair housing and nondiscrimination requirements, adapting coordinated entry policies and procedures to account for social distancing measures or increased demand, developing a strategy and recruiting landlords to provide housing to people experiencing homelessness or at risk of homelessness, training homeless providers on infectious disease prevention and mitigation, and implementing a non-congregate shelter strategy to reduce the spread of coronavirus.
 - iii) Respond to coronavirus means an activity carried out once coronavirus has spread to people experiencing homelessness, provider staff, or once individuals and families lose or are at risk of losing their housing as a result of the economic downturn caused by coronavirus. This includes transporting individuals and families experiencing homelessness to medical appointments, paying for shelter to isolate individuals who have contracted coronavirus from other program participants and people experiencing homelessness, providing rental assistance to those who are at risk of losing their housing, have already become homeless, or continue to experience homelessness due to the economic downturn caused by coronavirus, and providing hazard pay to recipient or subrecipient staff who put their own health at risk to continue to provide necessary services to individuals and families experiencing and risk of homelessness.

HPD- Housing and Planning Department, City of Austin

HUD- U.S. Department of Housing and Urban Development

Subrecipient- An organization receiving ESG funds from the City to undertake eligible ESG activities

II. General

The Emergency Solutions Grant Program (ESG), formerly known as the Emergency Shelter Grant Program, and the Emergency Solutions Grant CARES Act Program (ESG-CV) are funded through the City's Housing and Planning Department (HPD), which is made available by the U.S. Department of Housing and Urban Development (HUD). The City utilizes ESG and ESG-CV funds to provide an array of services to assist homeless persons and persons at-risk of homelessness.

The ESG program is designed to be the first step in a continuum of assistance to help clients quickly regain stability in permanent housing after experiencing a housing crisis and/or homelessness. ESG-CV funds have been made available from HUD to assist homeless persons who meet the CDC's definition of being at a high risk of health complications due to COVID-19.

The City's Austin Public Health Department is responsible for the implementation of ESG in compliance with the governing regulations of the ESG program. The City's Housing and Planning Department (HPD) is responsible for the planning and administration of the ESG program. The Community Development Officer (CDO) of HPD has the authority to establish processes, procedures, and criteria for the implementation and operation of the program, and to waive compliance with any provision of these guidelines if s/he determines that to do so does not violate any Federal, state, or local law or regulation, and is in the best interest of the City. Nothing contained, stated, or implied in this document shall be construed to limit the authority of the City to administer and carry out the program by whatever means and in whatever manner it deems appropriate.

III. Eligible Organizations

The subrecipient must be a unit of local government or a private, non-profit organization, as defined by the Internal Revenue Service tax code, evidenced by having a Federal identification number, filed articles of incorporation, and written organizational by-laws.

IV. Ineligible Organizations

An organization will not be eligible to apply for ESG funds if it meets the following conditions:

- 1) Outstanding audit or monitoring findings, unless appropriately addressed by a corrective action plan;
- 2) Current appearance on the List of Suspended and Debarred Contractors
- 3) Terms and conditions of existing contract are not in full compliance;
- 4) History of non-performance with contracts.

V. Financial Terms

- 1) Grantee shall expend the City budget in a reasonable manner in relation to Agreement time elapsed and/or Agreement program service delivery schedule.
- 2) All grant funds allocated must be completely spent down within the 24-month allocation period.

- 3) If the Grantee has a remaining balance at the end of the first twelve-month period, the Grantee must submit a request to spend down remaining balance to the Assistant Director of the Austin Public Health, Health Equity Community Engagement Division (HECE).
- 4) If cumulative expenditures are not within acceptable amounts, spending rates, or in accordance with grant compliance the City may require the Grantee to:
 - a) submit an expenditure plan, and/or
 - b) amend the Agreement budget amount to reflect projected expenditures, as determined by the City.

In order to be eligible for services under the ESG program, clients must meet HUD's definition of homelessness or at-risk of homelessness, and must meet annual income guidelines for homelessness prevention activities.

5) ESG-CV Financial Terms:

- a) Progressive Expenditure Deadline and Recapture Provisions- To ensure ESG-CV funds are spent quickly on eligible activities to address the public health and economic crises caused by coronavirus, the following alternative requirements are established:
 - i) HUD may recapture up to 20 percent of a recipient's total award, including first and second allocation amounts, if the recipient has not expended at least 20 percent of that award by September 30, 2021.
 - ii) HUD may recapture up to 80 percent of a recipient's total award, including first and second allocation amounts, if the recipient has not expended at least 80 percent of that award by March 31, 2022.
 - iii) Prior to recapturing funds as described above, HUD will follow the enforcement process described in 24 CFR 576.501 and provide the recipient with an opportunity to provide a spending plan demonstrating to HUD's satisfaction that all of the recipient's ESG-CV funds from the first and second allocations will be expended by September 30, 2022.

VI. Matching Funds

Subrecipient organizations that receive ESG funds must provide a dollar for dollar (or 100%) match to their ESG award amount.

- 1) Sources of matching funds include:
 - a) Cash Contributions- Cash expended for allowable costs identified in 2 CFR Part 200. Program Income for the ESG program can also be used as match funds. Match funds are identified in 2CFR Part 200.306
 - b) Non-Cash Contributions- The value of any real property, equipment, goods, or services.
- 2) Funds used to match a previous ESG grant may not be used to match a subsequent award.
- 3) ESG-CV Match - As provided by the CARES Act, ESG-CV funds are *not subject to the match requirements* that otherwise apply to the Emergency Solutions Grants program.

VII. Eligible Activities

Each sub-recipient will be allocated funding by activity type, and may have multiple activities in one program. The following is a list of eligible activities for the ESG Program:

- 1) ESG Eligible Activities:
 - a) Street Outreach- Support services limited to providing emergency care on the streets, including engagement, case management, emergency health and mental health services, and transportation;
 - b) Emergency Shelter- Includes essential services, case management, child care, education, employment, outpatient health services legal services, life skills training, mental health & substance abuse services, transportation, shelter operations, and funding for hotel/motel stays under certain conditions;
 - c) Homeless Prevention- Includes housing relocation & stabilization services and short/medium-term rental assistance for individuals/families who are at risk of homelessness;
 - d) Rapid Re-Housing- Includes housing relocation & stabilization services and short/medium-term rental assistance to help individuals/families move quickly into permanent housing and achieve stability;
 - e) Homeless Management Information System (HMIS) costs; and

- f) ESG Administration costs.
- 2) ESG-CV Program-specific Waivers, Alternative Requirements, and Statutory Flexibilities for Existing Eligible Activities:
 - a) Short-Term and Medium-Term Rental Assistance
 - i) 24 CFR 576.106(a)(2), where medium-rent is defined as “for more than 3 months but not more than 24 months of rent” is waived and an alternative requirement is established where medium-term is established as for more than 3 months but not more than 12 months. This alternative requirement will allow more households to receive rapid re-housing and homelessness prevention assistance, which is necessary to prevent, prepare for, and respond to coronavirus.
 - ii) The requirement at 24 CFR 576.106(d) that prohibits rental assistance where the rent for the unit exceeds the Fair Market Rent established by HUD, as provided under 24 CFR Part 888, is waived so long as the rent complies with HUD’s standards of rent reasonableness, as established under 24 CFR 982.507. Waiving this requirement will allow recipients to help program participants move quickly into housing or retain their existing housing, which is especially critical at reducing the spread of coronavirus and responding to coronavirus. This waiver provides additional flexibility beyond the waiver made available to the ESG Program on March 31, 2020 and extended to ESG-CV funds on May 22, 2020 by permitting ESG recipients to provide rental assistance for program participants, whose current rent exceeds FMR and by allowing recipients to use this waiver as needed throughout the period they are providing rental assistance to prevent, prepare for, and respond to coronavirus.
 - b) Hotel/Motel Costs- As permitted under 24 CFR 576.102(a)(3), eligible costs include a hotel or motel voucher for homeless individuals and families where no appropriate emergency shelter is available. Additionally, the limitations on eligible activities provided in section 415(a) of the McKinney-Vento Act and 24 CFR part 576, subpart B are waived and alternative requirements are established to the extent necessary to authorize ESG-CV funds to be used for the following hotel or motel costs for individuals and families experiencing homelessness, receiving rapid re-housing assistance under the Continuum of Care (CoC) or ESG programs, receiving homelessness prevention under the ESG program, or residing in permanent supportive housing: The recipient or subrecipient may pay for a hotel or motel room directly or through a hotel or motel voucher. Additionally, funds can be used to pay for cleaning of hotel and motel rooms used by program participants as well as to repair damages caused by program participants above normal wear and tear of the room. These flexibilities are provided to allow recipients to secure hotel and motel rooms more quickly to be available when needed to prevent the spread of coronavirus (for example, when a program participant needs to isolate to keep from spreading the virus to other shelter occupants or household members).
 - c) Helping current ESG program participants maintain housing- In order to ensure current program participants receiving homelessness prevention and rapid re-housing assistance do not lose their housing during the coronavirus public health crisis and the subsequent economic downturn caused by the crisis, the requirements in 24 CFR 576.105(c) and 576.106(a) are waived and alternative requirements are established as follows:
 - i) The requirement at 24 CFR 576.105(c) limiting the total period of time for which any program participant may receive the services under paragraph (b) to 24 months during any 3-year period is waived solely for those program participants who reach their 24-month maximum assistance during the period beginning on the presumed start of this crisis, January 21, 2020 – the date the first confirmed case was reported in the United States, and ending 6 months from the date of publication of this Notice, provided that the services are only extended for these program participants for up to a maximum of an additional 6 months; and
 - ii) The requirement at 24 CFR 576.106(a) limiting the total number of months a program participant can receive rental assistance to 24 months in a 3-year period is waived solely for those program participants who reach their 24-month maximum during the period beginning on the presumed

start of this crisis, January 21, 2020 – the date the first confirmed case was reported in the United States, and ending 6 months from the date of publication of this Notice, provided that the rental assistance is only extended for these program participants for up to a maximum of an additional 6 months.

- d) **HMIS Lead Activities-** The limitations on eligible activities provided in section 415(a) of the McKinney-Vento Act and 24 CFR Part 576, subpart B are waived to the extent necessary to authorize ESG funds to be used under 24 CFR 576.107 to pay for HMIS costs beyond where they are related to collecting data on ESG program participants and ESG program activities to the extent they are necessary to help the geographic area prevent, prepare for, and respond to coronavirus. Additionally, 24 CFR 576.107 that limits recipients to paying for the costs at 24 CFR 576.107(b) is waived to allow recipients that are not the HMIS Lead, as designated by the Continuum of Care, to pay for costs at 24 CFR 576.107(b), either directly or by sub-granting to the HMIS Lead if the HMIS Lead is an eligible subrecipient to the extent that the HMIS costs are necessary to help the geographic area prevent, prepare for, and respond to coronavirus. This waiver and these alternative requirements provide additional flexibility beyond the waiver made available to the ESG Program on March 31, 2020 and extended to ESG-CV funds on May 22, 2020 by permitting ESG recipients who are not also HMIS Leads to pay for the costs eligible at 24 CFR 576.107(b) and lifting the 6-month limit on the waiver so that this flexibility applies throughout the period the recipient or subrecipient uses funds to prevent, prepare for, and respond to coronavirus. Additionally, this waiver provides additional flexibility for ESG funds to be used on HMIS costs even when they are not related to ESG program participants or ESG activities when necessary to collect and report better data about the impact of coronavirus across the community. These flexibilities will allow communities to collect data that is necessary to coordinate and report on activities to prevent, prepare for, and respond to coronavirus among individuals and families experiencing homelessness, at risk of homelessness, and receiving homeless assistance
 - e) **Legal Services-** Legal services established in 24 CFR 576.102(a)(1)(vi) and 24 CFR 576.105(b)(4) are limited to those services necessary to help program participants obtain housing or keep a program participant from losing housing where they currently reside.
- 3) **Additional ESG-CV Eligible Activities:**
- a) **Training-** As permitted by the CARES Act, ESG-CV funds may be used for training on infectious disease prevention and mitigation for staff working directly to prevent, prepare for, and respond to coronavirus among persons who are homeless or at risk of homelessness and the use of funding shall not be considered administrative costs for purposes of the 10 percent cap. In addition, the limitations on eligible activities provided in section 415(a) of the McKinney-Vento Homeless Assistance Act and 24 CFR part 576, subpart B are waived and alternative requirements are established to the extent necessary to authorize ESG-CV funds to be used for training on infectious disease prevention and mitigation for homeless assistance providers, including those who do not receive funding through the CARES Act, to help them best prevent, prepare for, and respond to coronavirus among persons who are homeless or at risk of homelessness. These training costs are eligible as a standalone activity and are not to be charged to an activity under 24 CFR 576.101 to 24 CFR 576.109.
 - b) **Hazard Pay-** As permitted by the CARES Act, funds may be used to pay hazard pay for recipient- or subrecipient-staff working directly to prevent, prepare for, and respond to coronavirus among persons who are homeless or at risk of homelessness. Examples of recipient or subrecipient staff working directly in support of coronavirus response include emergency shelter intake staff, street outreach teams, emergency shelter maintenance staff, emergency shelter security staff, staff providing essential services (e.g., outpatient health or mental health, housing navigators), and staff in proximity to persons with coronavirus or working in locations with a high likelihood of contracting coronavirus.
 - c) **Landlord Incentives-** The limitations on eligible activities under section 415(a) of the McKinney-Vento Act and 24 CFR 576.105 are waived and alternative requirements are established to the extent necessary to authorize ESG-CV funds to be used under 24 CFR 576.105 to add the eligible cost of

paying for landlord incentives as reasonable and necessary to obtain housing for individuals and families experiencing homelessness and at risk of homelessness. However, a recipient may not use ESG-CV funds to pay the landlord incentives set forth below in an amount that exceeds three times the rent charged for the unit. Waiving the limitation on eligible costs under housing relocation and stabilization services to pay for the costs of landlord incentives will increase the number of housing units available to people experiencing homelessness or at risk of homelessness, especially in tight rental markets and obtaining and maintaining housing is critical to preventing the spread of coronavirus and helping mitigate the economic impact of the crisis. The limitation to three times the rent charged for each unit ensures enough ESG-CV funds remain available to provide other eligible activities necessary to prevent the spread of coronavirus. Eligible landlord incentive costs include:

- i) Signing bonuses equal to up to 2 months of rent;
- ii) Security deposits equal to up to 3 months of rent;
- d) Paying the cost to repair damages incurred by the program participant not covered by the security deposit or that are incurred while the program participant is still residing in the unit; and,
- e) Paying the costs of extra cleaning or maintenance of a program participant's unit or appliances.

VIII. Client Eligibility

In order to be eligible for services under the ESG program, clients must meet HUD's definition of homelessness or at-risk of homelessness, and must meet annual income guidelines for homelessness prevention activities.

1) ESG Eligibility Documentation

- a) Homelessness Prevention: This program will not provide Homelessness Prevention Services.
- b) Rapid Re-Housing:
- c) Please refer to the Homeless Eligibility Form for more information on documenting homelessness for ESG clients.
- d) Sub-recipient agencies must collect the required supporting documentation requested in the Homeless Eligibility Form in order for clients to be considered eligible for services
- e) All eligibility and supporting documentation for Rapid Re-Housing clients must be maintained in each client's file
- f) Clients will be referred to ESG programs through the Coordinated Assessment or Coordinated Entry process.
- g) CDU-Specific Client Eligibility Requirements
 - i) Referral through Coordinated Assessment
 - ii) HIV Positive, homeless individuals
- h) DACC-Specific Eligibility Requirements
 - i) Referral through Coordinated Assessment
 - j) Front Steps Specific Eligibility Requirements
 - i) Referral through Coordinated Assessment
 - ii) Targeted to those who sheltered at ARCH and coming from the streets outside the ARCH

2) Confidentiality of Client Information

- a) Subrecipients must have written client confidentiality procedures in their program policies and procedures that conform to items b – d below:
- b) All records containing personally identifying information of any individual or family who applies for and/or receives ESG assistance must be kept secure and confidential.
- c) The address or location of any domestic violence project assisted under ESG shall not be made public.
- d) The address or location of any housing for a program participant shall not be made public.

IX. Emergency Shelter

Requirement: Policies and procedures for admission, diversion, referral and discharge by emergency shelters assisted under ESG, including standards regarding length of stay, if any, and safeguards to meet the safety and shelter needs of special populations.

The ESG-funded emergency shelter, Austin Resource Center for the Homeless, or ARCH is currently limited to those clients in case-management, with 20 beds held open for Emergency Night Shelter for men. The ARCH provides Day Resource Center for enrolled clients. Case Management and other co-located services are provided on-site by the following local service providers.

Sleeping Unit Reservation System: Of the 150 sleeping units, approximately 130 are reserved for those clients in full-time case-management with the remaining 20 held open for Emergency Night Shelter.

There is no length of stay for the shelter, and in case management, the general length is 6 months with evaluation on a case by case basis. Clients are informed that if they have a reservation, but they do not arrive to check in, within a set number of days, their reserved place will be made available to other clients on the waiting list. There are also available beds in coordination with the following participating agencies: CommUnityCare Clinic, Veterans Administration (VA), and Austin/Travis County Integral Care, the local mental health authority. All these case-managed clients work with their case manager to determine a housing plan and are connected to other resources to find permanent housing. The client is informed of the grievance process, and their end date for services determined on a case by case basis.

Clients are encouraged to work with Case Managers to progress towards personal goals related to obtaining/maintaining sustainable income, exploring viable housing options, and addressing self-care issues that impact progress towards self-sufficiency. Case Management services are based on a Harm Reduction philosophy and the stages in the Trans-theoretical Model of Change. Various techniques, including motivational interviewing, are effectively utilized in working with clients whose needs vary across a spectrum of vulnerability. Men's and women's support groups as well as anger management classes are offered through case management. ARCH clients with domestic violence concerns are offered coordination and referral to appropriate programs on a case by case basis.

Front Steps, the agency administering the ARCH, has been designated as one of the "front doors"/community portals in the Coordinated Assessment process. Using the Vulnerability Index & Service Prioritization Decision Assistance Tool (VI-SPDAT) as part of the Coordinated Assessment process, coupled with more robust data entry into HMIS, clients who score within range and are identified as likely benefitting from receiving Shelter Case Management services will be offered these services as openings in the program become available.

The following is provided in the case that a client is terminated:

Written notice to the participant containing a clear statement of the reason for termination.

A review of the decision, in which the participant is given the opportunity to present written or oral objections before a person other than the person (or subordinate of the person) who made or approved the termination decisions, AND

Prompt written notification to the program participant.

Because the ARCH is a City building, the agencies cannot deny citizens access to the shelter property on a permanent basis.

X. Rapid Rehousing and Other ESG-funded Services

There are no essential services funded by ESG.

There are no homeless prevention services funded by ESG.

Requirement: Policies and procedures for determining and prioritizing which eligible families and individuals will receive rapid re-housing assistance.

All programs funded through the Emergency Solutions Grant will use Coordinated Entry for referrals for the program in order to serve the most vulnerable Rapid Rehousing clients in the community. Agency eligibility could include, for example, HIV status for the Communicable Disease Unit. All programs help clients go through the Coordinated Entry process to access appropriate referrals and community programs.

Each client will be individually assessed for the amount of Rapid Rehousing using progressive engagement and housing first principles. If a client or family needs continued services and financial assistance past the initial date of entry into the program, agencies will work to address those needs until the client exits the program. Other funding sources will be used to address the other service needs of the client such as case management, housing location or financial and rental assistance as needed.

Requirement: Standards for determining the type, amount and duration of housing stabilization and/or relocation services to provide a program participant, including the limits on rapid re-housing assistance.

- 1) All Rapid Rehousing programs will include the following components:
 - a) Housing Stability Plan with Exit Strategy
 - b) Progressive Engagement
 - c) Coordination with other HUD funded programs and regular review the program's progress towards the HUD benchmarks:
 - i) Reducing the length of time program participants spend homeless;
 - ii) Exiting households to permanent housing, and
 - iii) Limiting returns to homelessness within a year of program exit.
- 2) Also, all RR programs will provide the following services with ESG funds or with another funding source. If the agency is not able to provide all of these services, they will work with a collaborative partner to provide them.
 - a) Housing Location
 - b) Financial Assistance – Rental, Deposits, Application Fees, etc.
 - c) Housing Stability Case Management
- 3) Rapid Rehousing Financial Assistance Guidelines:
 - a) ESG:
 - i) Security Deposits are available for no more than 2 months' rent.
 - ii) Last Month's Rent is only paid if the last month's rent is necessary for the participant to obtain housing, if it is paid at the same time as the security deposit and first month's rent and does not exceed one month's rent.
 - iii) Utility Deposit, Payments and Arrears is paid if it is within 24 month limit, including up to 6 months of utility arrears, and if the utility account is in the name of the participant or if there is proof of responsibility, and is for eligible gas, electric, water and sewage.
 - iv) Caps on assistance by program:
 - (1) Downtown Austin Community Court: Financial Assistance can include up to \$2300 a year in direct financial assistance for all eligible financial assistance and rental assistance funding, with a 24-month cap of \$4600.
 - (2) Contracted agencies: None beyond the regulations above.
 - (3) Communicable Disease Unit: Does not administer financial assistance and rental assistance.

- v) Changes in household composition. The limits on the assistance under this section apply to the total assistance an individual receives, either as an individual or as part of a family.
 - vi) Limit of months of assistance. No client may receive more than 24 months of assistance in a three-year period.
 - vii) Recertification. Clients will be recertified at least every twelve months to determine ongoing eligibility as per 576.401. Recertification will assess clients to see if they do not have an annual income that exceeds 30% AMI *and* lack sufficient resources and support networks to retain housing without ESG assistance.
- b) ESG-CV:
- i) Same as ESG in a) above, but with the following exceptions:
 - (1) Limit of months of assistance. No client may receive more than 12-months of financial assistance in a 24-month period.
 - (2) Recertification- Clients will be recertified at least every twelve months to determine ongoing eligibility as per 576.401. Recertification will assess clients to see if they do not have an annual income that exceeds the Very Low-Income limit of the area, as determined by the Secretary **AND** lack sufficient resources and support networks to retain housing without ESG assistance.
 - (3) Financial assistance limitations:
 - (a) Landlord incentives are limited to three times the rent charged for each unit to ensure enough ESG-CV funds remain available to provide other eligible activities necessary to prevent the spread of coronavirus. Eligible landlord incentive costs include:
 - (i) Signing bonuses equal to up to 2 months of rent;
 - (ii) Security deposits equal to up to 3 months of rent;
 - (b) Paying the cost to repair damages incurred by the program participant not covered by the security deposit or that are incurred while the program participant is still residing in the unit; and,
 - (c) Paying the costs of extra cleaning or maintenance of a program participant's unit or appliances.

Requirement: Standards for determining what percentage or amount of rent and utilities cost each program participant must pay while receiving rapid re-housing assistance.

Most clients receiving financial assistance through the Emergency Solutions Grant will have high housing barriers and will be highly vulnerable. Participants are not required to contribute a percentage of their income to rent or utilities, so there are no standards developed.

Requirement: Standards for determining how long a particular program participant will be provided with rental assistance.

- 1) Short-term and medium-term rental assistance - rental assistance can be provided to a program participant with up to 24 months of rental assistance during any 3-year period. This assistance may be short-term rental assistance, medium-term rental assistance, payment of rental arrears, or any combination of this assistance.
 - a) Short and Medium-Term Rental Assistance - Short-term rental assistance is assistance for up to 3 months of rent; Medium-term rental assistance is assistance for more than 3 months but not more than 24 months of rent; Rental assistance for this program will be tenant-based.
 - i) Rental Assistance use with other subsidies. Except for a one-time payment of rental arrears on the tenant's portion of the rental payment, rental assistance cannot be provided to a program participant who is receiving tenant-based rental assistance, or living in a housing unit receiving project-based rental assistance or operating assistance, through other public sources. Rental

assistance may not be provided to a program participant who has been provided with replacement housing payments under the URA during the period of time covered by the URA payments.

- ii) Fair Market Rent - Rental Assistance must only be provided if rent does not exceed Fair Market Rent and complies with HUD's standard of rent reasonableness, as established under 24 CFR 982.507.
 - iii) For purposes of calculating rent, the rent shall equal the sum of the total monthly rent for the unit, any fees required for occupancy under the lease (other than late fees and pet fees) and, if the tenant pays separately for utilities, the monthly allowance for utilities (excluding telephone) established by the public housing authority for the area in which the housing is located.
 - iv) Rental assistance agreement. The recipient or subrecipient may make rental assistance payments only to an owner with whom the recipient or subrecipient has entered into a rental assistance agreement. The rental assistance agreement must set forth the terms under which rental assistance will be provided, including the requirements that apply under this section. The rental assistance agreement must provide that, during the term of the agreement, the owner must give the recipient or subrecipient a copy of any notice to the program participant to vacate the housing unit, or any complaint used under state or local law to commence an eviction action against the program participant.
 - v) Late payments. The recipient or subrecipient must make timely payments to each owner in accordance with the rental assistance agreement. The rental assistance agreement must contain the same payment due date, grace period, and late payment penalty requirements as the program participant's lease. The recipient or subrecipient is solely responsible for paying late payment penalties that it incurs with non-ESG funds.
 - vi) Lease. Each program participant receiving rental assistance must have a legally binding, written lease for the rental unit, unless the assistance is solely for rental arrears. The lease must be between the owner and the program participant. Where the assistance is solely for rental arrears, an oral agreement may be accepted in place of a written lease, if the agreement gives the program participant an enforceable leasehold interest under state law and the agreement and rent owed are sufficiently documented by the owner's financial records, rent ledgers, or canceled checks. For program participants living in housing with project-based rental assistance under paragraph (i) of this section, the lease must have an initial term of one year.
- 2) Tenant-based rental assistance. Rental assistance for this program will be tenant-based, and all programs will provide the minimum amount of assistance needed for client to stabilize using the principles of Progressive Engagement.
- a) A program participant who receives tenant-based rental assistance may select a housing unit in which to live and may move to another unit or building and continue to receive rental assistance, as long as the program participant continues to meet the program requirements.
 - b) The recipient may require that all program participants live within a particular area for the period in which the rental assistance is provided.
 - c) The rental assistance agreement with the owner must terminate and no further rental assistance payments under that agreement may be made if:
 - i) The program participant moves out of the housing unit for which the program participant has a lease;
 - ii) The lease terminates and is not renewed; or
 - iii) The program participant becomes ineligible to receive ESG rental assistance.
- 3) Rental Arrears are paid if the client is assisted with one-time payment of up to 6 months of rental arrears, including any late fees on those arrears. A lease must be present in the file with the participant's name on the lease or a document of the rent payments/financial records, as well as Rent Reasonableness, Lead Based Paint and Habitability Standards forms. Where the assistance is solely for rental arrears, an oral agreement may be accepted in place of a written lease, if the agreement gives the program participant an

enforceable leasehold interest under state law and the agreement and rent owed are sufficiently documented by the owner's financial records, rent ledgers, or canceled checks. For program participants living in housing with project-based rental assistance under paragraph (i) of this section, the lease must have an initial term of one year.

- 4) Caps on assistance by program:
 - a) Downtown Austin Community Court: Financial Assistance can include up to a year in direct financial assistance for all eligible financial assistance and rental assistance funding.
 - b) Contracted agencies: None beyond the regulations above.
 - c) Communicable Disease Unit: Does not administer financial assistance and rental assistance.
- 5) All clients will be recertified at least every twelve months to determine ongoing eligibility as per 576.401. Recertification will assess clients to see if they do not have an annual income that exceeds 30% AMI *and* lack sufficient resources and support networks to retain housing without ESG assistance.

XI. Coordination Between Service Providers

The following list gives the types of service coordination activities to be undertaken for the ESG Program: Case management, permanent supportive housing, rapid re-housing and housing location and financial assistance.

Services will be coordinated between the downtown Austin Resource Center for the Homeless (ARCH), Downtown Austin Community Court, and in consultation with the local Continuum of Care as well as other service providers such as Austin Travis County Integral Care, Caritas of Austin, Salvation Army, Veterans Administration, Continuum of Care Permanent Supportive Housing programs and other appropriate federal, state and local service providers.

Agency	Case Management/Supportive Services	Permanent Supportive Housing	Rapid Rehousing/Housing Location	Direct Financial Assistance
Front Steps PSH and Rapid Rehousing	X	X	X	X
Caritas of Austin with CoC and City funding	X	X	X	X
Downtown Community Court	X		X	X
Public Health	X		X	
Communicable Disease Unit				
Other Continuum of Care Programs	X	X		
City-funded Social Service Agencies	X	X	X	X

ESG Rapid Rehousing Program Design: All ESG Programs will have all components or coordinate with other funding sources or entities so that all needs of the Rapid Rehousing clients will be adequately addressed.

RR Agency	Case Management/Supportive Services	Housing Location	Direct Financial Assistance	Rental Assistance
Front Steps	Front Steps	Front Steps	Front Steps HHSP and SSVF; BSS Plus	Front Steps HHSP and SSVF, BSS Plus
Communicable Disease Unit (CDU)	CDU	CDU/DACC ESG	DACC ESG	DACC ESG
Downtown Austin Community Court	DACC	DACC	DACC ESG	DACC ESG

XII. Homeless Management Information System (HMIS)

Organizations receiving funding from the City of Austin for homelessness prevention and homeless intervention services are required to utilize the Local Homeless Management Information System (HMIS) to track and report client information for individuals who are at risk of homelessness or who are homeless. A high level of data quality is required. All ESG-funded programs will also be working with the community's Coordinated Entry process.

ESG Specific Requirements Include:

- 1) Entering client data into HMIS as per the guidelines outlined in an agreement with ECHO HMIS and under the requirements of the Austin/Travis County HMIS Data Quality Assurance Plan.
- 2) ESG-funded programs will participate in the centralized or coordinated assessment system in HMIS, as required under § 576.400(d).
- 3) The ESG Rapid Rehousing program will accept referrals through Coordinated Entry.
- 4) Utilizing the ESG eligibility form to determine homeless eligibility.
- 5) Demographic data collected in the ESG Demographic Form
- 6) HUD 40118 form or other required form required for Emergency Solutions Grants
- 7) Submission of HUD reporting information for the Consolidated Plan, Action Plan and Consolidated Annual Performance and Evaluation Report (CAPER).
- 8) Participation in community homeless coordination and planning.
- 9) Other HMIS Requirements:

Organizations receiving funding from the City of Austin for homelessness prevention and homeless intervention services are required to utilize HMIS to track and report client information for individuals who are at risk of homelessness or who are homeless. A high level of data quality is required. The Ending Community Homelessness Coalition (ECHO) currently serves as the local HMIS administrator.

SECTION 2: ESG POLICIES AND PROCEDURES

Management and operation of approved projects is the responsibility of the Subrecipient. The Subrecipient is the entity that will receive the City contract. Therefore, the subrecipient has the overall responsibility of the project's successful completion.

I. Grant Subaward Process

At its discretion, the City may use a competitive Request for Application and comprehensive review process to award ESG funding to providers of services to homeless persons and persons at-risk of homelessness. Activities will be consistent with the City's Consolidated Plan, in compliance with local, state, and Federal requirements and the governing regulations for use of ESG funds, and in conformance with program standards. The City will enter into written agreements with selected Subrecipients and will work with Subrecipients to ensure that project costs are reasonable, appropriate, and necessary to accomplish the goals and objectives of the City's overall ESG Program. The subrecipient must be able to clearly demonstrate the benefits to be derived by the services provided to homeless individuals, and to low-to-moderate income families. Performance measures will be established in the contract. All ESG award decisions of the City are final.

II. Contracting

Subrecipients must enter into a written contract with the City for performance of the project activities. Once a contract is signed, the subrecipient will be held to all agreements therein.

- 1) Members of the Subrecipient organization, volunteers, residents, or subcontractors hired by the organization may carry out activities. Subrecipients must enter into a written contract with the subcontractors carrying out all or any part of an ESG project. All subcontractors must comply with the City and Federal procurement and contracting requirements.
- 2) All contracts are severable and may be canceled by the City for convenience. Project funding is subject to the availability of ESG funds and, if applicable, City Council approval.
- 3) Amendments - Any amendments to a contract must be mutually agreed upon by the Subrecipient and the City, in writing. Amendment requests initiated by the Subrecipient must clearly state the effective date of the amendment, in writing. Austin Public Health (APH) staff will determine if an amendment request is allowable. APH reserves the right to initiate amendments to the contract.
- 4) Liability - Subrecipients shall forward Certificates of Insurance to the Austin Public Health Department within 30 calendar days after notification of the award, unless otherwise specified. The City's Risk Management Department will review and approve the liability insurance requirements for each contract. Subrecipients must maintain current insurance coverage throughout the entire contract period, as well as for any subsequent amendments or contract extensions.

III. Internal Controls

Subrecipients must have policies and procedures in place to protect assets, create accurate and reliable financial reporting, maintain compliance with all applicable laws and regulations, and ensure that agency operations are effective and efficient. These Internal Controls should include, but are not limited to:

- 1) Information and documents required through the standard City Boilerplate
 - a) Business continuity/risk management plans
 - b) Conflict of interest policy
 - c) Whistleblower policy
 - d) Financial management policy
- 2) Staff and Program evaluations
- 3) Maintaining annual income and expense budget reports to compare expected spending and revenue with actual spending and revenue
- 4) Information Technology controls
- 5) Written job descriptions to clearly define roles within the organization

IV. Recordkeeping Requirements

- 1) Project Records- The Subrecipient must manage their contract and maintain records in accordance with City and Federal policies and must be in accordance with sound business and financial management practices, which will be determined by the City. Record retention for all ESG records, including client information, is five years after the expenditure of contract funds.
- 2) Client Records- The Subrecipient must maintain the following types of client records to show evidence of services provided under the ESG program:
 - a) Client Eligibility records, including documentation of Homelessness, or At-Risk of Homelessness plus income eligibility and support documentation.
 - b) For Rapid Rehousing client files, a copy of the ESG Rapid Rehousing Client File Review checklist and Rapid Rehousing Financial Assistance Checklist should be placed at the beginning of the file and peer reviewed before any financial assistance is provided
 - c) Documentation of Continuum of Care centralized or coordinated assessment (for client intake)
 - d) Financial Assistance backup documentation required for each type of assistance outlined in the previous sections.
 - i) Rental assistance agreements and payments
 - ii) security deposits
 - iii) all backup documentation required for each type of assistance
- 3) Housing Financial Assistance - for eligible clients, financial assistance may be allocated for eligible expenses with the following requirements and limitations:
 - a) Rental application fees.
 - b) Security deposits. Equal to no more than 2 months' rent.
 - c) Last month's rent. If necessary to obtain housing for a program participant, the last month's rent may be paid from ESG funds to the owner of that housing at the time the owner is paid the security deposit and the first month's rent. This assistance must not exceed one month's rent and must be included in calculating the program participant's total rental assistance, which cannot exceed 24 months during any 3-year period.
 - d) Utility deposits.
 - e) Utility payments. Up to 24 months of utility payments per program participant, per service, including up to 6 months of utility payments in arrears, per service. A partial payment of a utility bill counts as one month. This assistance may only be provided if the program participant or a member of the same household has an account in his or her name with a utility company or proof of responsibility to make utility payments. Eligible utility services are gas, electric, water, and sewage. No program participant shall receive more than 24 months of utility assistance within any 3-year period.
 - f) Financial assistance cannot be provided to a program participant who is receiving the same type of assistance through other public sources.
 - g) Short-Term and Medium-Term Rental Assistance. Up to 24 months of rental assistance during any 3-year period. This assistance may be short-term rental assistance, medium-term rental assistance, payment of rental arrears, or any combination of this assistance.
 - i) Short-term rental assistance is assistance for up to 3 months of rent.
 - ii) Medium-term rental assistance is assistance for more than 3 months but not more than 24 months of rent.
 - iii) Payment of rental arrears consists of a one-time payment for up to 6 months of rent in arrears, including any late fees on those arrears.
 - iv) Rental assistance may be tenant-based or project-based
- 4) Rent Restrictions.

- a) Rental assistance cannot be provided unless the rent does not exceed the Fair Market Rent (FMR) established by HUD, as provided under 24 CFR part 888, and complies with HUD's standard of rent reasonableness, as established under 24 CFR 982.507.
 - b) For purposes of calculating rent under this section, the rent shall equal the sum of the total monthly rent for the unit, any fees required for occupancy under the lease (other than late fees and pet fees) and, if the tenant pays separately for utilities, the monthly allowance for utilities (excluding telephone) established by the public housing authority for the area in which the housing is located.
 - c) Rental assistance agreement must state that rental assistance payments will be made only to a landlord or owner with whom the subrecipient has entered into a rental assistance agreement. The rental assistance agreement must set forth the terms under which rental assistance will be provided, including the requirements that apply under this section. The rental assistance agreement must provide that, during the term of the agreement, the landlord/owner must give Front Steps a copy of any notice to the program participant to vacate the housing unit, or any complaint used under state or local law to commence an eviction action against the program participant.
 - d) Late payments. Subrecipients must make timely payments to each landlord/owner in accordance with the rental assistance agreement. The rental assistance agreement must contain the same payment due date, grace period, and late payment penalty requirements as the program participant's lease. The recipient or sub-recipient is solely responsible for paying late payment penalties that it incurs with non-ESG funds.
 - e) Lease. Each program participant receiving rental assistance must have a legally binding, written lease for the rental unit, unless the assistance is solely for rental arrears. The lease must be between the landlord/owner and the program participant. Where the assistance is solely for rental arrears, an oral agreement may be accepted in place of a written lease, if the agreement gives the program participant an enforceable leasehold interest under state law and the agreement and rent owed are sufficiently documented by the owner's financial records, rent ledgers, or canceled checks. For program participants living in housing with project-based rental assistance, the lease must have an initial term of one year.
- 5) All City of Austin ESG-funded Rapid Re-Housing programs will include the following components:
- a) Housing Stability Plan with Exit Strategy
 - b) Progressive Engagement
 - c) Coordination with other HUD-funded programs and regular review the program's progress towards the HUD benchmarks:
 - d) Reducing the length of time program participants spend homeless;
 - e) Exiting households to permanent housing, and
 - f) Limiting returns to homelessness within a year of program exit.
- 6) All of the below items must be completed and checked "Yes" before financial assistance can be provided:
- a) Forms Required:
 - i) Required for housing location, housing stability case management, financial assistance, rental assistance
 - (1) Habitability Standards
 - (2) Lead Hazard Standards
 - (3) Rent-Reasonableness
 - (4) Peer Reviewed ESG Rapid Rehousing Client File Review
 - ii) Required for rental assistance – arrears and ongoing rent (in addition to the above forms)
 - (1) Lease in client's name or a document of the rent payments/financial records
 - (2) Fair market rent calculation
 - (3) Landlord Rental Agreement

V. Reporting Requirements

- 1) Monthly Claims Requests - must be submitted within fifteen (15) calendar days after the reporting month's end with backup uploaded to the City's online contract management system, PartnerGrants, which identify the allowable expenditures incurred under this contract. The backup should be uploaded with the Claims request and should include:
 - a) Contracted agencies: General Ledger
 - b) City Agreements: Grant Cost Report grant costs with appropriate backup from the Digital Express Reports (DXR)
 - c) Rapid Rehousing Financial Assistance programs: backup documentation for all direct financial assistance including a Rapid Rehousing Financial Assistance Checklist to verify that all required forms and steps were completed before releasing financial assistance to clients.
 - d) Current Month Matching Funds:
 - i) ESG- Statement must be submitted monthly in the City's online contract management system, PartnerGrants, along with the monthly Claims report. The contractor is required to expend and document Matching Funds against payments to be received under this Agreement. The Claim cannot be approved unless the Matching Funds statement is included.
 - ii) ESG-CV has no matching fund requirement
 - e) All monthly claims reports must include a copy of the HMIS Universal Data Elements quality report for the month requested with ratings of either "Excellent" or "Acceptable" – if data quality reports fall below minimum standards, payments may be withheld until reports improve to "Excellent" or "Acceptable" ratings
- 2) Quarterly Reporting
 - a) Quarterly performance reports shall be submitted, in a format prescribed by the City, by the 15th calendar day of the month after the quarter end, which identify the activities accomplished under this contract.
 - b) Other performance metrics may be required to align with HUD system-wide performance measures.
 - c) ESG Demographic Report must be submitted within fifteen (15) calendar days after the end of the preceding quarter. The data from this report should be able to be extracted from HMIS. Sections 4-8 and 10 must be completed by all ESG programs that serve clients.
 - d) Quarterly Claims Review – Quarterly, one Claim will be reviewed for complete documentation including but not limited to:
 - i) Timesheets
 - ii) Check stubs, copies of checks
 - iii) Client File Checklists
 - iv) Peer Reviewed Rapid Rehousing Client File Review Form showing that the required documentation for clients served were collected in the correct order
 - v) Client file documentation of eligibility and appropriate housing documentation
 - vi) If issues are found with the quarterly reviews, or if HUD deems it necessary, the Claims Review process will be conducted monthly rather than quarterly
- 3) Annual Close-Out Reporting
 - a) The Federal ESG program year ends on September 30th. At completion of all activities, a Contract Closeout Report must be submitted within 30 days of the end of the contract. The subrecipient is required to supply such information, in such form and format as the City and HUD may require. All records and reports must be made available to any authorized City representative upon request and without prior notice.
 - b) For contracts that contain renewal/extension options, an Annual Progress Report shall be completed using the City's online contract management system by the Grantee and submitted to the City within 60 calendar days following the end of each Program Period identified in Section 4.1.2 of the Contract boilerplate.

- c) For those Agreements that are ending, a Closeout Report shall be completed by the Grantee through the City's online contract management system and submitted to the City within 30 calendar days following the expiration or termination of this Agreement. Any encumbrances of funds incurred prior to the date of termination of this Agreement shall be subject to verification by the City. Upon termination of this Agreement, any unused funds, unobligated funds, rebates, credits, or interest earned on funds received under this Agreement shall be returned to the City.
- d) The Closeout Report must contain all information that the City requires during the normal Contract Close-Out Report or, if the Contract is ongoing but the Program Period had ended, all of the information the City requires for their Contract Progress Report.
- e) All ESG Subrecipients must use HMIS to report on clients served by the ESG program.
- f) All ESG programs are required to submit end of year reporting according to HUD requirements. This may include:
 - i) Providing reports in PartnerGrants earlier than the normal fourth quarter deadline
 - ii) Running and submitting an HMIS report to the HUD database, SAGE.

VI. Program Limitations

- 1) ESG Administration costs are limited to 7.5% of the total ESG allocation.
- 2) ESG Street Outreach and Emergency Shelter costs are limited to the greater of: 60% of the City's 2011-12 ESG grant -or- the amount committed to emergency shelter for the City's 2010-11 ESG allocation.
- 3) Program Income
 - a) ESG - Income derived from any ESG activity must be recorded and reported to APH as program income. Such income may not be retained or disbursed by the subrecipient without written approval from APH and is subject to the same controls and conditions as the Subrecipient's grant allocation.
 - b) ESG-CV Program Income- Because ESG-CV program income cannot be used as match without the ESG matching requirement, HUD is waiving the ESG provisions for program income under 24 CFR 576.2 and 576.407(c)(1) and establishing alternative requirements, as follows:
 - i) Program income is defined as provided by 2 CFR 200.80, except that:
 - (1) Program income includes any amount of a security or utility deposit returned to the recipient or subrecipient, as provided by 24 CFR 576.2; and
 - (2) Costs that are incidental to generating program income and not charged to the ESG-CV grant or subgrant may be deducted from gross income to determine program income, as allowed under 2 CFR 200.307(b).
 - c) As allowed under 2 CFR 200.307(e), program income may be treated as an addition to recipient's grant (or the subrecipient's subgrant, if the income is generated by the subrecipient's activities), provided that the program income is used in accordance with the purposes and conditions of that grant or subgrant. Program income otherwise must be deducted from allowable costs as provided by 2 CFR 200.307(e)(1).
 - 4) ESG funds may not be used for lobbying or for any activities designed to influence legislation at any government level.
 - 5) A church or religious affiliated organization must show secularism when submitting an ESG application.
 - 6) Any ESG funds that are unallocated after the funding cycle will be reprogrammed by APH. Contracts that show three (3) consecutive months of inactivity (as documented by monthly reports or non-submission of required reports) will be reviewed on a case-by-case basis and may be irrevocably canceled.

VII. Performance Standards

ESG-funded programs will report into HMIS and have a high level of data quality specified in Section XII. Homeless Management Information Systems. HMIS data quality is reviewed quarterly by City staff. All data quality is reviewed by the ECHO HMIS Administrator.

Performance measures will be reviewed quarterly by the City of Austin, Austin Public Health Department. Measures will also be reviewed annually by the local Continuum of Care decision-making body, ECHO, during the annual Consolidated Evaluation and Performance Report process.

VIII. Termination Procedures

The following is provided in the case that a client is terminated:

- 1) Written notice to the participant containing a clear statement of the reason for termination.
- 2) A review of the decision, in which the participant is given the opportunity to present written or oral objections before a person other than the person (or subordinate of the person) who made or approved the termination decisions, AND
- 3) Prompt written notification to the program participant.

Accessibility

In order to demonstrate compliance with the Americans with Disabilities Act (ADA) and Section 504 requirements, the following statements must be added to all public notices, advertisements, program applications, program guidelines, program information brochures or packages, and any other material containing general information that is made available to participants, beneficiaries, applicants, or employees:

_____ (insert the name of your organization) as a subrecipient of the City of Austin is committed to compliance with the Americans with Disabilities Act. Reasonable modifications and equal access to communications will be provided upon request. Please call _____ (insert your organization's phone number) (voice) or Relay Texas at 1-800-735-2989 (TDD) for assistance.

_____ (insert the name of your organization) como un subreceptor de la Ciudad de Austin se compromete a cumplir con el Acta de Americanos con Discapacidades. Con solo solicitarlo se proveerán modificaciones e igual acceso a comunicaciones. Para información, favor de llamar a _____ (insert your organization's phone number) (voz) o Relay Texas 1-800-735-2989 (TDD) para asistencia.

Attachment 3: PR-26 CDBG and PR-01 Financial Summary



Office of Community Planning and Development
U.S. Department of Housing and Urban Development
Integrated Disbursement and Information System
PR26 - CDBG Financial Summary Report
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PART I: SUMMARY OF CDBG RESOURCES

01 UNEXPENDED CDBG FUNDS AT END OF PREVIOUS PROGRAM YEAR	0.00
02 ENTITLEMENT GRANT	7,772,037.00
03 SURPLUS URBAN RENEWAL	0.00
04 SECTION 108 GUARANTEED LOAN FUNDS	0.00
05 CURRENT YEAR PROGRAM INCOME	108,120.53
05a CURRENT YEAR SECTION 108 PROGRAM INCOME (FOR SI TYPE)	0.00
06 FUNDS RETURNED TO THE LINE-OF-CREDIT	0.00
06a FUNDS RETURNED TO THE LOCAL CDBG ACCOUNT	0.00
07 ADJUSTMENT TO COMPUTE TOTAL AVAILABLE	0.00
08 TOTAL AVAILABLE (SUM, LINES 01-07)	7,880,157.53

PART II: SUMMARY OF CDBG EXPENDITURES

09 DISBURSEMENTS OTHER THAN SECTION 108 REPAYMENTS AND PLANNING/ADMINISTRATION	8,955,510.26
10 ADJUSTMENT TO COMPUTE TOTAL AMOUNT SUBJECT TO LOW/MOD BENEFIT	0.00
11 AMOUNT SUBJECT TO LOW/MOD BENEFIT (LINE 09 + LINE 10)	8,955,510.26
12 DISBURSED IN IDIS FOR PLANNING/ADMINISTRATION	1,519,129.19
13 DISBURSED IN IDIS FOR SECTION 108 REPAYMENTS	0.00
14 ADJUSTMENT TO COMPUTE TOTAL EXPENDITURES	0.00
15 TOTAL EXPENDITURES (SUM, LINES 11-14)	10,474,639.45
16 UNEXPENDED BALANCE (LINE 08 - LINE 15)	(2,594,481.92)

PART III: LOW/MOD BENEFIT THIS REPORTING PERIOD

17 EXPENDED FOR LOW/MOD HOUSING IN SPECIAL AREAS	0.00
18 EXPENDED FOR LOW/MOD MULTI-UNIT HOUSING	0.00
19 DISBURSED FOR OTHER LOW/MOD ACTIVITIES	2,622,685.92
20 ADJUSTMENT TO COMPUTE TOTAL LOW/MOD CREDIT	0.00
21 TOTAL LOW/MOD CREDIT (SUM, LINES 17-20)	2,622,685.92
22 PERCENT LOW/MOD CREDIT (LINE 21/LINE 11)	29.29%

LOW/MOD BENEFIT FOR MULTI-YEAR CERTIFICATIONS

23 PROGRAM YEARS(PY) COVERED IN CERTIFICATION	PY: PY: PY:
24 CUMULATIVE NET EXPENDITURES SUBJECT TO LOW/MOD BENEFIT CALCULATION	0.00
25 CUMULATIVE EXPENDITURES BENEFITING LOW/MOD PERSONS	0.00
26 PERCENT BENEFIT TO LOW/MOD PERSONS (LINE 25/LINE 24)	0.00%

PART IV: PUBLIC SERVICE (PS) CAP CALCULATIONS

27 DISBURSED IN IDIS FOR PUBLIC SERVICES	986,548.51
28 PS UNLIQUIDATED OBLIGATIONS AT END OF CURRENT PROGRAM YEAR	0.00
29 PS UNLIQUIDATED OBLIGATIONS AT END OF PREVIOUS PROGRAM YEAR	0.00
30 ADJUSTMENT TO COMPUTE TOTAL PS OBLIGATIONS	0.00
31 TOTAL PS OBLIGATIONS (LINE 27 + LINE 28 - LINE 29 + LINE 30)	986,548.51
32 ENTITLEMENT GRANT	7,772,037.00
33 PRIOR YEAR PROGRAM INCOME	339,093.22
34 ADJUSTMENT TO COMPUTE TOTAL SUBJECT TO PS CAP	0.00
35 TOTAL SUBJECT TO PS CAP (SUM, LINES 32-34)	8,111,130.22
36 PERCENT FUNDS OBLIGATED FOR PS ACTIVITIES (LINE 31/LINE 35)	12.16%



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PART V: PLANNING AND ADMINISTRATION (PA) CAP		
37	DISBURSED IN IDIS FOR PLANNING/ADMINISTRATION	1,519,129.19
38	PA UNLIQUIDATED OBLIGATIONS AT END OF CURRENT PROGRAM YEAR	0.00
39	PA UNLIQUIDATED OBLIGATIONS AT END OF PREVIOUS PROGRAM YEAR	0.00
40	ADJUSTMENT TO COMPUTE TOTAL PA OBLIGATIONS	0.00
41	TOTAL PA OBLIGATIONS (LINE 37 + LINE 38 - LINE 39 +LINE 40)	1,519,129.19
42	ENTITLEMENT GRANT	7,772,037.00
43	CURRENT YEAR PROGRAM INCOME	108,120.53
44	ADJUSTMENT TO COMPUTE TOTAL SUBJECT TO PA CAP	0.00
45	TOTAL SUBJECT TO PA CAP (SUM, LINES 42-44)	7,880,157.53
46	PERCENT FUNDS OBLIGATED FOR PA ACTIVITIES (LINE 41/LINE 45)	19.28%



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LINE 17 DETAIL: ACTIVITIES TO CONSIDER IN DETERMINING THE AMOUNT TO ENTER ON LINE 17

Report returned no data.

LINE 18 DETAIL: ACTIVITIES TO CONSIDER IN DETERMINING THE AMOUNT TO ENTER ON LINE 18

Plan Year	IDIS Project	IDIS	Activity	Activity Name	Matrix Code	National Objective	Drawn Amount
2015	23	6270		RHDA - GOVALLE TERRACE - CESAR CHAVEZ FOUNDATION	01	LMH	\$3,990.22
					01	Matrix Code	\$3,990.22
Total							\$3,990.22

LINE 19 DETAIL: ACTIVITIES INCLUDED IN THE COMPUTATION OF LINE 19

Plan Year	IDIS Project	IDIS Activity	Voucher Number	Activity Name	Matrix Code	National Objective	Drawn Amount
2012	1	5933	6355546	A&D -- HABITAT -- MEADOW LAKE 14.6 ACRE ACQUISITION	01	LMH	\$1,289.58
					01	Matrix Code	\$1,289.58
2019	2	6472	6371875	FY 19-20 SENIOR SERVICES	05A	LMC	\$51,592.06
2019	2	6472	6378455	FY 19-20 SENIOR SERVICES	05A	LMC	\$9,329.53
2019	2	6472	6388314	FY 19-20 SENIOR SERVICES	05A	LMC	\$9,329.56
2019	2	6472	6407398	FY 19-20 SENIOR SERVICES	05A	LMC	\$9,442.10
2019	2	6472	6431446	FY 19-20 SENIOR SERVICES	05A	LMC	\$42,997.75
					05A	Matrix Code	\$122,691.00
2019	3	6464	6371875	FY 19-20 YOUTH SERVICES	05D	LMC	\$71,176.01
2019	3	6464	6378455	FY 19-20 YOUTH SERVICES	05D	LMC	\$30,927.03
2019	3	6464	6388314	FY 19-20 YOUTH SERVICES	05D	LMC	\$4,230.00
2019	3	6464	6407398	FY 19-20 YOUTH SERVICES	05D	LMC	\$9,456.65
2019	3	6464	6414604	FY 19-20 YOUTH SERVICES	05D	LMC	\$7,033.13
2019	3	6464	6431446	FY 19-20 YOUTH SERVICES	05D	LMC	\$63,110.06
					05D	Matrix Code	\$185,932.88
2019	14	6471	6371875	FY 19-20 TENANT'S RIGHTS ASSISTANCE	05K	LMC	\$116,519.01
2019	14	6471	6378455	FY 19-20 TENANT'S RIGHTS ASSISTANCE	05K	LMC	\$22,818.83
2019	14	6471	6388314	FY 19-20 TENANT'S RIGHTS ASSISTANCE	05K	LMC	\$20,229.12
2019	14	6471	6398261	FY 19-20 TENANT'S RIGHTS ASSISTANCE	05K	LMC	\$18,985.99
2019	14	6471	6414604	FY 19-20 TENANT'S RIGHTS ASSISTANCE	05K	LMC	\$53,600.07
2019	14	6471	6431446	FY 19-20 TENANT'S RIGHTS ASSISTANCE	05K	LMC	\$52,048.98
					05K	Matrix Code	\$284,202.00
2019	1	6465	6371875	FY 19-20 CHILD CARE SERVICES	05L	LMC	\$294,095.16
2019	1	6465	6378455	FY 19-20 CHILD CARE SERVICES	05L	LMC	\$28,869.97
2019	1	6465	6388314	FY 19-20 CHILD CARE SERVICES	05L	LMC	\$15,794.80
2019	1	6465	6398261	FY 19-20 CHILD CARE SERVICES	05L	LMC	\$16,225.00
2019	1	6465	6407398	FY 19-20 CHILD CARE SERVICES	05L	LMC	\$16,670.28
2019	1	6465	6414604	FY 19-20 CHILD CARE SERVICES	05L	LMC	\$4,387.14
2019	1	6465	6431446	FY 19-20 CHILD CARE SERVICES	05L	LMC	\$17,680.28

Plan Year	IDIS Project	IDIS Activity	Voucher Number	Activity Name	Matrix Code	National Objective	Drawn Amount
					05L	Matrix Code	\$393,722.63
2018	18	6425	6355546	HRLP-8514 MAINE DRIVE	14A	LMH	\$21,200.42
2018	18	6428	6355546	HRLP-6600 CIRCULO DE AMISTAD	14A	LMH	\$15,180.62
2018	18	6428	6371875	HRLP-6600 CIRCULO DE AMISTAD	14A	LMH	\$3,150.33
2018	18	6429	6355546	HRLP- 3400 THOMAS KINCHEON STREET	14A	LMH	\$58,991.06
2018	18	6429	6371875	HRLP- 3400 THOMAS KINCHEON STREET	14A	LMH	\$5,656.66
2018	18	6429	6414604	HRLP- 3400 THOMAS KINCHEON STREET	14A	LMH	\$562.79
2018	18	6431	6355546	HRLP- 912 KOERNER LANE	14A	LMH	\$69,342.33
2018	18	6431	6371875	HRLP- 912 KOERNER LANE	14A	LMH	\$3,000.00
2018	18	6431	6407398	HRLP- 912 KOERNER LANE	14A	LMH	\$4,103.36
2018	18	6432	6355546	HRLP- 2100 WAGON CROSSING PATH	14A	LMH	\$69,139.93
2018	18	6432	6371875	HRLP- 2100 WAGON CROSSING PATH	14A	LMH	\$7,804.24
2018	18	6432	6414604	HRLP- 2100 WAGON CROSSING PATH	14A	LMH	\$562.79
2018	18	6433	6355546	HRLP- 307 SWANEE DRIVE	14A	LMH	\$6,250.69
2018	18	6433	6371875	HRLP- 307 SWANEE DRIVE	14A	LMH	\$72,223.02
2019	15	6469	6371875	FY 19-20 ABR-RENTAL	14A	LMH	\$2,565.28
2019	15	6469	6378455	FY 19-20 ABR-RENTAL	14A	LMH	\$8,030.50
2019	15	6469	6388314	FY 19-20 ABR-RENTAL	14A	LMH	\$841.02
2019	15	6469	6431446	FY 19-20 ABR-RENTAL	14A	LMH	\$13,630.53
2019	17	6468	6355546	FY 19-20 ABR-OWNER	14A	LMH	\$45,872.79
2019	17	6468	6371875	FY 19-20 ABR-OWNER	14A	LMH	\$26,431.07
2019	17	6468	6378455	FY 19-20 ABR-OWNER	14A	LMH	\$18,746.36
2019	17	6468	6388314	FY 19-20 ABR-OWNER	14A	LMH	\$34,193.15
2019	17	6468	6398261	FY 19-20 ABR-OWNER	14A	LMH	\$40,380.98
2019	17	6468	6407398	FY 19-20 ABR-OWNER	14A	LMH	\$28,785.40
2019	17	6468	6414604	FY 19-20 ABR-OWNER	14A	LMH	\$70,714.08
2019	17	6468	6431446	FY 19-20 ABR-OWNER	14A	LMH	\$78,399.95
2019	18	6522	6414604	HRLP- 5916 SIGNAL POINT	14A	LMH	\$1,356.00
2019	18	6522	6431446	HRLP- 5916 SIGNAL POINT	14A	LMH	\$450.00
2019	18	6525	6414604	HRLP- 3312 HEMLOCK AVE	14A	LMH	\$1,566.80
2019	18	6525	6431446	HRLP- 3312 HEMLOCK AVE	14A	LMH	\$3,774.25
2019	18	6530	6431446	HRLP-1406 PENNY STREET	14A	LMH	\$1,894.08
2019	18	6553	6431446	HRLP-5201 KINGS HWY	14A	LMH	\$1,703.30
2019	19	6470	6355546	FY 19-20 MINOR HOME REPAIR	14A	LMH	\$161,072.11
2019	19	6470	6371875	FY 19-20 MINOR HOME REPAIR	14A	LMH	\$110,475.27
2019	19	6470	6378455	FY 19-20 MINOR HOME REPAIR	14A	LMH	\$97,169.73
2019	19	6470	6388314	FY 19-20 MINOR HOME REPAIR	14A	LMH	\$56,888.58
2019	19	6470	6398261	FY 19-20 MINOR HOME REPAIR	14A	LMH	\$81,364.60
2019	19	6470	6407398	FY 19-20 MINOR HOME REPAIR	14A	LMH	\$141,346.09
2019	19	6470	6414604	FY 19-20 MINOR HOME REPAIR	14A	LMH	\$64,877.40
2019	19	6470	6431446	FY 19-20 MINOR HOME REPAIR	14A	LMH	\$55,150.27
					14A	Matrix Code	\$1,484,847.83
2019	24	6463	6371875	FY 19-20 PEOPLEFUND	18A	LMJ	\$137,500.00
2019	24	6463	6378455	FY 19-20 PEOPLEFUND	18A	LMJ	\$12,500.00

Plan Year	IDIS Project	IDIS Activity	Voucher Number	Activity Name	Matrix Code	National Objective	Drawn Amount
Total					18A	Matrix Code	\$150,000.00
							\$2,622,685.92

LINE 27 DETAIL: ACTIVITIES INCLUDED IN THE COMPUTATION OF LINE 27

Plan Year	IDIS Project	IDIS Activity	Voucher Number	Activity to prevent, prepare for, and respond to Coronavirus	Activity Name	Grant Number	Fund Type	Matrix Code	National Objective	Drawn Amount
2019	2	6472	6371875	No	FY 19-20 SENIOR SERVICES	B19MC480500	EN	05A	LMC	\$51,592.06
2019	2	6472	6378455	No	FY 19-20 SENIOR SERVICES	B18MC480500	EN	05A	LMC	\$9,329.53
2019	2	6472	6388314	No	FY 19-20 SENIOR SERVICES	B18MC480500	EN	05A	LMC	\$9,329.56
2019	2	6472	6407398	No	FY 19-20 SENIOR SERVICES	B19MC480500	EN	05A	LMC	\$9,442.10
2019	2	6472	6431446	No	FY 19-20 SENIOR SERVICES	B19MC480500	EN	05A	LMC	\$42,997.75
								05A	Matrix Code	\$122,691.00
2019	3	6464	6371875	No	FY 19-20 YOUTH SERVICES	B19MC480500	EN	05D	LMC	\$71,176.01
2019	3	6464	6378455	No	FY 19-20 YOUTH SERVICES	B18MC480500	EN	05D	LMC	\$30,927.03
2019	3	6464	6388314	No	FY 19-20 YOUTH SERVICES	B18MC480500	EN	05D	LMC	\$4,230.00
2019	3	6464	6407398	No	FY 19-20 YOUTH SERVICES	B19MC480500	EN	05D	LMC	\$9,456.65
2019	3	6464	6414604	No	FY 19-20 YOUTH SERVICES	B19MC480500	EN	05D	LMC	\$7,033.13
2019	3	6464	6431446	No	FY 19-20 YOUTH SERVICES	B19MC480500	EN	05D	LMC	\$63,110.06
								05D	Matrix Code	\$185,932.88
2019	14	6471	6371875	No	FY 19-20 TENANT'S RIGHTS ASSISTANCE	B19MC480500	EN	05K	LMC	\$116,519.01
2019	14	6471	6378455	No	FY 19-20 TENANT'S RIGHTS ASSISTANCE	B18MC480500	EN	05K	LMC	\$22,818.83
2019	14	6471	6388314	No	FY 19-20 TENANT'S RIGHTS ASSISTANCE	B18MC480500	EN	05K	LMC	\$20,229.12
2019	14	6471	6398261	No	FY 19-20 TENANT'S RIGHTS ASSISTANCE	B18MC480500	EN	05K	LMC	\$18,985.99
2019	14	6471	6414604	No	FY 19-20 TENANT'S RIGHTS ASSISTANCE	B19MC480500	EN	05K	LMC	\$53,600.07
2019	14	6471	6431446	No	FY 19-20 TENANT'S RIGHTS ASSISTANCE	B19MC480500	EN	05K	LMC	\$52,048.98
								05K	Matrix Code	\$284,202.00
2019	1	6465	6371875	No	FY 19-20 CHILD CARE SERVICES	B19MC480500	EN	05L	LMC	\$294,095.16
2019	1	6465	6378455	No	FY 19-20 CHILD CARE SERVICES	B18MC480500	EN	05L	LMC	\$28,869.97
2019	1	6465	6388314	No	FY 19-20 CHILD CARE SERVICES	B18MC480500	EN	05L	LMC	\$15,794.80
2019	1	6465	6398261	No	FY 19-20 CHILD CARE SERVICES	B16MC480500	EN	05L	LMC	\$16,225.00
2019	1	6465	6407398	No	FY 19-20 CHILD CARE SERVICES	B19MC480500	EN	05L	LMC	\$16,670.28
2019	1	6465	6414604	No	FY 19-20 CHILD CARE SERVICES	B19MC480500	EN	05L	LMC	\$4,387.14
2019	1	6465	6431446	No	FY 19-20 CHILD CARE SERVICES	B19MC480500	EN	05L	LMC	\$17,680.28
								05L	Matrix Code	\$393,722.63
Total				No	Activity to prevent, prepare for, and respond to Coronavirus					\$986,548.51
										\$986,548.51

LINE 37 DETAIL: ACTIVITIES INCLUDED IN THE COMPUTATION OF LINE 37



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Plan Year	IDIS Project	IDIS Activity	Voucher Number	Activity Name	Matrix Code	National Objective	Drawn Amount
2019	29	6491	6355546	FY 19-20 CDBG ADMIN	21A		\$243,472.92
2019	29	6491	6371875	FY 19-20 CDBG ADMIN	21A		\$279,787.90
2019	29	6491	6378455	FY 19-20 CDBG ADMIN	21A		\$212,588.50
2019	29	6491	6388314	FY 19-20 CDBG ADMIN	21A		\$152,380.66
2019	29	6491	6398261	FY 19-20 CDBG ADMIN	21A		\$118,130.17
2019	29	6491	6407398	FY 19-20 CDBG ADMIN	21A		\$167,199.13
2019	29	6491	6414604	FY 19-20 CDBG ADMIN	21A		\$134,849.49
2019	29	6491	6431446	FY 19-20 CDBG ADMIN	21A		\$210,720.42
Total					21A	Matrix Code	\$1,519,129.19
							\$1,519,129.19

U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT
OFFICE OF COMMUNITY PLANNING AND DEVELOPMENT
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IDIS

Program	Fund Type	Grantee Name	Grantee State Code	Grant Year	Grant Number	Authorized Amount	Suballocated Amount	Amount Committed to Activities	Net Drawn Amount	FY YTD Net Draw Amount	Available to Commit	Available to Draw	Recapture Amount
CDBG	EN	AUSTIN	TX	1988	B88MC480500	\$4,738,000.00	\$0.00	\$4,738,000.00	\$4,738,000.00	\$0.00	\$0.00	\$0.00	\$0.00
				1989	B89MC480500	\$4,927,000.00	\$0.00	\$4,927,000.00	\$4,927,000.00	\$0.00	\$0.00	\$0.00	\$0.00
				1990	B90MC480500	\$4,676,000.00	\$0.00	\$4,676,000.00	\$4,676,000.00	\$0.00	\$0.00	\$0.00	\$0.00
				1991	B91MC480500	\$5,225,000.00	\$0.00	\$5,225,000.00	\$5,225,000.00	\$0.00	\$0.00	\$0.00	\$0.00
				1992	B92MC480500	\$5,501,000.00	\$0.00	\$5,501,000.00	\$5,501,000.00	\$0.00	\$0.00	\$0.00	\$0.00
				1993	B93MC480500	\$7,254,000.00	\$0.00	\$7,254,000.00	\$7,254,000.00	\$0.00	\$0.00	\$0.00	\$0.00
				1994	B94MC480500	\$7,889,000.00	\$0.00	\$7,889,000.00	\$7,889,000.00	\$0.00	\$0.00	\$0.00	\$0.00
				1995	B95MC480500	\$8,563,000.00	\$0.00	\$8,563,000.00	\$8,563,000.00	\$0.00	\$0.00	\$0.00	\$0.00
				1996	B96MC480500	\$8,381,000.00	\$0.00	\$8,381,000.00	\$8,381,000.00	\$0.00	\$0.00	\$0.00	\$0.00
				1997	B97MC480500	\$8,259,000.00	\$0.00	\$8,259,000.00	\$8,259,000.00	\$0.00	\$0.00	\$0.00	\$0.00
				1998	B98MC480500	\$8,057,000.00	\$0.00	\$8,057,000.00	\$8,057,000.00	\$0.00	\$0.00	\$0.00	\$0.00
				1999	B99MC480500	\$8,105,000.00	\$0.00	\$8,105,000.00	\$8,105,000.00	\$0.00	\$0.00	\$0.00	\$0.00
				2000	B00MC480500	\$8,093,000.00	\$0.00	\$8,093,000.00	\$8,093,000.00	\$0.00	\$0.00	\$0.00	\$0.00
				2001	B01MC480500	\$8,508,000.00	\$0.00	\$8,508,000.00	\$8,508,000.00	\$0.00	\$0.00	\$0.00	\$0.00
				2002	B02MC480500	\$8,500,000.00	\$0.00	\$8,500,000.00	\$8,500,000.00	\$0.00	\$0.00	\$0.00	\$0.00
				2003	B03MC480500	\$9,176,000.00	\$0.00	\$9,176,000.00	\$9,176,000.00	\$0.00	\$0.00	\$0.00	\$0.00
				2004	B04MC480500	\$8,967,000.00	\$0.00	\$8,967,000.00	\$8,967,000.00	\$0.00	\$0.00	\$0.00	\$0.00
				2005	B05MC480500	\$8,476,947.00	\$0.00	\$8,476,947.00	\$8,476,947.00	\$0.00	\$0.00	\$0.00	\$0.00
				2006	B06MC480500	\$7,631,041.00	\$0.00	\$7,631,041.00	\$7,631,041.00	\$0.00	\$0.00	\$0.00	\$0.00
				2007	B07MC480500	\$7,618,132.00	\$0.00	\$7,618,132.00	\$7,618,132.00	\$0.00	\$0.00	\$0.00	\$0.00
				2008	B08MC480500	\$7,374,683.00	\$0.00	\$7,374,683.00	\$7,374,683.00	\$0.00	\$0.00	\$0.00	\$0.00
				2009	B09MC480500	\$7,522,791.00	\$0.00	\$7,522,791.00	\$7,522,791.00	\$0.00	\$0.00	\$0.00	\$0.00
				2010	B10MC480500	\$8,157,148.00	\$0.00	\$8,157,148.00	\$8,157,148.00	\$0.00	\$0.00	\$0.00	\$0.00
				2011	B11MC480500	\$6,877,946.00	\$0.00	\$6,877,946.00	\$6,877,946.00	\$0.00	\$0.00	\$0.00	\$0.00
				2012	B12MC480500	\$6,692,838.00	\$0.00	\$6,692,838.00	\$6,692,838.00	\$0.00	\$0.00	\$0.00	\$0.00
				2013	B13MC480500	\$7,185,072.00	\$0.00	\$7,185,072.00	\$7,185,072.00	\$0.00	\$0.00	\$0.00	\$0.00
				2014	B14MC480500	\$6,983,366.00	\$0.00	\$6,983,366.00	\$6,761,115.20	(\$222,250.80)	\$0.00	\$222,250.80	\$0.00
				2015	B15MC480500	\$7,078,382.00	\$0.00	\$7,078,382.00	\$7,053,817.68	(\$24,564.32)	\$0.00	\$24,564.32	\$0.00
				2016	B16MC480500	\$7,115,474.00	\$0.00	\$7,115,474.00	\$7,054,105.48	(\$61,368.52)	\$0.00	\$61,368.52	\$0.00
				2017	B17MC480500	\$7,195,728.00	\$0.00	\$7,195,728.00	\$7,188,550.29	(\$7,177.71)	\$0.00	\$7,177.71	\$0.00
				2018	B18MC480500	\$7,895,853.00	\$0.00	\$7,895,853.00	\$7,851,609.45	\$223,428.44	\$0.00	\$44,243.55	\$0.00
				2019	B19MC480500	\$7,772,037.00	\$0.00	\$5,190,812.14	\$5,035,320.66	\$310,309.80	\$2,581,224.86	\$2,736,716.34	\$0.00
				2020	B20MC480500	\$7,853,495.00	\$0.00	\$0.00	\$0.00	\$0.00	\$7,853,495.00	\$7,853,495.00	\$0.00
				AUSTIN Subtotal:		\$244,249,933.00	\$0.00	\$233,815,213.14	\$233,300,116.76	\$218,376.89	\$10,434,719.86	\$10,949,816.24	\$0.00
				EN Subtotal:		\$244,249,933.00	\$0.00	\$233,815,213.14	\$233,300,116.76	\$218,376.89	\$10,434,719.86	\$10,949,816.24	\$0.00
SL	EN	AUSTIN	TX	1994	B94MC480500	\$955,000.00	\$0.00	\$0.00	\$0.00	\$0.00	\$955,000.00	\$955,000.00	\$0.00
					B94MC480500-A	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
					B94MC480500-A-OLD	\$9,035,000.00	\$0.00	\$0.00	\$0.00	\$0.00	\$9,035,000.00	\$9,035,000.00	\$0.00
					B94MC480500-OLD	\$7,830,000.00	\$0.00	\$0.00	\$0.00	\$0.00	\$7,830,000.00	\$7,830,000.00	\$0.00
				2001	B01MC480500	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
					B01MC480500-A	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
					B01MC480500-A-OLD	\$2,000,000.00	\$0.00	\$0.00	\$0.00	\$0.00	\$2,000,000.00	\$2,000,000.00	\$0.00
					B01MC480500-OLD	\$6,030,000.00	\$0.00	\$0.00	\$0.00	\$0.00	\$6,030,000.00	\$6,030,000.00	\$0.00
				2005	B05MC480500	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
					B05MC480500-OLD	\$3,000,000.00	\$0.00	\$3,000,000.00	\$2,898,556.00	\$0.00	\$0.00	\$101,444.00	\$0.00
				2010	B10MC480500	\$8,000,000.00	\$0.00	\$1,858,010.00	\$1,858,010.00	\$0.00	\$6,141,990.00	\$6,141,990.00	\$0.00
				AUSTIN Subtotal:		\$36,850,000.00	\$0.00	\$4,858,010.00	\$4,756,566.00	\$0.00	\$31,991,990.00	\$32,093,434.00	\$0.00
				SL Subtotal:		\$36,850,000.00	\$0.00	\$4,858,010.00	\$4,756,566.00	\$0.00	\$31,991,990.00	\$32,093,434.00	\$0.00
SI	EN	AUSTIN	TX	2017	B10MC480500	\$14,601.95	\$0.00	\$0.00	\$0.00	\$0.00	\$14,601.95	\$14,601.95	\$0.00
				AUSTIN Subtotal:		\$14,601.95	\$0.00	\$0.00	\$0.00	\$0.00	\$14,601.95	\$14,601.95	\$0.00

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CDBG	SI	SI Subtotal:				\$14,601.95	\$0.00	\$0.00	\$0.00	\$0.00	\$14,601.95	\$14,601.95	\$0.00
	RL	AUSTIN	TX	2001	B01MC480500	\$181,135.07	\$0.00	\$181,135.07	\$181,135.07	\$0.00	\$0.00	\$0.00	\$0.00
				2002	B02MC480500	\$260,402.57	\$0.00	\$260,402.57	\$260,402.57	\$0.00	\$0.00	\$0.00	\$0.00
				2003	B03MC480500	\$634,674.16	\$0.00	\$634,674.16	\$634,674.16	\$0.00	\$0.00	\$0.00	\$0.00
				2004	B04MC480500	\$426,008.56	\$0.00	\$426,008.56	\$426,008.56	\$0.00	\$0.00	\$0.00	\$0.00
				2005	B05MC480500	\$530,135.25	\$0.00	\$530,135.25	\$530,135.25	\$0.00	\$0.00	\$0.00	\$0.00
				2006	B06MC480500	\$785,559.42	\$0.00	\$785,559.42	\$785,559.42	\$0.00	\$0.00	\$0.00	\$0.00
				2007	B07MC480500	\$538,155.08	\$0.00	\$538,155.08	\$538,155.08	\$0.00	\$0.00	\$0.00	\$0.00
				2008	B08MC480500	\$416,622.94	\$0.00	\$416,622.94	\$416,622.94	\$0.00	\$0.00	\$0.00	\$0.00
				2009	B09MC480500	\$341,827.11	\$0.00	\$341,827.11	\$341,827.11	\$0.00	\$0.00	\$0.00	\$0.00
				2010	B10MC480500	\$124,838.10	\$0.00	\$124,838.10	\$124,838.10	\$0.00	\$0.00	\$0.00	\$0.00
				2011	B11MC480500	\$268,144.27	\$0.00	\$268,144.27	\$268,144.27	\$0.00	\$0.00	\$0.00	\$0.00
				2012	B12MC480500	\$409,497.24	\$0.00	\$409,497.24	\$409,497.24	\$0.00	\$0.00	\$0.00	\$0.00
				2013	B13MC480500	\$222,311.27	\$0.00	\$222,311.27	\$222,311.27	\$0.00	\$0.00	\$0.00	\$0.00
				2014	B14MC480500	\$215,383.64	\$0.00	\$215,383.64	\$215,383.64	\$0.00	\$0.00	\$0.00	\$0.00
				2015	B15MC480500	\$86,677.95	\$0.00	\$86,677.95	\$86,677.95	\$0.00	\$0.00	\$0.00	\$0.00
				2016	B16MC480500	\$135,617.30	\$0.00	\$135,617.30	\$135,617.30	\$0.00	\$0.00	\$0.00	\$0.00
				2017	B17MC480500	\$97,506.08	\$0.00	\$97,506.08	\$97,506.08	\$0.00	\$0.00	\$0.00	\$0.00
				2018	B18MC480500	\$297,093.24	\$0.00	\$297,093.24	\$297,093.24	\$7,821.63	\$0.00	\$0.00	\$0.00
				2019	B19MC480500	\$108,120.53	\$0.00	\$108,120.53	\$108,120.53	\$0.00	\$0.00	\$0.00	\$0.00
				2020	B20MC480500	\$132,303.26	\$0.00	\$89,247.84	\$89,247.84	\$0.00	\$43,055.42	\$43,055.42	\$0.00
		AUSTIN Subtotal:				\$6,212,013.04	\$0.00	\$6,168,957.62	\$6,168,957.62	\$7,821.63	\$43,055.42	\$43,055.42	\$0.00
PI		RL Subtotal:				\$6,212,013.04	\$0.00	\$6,168,957.62	\$6,168,957.62	\$7,821.63	\$43,055.42	\$43,055.42	\$0.00
		AUSTIN	TX	1999	B99MC480500	\$567,388.00	\$0.00	\$567,388.00	\$567,388.00	\$0.00	\$0.00	\$0.00	\$0.00
				2000	B00MC480500	\$629,881.00	\$0.00	\$629,881.00	\$629,881.00	\$0.00	\$0.00	\$0.00	\$0.00
				2001	B01MC480500	\$7,210,814.10	\$0.00	\$7,210,814.10	\$7,210,814.10	\$0.00	\$0.00	\$0.00	\$0.00
				2002	B02MC480500	\$1,258,950.10	\$0.00	\$1,258,950.10	\$1,258,950.10	\$0.00	\$0.00	\$0.00	\$0.00
				2003	B03MC480500	\$1,174,010.18	\$0.00	\$1,174,010.18	\$1,174,010.18	\$0.00	\$0.00	\$0.00	\$0.00
				2004	B04MC480500	\$266,971.84	\$0.00	\$266,971.84	\$266,971.84	\$0.00	\$0.00	\$0.00	\$0.00
				2005	B05MC480500	\$162,340.86	\$0.00	\$162,340.86	\$162,340.86	\$0.00	\$0.00	\$0.00	\$0.00
				2006	B06MC480500	\$484,343.66	\$0.00	\$484,343.66	\$484,343.66	\$0.00	\$0.00	\$0.00	\$0.00
				2007	B07MC480500	\$75,833.29	\$0.00	\$75,833.29	\$75,833.29	\$0.00	\$0.00	\$0.00	\$0.00
				2008	B08MC480500	\$84,138.71	\$0.00	\$84,138.71	\$84,138.71	\$0.00	\$0.00	\$0.00	\$0.00
				2009	B09MC480500	\$70,166.63	\$0.00	\$70,166.63	\$70,166.63	\$0.00	\$0.00	\$0.00	\$0.00
				2010	B10MC480500	\$85,321.72	\$0.00	\$85,321.72	\$85,321.72	\$0.00	\$0.00	\$0.00	\$0.00
				2011	B11MC480500	\$64,206.63	\$0.00	\$64,206.63	\$64,206.63	\$0.00	\$0.00	\$0.00	\$0.00
				2012	B12MC480500	\$323,847.69	\$0.00	\$323,847.69	\$323,847.69	\$0.00	\$0.00	\$0.00	\$0.00
				2013	B13MC480500	\$69,999.96	\$0.00	\$69,999.96	\$69,999.96	\$0.00	\$0.00	\$0.00	\$0.00
				2014	B14MC480500	\$69,999.96	\$0.00	\$69,999.96	\$69,999.96	\$0.00	\$0.00	\$0.00	\$0.00
				2015	B15MC480500	\$175,142.57	\$0.00	\$175,142.57	\$175,142.57	\$0.00	\$0.00	\$0.00	\$0.00
				2016	B16MC480500	\$76,580.63	\$0.00	\$76,580.63	\$76,580.63	\$0.00	\$0.00	\$0.00	\$0.00
				2017	B17MC480500	\$69,999.96	\$0.00	\$69,999.96	\$69,999.96	\$0.00	\$0.00	\$0.00	\$0.00
				2018	B18MC480500	\$41,999.98	\$0.00	\$41,999.98	\$41,999.98	\$0.00	\$0.00	\$0.00	\$0.00
				2019	B19MC480500	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
		AUSTIN Subtotal:				\$12,961,937.47	\$0.00	\$12,961,937.47	\$12,961,937.47	\$0.00	\$0.00	\$0.00	\$0.00
LA		PI Subtotal:				\$12,961,937.47	\$0.00	\$12,961,937.47	\$12,961,937.47	\$0.00	\$0.00	\$0.00	\$0.00
		AUSTIN	TX	2014	B14MC480500	\$290.00	\$0.00	\$290.00	\$290.00	\$0.00	\$0.00	\$0.00	\$0.00
				2015	B15MC480500	\$397.00	\$0.00	\$397.00	\$397.00	\$0.00	\$0.00	\$0.00	\$0.00
				2016	B16MC480500	\$6,350.08	\$0.00	\$6,350.08	\$6,350.08	\$0.00	\$0.00	\$0.00	\$0.00
				2017	B17MC480500	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00

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Program	Fund Type	Grantee Name	Grantee State Code	Grant Year	Grant Number	Authorized Amount	Suballocated Amount	Amount Committed to Activities	Net Drawn Amount	FY YTD Net Draw Amount	Available to Commit	Available to Draw	Recapture Amount
CDBG	LA	AUSTIN	TX	AUSTIN Subtotal:		\$7,037.08	\$0.00	\$7,037.08	\$7,037.08	\$0.00	\$0.00	\$0.00	\$0.00
		LA Subtotal:				\$7,037.08	\$0.00	\$7,037.08	\$7,037.08	\$0.00	\$0.00	\$0.00	\$0.00
CDBG-R	EN	AUSTIN	TX	2009	B09MY480500	\$2,003,003.00	\$0.00	\$2,003,003.00	\$2,003,003.00	\$0.00	\$0.00	\$0.00	\$0.00
		AUSTIN Subtotal:				\$2,003,003.00	\$0.00	\$2,003,003.00	\$2,003,003.00	\$0.00	\$0.00	\$0.00	\$0.00
		EN Subtotal:				\$2,003,003.00	\$0.00	\$2,003,003.00	\$2,003,003.00	\$0.00	\$0.00	\$0.00	\$0.00
ESG	EN	AUSTIN	TX	1989	S89MC480500	\$78,000.00	\$0.00	\$78,000.00	\$78,000.00	\$0.00	\$0.00	\$0.00	\$0.00
				1990	S90MC480500	\$123,000.00	\$0.00	\$123,000.00	\$123,000.00	\$0.00	\$0.00	\$0.00	\$0.00
				1991	S91MC480500	\$120,000.00	\$0.00	\$120,000.00	\$120,000.00	\$0.00	\$0.00	\$0.00	\$0.00
				1992	S92MC480500	\$120,000.00	\$0.00	\$120,000.00	\$120,000.00	\$0.00	\$0.00	\$0.00	\$0.00
				1993	S93MC480500	\$81,000.00	\$0.00	\$81,000.00	\$81,000.00	\$0.00	\$0.00	\$0.00	\$0.00
				1994	S94MC480500	\$211,623.00	\$0.00	\$211,623.00	\$211,623.00	\$0.00	\$0.00	\$0.00	\$0.00
				1995	S95MC480500	\$283,102.63	\$0.00	\$283,102.63	\$283,102.63	\$0.00	\$0.00	\$0.00	\$0.00
				1996	S96MC480500	\$217,000.00	\$0.00	\$217,000.00	\$217,000.00	\$0.00	\$0.00	\$0.00	\$0.00
				1997	S97MC480500	\$218,000.00	\$0.00	\$218,000.00	\$218,000.00	\$0.00	\$0.00	\$0.00	\$0.00
				1998	S98MC480500	\$316,000.00	\$0.00	\$316,000.00	\$316,000.00	\$0.00	\$0.00	\$0.00	\$0.00
				1999	S99MC480500	\$288,000.00	\$0.00	\$288,000.00	\$288,000.00	\$0.00	\$0.00	\$0.00	\$0.00
				2000	S00MC480500	\$287,000.00	\$0.00	\$287,000.00	\$287,000.00	\$0.00	\$0.00	\$0.00	\$0.00
				2001	S01MC480500	\$285,000.00	\$0.00	\$285,000.00	\$285,000.00	\$0.00	\$0.00	\$0.00	\$0.00
				2002	S02MC480500	\$290,000.00	\$0.00	\$290,000.00	\$290,000.00	\$0.00	\$0.00	\$0.00	\$0.00
				2003	S03MC480500	\$291,000.00	\$0.00	\$291,000.00	\$291,000.00	\$0.00	\$0.00	\$0.00	\$0.00
				2004	S04MC480500	\$335,653.00	\$0.00	\$335,653.00	\$335,653.00	\$0.00	\$0.00	\$0.00	\$0.00
				2005	S05MC480500	\$327,973.00	\$0.00	\$327,973.00	\$327,973.00	\$0.00	\$0.00	\$0.00	\$0.00
				2006	S06MC480500	\$326,062.00	\$0.00	\$326,062.00	\$326,062.00	\$0.00	\$0.00	\$0.00	\$0.00
				2007	S07MC480500	\$329,116.00	\$0.00	\$329,116.00	\$329,116.00	\$0.00	\$0.00	\$0.00	\$0.00
				2008	S08MC480500	\$328,238.00	\$0.00	\$328,238.00	\$328,238.00	\$0.00	\$0.00	\$0.00	\$0.00
				2009	S09MC480500	\$328,346.00	\$0.00	\$328,346.00	\$328,346.00	\$0.00	\$0.00	\$0.00	\$0.00
				2010	S10MC480500	\$330,444.00	\$0.00	\$330,444.00	\$330,444.00	\$0.00	\$0.00	\$0.00	\$0.00
		AUSTIN Subtotal:				\$5,514,557.63	\$0.00	\$5,514,557.63	\$5,514,557.63	\$0.00	\$0.00	\$0.00	\$0.00
		EN Subtotal:				\$5,514,557.63	\$0.00	\$5,514,557.63	\$5,514,557.63	\$0.00	\$0.00	\$0.00	\$0.00
HOME	EN	AUSTIN	TX	1992	M92MC480500	\$2,868,000.00	\$717,000.00	\$2,151,000.00	\$2,151,000.00	\$0.00	\$0.00	\$0.00	\$0.00
				1993	M93MC480500	\$1,865,000.00	\$1,506,250.00	\$358,750.00	\$358,750.00	\$0.00	\$0.00	\$0.00	\$0.00
				1994	M94MC480500	\$2,409,000.00	\$1,478,250.00	\$930,750.00	\$930,750.00	\$0.00	\$0.00	\$0.00	\$0.00
				1995	M95MC480500	\$2,588,000.00	\$1,701,000.00	\$887,000.00	\$887,000.00	\$0.00	\$0.00	\$0.00	\$0.00
				1996	M96MC480500	\$2,781,000.00	\$994,590.00	\$1,786,410.00	\$1,786,410.00	\$0.00	\$0.00	\$0.00	\$0.00
				1997	M97MC480500	\$2,723,000.00	\$1,445,191.62	\$1,277,808.38	\$1,277,808.38	\$0.00	\$0.00	\$0.00	\$0.00
				1998	M98MC480500	\$2,918,000.00	\$1,234,172.90	\$1,683,827.10	\$1,683,827.10	\$0.00	\$0.00	\$0.00	\$0.00
				1999	M99MC480500	\$3,137,000.00	\$3,137,000.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
				2000	M00MC480500	\$3,146,000.00	\$3,146,000.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
				2001	M01MC480500	\$3,508,000.00	\$3,508,000.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
				2002	M02MC480500	\$3,501,000.00	\$3,292,154.20	\$208,845.80	\$208,845.80	\$0.00	\$0.00	\$0.00	\$0.00
				2003	M03MC480500	\$4,700,178.00	\$4,632,915.36	\$67,262.64	\$67,262.64	\$0.00	\$0.00	\$0.00	\$0.00
				2004	M04MC480500	\$5,341,048.00	\$5,341,048.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
				2005	M05MC480500	\$4,731,100.00	\$4,731,100.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
				2006	M06MC480500	\$4,358,773.00	\$4,358,668.45	\$104.55	\$104.55	\$0.00	\$0.00	\$0.00	\$0.00
				2007	M07MC480500	\$4,327,459.00	\$4,205,326.14	\$122,132.86	\$122,132.86	\$0.00	\$0.00	\$0.00	\$0.00
				2008	M08MC480500	\$4,140,778.00	\$4,135,107.01	\$5,670.99	\$5,670.99	\$0.00	\$0.00	\$0.00	\$0.00
				2009	M09MC480500	\$4,553,167.00	\$4,550,355.23	\$2,811.77	\$2,811.77	\$0.00	\$0.00	\$0.00	\$0.00
				2010	M10MC480500	\$4,531,817.00	\$4,246,235.94	\$285,581.06	\$285,581.06	\$0.00	\$0.00	\$0.00	\$0.00
				2011	M11MC480500	\$4,017,139.00	\$3,459,142.64	\$557,996.36	\$557,996.36	\$0.00	\$0.00	\$0.00	\$0.00
				2012	M12MC480500	\$2,429,177.00	\$2,261,379.37	\$167,797.63	\$167,797.63	\$0.00	\$0.00	\$0.00	\$0.00

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HOME	EN	AUSTIN	TX	2013	M13MC480500	\$2,527,120.00	\$2,375,791.17	\$151,328.83	\$151,328.83	\$0.00	\$0.00	\$0.00	\$0.00
				2014	M14MC480500	\$2,686,764.00	\$2,622,213.04	\$64,550.96	\$64,550.96	\$0.00	\$0.00	\$0.00	\$0.00
				2015	M15MC480500	\$2,433,108.00	\$2,433,108.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
				2016	M16MC480500	\$2,612,058.00	\$2,612,058.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
				2017	M17MC480500	\$2,546,781.00	\$2,546,781.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
				2018	M18MC480500	\$3,428,034.00	\$3,428,034.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
				2019	M19MC480500	\$3,031,606.00	\$3,031,606.00	\$0.00	(\$29,130.00)	(\$29,130.00)	\$0.00	\$29,130.00	\$0.00
				2020	M20MC480500	\$3,177,508.00	\$794,481.85	\$0.00	\$0.00	\$0.00	\$2,383,026.15	\$2,383,026.15	\$0.00
				AUSTIN Subtotal:		\$97,017,615.00	\$83,924,959.92	\$10,709,628.93	\$10,680,498.93	(\$29,130.00)	\$2,383,026.15	\$2,412,156.15	\$0.00
				EN Subtotal:		\$97,017,615.00	\$83,924,959.92	\$10,709,628.93	\$10,680,498.93	(\$29,130.00)	\$2,383,026.15	\$2,412,156.15	\$0.00
	PI	AUSTIN	TX	1996	M96MC480500	\$67,231.00	\$0.00	\$67,231.00	\$67,231.00	\$0.00	\$0.00	\$0.00	\$0.00
				1997	M97MC480500	\$41,213.70	\$0.00	\$41,213.70	\$41,213.70	\$0.00	\$0.00	\$0.00	\$0.00
				1998	M98MC480500	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
				1999	M99MC480500	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
				2001	M01MC480500	\$5,080,583.73	\$0.00	\$5,080,583.73	\$5,080,583.73	\$0.00	\$0.00	\$0.00	\$0.00
				2002	M02MC480500	\$1,805,081.80	\$0.00	\$1,805,081.80	\$1,805,081.80	\$0.00	\$0.00	\$0.00	\$0.00
				2003	M03MC480500	\$990,148.77	\$0.00	\$990,148.77	\$990,148.77	\$0.00	\$0.00	\$0.00	\$0.00
				2004	M04MC480500	\$977,873.84	\$0.00	\$977,873.84	\$977,873.84	\$0.00	\$0.00	\$0.00	\$0.00
				2005	M05MC480500	\$1,014,075.82	\$0.00	\$1,014,075.82	\$1,014,075.82	\$0.00	\$0.00	\$0.00	\$0.00
				2006	M06MC480500	\$974,354.61	\$0.00	\$974,354.61	\$974,354.61	\$0.00	\$0.00	\$0.00	\$0.00
				2007	M07MC480500	\$1,070,469.91	\$0.00	\$1,070,469.91	\$1,070,469.91	\$0.00	\$0.00	\$0.00	\$0.00
				2008	M08MC480500	\$722,395.80	\$0.00	\$722,395.80	\$722,395.80	\$0.00	\$0.00	\$0.00	\$0.00
				2009	M09MC480500	\$632,109.98	\$0.00	\$632,109.98	\$632,109.98	\$0.00	\$0.00	\$0.00	\$0.00
				2010	M10MC480500	\$460,172.29	\$221.69	\$459,950.60	\$459,950.60	\$0.00	\$0.00	\$0.00	\$0.00
				2011	M11MC480500	\$368,708.67	\$43.72	\$368,664.95	\$368,664.95	\$0.00	\$0.00	\$0.00	\$0.00
				2012	M12MC480500	\$665,336.41	\$57,886.02	\$607,450.39	\$607,450.39	\$0.00	\$0.00	\$0.00	\$0.00
				2013	M13MC480500	\$946,583.68	\$46,900.57	\$899,683.11	\$899,683.11	\$0.00	\$0.00	\$0.00	\$0.00
				2014	M14MC480500	\$1,080,827.02	\$42,617.23	\$1,038,209.79	\$1,038,209.79	\$0.00	\$0.00	\$0.00	\$0.00
				2015	M15MC480500	\$1,773,313.00	\$166,272.57	\$1,607,040.43	\$1,607,040.43	\$0.00	\$0.00	\$0.00	\$0.00
				2016	M16MC480500	\$695,584.31	\$67,440.75	\$628,143.56	\$628,143.56	\$0.00	\$0.00	\$0.00	\$0.00
				2017	M17MC480500	\$796,122.10	\$79,612.21	\$716,509.89	\$716,509.89	\$0.00	\$0.00	\$0.00	\$0.00
				2018	M18MC480500	\$527,289.49	\$52,728.95	\$474,560.54	\$474,560.54	\$0.00	\$0.00	\$0.00	\$0.00
				2019	M19MC480500	\$845,864.42	\$82,496.16	\$762,197.26	\$762,197.26	\$0.00	\$1,171.00	\$1,171.00	\$0.00
				2020	M20MC480500	\$55,352.05	\$0.00	\$0.00	\$0.00	\$0.00	\$55,352.05	\$55,352.05	\$0.00
				AUSTIN Subtotal:		\$21,590,692.40	\$596,219.87	\$20,937,949.48	\$20,937,949.48	\$0.00	\$56,523.05	\$56,523.05	\$0.00
				PI Subtotal:		\$21,590,692.40	\$596,219.87	\$20,937,949.48	\$20,937,949.48	\$0.00	\$56,523.05	\$56,523.05	\$0.00
PA	AUSTIN	TX	2010	M10MC480500	\$221.69	\$0.00	\$221.69	\$221.69	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
			2011	M11MC480500	\$43.72	\$0.00	\$43.72	\$43.72	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
			2012	M12MC480500	\$57,886.02	\$0.00	\$57,886.02	\$57,886.02	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
			2013	M13MC480500	\$46,900.57	\$0.00	\$46,900.57	\$46,900.57	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
			2014	M14MC480500	\$42,617.23	\$0.00	\$42,617.23	\$42,617.23	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
			2015	M15MC480500	\$166,272.57	\$0.00	\$166,272.57	\$166,272.57	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
			2016	M16MC480500	\$67,440.75	\$0.00	\$67,440.75	\$67,440.75	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
			2017	M17MC480500	\$79,612.21	\$0.00	\$79,612.21	\$79,612.21	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
			2018	M18MC480500	\$52,728.95	\$0.00	\$52,728.95	\$52,728.95	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
			2019	M19MC480500	\$82,496.16	\$0.00	\$82,496.16	\$82,496.16	\$130.00	\$0.00	\$0.00	\$0.00	\$0.00
			AUSTIN Subtotal:		\$596,219.87	\$0.00	\$596,219.87	\$596,219.87	\$130.00	\$0.00	\$0.00	\$0.00	\$0.00
			PA Subtotal:		\$596,219.87	\$0.00	\$596,219.87	\$596,219.87	\$130.00	\$0.00	\$0.00	\$0.00	\$0.00
IU	AUSTIN	TX	2016	M16MC480500	\$5,012.31	\$0.00	\$5,012.31	\$5,012.31	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
			2017	M17MC480500	\$105,955.64	\$0.00	\$105,955.64	\$105,955.64	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00

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HOME	IU	AUSTIN	TX	2018	M18MC480500	\$1,123.00	\$0.00	\$1,123.00	\$1,123.00	\$0.00	\$0.00	\$0.00	\$0.00
				AUSTIN Subtotal:		\$112,090.95	\$0.00	\$112,090.95	\$112,090.95	\$0.00	\$0.00	\$0.00	\$0.00
		IU Subtotal:				\$112,090.95	\$0.00	\$112,090.95	\$112,090.95	\$0.00	\$0.00	\$0.00	\$0.00
	HP	AUSTIN	TX	2015	M15MC480500	\$652,182.90	\$0.00	\$652,182.90	\$652,182.90	\$0.00	\$0.00	\$0.00	\$0.00
				2016	M16MC480500	\$715,927.24	\$0.00	\$715,927.24	\$715,927.24	\$0.00	\$0.00	\$0.00	\$0.00
				2017	M17MC480500	\$432,511.52	\$0.00	\$432,511.52	\$432,511.52	\$0.00	\$0.00	\$0.00	\$0.00
				2018	M18MC480500	\$466,530.70	\$0.00	\$466,530.70	\$466,530.70	\$0.00	\$0.00	\$0.00	\$0.00
				2019	M19MC480500	\$365,051.72	\$0.00	\$365,051.72	\$365,051.72	\$0.00	\$0.00	\$0.00	\$0.00
				2020	M20MC480500	\$66,654.43	\$0.00	\$0.00	\$0.00	\$0.00	\$66,654.43	\$66,654.43	\$0.00
				AUSTIN Subtotal:		\$2,698,858.51	\$0.00	\$2,632,204.08	\$2,632,204.08	\$0.00	\$66,654.43	\$66,654.43	\$0.00
		HP Subtotal:				\$2,698,858.51	\$0.00	\$2,632,204.08	\$2,632,204.08	\$0.00	\$66,654.43	\$66,654.43	\$0.00
HOPWA	EN	AUSTIN	TX	1995	TX59H95F049	\$965,000.00	\$0.00	\$965,000.00	\$965,000.00	\$0.00	\$0.00	\$0.00	\$0.00
				1996	TX59H96F057	\$623,000.00	\$0.00	\$623,000.00	\$623,000.00	\$0.00	\$0.00	\$0.00	\$0.00
				1997	TX59H97F061	\$704,000.00	\$0.00	\$704,000.00	\$704,000.00	\$0.00	\$0.00	\$0.00	\$0.00
				1998	TX59H98F004	\$711,000.00	\$0.00	\$711,000.00	\$711,000.00	\$0.00	\$0.00	\$0.00	\$0.00
				1999	TX59H99F004	\$767,000.00	\$0.00	\$767,000.00	\$767,000.00	\$0.00	\$0.00	\$0.00	\$0.00
				2000	TXH00F004	\$787,000.00	\$0.00	\$787,000.00	\$787,000.00	\$0.00	\$0.00	\$0.00	\$0.00
				2001	TXH01F004	\$1,202,000.00	\$0.00	\$1,202,000.00	\$1,202,000.00	\$0.00	\$0.00	\$0.00	\$0.00
				2002	TXH02F004	\$948,000.00	\$0.00	\$948,000.00	\$948,000.00	\$0.00	\$0.00	\$0.00	\$0.00
				2003	TXH03F004	\$988,000.00	\$0.00	\$988,000.00	\$988,000.00	\$0.00	\$0.00	\$0.00	\$0.00
				2004	TXH04F004	\$988,000.00	\$0.00	\$988,000.00	\$988,000.00	\$0.00	\$0.00	\$0.00	\$0.00
				2005	TXH05F004	\$931,000.00	\$0.00	\$931,000.00	\$931,000.00	\$0.00	\$0.00	\$0.00	\$0.00
				2006	TXH06F004	\$940,000.00	\$0.00	\$940,000.00	\$940,000.00	\$0.00	\$0.00	\$0.00	\$0.00
				2007	TXH07F004	\$947,000.00	\$0.00	\$947,000.00	\$947,000.00	\$0.00	\$0.00	\$0.00	\$0.00
				2008	TXH08F004	\$987,000.00	\$0.00	\$987,000.00	\$987,000.00	\$0.00	\$0.00	\$0.00	\$0.00
				2009	TXH09F004	\$1,029,086.00	\$0.00	\$1,029,086.00	\$1,029,086.00	\$0.00	\$0.00	\$0.00	\$0.00
				2010	TXH10F004	\$1,103,927.00	\$0.00	\$1,103,927.00	\$1,103,927.00	\$0.00	\$0.00	\$0.00	\$0.00
				2011	TXH11F004	\$1,096,976.00	\$0.00	\$1,096,976.00	\$1,096,976.00	\$0.00	\$0.00	\$0.00	\$0.00
				2012	TXH12F004	\$1,100,219.00	\$0.00	\$1,100,219.00	\$1,100,219.00	\$0.00	\$0.00	\$0.00	\$0.00
				2013	TXH13F004	\$1,048,348.00	\$0.00	\$1,048,348.00	\$1,048,348.00	\$0.00	\$0.00	\$0.00	\$0.00
				2014	TXH14F004	\$1,112,389.99	\$0.00	\$1,112,389.99	\$1,112,389.99	\$0.00	\$0.00	\$0.00	\$0.00
				2015	TXH15F004	\$1,117,794.00	\$0.00	\$1,117,794.00	\$1,117,794.00	\$0.00	\$0.00	\$0.00	\$0.00
				2016	TXH16F004	\$1,138,204.00	\$0.00	\$1,138,204.00	\$1,138,204.00	\$0.00	\$0.00	\$0.00	\$0.00
				2017	TXH17F004	\$1,296,948.00	\$0.00	\$1,296,948.00	\$1,296,948.00	\$0.00	\$0.00	\$0.00	\$0.00
				2018	TXH18F004	\$1,469,160.00	\$0.00	\$1,469,160.00	\$1,469,160.00	\$0.00	\$0.00	\$0.00	\$0.00
				2019	TXH19F004	\$1,659,729.00	\$0.00	\$1,659,729.00	\$1,481,943.22	\$422,771.99	\$0.00	\$177,785.78	\$0.00
				2020	TXH20F004	\$1,869,497.00	\$0.00	\$1,869,497.00	\$84,003.75	\$47,229.09	\$0.00	\$1,785,493.25	\$0.00
				AUSTIN Subtotal:		\$27,530,277.99	\$0.00	\$27,530,277.99	\$25,566,998.96	\$470,001.08	\$0.00	\$1,963,279.03	\$0.00
		EN Subtotal:				\$27,530,277.99	\$0.00	\$27,530,277.99	\$25,566,998.96	\$470,001.08	\$0.00	\$1,963,279.03	\$0.00
	PI	AUSTIN	TX	2015	TXH15F004	\$453.34	\$0.00	\$453.34	\$453.34	\$0.00	\$0.00	\$0.00	\$0.00
				AUSTIN Subtotal:		\$453.34	\$0.00	\$453.34	\$453.34	\$0.00	\$0.00	\$0.00	\$0.00
		PI Subtotal:				\$453.34	\$0.00	\$453.34	\$453.34	\$0.00	\$0.00	\$0.00	\$0.00
HPRP	EN	AUSTIN	TX	2009	S09MY480500	\$3,062,820.00	\$0.00	\$3,062,820.00	\$3,062,820.00	\$0.00	\$0.00	\$0.00	\$0.00
				AUSTIN Subtotal:		\$3,062,820.00	\$0.00	\$3,062,820.00	\$3,062,820.00	\$0.00	\$0.00	\$0.00	\$0.00
		EN Subtotal:				\$3,062,820.00	\$0.00	\$3,062,820.00	\$3,062,820.00	\$0.00	\$0.00	\$0.00	\$0.00
GRANTEE						\$460,422,111.23	\$84,521,179.79	\$330,910,360.58	\$328,301,411.17	\$667,199.60	\$44,990,570.86	\$47,599,520.27	\$0.00

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CDBG	EN	AUSTIN	TX	2020	B20MW480500	\$4,620,659.00	\$0.00	\$0.00	\$0.00	\$0.00	\$4,620,659.00	\$4,620,659.00	\$0.00
					AUSTIN Subtotal:	\$4,620,659.00	\$0.00	\$0.00	\$0.00	\$0.00	\$4,620,659.00	\$4,620,659.00	\$0.00
		EN Subtotal:				\$4,620,659.00	\$0.00	\$0.00	\$0.00	\$0.00	\$4,620,659.00	\$4,620,659.00	\$0.00
HOPWA	EN	AUSTIN	TX	2020	TXH20FW004	\$272,065.00	\$0.00	\$272,065.00	\$209,135.22	\$187,179.17	\$0.00	\$62,929.78	\$0.00
					AUSTIN Subtotal:	\$272,065.00	\$0.00	\$272,065.00	\$209,135.22	\$187,179.17	\$0.00	\$62,929.78	\$0.00
		EN Subtotal:				\$272,065.00	\$0.00	\$272,065.00	\$209,135.22	\$187,179.17	\$0.00	\$62,929.78	\$0.00
GRANTEE						\$4,892,724.00	\$0.00	\$272,065.00	\$209,135.22	\$187,179.17	\$4,620,659.00	\$4,683,588.78	\$0.00

Attachment 4: Citizen Participation Plan and Public Notices



CITY OF AUSTIN CITIZEN PARTICIPATION PLAN

A. PURPOSE

Participating Jurisdictions (PJs) that receive U.S. Department of Housing and Urban Development (HUD) entitlement grant funds must develop a Citizen Participation Plan (CPP). The CPP describes efforts that will be undertaken to encourage community members to participate in the development of the City's federal reports: Assessment of Fair Housing (AFH), Consolidated Plan, Annual Action Plan, and the Consolidated Annual Performance and Evaluation Report (CAPER).

The CPP is designed to encourage the participation of city residents in the development of the federal reports listed above, particularly those residents who are predominantly low- and moderate-income. For purposes of CDBG funding, a resident is considered to be low-income if their family income equals 50% or less of median family income (MFI), as estimated by HUD. A person is considered to be moderate-income if their family income is between 50% and 80% of MFI. Predominately low-to moderate-income neighborhoods are defined as any neighborhood where at least 51% of the residents have incomes equal to or below 80% of the MFI for any given year. The determination of whether a neighborhood meets the low-to moderate income definition is made by the City at the time a project of area-wide benefit is funded based on current data provided by HUD. The CPP also encourages local and regional institutions and other organizations (including businesses, developers, and community and faith-based organizations) to participate in the process of developing and implementing the Consolidated Plan and related reports. The City takes appropriate actions to encourage the participation of persons of minority backgrounds, persons with limited-English proficiency, and persons with disabilities.

It is important to note that HUD's use of the statutory term "citizen participation" does not in any way limit engagement and consultation with community members based on legal status or country of origin. Any person that resides in the Austin metro area is encouraged to provide input about the federal reporting process as outlined in this CPP.

The City of Austin is committed to compliance with the Americans with Disabilities Act (ADA) and Section 504 of the Rehabilitation Act of 1973, as amended. Reasonable modifications and equal access to communications will be provided upon request. The City of Austin does not discriminate on the basis of disability in the admission or access to, or treatment or employment in its programs and activities.

The City of Austin considers it the right of all Austin's residents to have the opportunity to provide input and comment on the use of public funds and the community's needs related to affordable housing and community and economic development.



The CPP applies to six areas of planning for the use of affordable housing, community and economic development made possible through HUD funding:

- 1) Assessment of Fair Housing (AFH);
- 2) The Consolidated Plan;
- 3) The Annual Action Plan;
- 4) The Consolidated Annual Performance and Evaluation Report (CAPER);
- 5) Substantial amendments to a Consolidated Plan and/or Annual Action Plan; and
- 6) Amendments to the CPP.

The City of Austin's program/fiscal year begins October 1 and ends September 30. In order to receive entitlement grant funding, HUD requires jurisdictions to submit a Consolidated Plan every five years. This plan is a comprehensive strategic plan for community planning and development activities. The Annual Action Plan serves as the City's application for these HUD grant programs. Federal law also requires that community members have opportunities to review and comment on the local jurisdiction's plans to allocate these funds.

The purpose of programs that are covered by this CPP is to improve the Austin community by providing: decent housing, a suitable living environment, and growing economic opportunities – all principally for low- and moderate- income households (as defined in Section A).

This document outlines how members of the Austin community may participate in the six planning areas listed above. General requirements for all or most activities are described in detail in Section E of the CPP.

B. HUD PROGRAMS

The City of Austin receives four entitlement grants from HUD, to help address the City's affordable housing, community and economic development needs. The four grant programs are described below:

1. ***Community Development Block Grant Program (CDBG):*** Title I of the Housing and Community Development Act of 1974 (PL 93-383) created the CDBG program. It was reauthorized in 1990 as part of the Cranston-Gonzalez National Affordable Housing Act. The primary objective of the CDBG program is to develop viable urban communities by providing decent housing and a suitable living environment, and by expanding economic development opportunities for persons of low- and moderate income. The City develops locally defined programs and funding priorities for CDBG, but activities must address one or more of the national objectives of the CDBG program. The three national objectives are: (1) to benefit low- and moderate- income persons; (2) to aid in the prevention or elimination of slums or blight; and/or (3) to meet other urgent community development needs. The City of Austin's



CDBG program emphasizes activities that directly benefit low-and moderate-income persons.

2. ***HOME Investment Partnerships Program (HOME)***: HOME was introduced in the Cranston-Gonzalez National Affordable Housing Act of 1990 and provides funding for housing rehabilitation, new housing construction, acquisition of affordable housing, and tenant-based rental assistance. A portion of the funds (15 percent) must be set aside for community housing development organizations (CHDOs) that are certified by the City of Austin.
3. ***Emergency Solutions Grant (ESG)***: The ESG Program is authorized by the Steward B. McKinney Homeless Assistance Act of 1987 and was amended by the Homeless Emergency Assistance and Rapid Transition to Housing (HEARTH) Act of 2009. ESG has six objectives: 1) Engage homeless individuals and families living on the street; 2) Improve the number and quality of emergency shelters for homeless individuals and families; 3) Help operate these shelters; 4) Provide essential services to shelter residents; 5) Rapidly re-house homeless individuals and families; and 6) Prevent families and individuals from becoming homeless.
4. ***Housing Opportunities for Persons with AIDS (HOPWA)***: HOPWA funds may be used to assist housing designed to meet the needs of persons with HIV/AIDS, including the prevention of homelessness. Supportive services may also be included. HOPWA grants are allocated to Eligible Metropolitan Statistical Areas (EMSAs) with a high incidence of HIV/AIDS. The City of Austin receives a HOPWA grant on behalf of a five-county EMSA (Bastrop, Hays, Travis, Williamson, and Caldwell Counties).

C. LEAD and RESPONSIBLE AGENCIES

The Neighborhood Housing and Community Development Department (NHCD) is designated by the Austin City Council as the single point of contact for HUD, and is the lead agency for CDBG, HOME, HOPWA, and ESG grant programs. NHCD administers the CDBG affordable housing and community development programs and the HOME programs; Austin Public Health (APH) administers the CDBG Public Services, HOPWA, and ESG programs; and the Economic Development Department (EDD) administers the CDBG economic development programs and the Section 108 Family Business Loan Program (FBLP).

As the lead agency, NHCD is responsible for developing the Consolidated, Annual Action Plans, and the Consolidated Annual Performance and Evaluation Report (CAPER). NHCD coordinates with APH, EDD, boards and commissions, and other community agencies to develop these documents. Needs and priorities for funding for the ESG and HOPWA grants are developed by APH in consultation with community agencies.



D. PLANNING ACTIVITIES SUBJECT TO CITIZEN PARTICIPATION PLAN

ACTIVITY 1 – ASSESSMENT OF FAIR HOUSING. The Assessment of Fair Housing (AFH) is a planning document prepared in accordance with HUD regulations at 24 CFR 91.105 and 24 CFR 5.150 through 5.166, which became effective June 30, 2015. This AFH includes an analysis of fair housing data, assesses fair housing issues and contributing factors, and identifies the City’s fair housing priorities and goals for affirmatively furthering fair housing.

1. **Stakeholder Consultation and Outreach.** In the development of the AFH, the City will consult with other public and private agencies including, but not limited to, the following:
 - Local public housing authorities
 - Other assisted housing providers
 - Social service providers including those focusing on services to minorities, families with children, the elderly, persons with disabilities, persons with HIV/AIDS and their families, homeless persons, and other protected classes
 - Community-based and regionally based organizations that represent protected class members and organizations that enforce fair housing laws
 - Regional government agencies involved in metropolitan-wide planning and transportation responsibilities
 - Financial and lending sector partners
 - Businesses or organizations that specialize in broadband access, especially for low-to moderate-income households
 - Organizations that specialize in resilience and disaster recovery

A variety of mechanisms may be utilized to solicit input from these entities. These could include telephone or personal interviews, mail surveys, internet-based feedback and surveys, focus groups, and/or consultation workshops.

2. **Publishing Data.** City staff shall make any proposed analysis and the relevant documents, including the HUD-provided data and any other data to be included in the AFH, available to the public in a manner that affords diverse residents and others the opportunity to examine the content.
3. **Public Hearing.** To obtain the views of the general public on AFH-related data and affirmatively furthering fair housing in the City’s housing and community development programs, the City will conduct at least one public hearing before the Community Development Commission (CDC) during the development of the AFH.
4. **Public Display and Comment Period.** The draft AFH will be placed on display in physical and online form for a period of no less than 30 calendar days to encourage public review and comment. The public notice shall include a brief summary of the content and purpose of the draft AFH, the dates of the public display and comment period, the locations where copies of the proposed document can be examined, how comments will be accepted, and the anticipated submission date to HUD. The draft AFH will be made available at public libraries, public housing



authorities, neighborhood centers, at NHCD's office, and on the NHCD web site (www.austintexas.gov/housing). In addition, upon request, federal reports will be provided in a form accessible to persons with disabilities.

5. **Comments Received on the Draft Assessment of Fair Housing.** Comments will be accepted by the City contact person, or a designee, during the 30-day public comment period. The City will consider any comments or views received in writing, or orally during public hearings. A summary of these comments or views, and a summary of any comments or views not accepted and the reasons why, will be attached to the final AFH for submission to HUD.
6. **Submission to HUD.** All written or oral testimony will be considered in preparation of the final AFH. The AFH will be submitted to HUD 270 days before the Consolidated Plan is due.
7. **Revisions to AFH.** A HUD-accepted AFH must be revised and submitted to HUD for review when either of the following situations occurs:
 - a. A material change occurs. A material change is one that both;
 - i) impacts the circumstances in the City which may include natural disasters, significant demographic changes, new significant contributing factors, and civil rights findings and,
 - ii) causes alterations to the AFH's analyses, contributing factors, priorities, and/or goals.
 - b. The City receives a written notification from HUD specifying a material change.

Whenever a revision to the Assessment of Fair Housing is proposed, the revision will be available for public comment for a period of thirty (30) days before submission to HUD. A revision to the Assessment of Fair Housing will not be implemented until the conclusion of the 30-day public comment period. A summary of all comments or views received in writing, or orally during the comment period will be attached to the revision upon submission to HUD.

ACTIVITY 2 – CONSOLIDATED PLAN. The City of Austin's Consolidated Plan is developed through a collaborative process whereby the community establishes a unified vision for Austin's affordable housing, and community and economic development initiatives.

Community member participation is an essential component in the development of the Consolidated Plan, including amending the plan as well as reporting on program performance. Consultations, public hearings, community meetings, surveys and opportunities to provide written comment are all a part of the strategy to obtain community member input. The City will make special efforts to solicit the views of community members who reside in the designated CDBG-priority neighborhoods of Austin, and to encourage the participation of all community members including minorities, the non-English speaking population, and persons with disabilities. Actions for public participation in the Consolidated Plan follow:

1. **Consultations with Other Community Institutions.** In developing the Consolidated Plan, the City will consult with public and private agencies, both for-profit and non-profits that either provide or have direct impact on the broad range of housing, health, and social services needed by Austin residents. Consultations may take place through meetings, task forces or committees, or other means with which to coordinate information and facilitate communication. The purpose of these meetings is to gather information and data on the community and economic development needs of the community. The City will seek specific input to identify the needs of persons experiencing



homelessness, persons living with HIV/AIDS and their families, persons with disabilities and other special populations.

2. **Utilize Quantitative and Qualitative Data on Community Needs.** City staff shall review relevant data and conduct necessary evaluation and analysis to provide an accurate assessment of community needs and priorities on which to base strategic recommendations.
3. **Initial Public Hearings.** There will be a minimum of two public hearings at the beginning stages of the development of the Consolidated Plan before the Community Development Commission (CDC) in order to gather information on community needs from community members. The CDC are policy advisers to the City who are either appointed by the City Council or elected at the neighborhood-level to represent low-income households. There will be two more hearings sponsored by organizations working with low- and moderate-income populations. An additional hearing will be held before the City Council. Based on public testimony received, the CDC will make recommendations to City Council on the community needs.
4. **Written Comments.** Based on public input and quantitative analysis, City staff will prepare a draft Consolidated Plan, which includes proposed allocations of first-year funding. A period of 30 calendar days will be provided to receive written comments on the draft Consolidated Plan. The draft plan will be made available at public libraries, public housing authorities, neighborhood centers, at NHCD's office, and on the NHCD web site (www.austintexas.gov/housing). In addition, upon request, federal reports will be provided in a form accessible to persons with disabilities.
5. **Draft Consolidated Plan Public Hearings.** There will be a public hearing held before the City Council to receive oral public comments on the draft. An additional hearing will be held before the Community Development Commission (CDC). These hearings will be scheduled during the 30- day written comment period on the draft plan. The CDC will be given the opportunity to make recommendations to Council on the draft Consolidated Plan/Action Plan.
6. **Final Action on the Consolidated Plan.** All written or oral testimony provided will be considered in preparing the final Consolidated Plan. A summary of testimony received, and the City's reasons for accepting or not accepting the comments must be included in the final document. The City Council will consider these comments, CDC recommendations, and the recommendations of the City Manager before taking final action on the Consolidated Plan. Final action by the City Council will occur no sooner than fifteen calendar days following the second City Council public hearing on the draft plan. When approved by City Council, the Consolidated Plan will be submitted to HUD by no later than August 15 each year.



ACTIVITY 3 – ONE-YEAR ACTION PLAN. Each year the City must submit an annual Action Plan to HUD, reporting on how that year’s funding allocation for the four HUD entitlement grants will be used to achieve the goals outlined in the Consolidated Plan.

1. City staff will gather input from community members and from community consultations to prepare the draft Action Plan and report progress on the Fair Housing Action Plan. There shall be two public hearings: one before the Community Development Commission (CDC) and one before the City Council to receive community member input on the community needs, including funding allocations.
2. City staff will gather public input and statistical data to prepare the draft Action Plan. A draft Action Plan will be available for 30 days for public comment after reasonable notice to the public is given.
3. During this comment period, the CDC and the City Council shall conduct two additional public hearings to receive public comments on the draft Action Plan and Consolidated Plan, if it is during a Consolidated Planning year.
4. The CDC will be given the opportunity to make recommendations to the City Council prior to its final action.
5. Final action by the City Council will occur no sooner than fifteen calendar days following the second Council public hearing on the draft Action Plan.
6. When approved by City Council, the Action Plan will be submitted to HUD.

ACTIVITY 4 – SUBSTANTIAL AMENDMENTS TO CONSOLIDATED/ACTION PLAN. Recognizing that changes during the year may be necessary to the Consolidated Plan and Annual Action Plan after approval, the Citizen Participation Plan allows for “substantial amendments” to plans. These “substantial amendments” apply only to changes in CDBG funding allocations. Changes in funding allocation for other HUD grant programs received by the City of Austin – HOME, ESG, and HOPWA – are not required to secure public review and comment. The CPP defines a substantial amendment as:

- A proposed use of CDBG funds that does not address a need identified in the governing Consolidated Plan or annual Action Plan; or
- A change in the use of CDBG funds from one eligible program to another. The eligible programs defined in the City of Austin’s investment plan either fall into the category of “Housing” or “Community Development.”
- A cumulative change in the use of CDBG funds from an eligible activity to another eligible activity that decreases an activity’s funding by 10% or more OR increases an activity’s funding by 10% or more during fiscal year. An activity is defined as a high priority need identified in the Consolidated Plan that is eligible for funding in the Action Plan.



In the event that there are substantial amendments to the governing Consolidated Plan or annual Action Plan:

1. The City will draft the amendment and publish a brief summary of the proposed substantial amendment(s) and identify where the amendment(s) may be viewed
2. After reasonable notice, there will be a 30-day written public comment period
3. During the 30-day comment period, the City Council shall receive oral comments in public hearings.
4. The CDC will be given the opportunity to make recommendations to City Council prior to its final action.
5. Upon approval by Council, the substantial amendment will be posted in the official City Council minutes and available online and in the City Clerk's office. Final action by the City Council will occur no sooner than fifteen calendar days next following the second Council public hearing on the draft plan.

Disaster Response and Recovery Funds

In the event of a declared city-wide, state-wide or national emergency (as proclaimed by the Mayor, Governor, or President of the United States), it may be necessary for the City to apply for new emergency grant funding from HUD, such as CDBG-Disaster Recovery grants, or any other grants issued to jurisdictions in the event of a disaster which requires an urgent response to address the needs of the community. In order to expedite the distribution of emergency funding during a disaster response situation the City Council, City Manager, or HUD may determine it is necessary to waive certain substantial amendment provisions described in Section D, Activity 4 or certain General Requirements Provisions described in Section E. Any such waiver is subject to the requirements of the respective emergency funding appropriation.

HUD's criteria used to qualify local "urgent needs" e.g., events of "particular urgency" because existing conditions pose a serious and immediate threat to the health or welfare of the community for which other funding is not available can be found at 24 CFR 570.483(d). To comply with the national objective of meeting community development needs having a particular urgency, and that a project will alleviate existing conditions which:

1. Pose a serious and immediate threat to the health and welfare of the community;
2. Are of recent origin or recently became critical within 18 months preceding the certification by the grantee;
3. Are unable to be financed by the City on its own; and
4. Other funding resources are not available to completely carry out the activity.

In the event of a disaster that requires a response to address local urgent needs, the City will:

1. Notify HUD at least five (5) days before implementing changes or amendments to the Consolidated Plan and/or Action Plan; and
2. Make reasonable efforts to provide the public opportunity to comment on changes and amendments to the Consolidated Plan and/or Action Plan.



These actions do not require the City to comply with all of the substantial amendment provisions related to the citizen participation requirements described in Section D, Activity 4 or all of the General Requirements Provisions described in Section E.

HUD Waiver Process

Upon determination of good cause, HUD has the authority to waive certain regulatory provisions of the CDBG, HOME, ESG, and HOPWA programs subject to statutory limits. The City reserves the right to submit waiver notifications to HUD when expedited assistance is offered through programs covered by the Consolidated Plan. Following completion of a waiver process as determined by HUD, the City may carry through actions as prescribed by the approved waiver determinations authorized by HUD.

ACTIVITY 5 – CONSOLIDATED ANNUAL PERFORMANCE AND EVALUATION REPORT (CAPER).

The City is required to submit annually by December 30 a CAPER to HUD that describes the City's progress in meeting the goals in the Consolidated Plan.

1. City staff prepares the draft CAPER.
2. After reasonable notice is provided, the CAPER is available for 15 days for written public comment.
3. The final CAPER and public comments will be submitted to HUD.
4. The CAPER and public comments will be presented at a CDC meeting.

ACTIVITY 6 – AMENDMENTS TO CITIZEN PARTICIPATION PLAN. The City will review the Citizen Participation Plan (CPP) at least every 5 years for potential enhancement or modification; this review will occur as a component of the Consolidated Planning process. In the event that changes to the CPP are necessary, City staff shall draft them.

1. After reasonable notice, these will be available to the public for 15 days for written comment.
2. The CDC and City Council shall each hold a public hearing to receive oral public comments on the proposed change.
3. The CDC will be given the opportunity to make recommendations to City Council prior to its final action.
4. Upon approval by City Council, the substantial amendment will be posted in the official City Council minutes and available online on the NHCD web site (www.austintexas.gov/housing) and in the City Clerk's office.

E. GENERAL REQUIREMENTS

The City of Austin is committed to compliance with the Americans with Disabilities Act (ADA) and Section 504 of the Rehabilitation Act of 1973, as amended. Reasonable modifications and equal access to communications will be provided upon request. The City of Austin does not discriminate on the basis of disability in the admission or access to, or treatment or employment in, its programs and activities.

In the event of a disaster which requires an urgent response to address the needs of the community as described in the Disaster Recovery and Response section, the City will make reasonable efforts to provide the public opportunity to comment on federal reports.



1. **Public Hearings.** Public hearings before the Austin City Council, the Community Development Commission (CDC), and other appropriate community organizations will be advertised in accordance with the guidelines outlined in the notification section below. The purpose of public hearings is to provide an opportunity for community members, public agencies, and other interested parties to provide input on the City of Austin's affordable housing, community and economic development needs. Public hearings will be held in locations accessible to low- and moderate- income residents and persons with disabilities. Spanish translation and interpretation for individuals with hearing impairments will be provided upon request.
2. **Public Meetings.** Public meetings of the Austin City Council, Community Development Commission (CDC), and other boards and commissions overseeing HUD-funded programs provide opportunities for community member participation and comment on a continuous basis. Public meeting notices are posted at the Office of the City Clerk at least three days (72 hours), prior to the meeting date, in accordance with the Texas Open Meetings Act. Public meetings are held in locations accessible to persons with disabilities. Spanish translation and interpretation for individuals with hearing impairments will be provided upon request.

Notification. The Neighborhood Housing and Community Development (NHCD) Department will provide the community advance notice of public hearings and/or public comment periods. The notice will be provided at least two weeks prior to the public hearing date and the start date of comment periods.

Related to the CPP specified federal documents, NHCD will provide public notifications by utilizing City of Austin publications and media (television, print, electronic) that will maximize use of City resources and reach an increased number of Austin residents by direct mail. Related to federal publications referenced above, NHCD will notify the public about public hearings, comment periods, public meetings, and additional opportunities for public feedback through communications outlets that are designed to increase public participation and generate quantifiable feedback/results. NHCD will utilize the following notification mechanisms as available: City of Austin utility bill inserts; City of Austin website; and Channel 6, the municipally owned cable channel. In addition, NHCD will use other available media (print, electronic, television) to promote public feedback opportunities. Notifications will be published in English and Spanish.

NHCD will coordinate with the Community Development Commission, Urban Renewal Agency, other governmental agencies, public housing authorities, key stakeholders, and the general public during the development of the Assessment of Fair Housing, Consolidated Plan and an annual Action Plan.

3. **Document Access.** Copies of all planning documents, including the following federal reports: City's Citizen Participation Plan (CPP), Assessment of Fair Housing, Consolidated Plan, annual Action Plan, and the Consolidated Annual Performance and Evaluation Report (CAPER), will be available to the public upon request. Community members will have the opportunity to review and comment on applicable federal reports in draft form prior to final adoption by the Austin City Council. These documents will be made available at public libraries, public housing



authorities, certain neighborhood centers, at the NHCD office, and on the NHCD website (www.austintexas.gov/housing). In addition, upon request, federal reports will be provided in a form accessible to persons with disabilities.

4. **Access to Records.** The City will provide community members, public agencies, and other interested parties reasonable and timely access to information and records relating to the Citizen Participation Plan (CPP), Assessment of Fair Housing, Consolidated Plan, annual Action Plan, and CAPER, and the City's use of assistance under the four entitlement grant programs, as stated in the Texas Public Information Act and the Freedom of Information Act.
5. **Technical Assistance.** The City will provide technical assistance upon request and to the extent resources are available to groups or individuals that need assistance in preparing funding proposals, provided that the level of technical assistance does not constitute a violation of federal or local rules or regulations. The provision of technical assistance does not involve re-assignment of City staff to the proposed project or group, or the use of City equipment, nor does technical assistance guarantee an award of funds.

F. COMMUNITY MEMBERS' COMPLAINTS

Written complaints related to NHCD's programs and activities funded through entitlement grant funding may be directed to the Neighborhood Housing and Community Development (NHCD) Department. A timely, written, and substantive response to the complainant will be prepared within 15 working days of receipt of the complaint by NHCD. If a response cannot be prepared within the 15-day period, the complainant will be notified of the approximate date a response will be provided. Written complaints must include the complainant's name, address, and zip code. A daytime telephone number should also be included in the event further information or clarification is needed. Complaints should be addressed as follows:

Neighborhood Housing and Community Development Department
Attn: Director
City of Austin
P.O. Box 1088
Austin, Texas 78767

If the response is not sufficient, an appeal may be directed to the City Manager, and a written response will be provided within 30 days. An appeal should be addressed as follows:

City Manager's Office
Attn: City Manager
P.O. Box 1088
Austin, Texas 78767



G. CITY OF AUSTIN'S RESIDENTIAL ANTI-DISPLACEMENT AND RELOCATION ASSISTANCE PLAN

The City of Austin does not anticipate any displacement to occur as a result of any HUD-funded activities. All programs will be carried out in such a manner as to safeguard that no displacement occurs. However, in the event that a project involving displacement is mandated in order to address a concern for the general public's health and welfare, the City of Austin will take the following steps:

1. A public hearing will be held to allow interested community members an opportunity to comment on the proposed project and voice any concerns regarding possible relocation. Notice of the public hearing/meeting will be made as per the procedure noted in Section E - General Requirements section of the Citizen Participation Plan.
2. In the event that a project involving displacement is pursued, the City of Austin will contact each person/household/business in the project area and/or hold public meetings, depending on the project size; inform persons of the project and their rights under the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, and respond to any questions or concerns.
3. Relocation assistance will be provided in adherence with the City's Project Relocation Plan and the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended.

The City's Anti-Displacement and Relocation Assistance Plan may be viewed in NHCD's Action Plan submitted annually to HUD. The document is available online at www.austintexas.gov/housing.

The City of Austin is committed to compliance with the Americans with Disabilities Act. Reasonable modifications and equal access to communications will be provided upon request. For assistance, please call 512-974-3100; TTY users' route through Relay Texas at 711.



Home » FY 2019-20 Consolidated Performance and Evaluation Report (CAPER)

FY 2019-20 Consolidated Performance and Evaluation Report (CAPER)



DRAFT FY 2019-20 CAPER TO BE AVAILABLE FOR REVIEW FEBRUARY 24, 2021 TO MARCH 11, 2021

The City of Austin Housing and Planning (HPD) Department announces a 15-day public comment period to receive public comments on its Draft FY 2019-20 Consolidated Performance and Evaluation Report (CAPER). HPD receives the following grant entitlement funds annually from the U.S. Department of Housing and Urban Development (HUD) for affordable housing, community development, economic development and public services: Community Development Block Grant (CDBG), HOME Investment Partnership Program, Emergency Solutions Grant (ESG), and Housing Opportunities for Persons with AIDS (HOPWA).

Participating cities are required to develop a CAPER annually. The CAPER assesses the city's progress and the effectiveness of its performance related to its federally funded activities during FY 2019-20, and the achievement of its overall strategies, objectives, and priorities outlined in the FY 2019-24 Consolidated Plan. The Draft FY 2019-20 CAPER will be available for review during the public comment period. The city will submit this report to HUD prior to April 1, 2021.

Public Comment Period Has Closed

The public is invited to review the Draft FY 2019-20 CAPER during the public comment period, February 24, 2021, through March 11, 2021.

All written comments are included in the Consolidated Performance and Evaluation Report (CAPER); therefore **your comments will be included as part of the public record**. Austin City Council, as well as the general public, will have access to these comments and feedback about affordable housing, community development, economic development, and public services. If you do not wish to have your comments disclosed, please notify the Housing & Planning Department at HPD@austintexas.gov or by phone at 512-974-3100.

EL PROYECTO DE CAPER PARA EL AF 2019-20 ESTARÁ DISPONIBLE PARA REVISIÓN DEL 24 DE FEBRERO DE 2021 AL 11 DE MARZO DE 2021

El Departamento de Vivienda y Planificación de la Ciudad de Austin (HPD) anuncia un período de comentarios públicos de 15 días para recibir comentarios del público sobre su Borrador del Informe Consolidado de Desempeño y Evaluación del Año Fiscal 2019-20 (CAPER). HPD recibe anualmente los siguientes fondos de derecho de subvención del Departamento de Vivienda y Desarrollo Urbano (HUD) de EE. UU. Para viviendas asequibles, desarrollo comunitario, desarrollo económico y servicios públicos: Subvención en bloque para el desarrollo comunitario (CDBG), Programa de asociación de inversión HOME, Subvención para soluciones de emergencia (ESG) y Oportunidades de vivienda para personas con SIDA (HOPWA).

Las ciudades participantes deben desarrollar un CAPER anualmente. El CAPER evalúa el progreso de la ciudad y la efectividad de su desempeño en relación con sus actividades financiadas con fondos federales durante el año fiscal 2019-20, y el logro de sus estrategias, objetivos y prioridades generales descritos en el Plan consolidado del año fiscal 2019-24. El borrador de la CAPER para el año fiscal 2019-20 estará disponible para su revisión durante el período de comentarios públicos. La ciudad enviará este informe a HUD antes del 1 de abril de 2021.

El período de comentarios públicos ha cerrado

Se invita al público a revisar el Borrador del CAPER para el año fiscal 2019-20 durante el período de comentarios públicos, del 24 de febrero de 2021 al 11 de marzo de 2021.

Todos los comentarios escritos se incluyen en el Informe de Evaluación y Desempeño Consolidado (CAPER); por lo tanto, sus comentarios se incluirán como parte del registro público. El Ayuntamiento de Austin, así como el público en general, tendrán acceso a estos comentarios y sugerencias sobre viviendas asequibles, desarrollo comunitario, desarrollo económico y servicios públicos. Si no desea que se divulguen sus comentarios, notifique al Departamento de Vivienda y Planificación a HPD@austintexas.gov o por teléfono al 512-974-3100.

Who's Listening

Esteban Garza

Neighborhood Liaison

Housing & Planning Department



Phone

(512) 974-3100

Email

NHCD@austintexas.gov

Chase Clements

Finance Manager II


Housing and Planning Department



Email


NHCD@austintexas.gov

Lifecycle




Open

This consultation is open for contributions.



Under Review

Contributions to this consultation are closed for evaluation and review. The project team will report back on key outcomes.



Final report

The final outcomes of the consultation are documented here. This may include a summary of all contributions collected as well as recommendations for future action.

Custom

Survey

What do you feel are the greatest needs in your community?

1 response

TAKE SURVEY



Attachment 5a: City of Austin Monitoring Plan

MONITORING PLAN

The goal of the City of Austin's monitoring process is to assess subrecipient/contractor performance in the areas of program, financial and administrative compliance with applicable federal, state and municipal regulations and current program guidelines. Under this plan, select programs and project activities are monitored through one or more of the following components. The City of Austin's monitoring plan consists of active contract monitoring and long-term monitoring for closed projects.

Active Contract Monitoring

Prior to executing any agreement or obligation, monitoring takes the form of a compliance review. Verification is obtained to ensure that the proposed activity to be funded has received the proper authorization through venues such as the annual Action Plan, environmental review and fund release, and identification in the Integrated Disbursement & Information System (IDIS). A contract begins with written program guidelines, documentation and tracking mechanisms that will be used to demonstrate compliance with applicable federal, state and local requirements.

For activities implemented through external programs or third-party contracts with non-profit, for-profit and community-based organizations, a solicitation may be required in the form of a comprehensive Notice of Fund Availability (NOFA) or Request for Proposals (RFP) which details performance, financial and regulatory responsibilities.

1. Compliance Review prior to obligation of funds. Prior to entering into any agreement or to the obligation of entitlement funds, the City conducts a compliance review to verify that the program activity has been duly authorized. The compliance review consists of verifying and documenting:

- The program activity has been approved as part of the Action Plan for the specified funding source and year;
- The availability of applicable funds for the specific activity;
- The activity has received environmental review and determination and fund release, as applicable;
- The service provider is not listed in the System for Award Management (SAM);
- The activity has been set up and identified in IDIS;
- The scope of work defined in the contract has adequately addressed performance, financial and tracking responsibilities necessary to report and document accomplishments; and
- The service provider has the required insurance in place.

After this information has been verified and documented, staff may proceed in obtaining authorization and utilization of entitlement funds for the activity.

2. Desk Review. Before processing an invoice for payment, staff reviews the invoice to verify that the item or service is an eligible expense and it is part of the contract budget. Staff also reviews performance reports and supporting documentation submitted with the invoice to ensure that the contractor is performing in accordance with the terms of the contract and the scope of work. This level of monitoring is performed on an ongoing basis throughout the duration of the contract.

- 3. Records Audit.** A records audit includes a review of all file documents as needed. A file checklist is used to determine if the required documents are present. Through the review of performance reports and other documentation submitted by the contractor, staff is able to identify areas of concern and facilitate corrections and/or improvements. Should problems be identified, a contractor or recipient of funds may then be provided technical assistance as necessary to reach a resolution.
- 4. Selected On-Site Monitoring.** A risk assessment is conducted internally and is used to determine the priority of site reviews to be conducted. Based on the results of the risk assessment, a selected number of projects may be subject to an on-site review. The performance of contractors is reviewed for compliance with the program guidelines and the terms and conditions of the contract. In particular, staff verifies program administration and regulatory compliance in the following areas:
 - Performance (*e.g.* meeting a national objective, conducting eligible activities, achieving contract objectives, performing scope of work activities, maintaining contract schedule, abiding by the contract budget);
 - Record keeping;
 - Reporting practices; and
 - Compliance with applicable anti-discrimination regulations.

There will be follow-up, as necessary, to verify regulatory and program administration compliance has been achieved.

- 5. Failure to resolve identified problems.** If no resolution of identified problems occurs or the contractor fails to perform in accordance with the terms and conditions of the contract, the City of Austin has the authority to suspend further payments to the contractor or recipient of funds until such time that issues have been satisfactorily resolved.
- 6. Contract Closeout.** Once a project activity has been completed and all eligible project funds expended, the staff will require the contractor to submit a project closeout package. The contract closeout will provide documentation to confirm whether the contractor was successful in completing all performance and financial objectives of the contract. Staff will review and ask the contractor, if necessary, to reconcile any conflicting information previously submitted. The project closeout will constitute the final report for the project. Successful completion of a project means that all project activities, requirements, and responsibilities of the contractor have been adequately addressed and completed.

Long-term Monitoring

Acceptance of funds from Neighborhood Housing and Community Development (NHCD) Office of the City of Austin, or its sub-recipient Austin Housing Finance Corporation (AHFC) obligates beneficiaries/borrowers to adhere to conditions for the term of the affordability period. NHCD is responsible for the compliance oversight and enforcement of long- or extended-term projects and financial obligations created through City- sponsored or -funded housing and community development projects. In this capacity, NHCD performs the following long-term monitoring duties:

- Performs compliance monitoring in accordance with regulatory requirements specified in the agreement;
- Reviews and verifies required information and documentation submitted by borrowers for compliance with applicable legal obligations and/or regulatory requirements;
- Enforces and takes corrective action with nonperforming loans and/or projects deemed to be out of compliance in accordance with legal and/or regulatory terms and conditions; and
- If the beneficiary has been uncooperative, non-responsive, or unwilling to cure the existing default by all reasonable means, staff will discuss with management and will refer the loan to the City Attorney for review, with a recommendation for judgment and/or foreclosure.

The first step in the monitoring process includes the development of a risk assessment that is essential in guiding the monitoring efforts of the department. Based on the results of the risk assessment, additional projects may be monitored. Monitoring may be in the form of a desk review, on-site visit, or Uniform Physical Conditions Standards (UPCS) inspection. Technical assistance is available to assist beneficiaries/ borrowers in understanding any aspect of the contractual obligation so that performance goals are met with minimal deficiencies.

Attachment 5b: HOME Inspection Summary by Project

Monitoring Completed	Physical Inspection Completed	Project Name	# Units Total	# Units to Inspect
✓	NA- COVID19	M Station	140	14
✓	NA- COVID19	LifeWorks Transitional Living Project	12	1
✓	NA- COVID19	Cornerstone	30	3
✓	NA- COVID19	The Willows	64	6
✓	NA- COVID19	Sierra Vista (fka Shady Oaks)	238	24
✓	NA- COVID19	Crossroads Apartments	14	1
✓	NA- COVID19	1009 E 10th Street	1	1
✓	NA- COVID19	907 Spence Street	1	1
✓	NA- COVID19	2320 Santa Rita Street	1	1
✓	NA- COVID19	809 San Marcos Street	1	1
✓	NA- COVID19	1002 Wheelless Street	2	1
✓	NA- COVID19	Southwest Trails Apartments	160	16
✓	NA- COVID19	Ivy Condos	8	1
✓	NA- COVID19	1109 Spearson Lane	3	1
✓	NA- COVID19	5406 Village Trail	3	1
✓	NA- COVID19	7605 Elderberry Drive	4	1
✓	NA- COVID19	2407 S 4th Street	3	1
✓	NA- COVID19	2014 Covered Wagon Pass	3	1
✓	NA- COVID19	908 Neal Street	2	1
✓	NA- COVID19	912 Neal Street	1	1
✓	NA- COVID19	916 Neal Street	1	1

✓	NA- COVID19	Pecan Springs Commons	4	1
✓	NA- COVID19	Pecan Springs Commons	4	1
✓	NA- COVID19	Pecan Springs Commons	4	1
✓	NA- COVID19	Pecan Springs Commons	4	1
✓	NA- COVID19	1905 E 9th Street	1	1
✓	NA- COVID19	1116 Harvard Street	1	1
✓	NA- COVID19	2503 E 9th Steet	1	1
✓	NA- COVID19	1705 Rosewood Avenue	2	1
✓	NA- COVID19	1804 Martin Luther King Jr Boulevard	1	1
✓	NA- COVID19	1700 Martin Luther King Jr Boulevard	2	1
✓	NA- COVID19	2107 Salina Street	1	1
✓	NA- COVID19	2111 Salina Street	1	1
✓	NA- COVID19	1803 E 22nd Steet	2	1
✓	NA- COVID19	2113 Salina Street	1	1
✓	NA- COVID19	2110 Salina Street	1	1
✓	NA- COVID19	Austin Travis County MHMR	37	4
✓	NA- COVID19	Austin Travis County MHMR	24	2
✓	NA- COVID19	City View at the Park	68	7
✓	NA- COVID19	Marshall Apartments	100	10
✓	NA- COVID19	Elm Ridge Apartments	130	13
✓	NA- COVID19	Allandale Condos	10	1
✓	NA- COVID19	Saint Louise House II	22	2
✓	NA- COVID19	SOL	1	1

✓	NA- COVID19	SOL	2	1
✓	NA- COVID19	SOL	1	1
✓	NA- COVID19	SOL	1	1
✓	NA- COVID19	SOL	1	1
✓	NA- COVID19	Retreat at North Bluff	176	18
✓	NA- COVID19	9215 Kempler Drive	2	2
✓	NA- COVID19	2112 E 8th Street	1	1
✓	NA- COVID19	Homestead Oaks	126	13
✓	NA- COVID19	Ivy Condos - Acq of 10 Units (2/5/13)	10	1
✓	NA- COVID19	Saint Louise House	24	2
✓	NA- COVID19	Guadalupe Saldana - Duplex	2	1
✓	NA- COVID19	Guadalupe Saldana - Duplex	2	1
✓	NA- COVID19	Guadalupe Saldana - Duplex	2	1
✓	NA- COVID19	Guadalupe Saldana - Duplex	2	1
✓	NA- COVID19	GNDC Alley Flats	1	1
✓	NA- COVID19	GNDC Alley Flats	1	1
✓	NA- COVID19	4910 West Wind Trail	3	1
✓	NA- COVID19	Villas on Sixth	136	14
			1,607	196

# Units Passed To Date	# Files Reviewed	Project Address
NA	14	2906 E Martin Luther King Jr Boulevard
NA	1	3710 S 2nd Street
NA	3	1322 Lamar Square Drive
NA	6	1330 Lamar Square Drive
NA	24	4320 S Congress Avenue
NA	1	8801 McCann Drive
NA	1	1009 E 10th Street
NA	1	907 Spence Street
NA	NA	2320 Santa Rita Street
NA	1	809 San Marcos Street
NA	1	1002 Wheelless Street
NA	16	8405 Old Bee Caves Road
NA	1	3204 Manchaca Road
NA	1	1109 Spearson Lane
NA	1	5406 Village Trail
NA	1	7605 Elderberry Drive
NA	1	2407 S 4th Street
NA	1	2014 Covered Wagon Pass
NA	1	908 Neal Street
NA	NA	912 Neal Street
NA	NA	916 Neal Street

NA	1	5807 Sweeney Circle
NA	1	5804 Sweeney Circle
NA	1	5805 Sweeney Circle
NA	1	5803 Sweeney Circle
NA	NA	1905 E 9th Street
NA	NA	1116 Harvard Street
NA	NA	2503 E 9th Steet
NA	1	1705 Rosewood Avenue
NA	NA	1804 Martin Luther King Jr Boulevard
NA	1	1700 Martin Luther King Jr Boulevard
NA	NA	2107 Salina Street
NA	NA	2111 Salina Street
NA	1	1803 E 22nd Steet
NA	NA	2113 Salina Street
NA	NA	2110 Salina Street
NA	4	6222 N Lamar Boulevard
NA	2	403 E 15th Street
NA	7	2000 Woodward Street
NA	10	1401 E 12th Street
NA	13	1161 Harvey Street
NA	1	7685 Northcross Drive
NA	2	2104 Berkett Drive
NA	NA	5908 Ventus Street

NA	1	1129 Altum Street
NA	NA	5921 Ventus Street
NA	NA	5916 Lux Street
NA	NA	5929 Lux Street
NA	18	6212 Crow Lane
NA	2	9215 Kempler Drive
NA	NA	2112 E 8th Street
NA	13	3226 W Slaughter Lane
NA	1	3204 Manchaca Road
NA	2	3200 S Lamar Boulevard
NA	1	1208 Paul Theresa Saldana Street
NA	1	1216 Paul Theresa Saldana Street
NA	1	1212 Paul Theresa Saldana Street
NA	1	1220 Paul Theresa Saldana Street
NA	NA	2808 Gonzales Street
NA	NA	2808 Gonzales Street
NA	1	4910 W Wind Trail
NA	14	2011 E 6th Street
0	178	

Attachment 5c: HOME Match Report

HOME Match Report

U.S. Department of Housing and Urban Development
Office of Community Planning and DevelopmentOMB Approval No. 2506-0171
(exp. 12/31/2012)

Part I Participant Identification			Match Contributions for Federal Fiscal Year Ending 09/30/2020					
1. Participant No. (assigned by HUD) 480264	2. Name of the Participating Jurisdiction City of Austin		3. Name of Contact (person completing this report) Nora Richardson					
5. Street Address of the Participating Jurisdiction 1000 East 11th Street, Suite 300			4. Contact's Phone Number (include area code) 512-974-3138					
6. City Austin	7. State TX	8. Zip Code 78702						
Part II Fiscal Year Summary								
1. Excess match from prior Federal fiscal year			\$ 52,617,906.61					
2. Match contributed during current Federal fiscal year (see Part III.9.)			\$ 19,329,087.75					
3. Total match available for current Federal fiscal year (line 1 + line 2)				\$ 71,946,994.36				
4. Match liability for current Federal fiscal year				\$ 646,617.78				
5. Excess match carried over to next Federal fiscal year (line 3 minus line 4)				\$ 71,300,376.58				
Part III Match Contribution for the Federal Fiscal Year								
1. Project No. or Other ID	2. Date of Contribution (mm/dd/yyyy)	3. Cash (non-Federal sources)	4. Foregone Taxes, Fees, Charges	5. Appraised Land/ Real Property	6. Required Infrastructure	7. Site Preparation, Construction Materials, Donated labor	8. Bond Financing	9. Total Match
Prior Year MF Bonds	10/30/2014						\$ 3,557,369.09	\$ 161,654.45
NON-2020-01 Elysium Park	12/19/2019	432,858.60						\$ 432,858.60
NON-2020-02 The Abali PA/2018	12/16/2019	1,737,395.00						\$ 1,737,395.00
NON-2020-03 Scenic Point PA/2018	09/16/2020	674,633.45						\$ 674,633.45
NON-2020-04 North Lamar Mobile Pk PA/2018	05/27/2020	2,500,000.00						\$ 2,500,000.00
NON-2020-05 Capital A Condominiums PA/2018	09/29/2020	198,180.00						\$ 198,180.00
NON-2020-06 Mueller Townhomes PA/2018	09/10/2020	350,024.23						\$ 350,024.23
NON-2020-07 Roosevelt Gardens PA/2018	09/09/2020	414,878.21						\$ 414,878.21
NON-2020-08 RBJ Center	07/17/2020	4,309,600.15						\$ 4,309,600.15
NON-2020-09 Waterloo Terrace PA/2018	04/21/2020	2,372,692.00						\$ 2,372,692.00
NON-2020-10 Aha! @ Briarcliff PA/2018	09/11/2020	762,800.01						\$ 762,800.01
NON-2020-11 Burnet Place PA/2018	09/09/2020	124,009.17						\$ 124,009.17

City of Austin								Federal Fiscal Year Ending 09/30/2020
City of Austin								
1. Project No. or Other ID	2. Date of Contribution (mm/dd/yyyy)	3. Cash (non-Federal sources)	4. Foregone Taxes, Fees, Charges	5. Appraised Land/ Real Property	6. Required Infrastructure	7. Site Preparation, Construction Materials, Donated labor	8. Bond Financing	9. Total Match
NON-2020-12 Talavera Lofts PA/2018	09/17/2020	571,837.48						\$ 571,837.48
NON-2020-13 Travis Flats PA/2018	09/11/2020	500,000.00						\$ 500,000.00
NON-2020-14 Vi Collina PA/2018	01/28/2020	3,253,525.00						\$ 3,253,525.00
NON-2020-15 Cambrian E. Riverside Apts.	03/18/2020	965,000.00						\$ 965,000.00
								\$ -
								\$ -
								\$ -

Attachment 5d: Annual Section 3 Report



Section 3 Summary Report

Economic Opportunities for Low- and Very Low-Income Persons

U.S. Department of Housing and Urban Development

Office of Fair Housing and Equal Opportunity

OMB Approval No. 2529-0043
(exp. 11/30/2018)

Disbursement Agency
CITY OF AUSTIN HUMAN RES EEFHO
PO BOX 1088, AUSTIN, TX 78767
74-6000085

Reporting Entity
CITY OF AUSTIN HUMAN RES EEFHO
PO BOX 1088, AUSTIN, TX 78767

Dollar Amount	\$11,024,287.88
Contact Person	Jenny Wang
Date Report Submitted	10/19/2020

Reporting Period		Program Area Code	Program Area Name
From	To		
10/1/19	9/30/20	CDB1	Community Devel Block Grants

Part I: Employment and Training

Job Category	Number of New Hires	Number of New Hires that Are Sec. 3 Residents	Aggregate Number of Staff Hours Worked	Total Staff Hours for Section 3 Employees	Number of Section 3 Trainees
Clerical	0	0	0	0	5
Construction	0	0	0	0	4
Carpentry	0	0	0	0	22
Electrical	0	0	0	0	2
Laborer	0	0	0	0	2

Total New Hires	0
Section 3 New Hires	0
Percent Section 3 New Hires	N/A
Total Section 3 Trainees	35
The minimum numerical goal for Section 3 new hires is 30%.	

Part II: Contracts Awarded

Construction Contracts	
Total dollar amount of construction contracts awarded	\$2,708,000.00
Total dollar amount of contracts awarded to Section 3 businesses	\$720,520.85
Percentage of the total dollar amount that was awarded to Section 3 businesses	26.6%
Total number of Section 3 businesses receiving construction contracts	17
The minimum numerical goal for Section 3 construction opportunities is 10%.	

Non-Construction Contracts	
Total dollar amount of all non-construction contracts awarded	\$0.00
Total dollar amount of non-construction contracts awarded to Section 3 businesses	\$0.00
Percentage of the total dollar amount that was awarded to Section 3 businesses	N/A
Total number of Section 3 businesses receiving non-construction contracts	0
The minimum numerical goal for Section 3 non-construction opportunities is 3%.	

Part III: Summary

Indicate the efforts made to direct the employment and other economic opportunities generated by HUD financial assistance for housing and community development programs, to the greatest extent feasible, toward low- and very low-income persons, particularly those who are recipients of government assistance for housing.

Yes	Attempted to recruit low-income residents through: local advertising media, signs prominently displayed at the project site, contacts with community organizations and public or private agencies operating within the metropolitan area (or nonmetropolitan county) in which the Section 3 covered program or project is located, or similar methods.
No	Participated in a HUD program or other program which promotes the training or employment of Section 3 residents.
No	Participated in a HUD program or other program which promotes the award of contracts to business concerns which meet the definition of Section 3 business concerns.
No	Coordinated with Youthbuild Programs administered in the metropolitan area in which the Section 3 covered project is located.
No	Other; describe below.

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Section 3 Summary Report

Economic Opportunities for Low- and Very Low-Income Persons

U.S. Department of Housing and Urban Development

Office of Fair Housing and Equal Opportunity

OMB Approval No. 2529-0043
(exp. 11/30/2018)

Disbursement Agency
CITY OF AUSTIN HUMAN RES EEFHO
PO BOX 1088, AUSTIN, TX 78767
74-6000085

Reporting Entity
CITY OF AUSTIN HUMAN RES EEFHO
PO BOX 1088, AUSTIN, TX 78767

Dollar Amount:	\$65,572.42
Contact Person:	Jenny Wang
Date Report Submitted:	10/19/2020

Reporting Period		Program Area Code	Program Area Name
From	To		
10/1/19	9/30/20	EMRG	Emergency Shelter Grants

The expenditure of these funds did not result in any covered contracts or new hires during the 12-month period selected.



Section 3 Summary Report

Economic Opportunities for Low- and Very Low-Income Persons

U.S. Department of Housing and Urban Development

Office of Fair Housing and Equal Opportunity

OMB Approval No. 2529-0043
(exp. 11/30/2018)

Disbursement Agency
CITY OF AUSTIN HUMAN RES EEFHO
PO BOX 1088, AUSTIN, TX 78767
74-6000085

Reporting Entity
CITY OF AUSTIN HUMAN RES EEFHO
PO BOX 1088, AUSTIN, TX 78767

Dollar Amount:	\$4,614,692.71
Contact Person:	Jenny Wang
Date Report Submitted:	10/19/2020

Reporting Period		Program Area Code	Program Area Name
From	To		
10/1/19	9/30/20	HOME	HOME Program

The expenditure of these funds did not result in any covered contracts or new hires during the 12-month period selected.

Attachment 5e: Section 108 Project Level Data

FY 2020-21 Section 108 Project Level Data

Activity Fiscal Year	Grantee Name	Project Name	Address	ZipCode	108 Loan Amount	<u>FTE Jobs Est. in 108 Appl.</u>	<u>FTE Jobs Created or Retained in FY20-21</u>
12-13	Rosa Santis	Shady Lane Enterprises	1103 East 6th Street	78702	\$ 150,000.00	12	0
13-14	Eastern Diner, LLC, Stephen and Lauren Shallcross	Sawyer and Co.	4827 E Cesar Chavez	78702	\$ 519,000.00	19	0
13-14	Sweet Victoria LLC, Tram Le	Eat Ban Mi	1007 S Congress Ave.	78704	\$ 35,000.00	4	0
13-14	11E5 LLC, Richard Kooris and Dennis McDaniel	Fair Market	1100 East 5th Street	78702	\$ 333,829.00	14	0
13-14	Eastside Music School LLC, Alex Ballentine	Eastside Music School	501 N IH 35	78702	\$ 30,000.00	2	2
14-15	1707 Airport Commerce LLC- Amit Patel, Nick Bhakta	Home 2 Suites	1707 Airport Commerce Drive	78741	\$ 402,000.00	15	0
14-15	Jose Luis Salon Inc.- Jose Buitron	Jose Luis Salon	1100 South Lamar	78704	\$ 523,727.00	16	0
14-15	Rosa Santis	Austin Fit Centro	4901 East Cesar Chavez	78702	\$ 800,000.00	24	0
15-16	Hip Haven, Inc	Hip Haven	3910-C Warehouse Row	78704	\$ 35,000.00	1	0
15-16	Bee Sweet	Bee Sweet	900 Juanita St.	78704	\$ 35,000.00	1	0
15-16	IK Osorio Investment	Lima Criolla	6406 N Interstate 35 Frontage Rd #1550	78752	\$ 35,000.00	2	0

15-16	Caffine Dealer, LLC	Bouldin Creek Café	1900 S 1st St	78704	\$ 220,050.00	8	2
15-16	Mort Subite, LLC	Mort Subite	308 Congress Ave	78704	\$ 154,200.00	15	0
15-16	Garbo Salon, Inc.	Garbo Salon	1330 Shore District Dr. Suite 300	78741	\$ 139,000.00	5	0
16-17	Jose Luis Domain	Jose Luis Domain	3100 Esperanza Crossing	78758	\$477,760	17	3
17-18	Asazu LLC- Kome Sushi.	Asazu LLC- Kome	5301 Airport Blvd	78751	\$ 200,000.00	8	0
17-18	Cykl Services ATX	CKYL Services ATX, LLC	6609 Backbay	78739	\$ 35,000.00	2	0
17-18	Medici Coffee	The Rensissance Project, Inc.	1023 Springdale Road Bld 1	78721	\$ 550,000.00	30	0
17-18	GH2M	GH2M LLC	1110 Old Walsh Tarlton	78746	\$ 82,000.00	3	2
20-21	Flatbed Press, inc.	Flatbed Center for Contemporary	3701 Drossett Drive # 190	78744	\$ 238,000.00	7	1
20-21	Chisos Star, LLC DBA Cooks Nook	Cooks Nook	502 Thompson Lane	78742	\$ 58,000.00	2	0
					\$ 5,052,566.00	43	10

Attachment 5f: Equal Employment/Fair Housing Office Supplement

City of Austin Equal Employment/Fair Housing Office (EEFHO)
Consolidated Annual Performance and Evaluation Report (CAPER)

The City of Austin Equal Employment & Fair Housing Office is the housing discrimination enforcement arm within the city limits of Austin. As a Fair Housing Assistance Program, EEFHO is funded annually on a noncompetitive basis to enforce fair housing laws that HUD has determined to be substantially equivalent to the Federal Fair Housing Act. The following is the housing team's performance for FY 20 under the City of Austin's Ordinance Chapter 5-1 Housing Discrimination. Thirty-five housing complaints were processed during **FY 20** (October 1, 2019-September 30, 2020).

No Cause to believe discrimination occurred	13
Conciliations with benefit to the Charging Party including relief in the public interest	19
Complaints withdrawn by complainant after resolution	01
Complaints withdraw without resolution	01
No jurisdiction	none
FHAP Judicial Consent Order	none
Complainant Failed to Cooperate	none
Charges filed/issued against Respondent	01
Reactivated by HUD	none

The City of Austin Equal Employment Fair Housing Office (EEFHO) has participated in several outreach and training opportunities to affirmatively further fair housing in FY 19-20.

Fair Housing Complaints can be filed with the City of Austin Equal Employment/Fair Housing Office via our online complaint filing system at <https://www.austintexas.gov/departments/housing-discrimination> . The Equal Employment & Fair Housing Office website also provides information about the city's Fair Housing Ordinance, Employment Ordinance, Fair Chance Hiring Ordinance, Public Accommodation Ordinance as well as relevant federal fair housing and employment laws.

Attachment 5g Summary Funding and Production Table

CITY OF AUSTIN
HOUSING & PLANNING OFFICE
FY 2019-20 Summary Funding and Production Table INCLUDING CARES ACT (CV-1, CV-2, & CV-3)
March 22, 2021

Program / Activity	Funding Source	FY 2019-20 Action Plan		FY 2019-20 CAPER	
		New Funding	Estimated Services	Actual Expenditures	Services Provided
SPECIAL NEEDS ASSISTANCE					
<u>Child Care Services</u>	CDBG	474,561	179	393,723	178
	CDBG-CV	945,170	217	-	-
	CDBG-CV-3	2,500,000	90	-	-
		3,919,731	486	393,723	178
Subtotal, Child Care Services					
<u>Senior Services</u>	CDBG	122,691	155	122,691	91
<u>Mental Health Services</u>	CDBG	186,507	159	185,933	148
Subtotal, Public Services		4,228,929	800	702,347	417
<u>Housing Opportunities for Persons with AIDS</u>					
AIDS Services of Austin	HOPWA	1,156,903	195	1,113,964	260
AIDS Services of Austin-CV	HOPWA-CV	189,642	72	-	-
Project Transitions	HOPWA	453,035	35	432,767	33
Project Transitions-CV	HOPWA-CV	74,262	54	-	-
HOPWA - Adm	HOPWA	49,791		33,328	
HOPWA - Adm-CV	HOPWA-CV	8,161		-	
Subtotal, Housing Opportunities for Persons with AIDS		1,931,794	356	1,580,059	293
Subtotal, Special Needs Assistance		6,160,723	1,156	2,282,406	710
HOMELESS ASSISTANCE					
<u>Tenant-Based Rental Assistance - Homeless Assistance</u>	HOME	626,954	68	38,320	-
	HOME - PI	-	-	88,313	-
Subtotal, TBRA-Homeless Assistance		626,954	68	126,633	-
<u>Tenant-Based Rental Assistance</u>	HOME	547,000	68	36,905	-
	HOME - PI	-	-	552,833	-
Subtotal, TBRA		547,000	68	589,738	-
<u>Emergency Rental Assistance - Homeless Assistance</u>	CDBG-CV	1,000,000	272	-	-
<u>Public Facilities</u>	CDBG	1,804,669	100	6,328,834	-
<u>Low Barrier Permanent Supportive Housing Program</u>	DDDB	300,000	24	-	25
<u>HEARTH Emergency Solutions Grant</u>					
Shelter Operation and Maintenance	HESG	313,922	1,200	313,922	416
HMIS	HESG	30,000		46,726	
HMIS-CV	HESG-CV	1,200		-	
Rapid Rehousing Programs	HESG	279,058	118	361,752	105
Rapid Rehousing Programs-CV	HESG-CV	2,265,359	800	-	-
Rapid Rehousing Programs-CV-2	HESG-CV-2	10,720,617	300		
ESG - Adm	HESG	47,000		28,168	
ESG - Adm-CV	HESG-CV	88,307		-	
ESG - Adm-CV-2	HESG-CV-2	138,632		-	
Subtotal, HEARTH Emergency Solutions Grant		13,884,095	2,418	750,568	521
Subtotal, Homeless Assistance		18,162,718	2,950	7,795,773	546

CITY OF AUSTIN
HOUSING & PLANNING OFFICE
FY 2019-20 Summary Funding and Production Table INCLUDING CARES ACT (CV-1, CV-2, & CV-3)
March 22, 2021

Program / Activity	Funding Source	FY 2019-20 Action Plan		FY 2019-20 CAPER	
		New Funding	Estimated Services	Actual Expenditures	Services Provided
RENTER ASSISTANCE					
Architectural Barrier Program - Rental	CDBG	185,000	7	25,067	2
Tenants' Rights Assistance	CDBG	284,202	527	284,202	318
Emergency Rental Assistance	GF	-	-	-	-
	CDBG-CV	2,675,489	2,000	-	-
	CDBG-CV-3	2,262,074	1,998	-	-
Subtotal, Emergency Rental Assistance		4,937,563	3,998	-	-
Subtotal, Renters Assistance		5,406,765	4,532	309,269	320
HOMEBUYER ASSISTANCE					
Homebuyer Counseling Program	GF	200,000	200	16,669	93
Down Payment Assistance	HOME	949,750	25	88,446	28
	HOME - PI	400,000		890,622	
Subtotal, Down Payment Assistance		1,349,750	25	979,068	28
Subtotal, Homebuyer Assistance		1,549,750	225	995,737	121
HOMEOWNER ASSISTANCE					
Architectural Barrier Removal - Owner	CDBG	1,510,000	80	343,376	16
Minor Home Repair	CDBG	900,000	200	768,344	122
Homeowner Rehabilitation Loan Program	HOME - PI	200,000		-	
	CDBG	600,000	9	252,299	6
	CDBG - RL	40,000		96,001	
Subtotal, Homeowner Rehab		840,000	9	348,300	6
GO Repair! Program	GO Bonds	5,000,000	208	2,978,470	148
Subtotal, Homeowner Assistance		8,250,000	497	4,438,490	292
HOUSING DEVELOPMENT ASSISTANCE					
Rental Housing Development Assistance	CDBG	-	19	551	-
	CDBG - RL	-	-	3,990	-
	HOME	-	14	853,590	29
	HOME - PI	218,182		35,447	
	HOME (CHDO)	227,371	5	370,683	-
	GO Bonds	18,000,000	100	16,516,864	-
	HPD	1,663,256		-	
	UNO	50,000		500,000	
Subtotal, Rental Housing Dev Assist		20,158,809	138	18,281,125	29
Ownership Housing Development Assistance	CDBG	-	6	1,290	-
	CDBG - RL	55,000		-	
	HOME	-	8	2,051	
	HOME - PI	-	-	54,108	-
	HTF	-	-	-	7
	GO Bonds	6,000,000		3,773,277	
	HOME (CHDO)	227,370	2	1,133,496	-

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<i>Subtotal, Ownership Housing Dev Assistance</i>		6,282,370	16	4,964,222	7
<u>AHFC Acquisition and Development</u>	GO Bonds	30,000,000	5	29,204,680	-
<i>Subtotal, Real Property Acquisitions</i>		30,000,000	5	29,204,680	-
Subtotal, Housing Developer Assistance		56,441,179	159	52,450,027	36
OTHER COMMUNITY DEVELOPMENT ASSISTANCE					
<u>CHDO Operating Expenses Grants</u>	HOME (CO)	150,000	2	150,000	2
<u>Non-profit Capacity Building for Workforce Development</u>	CDBG CDBG-CV-3	- 2,500,000	- 63	-	-
<u>Microenterprise Technical Assistance</u>	CDBG GF	- -	- -	- 30,575	- -
<i>Subtotal, Microenterprise Technical Assistance</i>		-	-	30,575	-
<u>Community Development Bank</u>	CDBG	150,000	6	150,000	6
<u>Neighborhood Commercial Management</u>	CDBG - PI	50,000		-	
<u>Neighborhood Commercial Management</u>	CDBG - RL	40,000		-	
<u>Family Business Loan Program</u>	Section 108	1,000,000	33	443,974	10
Family Business Loan Program (AEIBLP)	Section 108	1,500,000	86	645,000	-
	Section 108 - PI	86,550	-	-	-
Subtotal, Other Community Development Assistance		5,476,550	190	1,419,549	18
DEBT SERVICE					
<u>Neighborhood Commercial Mgmt., Debt Service</u>	Section 108 - PI	300,000		167,362	
ADMINISTRATION					
	CDBG	1,554,407	-	1,519,129	-
	CDBG - PI	10,000	-	-	-
	HOME	303,161	-	182,937	-
	HOME - PI	81,818	-	84,605	-
	GF	7,858,024	-	6,306,245	-
Subtotal, Administration		9,807,410	-	8,092,917	-
TOTAL Programs, Debt Service, and Admin Cost		111,555,095	9,709	77,951,529	2,043

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		New Funding	Estimated Services	Actual Expenditures	Services Provided

FUND SUMMARIES:

✓	HOPWA	1,659,729	230	1,580,059	293
✓	HOPWA-CV	272,065	126	-	-
✓	HESG	669,980	1,318	750,568	521
✓	HESG-CV	2,354,866	800	-	-
✓	HESG-CV-2	10,859,249	300	-	-
✓	CDBG	7,772,037	1,447	10,375,439	887
✓	CDBG-CV	4,620,659	2,489	-	-
✓	CDBG-CV-3	7,262,074	2,151	-	-
✓	CDBG - PI	60,000	-	-	-
✓	CDBG - RL	135,000	-	99,991	-
✓	HOME	2,426,865	183	1,202,250	57
✓	HOME (CHDO)	454,741	7	1,504,179	-
✓	HOME (CO)	150,000	2	150,000	2
✓	HOME - PI	900,000	-	1,705,928	-
✓	HTF	-	-	-	7
✓	Section 108 - PI	386,550	-	167,362	-
✓	HAF	-	-	-	-
✓	Section 108	2,500,000	119	1,088,974	10
✓	GO Bonds	59,000,000	313	52,473,292	148
✓	CIP	-	-	-	-
✓	GF	8,058,024	200	6,353,489	93
✓	HPD	1,663,256	-	-	-
✓	DDDB	300,000	24	-	25
✓	UNO	50,000	-	500,000	-
0	Totals	111,555,095	9,709	77,951,529	2,043

* All proposed activities' budgets are estimated and rounded. These figures are subject to the availability of both federal and local funding.

** Housing Trust Fund is not included after FY 18-19 because continued funding is not assured.



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